# Bidding Document For Design, Supply, Installation, Commissioning, Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under NCRMP-II

## **National Competitive Bidding**

(Two envelope Bidding Process with e-Procurement)

# GOVERNMENT OF INDIA And GOVERNMENT OF GOA

National Cyclone Risk Mitigation Project (NCRMP-II)

#### **National Open Competitive Bidding**

(Two-Envelope Bidding Process with e-Procurement)

#### REFERENCE NO: IN-WRD-GOA-93412-CW-RFB

CONTRACT TITLE : Design, Supply, Installation, Commissioning,

Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under

**NCRMP-II** 

PERIOD OF SALE OF : FROM : 10/06/2019 BIDDING DOCUMENT : TO : 11/07/2019

TIME AND DATE OF : DATE 25/06/2019 TIME 11.00 HOURS

PRE-BID MEETING

LAST DATE AND TIME FOR : DATE 11/07/2019 TIME 15.00 HOURS

RECEIPT OF BIDS

TIME AND DATE OF OPENING : DATE 11/07/2019 TIME 15.30 HOURS

OF BIDS- Technical Part1

PLACE OF OPENING OF BIDS : Office of Superintending Engineer,

Circle Office V, Water Resources Department, Quarters D-1-1, WRD complex, Gogol, Margao, Goa

OFFICER INVITING BIDS : The Executive Engineer, Works

Division III, Water Resources Department, Ponda, Goa.

<sup>1</sup> The firms that qualify technically shall be notified subsequently for opening of the financial part of their bids.

#### 1

## **INVITATION FOR BIDS**

(IFB)

# GOVERNMENT OF INDIA And GOVERNMENT OF GOA National Cyclone Risk Mitigation Project (NCRMP-II)

#### **INVITATION FOR BIDS (IFB)**

(Two Envelope Bidding Process with e-Procurement)

#### NATIONAL COMPETITIVE BIDDING

#### **REFERENCE NO: IN-WRD-GOA-93412-CW-RFB**

Employer: Executive Engineer, Works Division III, Water Resources Department,

Ponda, Goa on behalf of Governor of Goa

Contract Title: Design, Supply, Installation, Commissioning, Implementation, operation and

Maintenance for Early Warning Dissemination System for GOA under

NCRMP-II

Loan No./Credit No./ Grant No.: 5693-IN

Bid No.: NCRMP II/GOA/NCB/EWDS/PKG - 01

**Date**: 06/06 /2019

- 1. The Government of India has received financing from the World Bank towards the cost of Implementation of NCRMP-II Project and intends to apply a part of the proceeds towards payments under the contract for "Design, Supply, Installation, Commissioning, Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under NCRMP-II" for implementation of component-A of Last Mile Connectivity of NCRMP-II. "(here after called EWDS in brief).
- 2. The Executive Engineer, Works Div III, Water Resources Department, Ponda, Goa, Government of Goa invites online Bids from eligible Bidders for Design, Supply, Installation, Commissioning, Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under NCRMP-II for implementation of component-A of Last Mile Connectivity of NCRMP-II shown in Table-1 below. Bidders are advised to note the clauses on eligibility (Section I Clause 4) and minimum qualification criteria (Section III Evaluation and Qualification Criteria) to qualify for the award of the contract. In addition, bidders may also refer to paragraphs 1.6 and 1.7 of the Guidelines for Procurement of Goods, Works and Non Consulting Services under IBRD Loans and IDA Credits and Grants by World Bank Borrowers, Jan 2011, Revised July 2014.setting forth the World Bank's policy on conflict of interest.
- 3. Bidding will be conducted through National Competitive Bidding procedures agreed with the World Bank. Bidding is open to all eligible bidders as defined in the World Bank's Guidelines: Procurement of Goods, Works and Non-Consulting

- Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers, January 2011, Revised July 2014.
- 4. Bidders from India should be registered with the Government of INDIA or State Governments or State/ Central Government Undertakings. Bidders from India, who are not registered as above, on the date of bidding can also participate provided they get themselves registered by the time of contract signing, if they become successful bidders. Interested bidders may obtain further information and inspect the bidding document at the address given below during office hours.
  - 5. The bid document is available online from 10/06/2019 to 11/07/2019 and can downloaded free of cost by be logging on to the link https://www.tenderwizard.com/GOA. The bids are to be submitted online through the e-procurement portal only. Bids submitted manually One can also view/ download the bidding will not be accepted. document by logging the link on to https://www.tenderwizard.com/GOA.
  - 6. For submission of the bids, the bidders are required to have Class III Digital Signature Certificate (DSC) for signing and encryption, issued by an accredited Certifying Authority. Aspiring bidders who have not obtained the User ID and Password for participating in e-tendering may obtain the same by registering in the e-procurement portal <a href="https://www.tenderwizard.com/GOA">https://www.tenderwizard.com/GOA</a>.
  - 7. a) Bidders are required to pay bid security amount specified for the work under column 4 of Table 1 below in the form of bank guarantee only. The scanned copy of the bid security in the form of the bank guarantee shall be submitted through the e-procurement system and the original bid security will be submitted separately to the Executive Engineer, Works Division-III, Water Resources Department, Ponda-Goa, before the bid submission deadline.
    - b) Bidders are required to pay online the cost of the bid documents and bid processing fee specified under columns-5 of Table 1 below through e-Payment mode, via:
      - i. National Electronic Fund Transfer (NEFT) / Real-Time Gross Settlement (RTGS) / Axis Bank Over-the-counter (OTC)\*. Bidders are required to download pre-printed Challan towards credit of ITG available on e-tender website and make their payments through any of their banks.
      - ii. Internet Payment Gateway (Debit/ Credit Card of type VISA, MASTERCARD or RuPay).
      - iii. Net Banking: Payment can be made through the Internet Banking of any bank.

Any payment made through NEFT/RTGS/OTC will take 24 hours for its reconciliation. Hence, the payments through NEFT/RTGS/OTC should be made at least TWO BANK WORKING DAYS in advance before any due date the scanned copy of challans uploaded in the e-Tender website as a token of payment

Note:(Details of e-payment of bid processing fee are available on the e-procurement website <a href="https://www.tenderwizard.com/GOA">https://www.tenderwizard.com/GOA</a>).

- 8. Bids must be submitted online on <a href="https://www.tenderwizard.com/GOA">https://www.tenderwizard.com/GOA</a> on or before 15.00 hours on 11/07/2019 and the "Technical Part" of the bids will be opened online on the same day at 15.30 hours. The "Financial Part" shall remain unopened in the e-procurement system until the second public Bid opening for the financial part. Any bid or modifications to bid (including discount) received outside e-procurement system will not be considered. If the office happens to be closed on the date of opening of the bids as specified, the bids will be opened on the next working day at the same time. The electronic bidding system will not allow any late submission of bids.
- 9. All Bids must be accompanied by a bid security of the amount specified for the work under Column 4 in table-1 below, issued in favour of the Executive Engineer, Works Division- III, Water Resources Department, Ponda- Goa. Bid security shall be in the form of bank guarantee only and shall be valid for 45 days beyond the validity of the bid. Procedure for submission of bid security is described in Para 7a.
- 10. The bidders are required to submit (a) original bid security in approved form and (b) original affidavit regarding correctness of information furnished with bid document as per provisions of ITB to the Office of the Executive Engineer, Works Division-III, Water Resources Department, Ponda-Goa before the opening of the technical part of the Bid i.e. before 11/07/2019, either by registered post or by hand, failing which the bids will be declared non-responsive and will not be opened.
- 11. A pre-bid meeting will be held on 25/06/2019 at 11.00 hrs. at the Office of the Superintending Engineer, Circle Office V, Water Resources Department, WRD Complex, Quarters D-1-1, Gogal, Margao, Goa 403602 to clarify the issues and to answer questions on any matter that may be raised at that stage as stated in Clauses 7.1 and 7.4 of 'Instructions to Bidders' of the bidding document.
- 12. Other details can be seen in the bidding document. The Employer shall not be held liable for any delays due to system failure beyond its control. Even though the system will attempt to notify the bidders of any bid updates, the Employer shall not be liable for any information not received by the bidder. It is the bidders' responsibility to verify the website for the latest information related to this bid

Details of the EWDS requirements (including facilities, location, delivery period, etc.) are shown in the table below:

**TABLE-1** 

Package No.	Name of work	Bid Security in Rs	Cost of bid documents and Bid Processing fees in Rs	Period of completion in months (Including Monsoon)
(1)	(2)	(4)	(5)	(6)
NCRMP II/GOA/ NCB/ EWDS/ PKG – 01	Design, Supply, Installation, Commissioning, Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under NCRMP-II	28,00,000.00	10,000.00	Phase I: 1.Design, Supply, Installation, Commissioning, Implementation: 12 months (including monsoon season) 2. Operations & Maintenance for 24 months  Phase II: Annual Comprehensive Maintenance = 36 months beyond Phase-I.

#### Critical dates for the bid -

Date of publication	07/06/2019
Bid download start date and time	10/06/2019 at 09:30 hrs
Bid submission start date and time	10/06/2019 at 10:00 hrs
Bid download closing date and time	11/07/2019 at 15.00 hrs
Bid submission closing date and time	11/07/2019 at 15:00 hrs
Bid (Technical Part)opening date and time	11/07/2019 at 15:30 hrs
Pre-bid meeting date and time	25/06/2019 at 11:00 hrs

Note: Phase II shall be subject to the requirement of successful performance of phase I to be certified by the Employer. Any activity beyond the project period shall be funded by Government of Goa from its own budgetary grants.

The address for all communications related to the bid shall be as under:

- (a) Name & Designation of Officer: Executive Engineer
- (b) Official Address: Works Division III, Water Resources Department, 4<sup>th</sup> Floor, Government Building, opposite Court, Ponda-Goa-403401.
- (c) Email ee3-wrd.goa@gov.in
- (d) Telephone 0832-2312093
- (e) Fax 0832-2312093

# **PART 1 – Bidding Procedures**

# **Section I. Instructions to Bidders**

	Section I. Instructions to Bidders				
		1.1 General			
1.	Scope of Bid	1.1 In connection with the Invitation for Bids <b>indicated in the Bid Data Sheet (BDS),</b> the Employer, as <b>indicated in the BDS,</b> issues this Bidding Document for the procurement of Plant and Installation Services as specified in Section VI, Employer's Requirements. The name, identification, and number of lots (contracts) of the National Competitive Bidding (NCB) are <b>provided in the BDS.</b>			
		1.2 Unless otherwise stated, throughout this Bidding Document definitions and interpretations shall be as prescribed in the General Conditions, Section VII.			
2.	Source of Funds	2.1 The Borrower or Recipient (hereinafter called "Borrower") indicated in the BDS has applied for or received financing (hereinafter called "funds") from the World Bank (hereinafter called "the Bank") toward the cost of the project named in the BDS. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this Bidding Document is issued.			
		2.2 Payments by the Bank will be made only at the request of the Borrower and upon approval by the Bank in accordance with the terms and conditions of the financing agreement between the Borrower and the Bank (hereinafter called the Loan Agreement), and will be subject in all respects to the terms and conditions of that Loan Agreement. No party other than the Borrower shall derive any rights from the Loan Agreement or have any claim to the funds.			
		2.3 The Loan Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations.			
3.	Fraud and Corruptio n	3.1 The Bank requires that Borrowers (including beneficiaries of Bank loans), as well as Bidders, Suppliers, Contractors and their agents (whether declared or not), personnel, subcontractors, subconsultants, service providers and suppliers, under Bankfinanced contracts, observe the highest standard of ethics during			

the procurement and execution of such contracts. In pursuit of this policy, the Bank:

- (a) defines, for the purposes of this provision, the terms set forth below as follows:
  - (i) "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party<sup>3</sup>;
  - (ii) "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation<sup>4</sup>;
  - (iii) "collusive practice" is an arrangement between two or more parties<sup>5</sup> designed to achieve an improper purpose, including to influence improperly the actions of another party;
  - (iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party to influence improperly the actions of a party;
  - (v) "obstructive practice" is
    - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or
    - (bb) acts intended to materially impede the exercise of the Bank's inspection and audit rights

<sup>&</sup>lt;sup>3</sup> "Another party" refers to a public official acting in relation to the procurement process or contract execution. In this context, "public official" includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.

<sup>&</sup>lt;sup>4</sup> "Party" refers to a public official; the terms "benefit" and "obligation" relate to the procurement process or contract execution; and the "act or omission" is intended to influence the procurement process or contract execution.

<sup>&</sup>lt;sup>5</sup> "Parties" refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non competitive levels.

<sup>&</sup>lt;sup>6</sup> "Party" refers to a participant in the procurement process or contract execution.

			provided for under sub-clause 3.2 below.	
		(b)	will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question;	
		(c)	will cancel the portion of the loan allocated to a contract if it determines at any time that representatives of the Borrower or of a beneficiary of the loan engaged in corrupt, fraudulent, collusive, or coercive practices during the procurement or the execution of that contract, without the Borrower having taken timely and appropriate action satisfactory to the Bank to remedy the situation; and	
		(d)	will sanction a firm or an individual, at any time, in accordance with prevailing Bank's sanctions procedures <sup>a</sup> , including by publicly declaring such firm or individual ineligible, either indefinitely or for a stated period of time: (i) to be awarded a Bank-financed contract; and (ii) to be a nominated <sup>b</sup> sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract.	
		to rel	further pursuance of this policy, Bidders shall permit the Bank inspect any accounts and records and other documents ating to the Bid submission and contract performance, and to ve them audited by auditors appointed by the Bank.	
			arthermore, Bidders shall be aware of the provision stated in e General Conditions (GC 42.2.1(c)).	
4.	Eligible Bidders	ent in	A Bidder may be a private entity or a government-owned entity—subject to ITB 4.5—or any combination of such entities in the form of a joint venture, (JV) under an existing agreement or with the intent to enter into such an agreement supported by a	

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A firm or an individual may be declared ineligible to be awarded a Bank-financed contract upon completion of the Bank's sanctions proceedings as per its sanctions procedures, including inter alia: (i) temporary suspension in connection with an ongoing sanctions proceeding; (ii) cross-debarment as agreed with other International Financial Institutions, including Multilateral Development Banks; and (iii) the World Bank Group corporate administrative procurement sanctions procedures for fraud and corruption.

A nominated sub-contractor, consultant, manufacturer or supplier, or service provider (different names are used depending on the particular bidding document) is one which either has been: (i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that are accounted for in the evaluation of the bidder's pre-qualification application or the bid; or (ii) appointed by the Borrower.

letter of intent, unless otherwise **specified in the BDS**. In the case of a joint venture when permitted:

- (a) unless otherwise specified in the BDS, all partners shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms, and
- (b) The JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the partners of the JV during the bidding process and, in the event the JV is awarded the Contract, during contract execution. This authorization shall be evidenced by submitting a power of attorney signed by legally authorized signatories of all partners.
- (c) The joint venture agreement should be registered in the place **specified in BDS** so as to be legally valid and binding on all partners. **Unless specified in the BDS**, there is no limit on the number of partners in a JV.
- 4.2 A Bidder, and all partners constituting the Bidder, shall have a nationality of an eligible country as defined in *Guidelines: Procurement under IBRD Loans and IDA Credits, January 2011 Revised July 2014*, (hereinafter referred to as the Guidelines), in accordance with Section V, Eligible Countries. A Bidder shall be deemed to have the nationality of a country if the Bidder is a national or is constituted, incorporated, or registered and operates in conformity with the provisions of the laws of that country. This criterion shall also apply to the determination of the nationality of proposed subcontractors or suppliers for any part of the Contract including related services.
- 4.3 A Bidder shall not have a conflict of interest. All Bidders found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest with one or more parties in this bidding process, if:
  - (a) they have a controlling partner in common; or
  - (b) they receive or have received any direct or indirect subsidy from any of them; or
  - (c) they have the same legal representative for purposes of this bid; or
  - (d) They have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the

- bid of another Bidder, or influence the decisions of the Employer regarding this bidding process; or
- (e) A Bidder submits more than one bid in this bidding process, either individually or as a partner in a joint venture, except for alternative offers permitted under ITB Clause 13. This will result in the disqualification of all such bids. However, this does not limit the participation of a Bidder as a subcontractor in another bid or of a firm as a subcontractor in more than one bid, or
- (f) A Bidder or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the Plant and Installation Services that are the subject of the bid.
- (g) A Bidder or any of its affiliates has been hired (or is proposed to be hired) by the Employer or the Borrower as Project Manager for the contract.
- (h) A Bidder would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the BDS ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm.
- (i) A Bidder has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the bidding documents or specifications of the contract, and/or the bid evaluation process of such contract; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout the procurement process and execution of the contract.
- 4.4 A Bidder that has been sanctioned by the Bank in accordance with the above ITB 3.1 (d), or in accordance with the Bank's Guidelines on Preventing and Combating Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants, shall be ineligible to be awarded a Bank-financed contract, or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall determine. The list of debarred firms and individuals is available at the electronic

	address <b>specified in the BDS</b> .
	4.5 Government-owned entities in the Borrower's country shall be eligible only if they can establish that they (i) are legally and financially autonomous, (ii) operate under the principles of commercial law, and (iii) are not dependent agencies of the Employer or the Borrower.
	4.6 Bidders shall provide such evidence of their eligibility satisfactory to the Employer, as the Employer shall reasonably request.
	4.7 Deleted.
	4.8 Firms shall be excluded if:
	(a) as a matter of law or official regulation, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or related services required; or
	(b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country or any payments to persons or entities in that country.
Eligible Plant and Installatio n Services	5.1 The Plant and Installation Services to be supplied under the Contract shall have their origin in eligible source countries as defined in ITB 4.2 above and all expenditures under the Contract will be limited to such Plant and Installation Services.
	5.2 For purposes of ITB 5.1 above, "origin" means the place where the plant, or component parts thereof are mined, grown, produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially different in its basic characteristics or in purpose or utility from its components.
	1.2 Contents of Bidding Document
Sections of Bidding Document	6.1 The Bidding Document consists of Parts 1, 2, and 3, which include all the Sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITB 8.

	PART 1 Bidding Procedures	
	<ul> <li>Section I. Instructions to Bidders (ITB)</li> <li>Section II. Bid Data Sheet (BDS)</li> <li>Section III. Evaluation and Qualification Criteria</li> <li>Section IV. Bidding Forms</li> <li>Section V. Eligible Countries</li> </ul>	
	PART 2 Employer's Requirements	
	• Section VI. Employer's Requirements	
	PART 3 Conditions of Contract and Contract Forms	
	<ul> <li>Section VII. General Conditions (GC)</li> <li>Section VIII. Particular Conditions (PC)</li> <li>Section IX. Contract Forms</li> </ul>	
	The Invitation for Bids issued by the Employer is not part of the Bidding Document.	
	5.3 The Employer is not responsible for the completeness of the Bidding Document and its addenda, if they were not obtained directly from the source stated by the Employer in the Invitation for Bids.	
	The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Document. Failure to furnish all information or documentation required by the Bidding Document may result in the rejection of the bid.	
7. Clarificati on of Bidding Document, Site Visit, Pre-Bid Meeting	A prospective Bidder requiring any clarification of the Bidding Document shall notify the Employer online through the e-procurement portal <b>specified in the BDS</b> or raise his enquiries during the pre-bid meeting, if provided for in accordance with ITB 7.4. Clarifications requested through any other mode shall not be considered by the Employer. The Employer will respond to any request for clarification, provided that such request is received prior to the deadline for submission of bids within a period <b>specified in the BDS</b> . Description of clarification sought and the response of the Employer will be uploaded on the e-procurement portal for information of all Bidders without identifying the source of request for clarification. Should the Employer deem it necessary to amend the Bidding Document as a result of a request for clarification, it shall do so following the procedure under ITB 8 and ITB 23.2.	

	7.2 The Bidder is advised to visit and examine the site where the plant is to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the bid and entering into a contract for the provision of Plant and Installation Services. The costs of visiting the site shall be at the Bidder's own expense.
	7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
	7.4 The Bidder's designated representative is invited to attend a prebid meeting, if <b>provided for in the BDS.</b> The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
	7.5 The Bidder is requested, to submit any questions only through the e-procurement portal, not later than one week before the meeting. Clarifications requested through any other mode shall not be considered by the Employer.
	7.6 Minutes of the pre-bid meeting, including the text of the questions raised without identifying the source, and the responses given, together with any responses prepared after the meeting, will be uploaded on e-procurement portal. Any modification to the Bidding Document that may become necessary as a result of the pre-bid meeting shall be made by the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-bid meeting. It is the bidder's responsibility to check on the e-procurement system, for any addendum/ amendment/ corrigendum to the bidding document.
	7.7 Nonattendance at the pre-bid meeting will not be a cause for disqualification of a Bidder.
8. Amendme nt of Bidding Document	8.1 At any time prior to the deadline for submission of bids, the Employer may amend the Bidding Document by issuing addenda. The addendum will appear on the e-procurement system under "Latest Corrigendum" and email notification is also automatically sent to those bidders who have started

		WC	orking on the tender, or as <b>otherwise specified in BDS</b> .	
			ny addendum issued shall be part of the Bidding Document d shall be deemed to have been communicated to all bidders.	
		ado ma bio	give prospective Bidders reasonable time in which to take an dendum into account in preparing their bids, the Employer sy, at its discretion, extend the deadline for the submission of ls, pursuant to ITB 23.2 by issuing a notification in the excurement portal.	
			1.3 Preparation of Bids	
9.	Cost of Bidding	and res	e Bidder shall bear all costs associated with the preparation d submission of its Bid, and the Employer shall not be ponsible or liable for those costs, regardless of the conduct or the tome of the bidding process.	
10.	Language of Bid	10.1 The Bid, as well as all correspondence and documents relating to the bid exchanged by the Bidder and the Employer, shall be written in English. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in English, in which case, for purposes of interpretation of the Bid, such translation shall govern.		
11.	Documents Comprisin g the Bid	11.1 The Bid submitted by the Bidder shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously.		
		11.2 The Technical Part shall contain the following:		
		(a)	Letter of Bid – Technical Part	
		(b	Bid Security, in accordance with ITB 20;	
		(c)	alternative bids – technical part, if permissible, in accordance with ITB 13;	
		(d	written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 21.2;	
		(e)	documentary evidence established in accordance with ITB 14.1 that the Plant and Installation Services offered by the Bidder in its bid or in any alternative bid, if permitted, are eligible;	
		(f)	documentary evidence in accordance with ITB 15 establishing the Bidder's eligibility and qualifications to	

		perform the contract if its Bid is accepted;	
	(g)	documentary evidence established in accordance with ITB 16 that the Plant and Installation Services offered by the Bidder conform to the Bidding Document;	
	(h)	in the case of a bid submitted by a JV (where permitted), JV agreement, or letter of intent to enter into a JV including a draft agreement signed by all partners, indicating at least the parts of the Plant to be executed by the respective partners;	
	(i)	List of subcontractors, in accordance with ITB 16.2, and a letter of intent between the parties confirming their participation; and	
	(j)	any other document required in the BDS.	
	<b>11.3 The</b> Fi	nancial Part shall contain the following:	
		tter of Bid – Financial Part: prepared in accordance with B 12 and ITB 17;	
	(b) Completed schedules as required, including Price Schedules, in accordance with ITB 12 and 17;		
	(c) Alternative Bid - Financial Part: if permissible in accordance with ITB 13; and		
	(d) any other document <b>required in the BDS.</b>		
	11.4 The Technical Part shall not include any information related to the Bid price. Where material financial information related to the Bid price is contained in the Technical Part, the Bid shall be declared non-responsive.		
	inforr paid	Bidder shall furnish in the Letter of Bid – Financial Part mation on commissions and gratuities, if any, paid or to be to agents or any other party relating to this Bid, and to act execution if the Bidder is awarded the contract.	
12. Letter of Bid and Schedules	Letter Schec Biddi each availa	idder shall complete the Letter of Bid – Technical Part and of Bid – Financial Part, including the appropriate Price dules, using the relevant forms furnished in Section IV, ng Forms. The forms must be completed as instructed in form. The bidders shall fill up online, the forms that are able for online filling on the e-portal. The rest of the forms be download by the bidders and filled up.	
13. Alternativ	13.1 <b>The</b> 1	BDS indicates whether alternative bids are allowed. If they	

e Bids	are allowed, the BDS will also indicate whether they are permitted in accordance with ITB 13.3, <b>or</b> invited in accordance
	with ITB13.2 and/or ITB 13.4.
	13.2 When alternatives to the Time Schedule are explicitly invited, a statement to that effect will be <b>included in the BDS</b> , and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
	13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the Employer's requirements as described in the bidding document must also provide: (i) a price at which they are prepared to offer a plant meeting the Employer's requirements; and (ii) all information necessary for a complete evaluation of the alternatives by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed installation methodology and other relevant details. Only the technical alternatives, if any, of the lowest evaluated Bidder conforming to the basic technical requirements shall be considered by the Employer.
	13.4 When bidders are <b>invited in the BDS</b> to submit alternative technical solutions for specified parts of the facilities, such parts shall be described in Section VI, Employer's Requirements. Technical alternatives that comply with the performance and technical criteria specified for the Plant and Installation Services shall be considered by the Employer on their own merits, pursuant to ITB 31. The method for their evaluation will be stipulated in Section <i>III</i> . Evaluation and Qualification Criteria.
14. Documents Establishin g the Eligibility of the Plant and Installatio n Services	14.1 To establish the eligibility of the Plant and Installation Services in accordance with ITB Clause 5, Bidders shall complete in the Technical Part of the Bid, the country of origin declarations in the declaration Forms, included in Section IV, Bidding Forms.
15. Documents Establishin g the Eligibility and Qualificati ons of the Bidder	15.1 To establish its eligibility and qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Bidder shall provide the information requested in the corresponding information sheets included in Section IV, Bidding Forms.

#### 15.2 Deleted. 16. Documents 16.1 The Bidder shall furnish the information stipulated in Section IV, in sufficient detail to demonstrate substantial responsiveness of establishin the Bidders' proposal to the work requirements and the completion time. conformity of the For major items of Plant and Installation Services as listed by Plant and the Employer in Section III, Evaluation and Qualification **Installatio** Criteria, which the Bidder intends to purchase or subcontract, n Services the Bidder shall give details of the name and nationality of the proposed Subcontractors, including manufacturers, for each of those items. In addition, the Bidder shall include in the Technical Part of its bid information establishing compliance with the requirements specified by the Employer for these items. Quoted rates and prices in the Financial Part of the Bids will be deemed to apply to whichever Subcontractor is appointed, and no adjustment of the rates and prices will be permitted. 16.3 The Bidder shall be responsible for ensuring that any Subcontractor proposed complies with the requirements of ITB 4, and that any plant, or services to be provided by the Subcontractor comply with the requirements of ITB 5 and ITB 15.1 The prices and discounts quoted by the Bidder in the Letter of 17. Bid Prices Bid -Financial Part and in the Price Schedules shall conform to and the requirements specified below: **Discounts** Unless otherwise specified in the BDS, bidders shall quote for the entire Plant and Installation Services on a "single responsibility" basis such that the total bid price covers all the Contractor's obligations mentioned in or to be reasonably inferred from the bidding document in respect of the design, manufacture, including procurement and subcontracting (if any), delivery, construction, installation and completion of the plant including supply of mandatory spares (if any). This includes all requirements under the Contractor's responsibilities for testing, pre-commissioning and commissioning of the plant and, where so required by the bidding document, the acquisition of all permits, approvals and licenses, etc.; the operation, maintenance and training services and such other items and services as may be specified in the Bidding Document, all in accordance with the requirements of the General Conditions. Items against which no price is entered by the Bidder will not be paid for by the Employer when executed and shall be deemed to be covered by the prices for other items. Corrections if any in the bid can be

carried out by editing the information in the forms if available

online, before electronic submission on e-procurement portal.

- 17.2 Bidders are required to quote the price for the commercial, contractual and technical obligations outlined in the bidding document.
- 17.3 Bidders shall give a breakdown of the prices in the manner and detail called for in the Price Schedules included in Section IV, Bidding Forms.
- 17.4 Depending on the scope of the Contract, the Price Schedules may comprise up to the six (6) schedules listed below. Separate numbered Schedules included in Section IV, Bidding Forms, from those numbered 1-4 below, shall be used for each of the elements of the Plant and Installation Services. The total amount from each Schedule corresponding to an element of the Plant and Installation Services shall be summarized in the schedule titled Grand Summary, (Schedule 5), giving the total bid price(s) to be entered in the Letter of Bid.

Schedule No. 1 Plant (including Mandatory Spare Parts)
Supplied from Abroad – not used

Schedule No. 2 Plant (including Mandatory Spare Parts)

Schedule No. 3 Design Services

Schedule No. 4 Installation Services

Schedule No. 5 Grand Summary (Schedule Nos. 1 to 4)

Schedule No. 6 Recommended Spare Parts

Bidders shall note that the plant and equipment included in Schedule Nos. 1 and 2 above **exclude** materials used for civil, building and other construction works. All such materials shall be included and priced under Schedule No. 4, Installation Services

- 17.5 In the Schedules, bidders shall give the required details and a breakdown of their prices as follows:
  - (a) Plant to be supplied from abroad (Schedule No. 1): Not used
  - (b) Plant manufactured within the Employer's country (Schedule No. 2):
    - (i) The price of the plant shall be quoted on an EXW Incoterm basis (such as "ex-works," "ex-factory,"

"ex-warehouse" or "off-the-shelf," as applicable),

- (ii) Sales tax and all other taxes payable in the Employer's country on the plant if the contract is awarded to the Bidder.
- (c) Design Services (Schedule No. 3).
- (d) Installation Services shall be quoted separately (Schedule No. 4) and shall include rates or prices for local transportation to named place of final destination as **specified in the BDS**, insurance and other services incidental to delivery of the plant, all labor, contractor's equipment, temporary works, materials, consumables and all matters and things of whatsoever nature, including operations and maintenance services, the provision of operations and maintenance manuals, training, etc., where identified in the Bidding Document, as necessary for the proper execution of the installation and other services, including all taxes, duties, levies and charges payable in the Employer's country as of twenty-eight (28) days prior to the deadline for submission of bids.
- (e) Recommended spare parts shall be quoted separately (Schedule 6) as specified in either subparagraph (a) or (b) above in accordance with the origin of the spare parts.
- 17.6 The current edition of Incoterms, published by the International Chamber of Commerce shall govern.
- 17.7 The prices shall be either fixed or adjustable as **specified in the BDS.**
- 17.8 In the case of **Fixed Price**, prices quoted by the Bidder shall be fixed during the Bidder's performance of the contract and not subject to variation on any account. A bid submitted with an adjustable price quotation will be treated as non-responsive and rejected.
- 17.9 In the case of **Adjustable Price**, prices quoted by the Bidder shall be subject to adjustment during performance of the contract to reflect changes in the cost elements such as labor, material, transport and contractor's equipment in accordance with the procedures specified in the corresponding Appendix to the Contract Agreement. A bid submitted with a fixed price quotation will not be rejected, but the price adjustment will be treated as zero.

17.10 If so indicated in ITB 1.1, bids are being invited for individual

lots (contracts) or for any combination of lots (packages). Bidders wishing to offer any price reduction (discount) for the award of more than one Contract shall specify in their Letter of Bid – Financial Part, the price reductions applicable to each package, or alternatively, to individual Contracts within the package, and the manner in which the price reductions will apply.

- 17.11 Bidders wishing to offer any unconditional discount shall specify in their Letter of Bid Financial Part the offered discounts and the manner in which price discounts will apply.
- 17.12 Bidders may like to ascertain availability of tax/duty exemption benefits available in India to the contracts financed under World Bank loan/credits. They are solely responsible for obtaining such benefits which they have considered in their bid and in case of failure to receive such benefits for reasons whatsoever, the Employer will not compensate the bidder (Contractor). The bidder shall furnish along with his bid a declaration to this effect in the Declaration Format provided in Section IV of the bidding documents.

Where the bidder has quoted taking into account such benefits, it must give all information required for issue of certificates in terms of the Government of India's relevant Notifications as per the declaration format. In case the bidder has not provided the required information or has indicated to be furnished later on in the Declaration Format, the same shall be construed that the plant/ goods/ equipment for which certificate is required is Nil.

To the extent the Employer determines the quantities indicated therein are reasonable keeping in view the Employer's Requirements, construction program and methodology, the certificates will be issued within 60 days of signing of the contract and no subsequent changes will be permitted. In case of materials pertaining to Variation items and quantities the certificate shall be issued only on request from the Contractor when in need and duly certified by the Project Manager.

No certificate will be issued for items where no quantity/capacity of equipment is indicated in the statement.

If the bidder has considered the tax/duty exemption for plant/ goods/ equipment to be bought for the work, the bidder shall confirm and certify that the Employer will not be required to undertake any responsibilities of the Government of India Scheme or the said exemptions being available during the contract execution, except issuing the required certificate. The

10		bids which do not conform to the above provisions or any condition by the bidder which makes the bid subject to availability of tax/duty exemption for plant/ goods/ equipment or compensation on withdrawal of any variations to the said exemptions will be treated as non-responsive and rejected.  Any delay in procurement of the plant/ goods/ equipment as a result of the above shall not be a cause for granting any extension of time.
16.	Currencies of Bid and Payment	<ul><li>18.1 The currency of the bid and the currency of payments shall be Indian Rupees only.</li><li>18.2 Deleted.</li></ul>
10	D 1 1 0	
19.	Period of Validity of Bids	19.1 Bids shall remain valid for the period <b>specified in the BDS</b> after the bid submission deadline date prescribed by the Employer. A bid valid for a shorter period shall be rejected by the Employer as non-responsive.
		19.2 In exceptional circumstances, prior to the expiration of the bid validity period, the Employer may request Bidders to extend the period of validity of their bids. The request and the responses shall be made in writing. If a bid security is requested in accordance with ITB 20, the Bidder granting the request shall also extend the bid security for forty-five (45) days beyond the deadline of the extended validity period. A Bidder may refuse the request without forfeiting its bid security. A Bidder granting the request shall not be required or permitted to modify its bid, except as provided in ITB 19.3.
		19.3 In the case of fixed price contracts, if the award is delayed by a period exceeding fifty-six (56) days beyond the expiry of the initial bid validity, the Contract price shall be adjusted by a factor or factors <b>specified in the BDS</b> . Bid evaluation shall be based on the Bid Price without taking into consideration the above correction.
20.	Bid Security	20.1 The Bidder shall furnish as part of the Technical Part of its bid, a bid security as <b>specified in the BDS</b> , in original form and in the amount <b>specified in the BDS</b> .
		20.2 Deleted.
		20.3 If a bid security is specified pursuant to ITB 20.1, the bid security shall be a demand guarantee in any of the following forms at the Bidder's option:
		(a) an unconditional guarantee issued by a Nationalized or

 	,
	Scheduled bank located in India;
(b)	an irrevocable letter of credit issued by a Nationalized or Scheduled bank located in India;
(c)	a cashier's or certified check or demand draft issued by a Nationalized or Scheduled bank located in India; or
(d)	another security indicated in the BDS,
subm Bidd the E (45)	e case of a bank guarantee, the bid security shall be itted using the Bid Security Form included in Section IV, ing Forms. The form must include the complete name of bidder. The bid security shall be valid for forty-five days beyond the original validity period of the bid, or beyond period of extension if requested under ITB 19.2.
accon	and security is specified pursuant to ITB 20.1, any bid not appanied by a substantially responsive bid security shall be seed by the Employer as non-responsive.
securas po and f BDS,	bid security is specified pursuant to ITB 20.1, the bid ity of unsuccessful Bidders shall be returned as promptly ssible upon the successful Bidder's signing the Contract urnishing the performance security and if required in the the Environmental, Social, Health and Safety (ESHS) rmance Security pursuant to ITB 45.
prom Contr requi	oid security of the successful Bidder shall be returned as ptly as possible once the successful Bidder has signed the act and furnished the required performance security and if red in the BDS, the Environmental, Social, Health and y (ESHS) Performance Security.

	20.7 The bid security may be forfeited:
	(a) if a Bidder withdraws its bid during the period of bid validity specified by the Bidder on the Letter of Bid – Technical Part and repeated in the Letter of Bid - Financial Part, or any extension thereto provided by the Bidder in accordance with ITB 19.2; or
	(b) if the Bidder does not accept the correction of its Bid Price pursuant to ITB 36; or
	(c) if the successful Bidder fails to:
	(i) sign the Contract in accordance with ITB 44; or
	(ii) furnish a performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security in accordance with ITB 45.
	20.8 The Bid Security of a JV shall be in the name of the JV that submits the bid. If the JV has not been legally constituted into a legally enforceable JV at the time of bidding, the Bid Security shall be in the names of all future partners as named in the letter of intent referred to in ITB 4.1.
21. Format and Signing of Bid	21.1 The Bidder shall prepare the documents comprising the bid as described in ITB 11 and upload the scanned copies in the e-procurement portal along with scanned copies of requisite certificates as are mentioned in different sections in the bidding document. In addition, the Bidder shall submit originals documents, in the manner <b>specified in the BDS.</b> In the event of any discrepancy between the original and the uploaded documents, the original shall prevail.
	21.2 The bid shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as <b>specified in the BDS</b> and shall be uploaded along with the bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the bid where entries or amendments have been made shall be signed or initialed by the person signing the bid.
	21.3 A bid submitted by a JV where permitted, shall comply with the following requirements:
	(a) Unless not required in accordance with ITB 4.1 (a), be signed so as to be legally binding on all partners and
	(b) Include the Representative's authorization referred to in

		ITB 4.1 (b), consisting of a power of attorney signed by those legally authorized to sign on behalf of the JV. Documents establishing authority to sign the bid on behalf of the JV shall be uploaded along with the bid.  21.4 Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the bid.
		Online Submission and Opening of Bids
22.	Online Submissio n, Sealing and Marking of Bids	22.1 Bidders shall submit their bids, both Technical and Financial Parts, electronically, including alternative bids, if permitted in accordance with ITB 13, through the e-procurement portal. Any document submitted through any other means will not be considered as part of the Bid except for the Originals specified in ITB 21.1. The electronic bid submission procedure is <b>specified</b> in the BDS.
		22.2 Physical, E-mail, Telex, Cable or Facsimile bids will be rejected as non-responsive.
23.	Deadline for Submissio n of Bids	23.1 Bids, both Technical and Financial Parts, must be submitted in the e-procurement portal no later than the date and time indicated in the BDS.
		23.2 The Employer may, at its discretion, extend the deadline for the submission of bids by amending the Bidding Document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.
24.	Late Bids	24.1 The e-Procurement system would not allow any late submission of bids after due date & time as per server system.
25.	Withdraw al, Substitutio n, and Modificati on of Bids	<ul> <li>25.1 A Bidder may withdraw, substitute, or modify its bid on the eportal, in accordance with the process defined in the BDS.</li> <li>25.2 Bids requested to be withdrawn in accordance with ITB 25.1 shall not be opened.</li> </ul>
		25.3 No bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Bidder on the Letter of Bid – Technical Part and repeated in the Letter of Bid – Financial Part or any extension thereof. This will result in the forfeiture of the Bid Security pursuant to ITB 20.7.

Public Opening of Technical Parts of Bid		
26. Public Opening of Technical Parts of Bids	26.1 The Employer shall conduct the bid opening of technical parts of all bids in public, as per electronic bid opening procedure, in the presence of Bidders' designated representatives and anyone who choose to attend, and at the address, date and time <b>specified in the BDS.</b> Specific electronic bid opening procedures shall be as <b>specified in the BDS.</b> Bidders can also view the bid opening by logging on to the e-procurement system. The Financial Parts of the bids shall remain unopened in the e-procurement system, until the subsequent public opening, following the evaluation of the Technical Parts of the Bids. In all cases, original documents submitted as specified in ITB 21 shall be first scrutinized, and Bids that do not comply with these provisions will be declared non-responsive and will not be opened.  In the event of the specified date of bid opening being declared a holiday for the Employer, the bids will be	
	declared a holiday for the Employer, the bids will be opened at the appointed time and location on the next working day.	
	26.2 The Bidder's names, details of original bid security, if applicable Alternative Bids – Technical Part, and any other details as the Employer may consider appropriate will be notified, online by the Employer at the time of bid opening.	
	26.3 The Employer shall prepare a record of the bid opening, including the information disclosed and upload the same for viewing online. A copy of the record shall be made available on the e-procurement system. Only technical parts of bids and alternative bids-technical part, that are opened and read out at bid opening shall be considered further for evaluation.	
	<b>Evaluation of Bids – General Provisions</b>	
27. Confidentiality	27.1 Information relating to the evaluation of bids and recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process until information on Contract award is communicated to all Bidders.	

	27.2	Any attempt by a Bidder to influence the Employer in the evaluation of the bids or Contract award decisions may result in the rejection of its bid.
	27.3	Notwithstanding ITB 27.2, from the time of bid opening to the time of Contract award, if any Bidder wishes to contact the Employer on any matter related to the bidding process, it should do so in writing.
28. Clarification of Bids	28.1	To assist in the examination, evaluation, and comparison of the bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its bid. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change in the prices or substance of the bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the bids, in accordance with ITB 36.
	28.2	If a Bidder does not provide clarifications of its bid by the date and time set in the Employer's request for clarification, its bid may be rejected.
29. Deviations, Reservations, and Omissions	29.1	During the evaluation of bids, the following definitions apply:  (a) "Deviation" is a departure from the requirements
		specified in the Bidding Document;  (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding Document; and
		(c) "Omission" is the failure to submit part or all of the information or documentation required in the Bidding Document.
30. Nonmaterial Nonconformities	30.1	Provided that a bid is substantially responsive, the Employer may waive any nonconformity in the bid that does not constitute a material deviation, reservation or omission.
	30.2	Provided that a bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial

nonconformities in the bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price or substance of the bid. Failure of the Bidder to comply with the request may result in the rejection of its bid. 30.3 Provided that a bid is substantially responsive, the nonmaterial **Employer** shall rectify quantifiable nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component in the manner specified in the BDS. **Evaluation of Technical Parts of Bids** The Employer shall use the criteria and methodologies 31. Evaluation of listed in this ITB and Section III, Evaluation and **Technical Parts** Oualification criteria. No other evaluation criteria or of Bids methodologies shall be permitted. **Technical Evaluation**. The Employer will carry out a detailed technical evaluation of the Bids not previously rejected to determine whether the technical aspects are in compliance with the bidding document. The Bid that does not meet minimum acceptable standards of completeness, consistency and detail, and the specified minimum (or maximum, as the case may be) requirements for specified functional guarantees, will be rejected for nonresponsiveness. In order to reach its determination, the Employer will examine and compare the technical aspects of the Bids on the basis of the information supplied by the Bidders, taking into account the following: overall completeness and compliance with the (a) Employer's Requirements; conformity of the Plant and Installation Services offered with specified performance criteria, including conformity with the specified minimum (or maximum, as the case may be) requirement corresponding to each functional guarantee, as indicated in the Specification and in Section III, Evaluation and Qualification Criteria; suitability of the Plant and Installation Services offered in relation to the environmental and climatic conditions prevailing at the site; and quality, function and operation of any process control concept included in the Bid;

	<ul> <li>(b) Type, quantity and long-term availability of mandatory and recommended spare parts and maintenance services; and</li> <li>(c) Other relevant factors, if any, listed in Section III, Evaluation and Qualification Criteria.</li> <li>31.3 Where alternative technical solutions have been allowed in accordance with ITB 13, and offered by the Bidder, the Employer will make a similar evaluation of the alternatives. Where alternatives have not been allowed but have been offered, they shall be ignored.</li> </ul>
32. Determination of Responsiveness	32.1 The Employer's determination of a bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB11.
	32.2 A substantially responsive bid is one that meets the requirements of the Bidding Document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,
	(a) if accepted, would:
	(i) affect in any substantial way the scope, quality, or performance of the Plant and Installation Services specified in the Contract; or
	(ii) limit in any substantial way, inconsistent with the Bidding Document, the Employer's rights or the Bidder's obligations under the proposed Contract; or
	(b) If rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive bids.
	32.3 The Employer shall examine the technical aspects of the bid in particular, to confirm that all requirements of Section VI, Employer's Requirements have been met without any material deviation, reservation, or omission.
	32.4 If a bid is not substantially responsive to the requirements of the Bidding Document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

33. Qualification of the Bidders	33.1 The Employer shall determine to its satisfaction whether the Bidder that is selected as having submitted the lowest evaluated and substantially responsive bid is eligible and meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.
	33.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB 15. The determination shall not take into consideration the qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the bidding document), or any other firm different from the Bidder.
	33.3 The capabilities of the manufacturers and subcontractors proposed by the Bidders for identified major items of supply or services will also be evaluated for acceptability in accordance with Section III, Evaluation and Qualification Criteria. Their participation should be confirmed with a letter of intent between the parties, as needed.
	33.4 If a Bidder does not meet the qualifying criteria specified in Section III, Evaluation and Qualification Criteria, its Bid shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.
	33.5 Only Bids that are both substantially responsive to the bidding document, and meet all Qualification Criteria shall have the Financial Parts of their Bids opened at the second public opening.
	Public Opening of Financial Parts of Bids
34. Public Opening of Financial Parts	34.1 Following the completion of the evaluation of the Technical Parts of the Bids, and the Bank has issued its no objection (if applicable), the Employer shall notify in writing those Bidders whose Bids were considered non-responsive to the bidding document or failed to meet the Qualification Criteria, advising them of the following information:
	(a) the grounds on which their Technical Part of Bid failed to meet the requirements of the bidding

document: their Financial Part of Bid shall not be opened; and notify them of the date, time, and place of the second public opening of Financial Parts of the Bids. 34.2 The Employer shall, simultaneously, notify in writing those Bidders whose Technical Part have been evaluated as substantially responsive to the bidding document and met all Qualifying Criteria, advising them of the following information: (a) their Bid has been evaluated as substantially responsive to the bidding document and met the Oualification Criteria; (b) their Financial Part of Bid will be opened at the public opening of the Financial Parts; and (c) notify them of the date, time, and place of the second public opening of the Financial Parts of the Bids, as specified in the BDS. 34.3 The opening date should allow Bidders sufficient time to make arrangements for attending the opening. The Financial Part of the Bids shall be opened publicly in the presence of Bidders' designated representatives and anyone who chooses to attend, and this could also be viewed by the bidders online. The bidder's names, the Bid prices, the total amount of each bid, per lot (contract) if applicable, including any discounts, and such other details as the Employer may consider appropriate, will be notified online by the Employer at the time of bid opening. In the event of the specified date of bid opening being declared a holiday for the Employer, the bids will be opened at the appointed time and location on the next working day. The electronic summary of the bid opening will be generated and uploaded online. The Employer will also prepare minutes of the Bid opening, including the information disclosed and upload the same for viewing online. Only Financial Parts of Bids and discounts that are opened at Bid opening shall be considered further for evaluation.

Evaluation of Financial Parts of Bids		
35. Evaluation of Financial Parts	35.1 To evaluate the Financial Part, the Employer shall consider the following:	
	(a) the Bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Price Schedules;	
	(b) price adjustment for correction of arithmetic errors in accordance with ITB 36.1;	
	(c) price adjustment due to discounts offered in accordance with ITB 17.10 and ITB 17.11;	
	(d) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITB 30.3;	
	(e) not used; and	
	(f) the evaluation factors specified in Section III, Evaluation and Qualification Criteria.	
	35.2 If price adjustment is allowed in accordance with ITB 17.7, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.	
	35.3 If this bidding document allows Bidders to quote separate prices for different lots (contracts), and the award to a single Bidder of multiple lots (contracts), the methodology to determine the lowest evaluated cost of the lot (contract) combinations, including any discounts offered in the Letter of Bid – Financial Part, is specified in Section III, Evaluation and Qualification Criteria	
36. Correction of Arithmetical Errors	36.1 Provided that the bid is substantially responsive, the Employer shall correct arithmetical errors on the following basis:	
	(a) where there are errors between the total of the amounts given under the column for the price breakdown and the amount given under the Total Price, the former shall prevail and the latter will be corrected accordingly;	
	(b) where there are errors between the total of the amounts of Schedule Nos. 1 to 4 and the amount	

	given in Schedule No. 5 (Grand Summary), the former shall prevail and the latter will be corrected accordingly; and  (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.  36.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in
	accordance with ITB 36.1, shall result in the rejection of the Bid, and the Bid Security may be forfeited in accordance with ITB Sub-Clause 20.7.
37. Conversion to Single Currency	37.1 Deleted.
38. Margin of Preference	38.1 Deleted.
39. Comparison Financial Parts	39.1 The Employer shall compare all substantially responsive bids in accordance with ITB 35.1 to determine the lowest evaluated bid.
40. Unbalanced or Front Loaded Bids	40.1 If the bid, which results in the lowest Evaluated Bid Price, is seriously unbalanced or front loaded in the opinion of the Employer, the Employer may require the Bidder to produce detailed price analyses for any or all items of the Price Schedules, to demonstrate the internal consistency of those prices with the methods and time schedule proposed. After evaluation of the price analyses, taking into consideration the terms of payments, the Employer may require that the amount of the performance security be increased at the expense of the Bidder to a level sufficient to protect the Employer against financial loss in the event of default of the successful Bidder under the Contract.
41. Employer's Right to Accept Any Bid, and to Reject Any or All Bids	41.1 The Employer reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to Bidders. In case of annulment, all documents submitted and specifically, bid securities, shall be promptly returned to the Bidders.
	1.4 Award of Contract

42. Award Criteria	42.1 Subject to ITB 41.1, the Employer shall award the Contract to the Bidder whose offer has been determined to be the lowest evaluated bid and is substantially responsive to the Bidding Document, provided further that the Bidder is determined to be eligible and qualified to perform the Contract satisfactorily.
43. Notification of Award	43.1 Prior to the expiration of the period of bid validity, the Employer shall notify the successful Bidder, in writing, that its bid has been accepted. The notification letter (hereinafter and in the Conditions of Contract and Contract Forms called the "Letter of Acceptance") shall specify the sum that the Employer will pay the Contractor in consideration of the execution and completion of the Plant and Installation Services (hereinafter and in the Conditions of Contract and Contract Forms called "the Contract Price").
	43.2 At the same time, the Employer shall also publish the results and notify all other Bidders of the results of the bidding on the Employer's website, on the National website (http://tenders.gov.in) or on GoI Central Public Procurement Portal <a href="https://eprocure.gov.in/cppp/">https://eprocure.gov.in/cppp/</a> ) or in the official gazette, and on e-procurement system, identifying the bid and lot numbers and the following information: (i) name of each Bidder who submitted a Bid; (ii) bid prices as read out at Bid Opening; (iii) name and evaluated prices of each Bid that was evaluated; (iv) name of bidders whose bids were rejected and the reasons for their rejection; and (v) name of the winning Bidder, and the Price it offered, as well as the duration and summary scope of the contract awarded.
	43.3 Until a formal contract is prepared and executed, the notification of award shall constitute a binding Contract.
	43.4 The Employer shall promptly respond in writing to any unsuccessful Bidder who, after notification of award in accordance with ITB 43.2, requests in writing the grounds on which its bid was not selected.
44. Signing of Contract	44.1 Promptly upon notification, the Employer shall prepare the Contract Agreement, and keep it ready in the office of the Employer for the signature of the Employer and the successful Bidder, within 28 days following the date of Letter of Acceptance. The Contract Agreement shall incorporate all agreements between the Employer and the

	successful Bidder.
	44.2 Within twenty-eight (28) days of receipt of the Letter of Acceptance, the successful Bidder shall (a) furnish the performance security in accordance with ITB Clause 45 and if required, the Environmental, Social, Health and Safety (ESHS) Performance Security; (b) if the successful bidder is a JV, it shall also furnish the JV agreement duly signed by all the partners, if it had submitted only a letter of intent to execute the JV agreement along with the bid; and (c) shall sign, date, and return the Agreement to the Employer along with the documents stated at (a) and (b) above.
	44.3 Deleted.
45. Performance Security	45.1 Within twenty-eight (28) days of the receipt of notification of award from the Employer, the successful Bidder shall furnish the performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security in accordance with the General Conditions, subject to ITB 40, using for that purpose the Performance Security and ESHS Performance Security Forms included in Section IX, Contract Forms, or another form acceptable to the Employer.
	45.2 Failure of the successful Bidder to submit the above-mentioned Performance Security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security or sign the Contract shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security. In that event the Employer may award the Contract to the next lowest evaluated Bidder whose offer is substantially responsive and is determined by the Employer to be qualified to perform the Contract satisfactorily.
	45.3 Upon the successful Bidder's signing the Agreement and furnishing of the Performance Security and if required the Environmental, Social, Health and Safety (ESHS) Performance Security pursuant to ITB Clause 45.1, the Employer shall promptly notify the name of the winning bidder to each unsuccessful bidder and shall discharge the Bid Securities of the bidders pursuant to ITB Clause 20.5 and 20.6.

# 46. Adjudicator

46.1 The Employer proposes the person **named in the BDS** to be appointed as Adjudicator under the Contract, at the daily fee **specified in the BDS**, plus reimbursable expenses (actual boarding, lodging, travel and other incidental expenses). If the Bidder disagrees with this proposal, the Bidder should so state in his Bid. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the Particular Conditions of Contract (PC) pursuant to Clause 46.1 of the General Conditions of Contract (GC), to appoint the Adjudicator.

# Section II. Bid Data Sheet

The following specific data for the Facilities to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

Introduction			
ITB 1.1	The number of the Invitation for Bids is : 1 (One)		
ITB 1.1	The Employer is: The Executive Engineer, Works Division III, Water Resources Department, Government of Goa, 4 <sup>th</sup> Floor, Government Office Complex, Opposite Court, Ponda, Goa 403401.		
ITB 1.1	The name of the NCB is: Design Supply, Installation, Commissioning, Implementation, operation and Maintenance for Early Warning Dissemination System for GOA under NCRMP-II The identification number of		
	the NCB is : NCRMP II/GOA/NCB/EWDS/PKG – 01		
	The number and identification of lots (contracts) comprising this NCB is: the work is not divided into lots		
ITB 2.1	The Borrower is: Government of India.  The Sub-Borrower is: The Executive Engineer, Works Division III, Water Resources Department, Government of Goa, 4 <sup>th</sup> Floor, Government Office Complex, Opposite Court, Ponda, Goa 403401.		
ITB 2.1	The name of the Project is: National Cyclone Risk Mitigation Project, Phase-II (NCRMP-II)		
ITB 4.1	Bids from Joint ventures are not acceptable.		
ITB 4.4	The electronic address of firms and individuals debarred by the Bank is: <a href="http://www.worldbank.org/debarr">http://www.worldbank.org/debarr</a> .		
	Bidding Document		
ITB 7.1	Electronic – Procurement System		
	The Employer shall use the following electronic-procurement system to manage this Bidding process:		
	www.tenderwizard.com/GOA  Requests for clarification should be received by the Employer no later than 14		

	days prior to deadline for submission of bids. The Employer will also upload its response on the Employer's website i.e. <a href="https://ncrmp.goa.gov.in">https://ncrmp.goa.gov.in</a>	
ITB 7.4	A Pre-Bid meeting shall take place at the following date, time and place:  Date: 25/06/2019 Time: 11.00 Hours  Place: Office of the Superintending Engineer, Circle Office V, Water Resources Department, WRD Complex, Quarter No D-1-1, Gogol, Margao, Goa-403602.  A site visit conducted by the Employer <b>shall not be</b> organized	
ITB 7.6	Minutes of pre-bid meeting and Addendum to Bidding Documents will also be hosted on the Employer's website specified in BDS ITB 7.1.	
ITB 8.1	The addendum will appear on the e-procurement system under <a href="https://www.tenderwizard.com/GOA">www.tenderwizard.com/GOA</a>	
ITB 8.3	Notification regarding extension of deadline for submission of Bids will also be hosted on the Employer's website specified in BDS ITB 7.1.	
	Preparation of Bids	
ITB 11.2 (j)	Other details shown in the Annexure to the BDS	
ITB 13.1	Alternative bids are not permitted.	
ITB 13.2	Alternatives to the Time Schedule shall not be permitted.	
ITB 13.4	Not Applicable	
ITB 17.5 (d)	Named place of final destination is: In Goa state. For location, details refer Section VI, Clause D, Site table.	
ITB 17.7	The prices quoted by the Bidder <b>shall not be</b> subject to adjustment during the performance of the Contract.	
ITB 19.1	The bid validity period shall be 180 days.	
ITB 19.3	The Bid price shall be adjusted by the factor: The ruling SBI prime lending rate on the last date of submission of bids.	
ITB 20.1	on the last date of submission of bids.  A bid security is required. The bid security shall be for an amount of Rs 0.28 Crore or of higher value and shall be in the form of Bank Guarantees payable in Goa, obtained from any Nationalized or Scheduled bank located in India. The Bank Guarantees shall be issued in the name of the Executive Engineer, Works Division-III, Water Resources Department, Ponda, Goa, which shall be duly noted and endorsed by the issuing bank. The bid security shall be valid for a period of 45 days beyond the bid validity period.  The scanned copy of the bid security in the form of the bank guarantee shall be submitted through the e-procurement system and the original bid security will be submitted separately to the Executive Engineer, Works Division-III, Water Resources Department, Ponda-Goa, before the bid submission deadline at least three working days before the bid submission deadline.  The bid security of the un-successful bidders will be refunded immediately	

ITB 20.3 (d)	without interest after the execution of the contract agreement with the successful bidder. The bid security will be forfeited if the successful bidder refuses to entering into an agreement at the quoted price.  The bid security of the successful bidder from India who is not registered with the Government of INDIA or State Governments or State/ Central Government Undertakings but who is allowed to bid for the work but fails to register himself before the award of the contract shall be absolutely forfeited.  Other types of acceptable securities are:  None
ITB 21.1	Class of DSC required is: Class III.
ITB 21.2 and 21.3	The written confirmation of authorization to sign on behalf of the Bidder shall consist of:
	(a) Legally valid Power of Attorney to demonstrate the authority of the signatory to sign the Bid.
	Online Submission and Opening of Bids
ITB 22.1	The documents comprising the Bid shall be digitally signed by the person duly authorized to sign on behalf of the Bidder. The documents comprising the Bid shall then be uploaded on the e-procurement portal <a href="www.tenderwizard.com/GOA">www.tenderwizard.com/GOA</a> . After electronic on line bid submission, the system generates a unique bid identification number which is time stamped as per server time. This shall be treated as acknowledgement of bid submission.
ITB 23.1	The deadline for uploading the bids:
	Date: 11/07/2019
	Time: 15.00 Hours
ITB 25.1	Bidders may modify their bids by uploading their request for modification before the deadline for submission of bids on the e-procurement portal <a href="https://www.tenderwizard.com/GOA">www.tenderwizard.com/GOA</a> . For this, the bidder need not make any additional payment towards the cost of tender document. For bid modification and consequent re-submission, the bidder is not required to withdraw his bid submitted earlier. The last modified bid submitted by the bidder within the bid submission time shall be considered as the bid. For this purpose, modification/withdrawal by other means will not be accepted. In online system of bid submission, the modification and consequent re-submission of bids is allowed any number of times. However, if the bid is withdrawn, the re-submission of the bid is not allowed. Modification (including offering discount)/ withdrawal of Bids by any other means shall not be permitted.
	Public Opening of Technical Parts of Bid
ITB 26.1	The online bid opening of Technical Parts of Bids shall take place at:
	Street Address: Office of the Superintending Engineer,

Circle Office V, Water Resources Department,

WRD Complex, Quarters D-1-1, Gogol Margao-Goa-

403602.

Country: INDIA

Date: 11/07/2019

Time: 15.30 hours

Bid (technical part) will be publicly opened on above date and time, in the presence of the bidders' designated representatives who wish to attend. If the office happens to be closed on the date of opening of the bids as specified, the bids will be opened on the next working day at the same time and venue. The bid will be opened through <a href="www.tenderwizard.com/GOA">www.tenderwizard.com/GOA</a> and online processes will be followed to open the bid and this could also be viewed by the bidders online.

# **Evaluation of Bids – General Provisions**

#### ITB 30.3

The adjustment shall be based on the highest price of the item or component as quoted in other substantially responsive Bids, subject to a maximum of the estimated price of the item. If the price of the item or component cannot be derived from the price of other substantially responsive Bids, the Employer shall use its best estimate.

# **Public Opening of Financial Parts of Bids**

# ITB 34.2 (c)

Following the completion of the evaluation of the Technical Parts of the Bids, the Employer will notify all technically qualified Bidders of the place, date and time of the public opening of Financial Parts.

The online bid opening of Financial Parts of Bids shall take place on:

Place: Office of the Superintending Engineer, Circle Office V, Water Resources Department, Quarters D-1-1, WRD Complex, Gogol, Margao, Goa-403602.

Date: 12/08/2019

Time: 15.00 Hrs

[Note: The Financial Parts of the bids shall not be opened earlier than **seven (7) days** from the communication of technical evaluation results to the bidders

In addition to the above, the Employer will publish a notice of the public opening of the Financial Parts of the Bid on its website www.tenderwizard.com/GOA.

	Award of Contract		
ITB 45.1	The successful Bidder shall be required to submit an Environmental, Social, Health and Safety (ESHS) Performance Security.		
ITB 46	The Adjudicator proposed by the Employer is: _Shri Anil Shivram Raiker,		
	Permanent Address: A1 21, Sapna Berrier, Borda, Margao, Goa, India – 403602.		
	Bengaluru Address: 102, Mookambika Apartments, 3 <sup>rd</sup> Cross, Nanjappa Gardens, Bavusapalya, Bengaluru, India.		
	The daily fee for this proposed Adjudicator shall be <i>Rs. 10,000 per day</i> . The biographical data of the proposed Adjudicator is as follows:		

# ANNEXURE TO BDS - ITB 11.2 (j)

The Bidder shall submit with its bid the following additional documents:

- i) Contractor Registration certificate if specified (as per IFB);
- ii) Manufacturer's authorization for major items of supply under the contract, that the Bidder did not manufacture or otherwise produce;
- iii) Subcontractor Agreement or a letter of intent between the parties to enter into a Subcontractor Agreement for major items of services under the contract, that the Bidder did not otherwise provide.

# iv) Code of Conduct (ESHS)

The Bidder shall submit its Code of Conduct that will apply to its employees and subcontractors, to ensure compliance with its Environmental, Social, Health and Safety (ESHS) obligations under the contract.

In addition, the Bidder shall detail how this Code of Conduct will be implemented. This will include: how it will be introduced into conditions of employment/engagement, what training will be provided, how it will be monitored and how the Contractor proposes to deal with any breaches.

The Contractor shall be required to implement the agreed Code of Conduct upon contract award.

# v) Electro Magnetic Radiation

Appropriate measures against EMR to be taken by the successful bidder by providing a signboard of size of size 3 feet (Height) x 2 feet (Width) X 1 mm (Thickness) on the radiating sites indicating on it the name of the locations and date of installation. The letters on the signboards should be bold enough so that the same are clearly visible from a distance of at least 10 meters.

For sign board detailed specification refer section Employer requirements clause 2.1.1.3 DMR Fixed Station Radio.

# vi) Management Strategies and Implementation Plans (MSIP) to manage the (ESHS) risks

#### A. Instructions & Compliance on ESHS / GESMP

The System Integrator will abide by the Environmental, Health and Safety (EHS) measures listed in the Environment Social Management Plan (ESMP) given in table below. The System Integrator shall include the ESMP requirements in the Program of Works and the Bill of Quantities. The requirements stated in the ESMP should therefore be studied properly and the bid should be priced accordingly. All items shall be deemed incidental to work unless otherwise provided for in the price schedule.

The System Integrator is free to adopt any similar or superior environment, health and safety management practices with the caveat that the process should meet the basic minimum requirements as stated in the ESMP provided in this section. Adverse impact/s on the environment caused due to non-adherence of legal and/or ESMP requirements during pre-construction stage and/or during execution of civil and electrical works shall be made good at System Integrator's own expenses.

Performance review of the System Integrator with regard to EMP compliance in accordance with requirements in GCC will be conducted by the employer or his agent specifically appointed for this purpose.

B. ESMP /ESHS Plan for construction/ erection of Siren Towers and upgradation of EOC:

Sl.	Activity	Measures to be Implemented by the System Integrator of
No		Siren Towers
1	Work Plan for	The System Integrator's Project Manager shall be responsible for
	<b>ESMP</b>	implementation of ESMP provisions and will coordinate the
	implementatio	over-all implementation of the said plan. Along with the Work
	n	Program, the System Integrator shall submit a plan including
		method statement and timeline about specific actions that will
		be taken by him to implement the provisions laid out in the
		ESMP.
		<b>Γ</b> o be noted that no Tower related works are to be do ne at
		the time of monsoon due to extreme rain fall in Goa.
		However, Siren equipment related works may be taken up
		during monsoon period in EOCs.
2	Turtle nesting	Alert siren towers, Nos7 and 8 at Morjim (North Goa tower
	sites	locations) and 19 at Galjibag (South Goa tower locations), D-
		Site Tables, Employer's Requirements under Section-VI, are
		located near identified nesting sites of Olive Ridley sea turtles.
		Being bio-diversity hotspots, these two sites are protected
		conservation sites. In carrying out work on these towers, the
		System Integrator (SI) shall exercise the following precautions:
		i. No construction activity shall be undertaken either on the
		towers or at the site during the nesting season from

Sl.	Activity	Measures to be Implemented by the System Integrator of
No		Siren Towers
		December to May. All construction activities shall be confined to the nesting off-season from June to November.
		ii. The construction activities shall in no way hamper or
		affect the work of Turtle Conservation Reserve at
		Galjibag or similar conservation related activities at
		Morjim.
		iii. The SI shall play a proactive role in ensuring conducive
		conditions at the nesting sites for the sea turtles. He shall in addition extend full cooperation to the Forest Department officials to monitor the beaches and share all information with them as they may call in relation to the
		matters bearing on the conservation efforts.
		iv. The sites shall not be disturbed in any manner and the beaches shall be kept clean and free from all garbage, debris, waste, etc.
		v. No movement of heavy machinery or automobiles shall
		be permitted on the beaches either during construction or thereafter.
		vi. No light radiation, sound or signals emanating either from the alert siren tower or the instrument room shall
		disturb the turtle nesting.
		vii. A construction plan shall be submitted showing the locations of the towers with their geographical coordinates and approval obtained in writing. No deviations shall be permitted from the approved plan.  The above are but an indicative list of the precautions to be
		taken. In addition, all the preservation norms laid down by the standard institutions shall be observed.
3	Regulatory	The System Integrator shall obtain all requisite statutory
	<b>Permissions</b>	clearances prior to commencement of civil and or electrical
	and Consents	works, which includes obtaining permissions/consents for
		setting-up construction camp; plants and equipment; Burrow
		areas if necessary. The System Integrator shall abide by all
		conditions laid out in the said clearances. This includes:
		Aviation Authority for erection of Lightning Mast which comes

Sl.	Activity	Measures to be Implemented by the System Integrator of
No		Siren Towers
		in the vicinity of flying zone.(Where necessary)
		■Consents for establishment and operation of plants (electrical
		power generator sets) from State Pollution Control Board (If
		necessary)
		■PUC certification for all vehicles/equipment used for
		transportation (of personnel and materials) and construction.
		■Permission/consent of the District Administration/Mining
		Department/other agencies for quarrying and/or Burrowing
		operations for materials like sand and earth
4	Consultation	The System Integrator shall consult and obtain written consents
	and	of landowners (individual/panchayat/govt. agency) for
	Community	temporary use of land for all construction related activities
	Consent	including:
		■Erection of towers
		Setting-up and operation of construction and labour camps;
		■Burrow areas and
		■Disposal of debris and other waste material.
5	Construction/	<b>Location:</b> The location of camp and plant site/s shall be as
	Labour Camp	per the environmental siting criteria given in the ESMF.
		Consent of the land owner/local authorities shall be required
		and needs to be submitted to the Employer for approval.
		Construction camp sites (including plant sites, and material
		stock yards) shall be located (preferably in the downwind
		direction) at least 500 mts. from the nearest settlement and at
		least 1000 mts. away from designated/protected natural
		habitats (such as National Parks, Sanctuaries, Biosphere
		Reserves, Reserve Forests Sites).
		•Accommodation and Basic Amenities: All weather shelter
		with the required tenement size and toilets shall be provided,
		as per provisions of Labour Laws. Separate toilet facilities
		shall be provided for women workers. If a common mess is
		not provided/operated, additional space for cooking shall be
		provided. The System Integrator shall ensure that hygienic
		conditions are maintained during the operation of such
		camps/facilities.

Sl.	Activity	Measures to be Implemented by the System Integrator of	
No		Siren Towers	
		•Fuel for Cooking: The System Integrator shall ensure that	
		fuel wood is not used as a cooking medium in the	
		construction/labour camp.	
		■ Potable water supply: Drinking water supply of at least 40	
		LPCD with the required supply points shall be provided.	
		•Fire Safety: Adequate fire safety precautions shall be taken	
		and the required fire safety equipment (such as fire	
		extinguishers) shall be provided by the System Integrator.	
6	Site Clearance	•All required precautions/measures to prevent damage to	
		tower side vegetation outside the formation cutting and	
		filling areas shall be taken. No tree cutting is to carried out	
		without the written instruction from the Employer, who in	
		turn will ensure that relevant regulatory permission/s	
		■The non-timber grade trees are to be stacked and possession	
		is to be given to Employer/concerned Govt. Department.	
		■The System Integrator shall strip, store, preserve and	
		reinstate top soil in work fronts.	
7	Protection of	The System Integrator shall take due care to protect and prevent	
	Properties	damages to the following resources during preparatory and	
	and	construction work:	
	Resources	Water supply lines	
		Cart, cattle and/or foot trail/tracks	
		Cultural properties and sites/structures of religious	
		importance	
		Houses, Farmlands, Pastures, Orchards and/or Trees	
		In case of damage due to construction activity, the	
		restoration/repairs shall be carried out by the System	
8	Slope Stability	Integrator at his own cost.  The System Integrator shall ensure that civil work and related	
0	Stope Stability	activities such as clearing and grubbing, stacking of materials	
		and debris disposal are carried out in a manner that minimizes	
		slope instabilities of near-by water bodies.	
9	Quarry	a) All requirements for ensuring health and safety of	
) 	Quarry Establishment	workers, including use of effective dust suppression	
	and/or	arrangements at crusher sites and on haul roads; safety	
	ana/or	arrangements at crusher sites and on haur roads, safety	

Sl.	Activity	Measures to be Implemented by the System Integrator of
No		Siren Towers
	Operations	precautions prior to blasting operations; provision and enforcement of Personal Protective Equipment use and proper storage of blasting and  b) Other inflammable materials shall be followed by the System Integrator. (c) First-aid and Emergency Response Arrangements: First-aid facility and emergency response arrangements shall be maintained at the quarry and  c) In the event of System Integrator opening a new quarry for the project, the following additional conditions shall apply –
		■Location: Location of quarry site shall be at least 1000 mts. from the nearest settlement; archeologically/culturally protected/locally important sites; designated/ protected natural habitats (such as National Parks, Sanctuaries, Biosphere Reserves, Reserved Forests Sites); other ecologically rich sites with Forest and/or areas with Wildlife presence and; water supply sources. Other location selection criteria as defined under Govt. regulations/rules will also apply.  ■Site preparation. Area shall be demarcated as approved in
		the permit and shall be reconfirmed by the employer. Site clearance shall take place only within the demarcated area. Top soil, if any, shall be stripped, stacked and preserved for re-use.
		<ul> <li>Operation: The quarry shall be operated by adopting/implementing environmental, health and safety measures as specified in the permit conditions.</li> <li>Closing and restoration. Proper drainage provisions shall be provided prior to closure of the quarry. Restoration shall be done as per the permit conditions</li> </ul>
10	Burrow Areas	a) Burrow areas for the project will be selected by the System Integrator following the stipulations given below.  The finalization of all such locations shall be dependent on the approval of the employer on technical and

Sl. Activity	Measures to be Implemented by the System Integrator of	
No	Siren Towers	
	environmental grounds. This includes on-site verification to cross-check the accuracy of details provided by the System Integrator. The SPIU shall get clearance for the sites from the respective land owners and communicate the same to the System Integrator before commencement of the works.  b) The System Integrator shall not procure any kind of construction material (such as aggregates, sand and earth) from ecologically protected areas.	
	<ul> <li>c) Identification and Selection</li> <li>The borrow area should not be located in agriculture field/s unless unavoidable i.e. barren land is not available. In case Borrowing needs to be done on an agricultural land, top-soil stripping, stacking and preservation is a must. Damage to productive and fertile areas has to be minimal and this includes appropriate planning of haul roads.</li> <li>Borrow pits shall not be located within a distance of 100 mts. from any National and State Highways or other roads.</li> <li>Borrow pits shall be preferably located 500 mts. away from settlements/ habitations.</li> <li>No borrow pits shall be located within 500 mts. from schools, colleges, playgrounds, religious structures and health centers.</li> <li>No borrow area shall be opened within 500 mts. from a reserved or protected forest area, protected sites, wildlife movement zone and cultural heritage site.</li> <li>No tree cutting shall be undertaken.</li> <li>Borrow area near any surface water body will be at least 100mts. away.</li> <li>d) Operation</li> <li>Area up to which material will be extracted shall be clearly demarcated on ground.</li> <li>A 15 cm topsoil layer will be stripped and preserved in stockpiles.</li> <li>Borrowing of earth should be as per specifications given.</li> <li>Slope at the edges will be maintained not steeper</li> </ul>	

Sl.	Activity	Measures to be Implemented by the System Integrator of			
No		Siren Towers			
		e) Rehabilitation of Burrow Areas			
		■ Rehabilitation shall be satisfactorily undertaken immediately			
		after the use has ceased and at least three weeks prior to			
		monsoon.			
		■Preserved top soil has be spread uniformly over land (except			
		in cases where Burrow area is developed as a water body)			
		used as a Burrow area			
11	Water	Water for construction and for use at construction camps			
	Extraction/	(including labour camps) is to be extracted with prior written			
	Use	permission of			
		■The individual owner, in case the source is private well/tube			
		well;			
		•Gram Panchayat in case the source belongs to community;			
		and			
		■Irrigation Department in case the source is an river canal etc.			
12	Traffic Safety	•In areas where traffic is to be diverted during construction,			
	and	the traffic detour shall be planned and publicized to the local			
	Management	people. Necessary information signage shall be erected to			
		inform the road users.			
		■Traffic safety arrangements (including provision of warning			
		signage, barricades and delineation) shall be made by the			
		System Integrator to ensure safety of road users, local people			
		and workers.			
		•Material shall be covered during transportation to prevent			
13	Worker's	spillage, accidents and pollution.  •All measures required for ensuring safety and health of the			
13	Safety	workers shall be taken up by the System Integrator. This			
	Sujety	includes provision and enforcement of appropriate personal			
		protective equipment; first aid facilities at camp, plant sites			
		and work zones; emergency response arrangements; proper			
		storage of hazardous/toxic and polluting materials and;			
		measures for ensuring fire, electrical and mechanical safety			
		arrangements in camps and in work fronts.			
		Material safety data sheet record of fuel and other			
		inflammable chemicals shall be maintained at the site.			

Sl.	Activity	Measures to be Implemented by the System Integrator of
No		Siren Towers
14	Air Pollution	•Wind barriers or screens shall be provided in the downwind
		direction at air pollution causing sources like plant sites and
		fine material storage stock yards.
		■Fugitive dust emissions have to be eliminated or at least
		reduced by providing dust suppression/control measures,
		based on activity and site conditions.
		•All plants and equipment shall comply with pollution control
		norms.
		■Water shall be sprinkled at least twice during dry day on
		haulage roads passing through or near settlements (including
		at least 100 m before and after the settlement).
156	Water	•All measures (including provision of temporary silt fencing
	pollution	to control sediment run-off) required for avoiding adverse
		impacts to water bodies (such as ponds, streams, canals and
		rivers), water sources (such as hand pumps and wells) and
		adjacent farmland shall be undertaken by the System
		Integrator.
		Storage of materials like fuel, chemicals, cement and
		bitumen shall be done in a manner (with impervious layer on
		bottom and a covered shed on top) that does not contaminate
		land and ground/surface water.
15	Noise Pollution	•All noise causing activities within 1km radius of settlements
		shall be stopped during night time (9:00 PM to 6:00 AM).
		■Ear plugs shall be provided to the labour facing risk from
		high noise pollution (such as plant sites, blasting zones and
		those working near generators, heavy equipment/machinery)
		in construction zone.
17	Disposal of	All debris and spoils generated during construction works are to
	Debris and	be reused to the extent feasible (technically and economically).
	Wastes	Residual debris and spoils, if any, shall be disposed in locations
		preapproved by the employer in a manner that it does not
		contaminate the environment.
		<b>Location of Debris Disposal Sites</b> : Debris disposal sites
		shall be located preferably away from farmlands, water
		sources and water bodies. In no case, debris shall be disposed

Sl.	Activity	Measures to be Implemented by the System Integrator of			
No		Siren Towers			
		within 500 mts. of ecologically sensitive areas, including			
		forests, wetlands and protected natural habitats.			
		•Site preparation and disposal method: Site will be			
		prepared by stripping and storage of top-soil. The disposal			
		shall be carried out as per the employer's approval.			
		•Closure: Disposal sites shall be properly dressed up with			
		top-soil re-laid on site surface; drainage provision to divert			
		run-off water away from the site and; vegetation			
		(grass/shrub) plantation, based on site conditions.			
18	Restoration	•All work sites and areas under temporary use (including			
	and	construction and labour camps, plant sites, haul roads and			
	Rehabilitation	Burrow areas) shall be restored/ rehabilitated to a better			
	of Sites	condition (if not at least to its original condition) and to the			
		satisfaction of the employer and land owner upon completion			
		of construction work by the System Integrator.			
		■Completion of work will also include completion of			
		rehabilitation and clean-up of the work sites including			
		camps, plants, in and along road and structure construction			
		sites; disposal of debris/construction wastes at pre-approved			
		locations and; restoration of Burrow areas and other			
		sites/locations used for material sourcing.			
19	Liabilities	Any liability arising out of System Integrator's agreement with			
		landowners/ local people/gram panchayat (including those			
		related to temporary use of land, water extraction and disposal			
		of debris) shall be settled by the System Integrator.			

C. Safety checklist of ESHS Plan for erection of Siren Towers, electrical and communications equipment:

# a) DURING TOWER FOUNDATION:

S. N.	Description of Activity	Feed back	Remarks
	I. EXCAVATION:		
1.	Dumping of Excavated soil. (Minimum 1.5 Mts. or half the depth of the pit whichever is more)	Yes / No.	

N.	Description of Activity	Feed back	Remarks
2.	Whether angle of repose of soil as per design in the foundation is maintained or not.	Yes / No.	
3.	De-watering arrangement is available ( If necessary)	Yes / No.	
1.	Working area has been protected properly to avoid against fall of passerby or animal in the excavated pit.	Yes / No.	
5	Shoring & Shuttering to protect the loose rock / soil against fall exists.	Yes / No.	
6	Arrangement of illumination at construction site is available. (if required )	Yes / No.	
7	Check proper/adequate arrangement is made for extension of electric supply. (Proper size of cable, Use of fuse, No loose connection for Dewatering Pumps/ Illumination / Electric compressors etc. if applicable).	Yes / No.	
8	Check for damage / Uneven settlement of foundation.	Yes / No.	
9	Ensure Life saver arrangements have been made during construction of well foundation in river bed. (Where necessary)	Yes / No.	
.0	Check that the adequate arrangement is made for the storage of blasting material at safe place. (if required)	Yes / No.	
1	Check that the blasting materials is handled with due care at site. ( If required )	Yes / No.	
2	Check that during blasting operation, Labour / Workmen / Passerby are at safe places and arrangement is made to inform public by caution markings (Red Flag) / Public Notices.		
3	Check that the length of the fuse wire used during blasting operation is adequate.	Yes / No.	
4	Ensure Laying of temporary cable used for operation of Machines used during construction should not cause any danger for electrocution of		
5	Check that PPEs i.e. Safety helmets, Safety Shoes, is used by blaster and their gang members during blasting.	Yes / No.	
6	Ensure that Shuttering and timbering has been made as detailed in I:S:	Yes / No.	
7	The positions of underground installations such as sewers, water pipes and electrical cables have been verified and in case of their existence, they must be isolated.	Yes / No.	
8	Arrangement shall be made to prevent external vibrations due to rail / road traffic (If required).	Yes / No.	
9	Safety is ensured during the construction of Tr. Lines for buildings, structures etc. which are coming in the vicinity of the excavated area from collapse. (If required)		

S. N.	Description of Activity	Feed back	Remarks
21	Lone worker should not be allowed to work in the excavated area beyond shoulder level.	Yes / No.	
22	Check for any possibility of seepage of water from nearby pond / river should be estimated and taken care of.	Yes / No.	
23	After excavation the work has been completed speedily and back filling done at the earliest.	Yes / No.	
	II. CASTING OF FOUNDATION/CONCRET	ING:	
1	Check construction materials are stacked at safe place and also does not cause any danger. (Away from pit by 1.5 Meters. Or half the depth of pit, whichever is more.)	Yes / No.	
2	Check arrangement of illumination at Construction Site. (If required).		
3	Ensure life saver arrangements have been made during construction of Well foundation in River Bed.	Yes / No	
4	Check that the Concreting Mixer machine is placed at a safe place. (Not very near to pit.)	Yes / No.	
5	Check proper / adequate arrangement is made for extension of electric supply. (Proper size of cable, Use of fuse, No loose connection for Dewatering Pumps / Illumination / Electric compressors etc. if applicable).	Yes / No.	
6	Check that laying of temporary cables used during construction activities should not cause any danger for electrocution to workmen.	Yes / No.	
7	Inspection of excavations shall be made by a Competent Person every day. In case, possible cave in or slide is apparent, all working in the excavation shall be seized until the necessary precautions have been taken to safeguard the possible cave in or slide.	Yes / No.	
8	Jacks and vertical supports shall be positioned in such a manner that the vertical loads are distributed equally and do not exceed the capacity of the jacks and the jacks are placed away from pit edge etc.	Yes / No.	
9	Proper Jacking arrangement is made to take the entire load of template.	Yes / No.	
10	In case of long template in stub setting, more jacks have been provided and check that the Jacks are placed on levelled and hard surface to avoid the unbalancing and fallen.	Yes / No.	
11	Wire mesh rolls shall be secured in order to prevent dangerous recoiling action.	Yes / No.	

S. N.	Description of Activity		Remarks
		back	
12	Lone worker should not be allowed to work in the excavated area.	Yes / No.	
13	Check that sufficient strong ladder of suitable length is available for ingress / egress of persons in the pit	Yes / No.	

# b) TOWER ERECTION:

S. N.	Description of Activity	Feed bac	Remarks
	Check proper communication facility is available at site during Tower erection.	Yes/No.	
2.	Check on adequate nos. of harnesses, lanyards, anchorage, self-retracting lifelines availability at the tower sites	Yes/No.	
3.	Ensure the derrick used before tower erection has been checked for adequate strength/size. Ensure for copy of test certificate for all the lifting machines and tackles.	Yes/No.	
4.	Ensure that the pulleys used before tower erection has been checked for adequate strength/proper size(diameter). Also, in case of open type pulleys proper locking arrangements like providing of Safety Pins made. Ensure for copy of test certificate for all the lifting machines and tackles		
5.	Ensure that the ropes used before tower erection has been checked for adequate strength/physical condition (Free from break of strands and knots etc.	Yes/No.	
6.	Check that the lifting tools and tackles i.e. Winch Machine, Chain Pulley Block, Tripod, D-Shackle etc are in healthy condition and has been tested periodically.(Attach copy of test certificate).	Yes/No.	
7.	Ensure that permission has been obtained from Aviation Authority for erection of special towers.(Where necessary).	Yes/No.	
8.	Ensure that permission has been obtained from Aviation Authority for erection of towers which comes in the vicinity off lying zone. (Where necessary)	Yes/No.	
9.	Check that the safety measures has been taken before undertaking for the Road/Rail/River Xing jobs involving likewise stretches.	Yes/No.	
10.	For rail or road crossing check whether written working plan is available at site with specific reference to safety e.g. local earthing, skilled & experience manpower, proper T&P, strength and height of scaffolding to maintain the required clearance etc.	Yes/No.	
11.	Ensure that all the members and proper size of Nuts and Bolts of lower section are fitted properly before erection of the upper section of tower is takenup.	Yes/No.	
12.	Check that the anti -climbing devices are provided in the tower after erection job.	Yes/No.	

S. N.	Description of Activity	Feed bac	Remarks
13.	Check that the danger plates have been provided.	Yes/No.	
14.	Check that only erection team members are allowed to stand near the tower while erection I sin process and should wear the safety helmet/Safety Shoes.	Yes/No.	
15.	Working area of the tower has been demarcated during erection.	Yes/No.	
16	Check that proper guying arrangement has been made. And also to see that proper size of the crowbars has been used which has been fixed at hard surface in case of sandy soil or loose soil.	Yes/No.	
17	Check that proper arrangement is made while lifting the tower members and fixing them at height i.e. Proper size and strength of the hook used for lifting the tower members.	Yes/No.	
18	Check sufficient numbers of guys are made while lifting the assembled cross arm and also avoiding use of single sheave pulleys while lifting the assembled cross arm/heavy load.	Yes/No.	

# c) Battery, Conductor, Installation of Siren & Misc.

S. N.	Description of Activity	Feed bac	Remarks
	III. EXCAVATION:		
1.	All drivers and plant operators are holding the valid driving license.	Yes/No.	
	Check that the permit has been obtained from the Competent Authority(Where necessary)	Yes/No.	
3.	Check that required painting has been made on tower falling in the vicinity of aviation zones. (Where necessary.)	Yes/No.	
4.	Check that all safety measures have been taken during power supply lines (Earthing of existing lines etc.)	Yes/No.	
5.	Ensure that proper size of Nuts and Bolts is rigidly tightened and punching/tacking/tack welding is done in towers.	Yes/No.	
6.	Ensure that proper scaffolding arrangements made during erection of towers & power supply of siren.	Yes/No.	
7.	Check that the back filling of the foundation has been done as per specification.	Yes/No.	

S. N.	Description of Activity	Feed bac	Remarks
8.	Ensure that the pulleys used before conductor stringing has been checked for adequate strength/ proper size(diameter), also in case of open type pulleys proper locking arrangements like providing of Safety Pin is made Ensure for copy of test certificate for all the lifting	Yes/No.	
9.	Ensure the ropes used for power supply connection has been checked for adequate strength/physical condition (Free from break of strands and knots etc.	Yes/No.	
10.	Whether the tower has been permanently earthed.	Yes/No.	
11.	Check that Sag Board is provided at two locations.	Yes/No.	
12.	Check that the Sag Board arrangement is made by the experienced/ Trained persons.	Yes/No.	
13.	Check approved Sag tension chart is available and followed at site.	Yes/No.	
14.	While clamping of conductor/EW to be done, check for earthing.	Yes/No.	
15.	During erection of towers starts check that the villagers do not come underneath the job of the concerned section.	Yes / No.	
16.	Only nylon or polypropylene ropes should be used during power supply in vicinity of live overhead lines.	Yes/No.	
17	Check whether the System Integrator had procured required quantity of PPEs considering maximum numbers of erection gangs deployed	Yes/No.	
18	Supervisors/ Workmen have been provided with required healthy PPEs, like Safety helmet/Safety Belts/Safety Shoes/Gum Boot etc. as applicable.	Yes/No.	
19	Availability of First Aid Box with required medicines at site.	Yes/No.	
20	Instruction register is available at site.	Yes/No.	
21	All driver and plant operators are holding valid driving license.	Yes/No.	
22	Check the vehicle for rescue is available at site.	Yes/No.	
23	Ensure engaged labour are aware of the job.	Yes/No.	
24	Check that the unskilled laborers are not engaged in skilled job.	Yes/No.	
25	Ensure that supervisor/workmen engaged in the field are aware of First Aid Techniques (Such as in case of Electric Shock, Fall from the height, Snake bite and the person rescued from buried under the debris etc.	Yes/No.	
26	Check for nearby Hospital / Doctor in case of emergencies arises.	Yes/No.	

S. N.	Description of Activity	Feed bac	Remarks
27	While transporting heavy consignment to site by the use of Cranes, Truck, and Tractor. The safety aspect for construction and failure of brake system of moving machinery is to be checked.	Yes/No.	
28	Ensure that supervisor/workmen engaged in the field are aware of First Aid Techniques(Such as in case of Electric Shock, Fall from the height, Snake bite and the person rescued from buried under the debris etc.		
29	Check the competence(Qualification/Experience) of supervisor /gang leader of System Integrator.	Yes/No.	
30	Check permission has been obtained from Aviation Authority for erection of Lightning Mast which comes in the vicinity off lying zone.(Where necessary)	Yes/No.	
31	Storing of equipments is to be made properly to avoid any accident during handling.	Yes/No.	
32	Check those who have sufficient knowledge of steel structural job have been employed in steel structural works only.	Yes/No.	
33	Check necessary instruction has been communicated by supervisor before start of the day's works to workmen under his control.	Yes/No.	
34	Check loose materials which are not required for use shall not be placed or left so as dangerously to obstruct work places or passageways.	Yes/No.	
35	Check all projected nails has been removed or bent over to prevent injury.	Yes/No.	
36	Check scrap, waste and rubbish has not been allowed to accommodate on the site or the scrap materials has been stored at the isolated place.	Yes/No.	
37	Check that the worker while working at height scaffold materials, waste materials and tools are not being thrown by them to cause injury to any person.		
38	Check the worker is under constant surveillance by the other person while working at height.	Yes/No.	
39	Check construction site has been barricaded for unauthorized persons/animals.	Yes/No.	
40	Check portable ladders shall not exceed Mts. In length, otherwise may cause danger while climbing of person and back legs shall be equally braced.	Yes/No.	
41	Check unskilled labour are not utilized for skilled jobs and only experience persons are deployed for erection.	Yes/No.	

S. N.	Description of Activity	Feed bac	Remarks
42	Check portable ladders shall not exceed9 Mts. In length, otherwise may cause danger while climbing of person and back legs shall be equally braced.	Yes/No.	
43	Check no metallic measuring tapes are being used during expansion of charged bays.	Yes/No.	
44	Check metal ladders are not being used in the vicinity of exposed live electrical equipment.	Yes/No.	
l l	Check one bore well is available for water supply in case Gram Panchayat/ Municipal Construction supply is not available	Yes/No.	
46	Check ladders/lengthy articles/lengthy equipments etc. should always be carried in horizontal position.	Yes/No.	
47	Check insurance by System Integrator for the labour to provide adequate coverage for any accident etc.	Yes/No.	

# General Health, Safety Guidelines during construction/Erection of siren towers and other ICT systems

The following checklists may help us & take steps to avoid hazards that cause injuries, illnesses and fatalities. As always, be cautious and seek help if you are concerned about a potential hazard.

# A. Basic Safety Compliance

- All measures required for ensuring safety and health of the workers shall be taken up by the System Integrator. This includes provision and enforcement of appropriate personal protective equipment; first aid facilities at camp, plant site and work zones; emergency response arrangements; proper storage of hazardous/ toxic and/or polluting materials; measures for ensuring electrical, fire and mechanical safety arrangements.
- Proper barricading of the construction site with traffic management plans. All operational areas shall be access controlled with fixed entry and exit points. Watch and ward facilities at all times will be provided by the System Integrator. The separate entry and exit to the construction zone shall be maintained properly at all times. No Entry without PPE, including Helmet, Safety Jacket, Safety glasses or face shields, Safety Shoes in the construction site.
- Trained Supervisor/safety staff & guards should be deployed for close supervision of construction site
- First Aid Box with list of medicines & purpose of medicine along with signboard indicators for easy access. Expiry date of the medicines to be checked frequently. Trained first aid personal will be available at the construction site. Including Emergency numbers will be displayed prominently at camp and construction site and availability of suitable transport at all times to take injured or sick person(s) to the nearest hospital in case of Emergency. Designated vehicle, which can be used as ambulance, will be available at construction site. No cost shall be recovered from them on this account.
- •Smoking should be prohibited in inflammable areas like dumping of diesel, petrol kerosene, gas cylinder etc.
- Licensed & appropriate trained driver should be allowed to operate the vehicle like Crane, Derricks & Forklift etc
- Health Checkup camps for staff & labour and follow-up for preventive action/referral services in convergence with CHC/PHC.
- Preventive measure for soil contamination during storage of Diesel/Petrol/Oil/Greece/Other oil/Inflammable items.
- Preventive measure for fire safety equipment's.
- Provide garbage bins in construction site and it will be ensured that these are regularly emptied and waste is disposed-of in a hygienic manner as per the Solid Waste (Handling and Management) Rules, 2016.

• Ensure that civil work and related activities such as clearing and grubbing, stacking of materials and debris disposal are carried out in a manner that avoids water logging.

# B. <u>Labour Law Compliances:</u>

- All workforces on the construction site shall be provided with identity cards.
- Obtain Labour License from the Labour Commissioner's Office and required insurance as specified in the contract conditions.
- No Child labour/employee under 14 years old is allowed to enter in the construction site.
- Labour presence and payment register to be maintained at site
- Display of minimum wage details, emergency contact numbers of key person at site, emergency contact no of local health centre, Police, Ambulance.
- Workman Compensation Policy to be available at site
- Labour License to be available at site. Labour license should be taken of peak labour strength available at site
- Separate Toilets for Male & Female marked in local language with arrangements of septic tank, hand washing material, toilet cleaning material. Open defectaion not allowed in nearby surrounding area.
- Proper Drinking water facility for all staff & labour along with uncontaminated clean water is available cooking, bathing and washing if residing in labour camp.
- Consult and obtain written consent/s of landowners (individual/panchayat/govt. agency) for temporary use of land for all construction related activities.
- The System Integrator preferably will use unskilled/semi-skilled labour from the surrounding area to give the maximum benefit to the local community whenever this is possible.

Requirement of First Aid Box as per BOCW Act:

S No	Item	Qty
	A sufficient number of eye wash bottles filled with distilled water or	1
1	suitable liquid clearly Indicated by a distinctive sign which shall be	
	visible at all times.	
	4% xylocaine eye drops, and A sufficient number of eye wash bottles	1
2	filled with distilled water or suitable liquid clearly Indicated by a	
2	distinctive sign which shall be visible at all times, boric acid eye	
	drops and soda by carbonate eye drops.	
3	Small sterilized dressings.	
4	Medium size sterilized dressings.	12
5	Large size sterilized dressings	12
6	Large size sterilized burn dressings	12
7	Sterilized cotton wool. (15 cm.)	12
8	(Two hundred ml.) bottle of cetrimide solution (1%) or suitable	1
0	antiseptic solution	

9	(Two hundred ml.) bottle of mercurochrome (2%) solution in water.	1
10	One hundred twenty ml.) bottle of Sal-volatile having the doses and	1
	mode of administration indicated on the label.	
11	Pair of scissors.	1
12	Roll of adhesive plaster (six cm. x one mtr)	1
13	Rolls of adhesive plaster (two cms. x one mtr.)	2
14	Sterilized eye pads in separate sealed packets.	12
15	Bottle containing hundred tablets (each of three hundred twenty-five	1
13	mg) of aspirin or any other analgesic	
16	Roller bandages ten cms. Wide	12
17	Roller bandages five cms. Wide	12
18	Tourniquet	1
19	Splints	1
20	Safety pins	3 Packet
21	Kidney tray	1
22	Snake bite lancet	1
23	(Thirty ml.) bottle containing potassium permanganate crystals.	1
24	First-aid leaflet issued by the Directorate General.	1
25	Triangular bandages	6
26	Pairs of suitable, sterilized, latex hand gloves.	2

# C. Guidelines during construction & erection of Siren Towers

#### 1. PERSONAL PROTECTIVE EQUIPMENT (PPE)

#### a) Eye and Face Protection

- •Safety glasses or face shields are worn anytime work operations can cause foreign objects getting into the eye such as during welding, cutting, grinding, nailing (or when working with concrete and/or harmful chemicals or when exposed to flying particles).
- Welding glass for protection of radiation during welding.
- Eye and face protectors are selected based on anticipated hazards.
- Safety glasses or face shields are worn when exposed to any electrical hazards including work on energized electrical systems.

# **b)** Foot Protection

- •Construction workers should wear work shoes or boots with slip-resistant and puncture-resistant soles.
- Safety-toed footwear is worn to prevent crushed toes when working around heavy equipment or falling objects.

#### c) Hand Protection

- •Gloves should fit snugly.
- Workers wear the right gloves for the job (for example, heavy-duty rubber gloves for concrete work, welding gloves for welding, insulated gloves and sleeves when exposed to electrical hazards).

#### d) Head Protection

- Workers shall wear hard hats where there is a potential for objects falling from above, bumps to their heads from fixed objects, or of accidental head contact with electrical hazards.
- Hard hats are routinely inspected for dents, cracks or deterioration & expiry date.
- Hard hats are replaced after a heavy blow or electrical shock.
- Hard hats are maintained in good condition.

# 2. Scaffolding and Ladders

- Scaffolds / Ladders should be set on sound footing.
- Damaged parts that affect the strength of the scaffold or ladder are taken out of service.
- Scaffolds are not altered.
- All scaffolds should be fully planked.
- Scaffolds / ladders are not moved horizontally while workers are on them unless they are designed to be mobile and workers have been trained in the proper procedures.
- Employees are not permitted to work on scaffolds or ladders when covered with oil, grease or other slippery materials.
- Scaffolds / ladders are not erected or moved within 10 feet of power lines.
- Employees are not permitted to work on scaffolds / ladders in bad weather or high winds unless a competent person has determined that it is safe to do so.
- Ladders, boxes, barrels, buckets or other makeshift platforms are not used to raise work height.
- Extra material is not allowed to build up on scaffold platforms.
- Scaffolds should not be loaded with more weight than they were designed to support.

#### 3. Electrical Safety

- Work on new and existing energized (hot) electrical circuits is prohibited until all power is shut off and grounds are attached.
- An effective Lockout/Tagout system is in place.
- Frayed, damaged or worn electrical cords or cables are promptly replaced.
- All extension cords have grounding prongs.
- Protect flexible cords and cables from damage. Sharp corners and projections should be avoided.
- Use extension cord sets used with portable electric tools and appliances that are the three-wire type and designed for hard or extra-hard service. (Take precaution imprinted on the casing of item)
- All electrical tools and equipment are maintained in safe condition and checked regularly for defects and taken out of service if a defect is found.
- Do not bypass any protective system or device designed to protect employees from contact with electrical energy.
- Overhead electrical power lines are located and identified.
- Ensure that ladders, scaffolds, equipment or materials never come within 10 feet of electrical power lines.
- •All electrical tools must be properly grounded unless they are of the double insulated type.
- Multiple plug adapters are prohibited.

# 4. Floor and Wall Openings

- •Floor openings (12 inches or more) are guarded by a secured cover, a guardrail or equivalent on all sides (except at entrances to stairways).
- Toe boards are installed around the edges of permanent floor openings (where persons may pass below the opening).

#### 5. Elevated Surfaces

- Signs are posted, when appropriate, showing the elevated surface load capacity.
- •Surfaces elevated more than 48 inches above the floor or ground have standard guardrails.
- All elevated surfaces (beneath which people or machinery could be exposed to falling objects) have standard 4-inch toe boards.
- A permanent means of entry and exit with handrails is provided to elevated storage and work surfaces.
- Material is piled, stacked or racked in a way that prevents it from tipping, falling, collapsing, rolling or spreading.

# 6. Hazard Communication

- A list of hazardous substances used in the workplace is maintained and readily available at the worksite.
- There is a written hazard communication program addressing Material Safety Data Sheets (MSDS), labeling and employee training.
- Each container of a hazardous substance (vats, bottles, storage tanks) is labeled with product identity and a hazard warning(s) (communicating the specific health hazards and physical hazards).
- Material Safety Data Sheets are readily available at all times for each hazardous substance used.
- There is an effective employee training program for hazardous substances.

# 7. Crane Safety

- •Cranes and derricks are restricted from operating within 10 feet of any electrical power line.
- The upper rotating structure supporting the boom and materials being handled is provided with an electrical ground while working near energized transmitter towers.
- •Rated load capacities, operating speed and instructions are posted and visible to the operator.
- Cranes are equipped with a load chart.
- The operator understands and uses the load chart.
- The operator can determine the angle and length of the crane boom at all times.
- •Crane machinery and other rigging equipment is inspected daily prior to use to make sure that it is in good condition.
- Accessible areas within the crane's swing radius are barricaded.
- Tag lines are used to prevent dangerous swing or spin of materials when raised or lowered by a crane or derrick.
- •Illustrations of hand signals to crane and derrick operators are posted on the job site.
- The signal person uses correct signals for the crane operator to follow.
- Crane outriggers are extended when required.

- •Crane platforms and walkways have antiskid surfaces.
- ■Broken, worn or damaged wire rope is removed from service.
- Guardrails, hand holds and steps are provided for safe and easy access to and from all areas of the crane.
- Load testing reports/certifications are available.
- Tower crane mast bolts are properly torqued to the manufacturer's specifications.
- Overload limits are tested and correctly set.
- The maximum acceptable load and the last test results are posted on the crane.
- Initial and annual inspections of all hoisting and rigging equipment are performed and reports are maintained.
- •Only properly trained and qualified operators are allowed to work with hoisting and rigging equipment.
- Back gear Horn and Back Light should be properly work during operation of Crane or Derricks in reverse gear.

#### 8. Forklifts

- Forklift truck operators are competent to operate these vehicles safely as demonstrated by their successful completion of training and evaluation.
- No employee under 18 years old is allowed to operate a forklift.
- •Forklifts are inspected daily for proper condition of brakes, horns, steering, forks and tires.
- Powered industrial trucks (forklifts) meet the design and construction requirements.
- Written approval from the truck manufacturer is obtained for any modification or additions which affect capacity and safe operation of the vehicle.
- Capacity, operation and maintenance instruction plates, tags or decals are changed to indicate any modifications or additions to the vehicle.
- Battery charging is conducted in areas specifically designated for that purpose.
- Material handling equipment is provided for handling batteries, including conveyors, overhead hoists or equivalent devices.
- •Reinstalled batteries are properly positioned and secured in the truck.
- •Smoking is prohibited in battery charging areas.
- Precautions are taken to prevent open flames, sparks or electric arcs in battery charging areas.
- Refresher training is provided and an evaluation is conducted whenever a forklift operator has been observed operating the vehicle in an unsafe manner and when an operator is assigned to drive a different type of truck.
- Load and forks are fully lowered, controls neutralized, power shut off and brakes set when a powered industrial truck is left unattended.
- There is sufficient headroom for the forklift and operator under overhead installations, lights, pipes, sprinkler systems, etc.
- •Overhead guards are in place to protect the operator against falling objects.
- Trucks are operated at a safe speed.
- All loads are kept stable, safely arranged and fit within the rated capacity of the truck.
- Unsafe and defective trucks are removed from service.

# ANNEXURE TO BDS – ITB 46

Sr.No	Particulars	Details of Bio Data
1	Name	Anil Shivram Raiker
2	Qualification	M.E (Control Engineering) (VJTI University of Mumbai) specialization Power Electronics, Embedded Systems. MBA (GIMS). BE (Elect) VJTI University of Mumbai
3	Address	Permanent address: Al 21 Sapana Berrier Borda Madgaon Goa India 403602 Bangalore Address: 102 Mokambika apartments 3'd cross Nanjappa Gardens, Babusapalya, Bangalore India.
4	Telephone	+919731717470, +918322714134.
5	Experience	25 years+ experience, in Embedded Design Software and Hardware,
		Domains: Power Electronics, Motor drives and high-power inverters, Medical Electronics, Data communication. Wireless

		technologies (ZigBee), Solar systems (MPPT, LiFePo4 batteries, solar lamps), FPGA based designs.
6	Special Experience	Deputed to Nairobi Kenya by Philips Research, to help setup the Philips Research Center in Nairobi, for a period of two years between May 2014 and March 2016. While in Kenya, closely associated with solar systems for health care centers (6KWp systems) and also small home solar systems of 10W to 20W. Besides this closely involved in finding new research topics in medical health product. One of this idea was to install a computer system to manage a primary health clinic and understand its impact on healthcare.
7	Present position	Senior Scientist with Philips Lighting Research India Bengaluru. In this position designed a Home Solar System of 20 Wp capacity. Also working in area of lighting control using ZigBee wireless network. Worked for Philips since Feb 2011.
8	Special Skills	Completed a number of Electronic product design cycles. Has understand ing of various stages of design thoroughly. These include customer facing discussion to understand markets and product specifications, design, Schematics, Layout, Software design, Testing, EMI testing and product deployment. Managed design teams as project lead.
		Over 10000 + of his designs have been deployed in the field.
9	Products Designed	Solar Home system 20Wp
		Precision Weighing scale magnetic (O.lmg accuracy) as well a load cell based.
		Controllers for environment chambers used in Laboratories.
		Bilirubin measurement for neonates.
		LED Display Systems.
		Medical Phototherapy system.
		CPAP for sleep apnea.
		FPGA design boards VLSI design development.
10	Tools and devices	Worked with micro controllers of different companies including, ST micro
		STM8M105 series. MPS430, ZigBee Atmel SAMR21, 8051 and their related
		IDE's to name a few. C and C++, Linux, Eclipse IDE, Android Studio, OrCAD schematic and Layout. Microsoft Projects tool.
11	Technical Papers	Has written various technical papers in topics of solar energy,
and	and	1. Impact of computerization in primary health care,

	Patents filed	2. Case study of 20W solar home system EMI design considerations
		3. Design considerations for design of phototherapy equipment
		4.Study on micro grid systems deployed in rural Kenya.
		5.Design and analysis of a ZigBee Network for Litality
		Three of his ideas have been filed for patents. Has two patents in his name.
12	Personal interests	Power Electronics, Solar energy and systems, Embedded design, Mobile apps,
		Interacting with field and customers and Software System design.
13	Hobby	Reading, Photography, Travelling and seeing new places.

# Section III. Evaluation and Qualification Criteria

This Section contains all the criteria that the Employer shall use to evaluate bids and qualify Bidders. No other factors, methods or criteria shall be used. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

#### Section III. Evaluation and Qualification Criteria

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#### 1. Evaluation Technical Part

#### 1.1 Technical Evaluation

The Employer will carry out a detailed technical evaluation of the bids not previously rejected to determine whether the technical aspects are in compliance with the Bidding Document. The bid that does not meet minimum acceptable standards of completeness, consistency and detail, and the specified minimum (or maximum, as the case may be) requirements for specified functional guarantees, will be rejected for non-responsiveness.

Where alternative technical solutions have been allowed in accordance with ITB 13, and offered by the Bidder, the Employer will make a similar evaluation of the alternatives. Where alternatives have not been allowed but have been offered, they shall be ignored.

- 1.2 In addition to the criteria listed in ITB 31.2 (a) (c) the following factors shall apply: Nil
- 1.3 The following factors and methods will apply: [use one or more of the following adjustment factors consistent with ITB 35.1 (f) of the BDS. During technical evaluation, the Employer shall only determine whether the bid meets the specified minimum acceptable standards. A negative determination shall result in rejection of the bid]

#### 1.4 Functional Guarantees of the facilities – technical

The minimum requirements stated in the Specification for functional guarantees required in the Specification are:

Functional Guarantee for project implementation phase	Minimum Requirement
All Goods asked for as per the BoQ	Minimum Requirement- 100% functional/compliance as per Technical specifications

Function al Guarantee after project implementation phase i.e. warranty period and 3 years AMC	Minimum (or Maximum, as appropriate) Requirement
Siren Network system – Uptime (in terms of hours in 3 calendar months)	Min 99%
DMR communication system uptime (in terms of hours in 3 calendar months)	Min 99%
Power(Battery and DG set) - uptime (in terms of hours in 3 calendar months)	Min 99%
SBMDVT	Min 99.9%
Office setup (includes LAN maintenance, Desktop, Laptops, Smart TV etc)	Min 99%
Replacement of Faulty equipment/part-Restore of services	Maximum 24 hours.
Repair of Faulty equipment/part-Restore of services	Maximum 1 week

Less than the minimum specified functionalities shall not be acceptable. The contractor shall stock critical spare parts for equipments for maintaining the minimum specified uptime.

#### 1.5 Technical alternatives- technical aspects

The acceptability of alternative technical solutions for parts of the facilities, if invited in accordance with ITB 13.4, will be evaluated as follows:

Alternative technical solutions are not permitted.

#### 1.6 Specific additional criteria

The relevant evaluation method, if any, shall be as follows: No additional criteria shall be employed in evaluation.

## 2. Qualification

Factor	2.1 Eligibility							
		Crit	teria					
			Bid	der		Documentation		
Sub-Factor	Requirement	Single Entity		nture where p		Required		
			All partners combined	Each partner	At least one partner			
2.1.1 Nationality	Nationality in accordance with ITB 4.2.	Must meet requirement	N / A	N/A	N / A	Form ELI –1.1 and 1.2, with attachments		
2.1.2 Conflict of Interest	No- conflicts of interests as described in ITB 4.3.	Must meet requirement	N/A	N/A	N / A	Letter of Bid		
2.1.3 Bank Ineligibility	Not having been declared ineligible by the Bank as described in ITB 4.4.	Must meet requirement	N/A	N / A	N / A	Letter of Bid		
2.1.4 Government Owned Entity	Compliance with conditions of ITB 4.5	Must meet requirement	N/A	N / A	N / A	Form ELI -1.1 and 1.2, with attachments		
2.1.5 Ineligibility based on a United Nations resolution or Borrower's country law	Not having been excluded as a result of the Borrower's country laws or official regulations, or by an act of compliance with UN Security Council resolution, in accordance with ITB 4.8	Must meet requirement	N/A	N / A	N/A	Letter of Bid		

\*JV not permitted

Factor	2.2 Historical Contract Non-Performance							
		Cri	iteria					
Sub-Factor			Bid	lder		   Documentation		
2 2.0 - 0000	Requirement			Joint Venture	ķ	Required		
	requirement	Single Entity	All partners combined	Each partner	At least one partner			
2.2.1 History of non-performing contracts	Non-performance of a contract did not occur within the last five (5) years prior to the deadline for application submission, based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract, and where all appeal instances available to the bidder have been exhausted.	Must meet requirement by itself or as partner to past or existing JV	N/A	N/A	N/A	Form CON - 2		

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Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

<sup>\*</sup>JV not permitted

Factor	2.2 H	<b>Listorical</b>	Contract	Non-Perf	ormance		
		Cri	teria				
Sub-Factor			Bid	lder		Documentation	
2 40 2 40002	Requirement			Joint Venture*	•	Required	
	1	Single Entity	All partners combined	Each partner	At least one partner		
2.2.2 Pending Litigation	All pending litigation shall in total not represent more than _ Twenty percent (20%) of the Bidder's net worth and shall be treated as resolved against the Bidder.	Must meet requirement by itself or as partner to past or existing JV	N/A	N/A	N/A	Form CON – 2	
2.2.3 Declaration: Environmental, Social, Health, and Safety (ESHS) past performance	Declare any contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the noncompliance of any environmental, or social, (including sexual exploitation and abuse (SEA) and gender based violence (GBV)), or health or safety requirements or safeguard in the past five years <sup>8</sup> .	Must make the declaration. Where there are Specialized Subcontractor/s, the Specialized Subcontractor/s must also make the declaration.	N/A	N/A	N/A	Form CON-3 ESHS Performance Declaration	

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 $<sup>^{8}</sup>$  The Employer may use this information to seek further information or clarifications in carrying out its due diligence

<sup>\*</sup>JV not permitted

Factor	2.3 Financial Situation							
		Criteria						
				Bidder		Documenta		
Sub-Factor	Do surinous out			Joint Venture	*	tion		
	Requirement	Single Entity	All partners combined	Each partner	At least one partner	Required		
2.3.1 Historical Financial Performance	Submission of audited balance sheets or if not required by the law of the bidder's country, other financial statements acceptable to the Employer, for the last three [3] financial years ending March 2019 to demonstrate the current soundness of the bidders' financial position and its prospective long term profitability.  (a) Positive net worth for each of the last three consecutive financial years ending 31st March 2019.	Must meet requireme nt	N/A	N/A	N/A	Form FIN – 3.1 with attachments		
2.3.2 Average Annual Turnover <sup>9</sup>	Average annual turnover in IT and /Telecom services and/ System Integration of INR 18.00 Crore, calculated as total certified payments received for contracts in progress or completed, within the last three [3] financial years ending March 2019	Must meet requireme nt	N/A	N/A	N/A	Form FIN – 3.2		

<sup>&</sup>lt;sup>9</sup> Refer to the 'Sample Qualification Requirements – EWDS s' at the end of Section III for any specific changes, if considered necessary. \*JV not permitted

Factor	2.3 Financial Situation								
			Criteria						
					Bidder				Documenta
<b>Sub-Factor</b>	D				Joint Ver	nture *	k		tion
	Requirement		Single All partners combined					t one er	Required
	over of previous years shall be ancial year in which bids are re	-	itage @5%	6 per year	based on rup	ee val	ue to brir	ng the	m to the
2.3.3 Financial Resources	The Bidder must demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet:  (i) the following cash-flow requirement:  INR 7.20 Crore  (ii) the overall cash flow requirements for this contract and its other current commitments.	Must meet requirement	N	/ A	N/A	N	Ñ / A	Fo	orm FIN –3.3

## \*JV not permitted

	2	.4 Experie	nce		
		Bido	ler		Documentation
Requirement			Joint Venture*	•	Required
	Single Entity	All partners combined	Each partner	At least one partner	
The bidder shall have experience in the implementation of Information Technology or Telecom services or System Integration works as a contractor in the five [5] years <i>prior</i> to the last date for submission of bids.	Must meet requirement	N / A	N / A	N/A	Form EXP-2.4.1
(a) The bidder should have satisfactorily completed at least three similar** contracts each of a value not less than the amount equal to INR 7.20 Crore or two similar** contracts each of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 14.40 Crore in the last five years.  **Similar contract shall mean contracts for implementation of Information Technology or Telecom services or System Integration works.	Must meet requirement	N/A	N/A	N/A	Form EXP 2.4.2(a)
	The bidder shall have experience in the implementation of Information Technology or Telecom services or System Integration works as a contractor in the five [5] years <i>prior</i> to the last date for submission of bids.  (a) The bidder should have satisfactorily completed at least three similar** contracts each of a value not less than the amount equal to INR 7.20 Crore or two similar** contracts each of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 14.40 Crore in the last five years.  **Similar contract shall mean contracts for implementation of Information Technology or Telecom services or System	Requirement  Requirement  Single Entity  The bidder shall have experience in the implementation of Information Technology or Telecom services or System Integration works as a contractor in the five [5] years prior to the last date for submission of bids.  (a) The bidder should have satisfactorily completed at least three similar** contracts each of a value not less than the amount equal to INR 7.20 Crore or two similar** contracts each of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 14.40 Crore in the last five years.  **Similar contract shall mean contracts for implementation of Information Technology or Telecom services or System Integration works.	Requirement  Single Entity  Requirement  Single Entity  All partners combined  The bidder shall have experience in the implementation of Information Technology or Telecom services or System Integration works as a contractor in the five [5] years prior to the last date for submission of bids.  (a) The bidder should have satisfactorily completed at least three similar** contracts each of a value not less than the amount equal to INR 7.20 Crore or two similar** contracts each of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 10.40 Crore in the last five years.  **Similar contract shall mean contracts for implementation of Information Technology or Telecom services or System Integration works.	Requirement  Single Entity  All partners combined  The bidder shall have experience in the implementation of Information Technology or Telecom services or System Integration works as a contractor in the five [5] years prior to the last date for submission of bids.  (a) The bidder should have satisfactorily completed at least three similar** contracts each of a value not less than the amount equal to INR 7.20 Crore or two similar** contracts each of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 10.80 Crore or one similar** contract of a value not less than the amount equal to INR 10.80 Crore or one similar** contract shall mean contracts for implementation of Information Technology or Telecom services or System Integration works.	Criteria

Factor	2.4 Experience								
	Criteria								
Sub-Factor			Bido	der		Documentation			
Sub Tuctor	Requirement	Single Entity		Joint Venture <sup>.</sup> Each	At least one	Required			
		Single Entity	All partners combined	each partner	partner				
	order and certificate attesting to the value and satisfactory completion of the contracts duly signed and sealed by the employer.								
2.4.2 Specific Experience	<ul> <li>(b) In the contracts specified in 2.4.2(a) above, the bidder or the sub-contractor, or the Original Equipment Manufacturer (OEM) or the Authorized Dealer, as the case may be, shall have a minimum experience in the following activities: <ol> <li>i) The bidder should have implemented at least one (1) project related to: Emergency Operation Centre and/or Network Operation Centre with LAN, WAN, Internet Services with over 30 WAN Nodes including office setup.</li> <li>The bidder shall submit purchase order and certificate attesting to the value and satisfactory completion of the contracts duly signed and sealed by the employer.</li> </ol> </li></ul>	Must meet requirements	N/A	N/A	Must meet requirements [if applicable, out of the key activities in the first column of this 4.2 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one partner]	Form EXP-2.4.2(b)			

[Note: For Multiple lots (contracts) specify financial and experience criteria for each lot under Sub-Factors 2.3.1, 2.3.2, 2.4.2(a) and 2.4.2(b)]

Factor	2.4 Experience								
Sub Factor		Criteria							
	Requirement		Bio	dder		Required			
		Single Entity		Joint Ventur	e				
	ii) The bidder, or the subcontractor, or the OEM or the authorized dealer should have implemented at least two (2) Alert Siren Systems at not less than a total of 40 (Forty) locations in the last five years.  The bidder, subcontractor, OEM or the authorized dealer shall submit purchase order and certificate attesting to the value and satisfactory completion of the contracts duly signed and sealed by the employer.		All partners combined	Each partner	At least one partner				
	iii) The bidder, or the subcontractor, or the OEM or the authorized dealer should have erected at least forty (40) Spun Concrete of a minimum of 15 mts each in the last five years.  The bidder, subcontractor, OEM or the authorized dealer shall submit purchase order and certificate attesting to the value and satisfactory completion of the contracts duly signed and sealed by the employer.								

2.4.2 Specif ic Experience	iv) The bidder, or the subcontractor, or the OEM or the authorized dealer should have supplied and maintained at least fifty (50) nos. of diesel generator sets, Un interrupted Power supply systems along with batteries in the last five years.  The bidder, subcontractor, OEM or the authorized dealer shall submit purchase order and certificate attesting to the value and satisfactory completion of the contracts duly signed and sealed by the employer.  Note: For purposes of 2.4.2 (b), technical experience will be reckoned either severally for the bidder or jointly for the pooled experience between the bidder, subcontractor, OEM, and the authorized dealer provided they have entered into a specific mutual agreement for this purpose for the project. Copies of the agreements signed for this purpose shall be submitted along with the experience certificate and the purchase orders.	Must meet requirements	N/A	N / A	Must meet requirements [if applicable, out of the key activities in the first column of this 4.2 b), list key activities (volume, number or rate of production as applicable) and the corresponding minimum requirements that have to be met by one partner]	Form EXP-2.4.2(b)
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#### 2.5 Personnel

The Bidder must demonstrate that it will have the personnel for the key positions that meet the following requirements:

No.	Position	Total Work Experience (years)	In Similar Works Experience (years)
1	Project Manager (IT & Telcom system integration expert) with minimum BE/BTech (1 No.)	10	5
2	Engineer (IT, Networking) with minimum BE/BTech (2 nos.)	<u>6</u>	3
Suit	able experts in the following specializa	tions	
	EHS officer with Minimum B. Sc (Env Sc)/ B. Tech (Env. Engg.) or higher M. Sc (Env.Sc)/ M. Tech (Env Engg.) Desirable certification in Public safety and security domain.	05	Minimum 3 years of experience in handling the environmental issues of infrastructure projects preferably for externally funded projects. Priority will be given to candidates with experience in disaster risk management

The Bidder must not have in his employment:

- [i] the near relations (defined as first blood relations, and their spouses, of the bidder or the bidder's spouse) of persons of the following Government Departments.
  - ... Water Resources Department, Government of Goa
- [ii] without Government permission, any person who retired as gazette officer within the last two years.

The Bidder shall provide details of the proposed personnel and their experience records in the relevant Forms included in Section IV, Bidding Forms.

#### 2.6 Equipment

The Bidder must demonstrate that the key Contractor's equipment listed hereafter:

NA

#### 2.7 Subcontractors

Subcontractors/manufacturers for the following major items of supply or services ('Specialized Subcontractors') must meet the following minimum criteria, herein listed for that item:

Item No.	Description of Item	Minimum Criteria to be met <sup>10</sup>
1	Siren system	Certificate/PO as per clause no 2.4.2 (b) (ii)
2	Tower	Certificate/PO as per clause no 2.4.2 (b) (iii)
3	Power system	Certificate/PO as per clause no 2.4.2 (b) (iv)

Failure to comply with this requirement will result in rejection of the subcontractor. In the case of a Bidder who offers to supply and install major items of supply under the contract that the Bidder did not manufacture or otherwise produce, the Bidder shall provide the manufacturer's authorization, using the form provided in Section IV, showing that the Bidder has been duly authorized by the manufacturer or producer of the related EWDS and equipment or component to supply and install that item in the Employer's country. The Bidder is responsible for ensuring that the manufacturer or producer complies with the requirements of ITB 4 and 5 and meets the minimum criteria listed above for that item.

Specify keeping in view the qualifying criteria specified for the Bidder or authorized representative of a manufacturer

#### 3. Evaluation – Financial Part

# **3.1** The following factors and methods will apply: [use one or more of the following adjustment factors consistent with ITB 35.1 of the BDS]

#### (a) Time Schedule

Time to complete the design, supply, installation and commissioning of Early Warning Dissemination System (EWDS) from the effective date specified in Article 3 of the Contract Agreement till pre-commissioning is: 12 months (including monsoon season). No credit will be given for earlier completion. **Not applicable.** 

#### (b) Operating and Maintenance Costs

Reference to the methodology specified in the Specification or elsewhere in the Bidding Document. **Not applicable.** 

#### (c) Functional Guarantees of the Facilities – financial

The minimum (or maximum) requirements stated in the Specification for functional guarantees required in the Specification are: **Not applicable.** 

Work, services, facilities etc., to be provided by the Employer Not applicable.

#### (d) Specific additional criteria

The relevant evaluation method, if any, shall be Net Present value (NPV) method.

Any adjustments in price that result from the above procedures shall be added, for purposes of comparative evaluation only, to arrive at an "Evaluated Bid Price." Bid prices quoted by Bidders shall remain unaltered.

#### (e) Technical Alternative – financial aspects

Technical alternatives, if invited in accordance with ITB 13.4, will be evaluated as follows: **Not applicable.** 

#### (g) Multiple Contracts (ITB 35.3) - **Not applicable.**

If in accordance with ITB 1.1, Bids are invited for more than one lot, the contract will be awarded to the Bidder or Bidders offering a substantially responsive Bid(s) and the lowest evaluated cost to the Employer for combined lots, subject to the selected Bidder(s) meeting the required qualification criteria Section III, Evaluation and Qualification Criteria for a lot or combination of lots as the case may be.

In determining Bidder or Bidders that offer the total lowest evaluated cost to the Employer, after considering all possible combination of lots, the Employer shall apply the following steps in sequence:

- a. evaluate individual lots to determine the substantially responsive Bids and corresponding evaluated costs;
- b. for each lot, rank the substantially responsive Bids starting from the lowest evaluated cost for the lot:
- c. apply to the evaluated costs listed in b) above, any applicable discounts/price reductions offered by a Bidder (s) for the award of multiple contracts based on the discounts and the methodology for their application offered by the respective Bidder; and
- d. determine contract award on the basis of the combination of lots that offer the total lowest evaluated cost to the Employer.

# **Section IV. Bidding Forms**

## **Letter of Bid – Technical Part**

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid – Technical Part on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

	Date:
	NCB No.:
	Invitation for Bid No.:
То:	Alternative No <sup>11</sup> .:
We	, the undersigned, hereby submit our Bid, in two parts, namely:
(a)	the Technical Part, and
(b)	the Financial Part
In s	ubmitting our Bid, we make the following declarations:
(a)	We have examined and have no reservations to the Bidding Document, including Addenda issued in accordance with Instructions to Bidders (ITB) 8;
(b)	We offer to, in conformity with the Bidding Document, the following EWDS and Installation Services:
(c)	Our bid shall be valid for a period of days from the date fixed for the bid submission deadline in accordance with the Bidding Document, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
(d)	If our bid is accepted, we commit to obtain a performance security [and an Environmental, Social, Health and Safety (ESHS) Performance Security, Delete if not applicable] in accordance with the Bidding Document;
(e)	We, including any subcontractors or manufacturers for any part of the contract, have or will have nationalities from eligible countries, in accordance with ITB-4.2;
(f)	We, including any subcontractors or manufacturers for any part of the contract, do not have any conflict of interest in accordance with ITB-4.3;

<sup>&</sup>lt;sup>11</sup> insert identification No. if this is a Bid for an alternative

- (g) We are not submitting more than one bid in this bidding process as a Bidder, either individually or as a partner in a joint venture, in accordance with ITB-4.3, except for alternative offers permitted under ITB Clause 13;
- (h) We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by a member of the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (i) We are not a government owned entity/ We are a government owned entity but meet the requirements of ITB-4.5; 12
- (j) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (k) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive;
- (l) We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption; and
- (m) **Adjudicator**: We accept the appointment of [insert name proposed in Bid Data Sheet] as the Adjudicator.

[or]

We do not accept the appointment of [insert name proposed in Bid Data Sheet] as the Adjudicator, and propose instead that [insert name] be appointed <sup>13</sup> as Adjudicator, whose daily fees and biographical data are attached.

Name	In the capacity of	_
Signed		
Duly authorized to sign** the bid for a	nd on behalf of	

-

<sup>&</sup>lt;sup>12</sup> Bidder to use as appropriate

<sup>&</sup>lt;sup>13</sup> In case appointment of Adjudicator was proposed from the list provided by an Institution in ITB 43, the replacement should also be proposed from the list of same institution.

Dated on	day of	

<sup>\*\*:</sup> Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid. In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder.

## **Technical Proposal**

- Site Organization
- Method Statement
- Mobilization Schedule
- Implementation Schedule
- ESHS Management Strategies and Implementation Plans
- Code of Conduct (ESHS)
- EWDS
- Contractor's Equipment
- Functional Guarantees
- Personnel
- Proposed Subcontractors for Major Items of EWDS and Installation Services
- Country of Origin Declaration Form
- Others

# Appendix to Technical Part Site Organization

[Insert Site Organization Information]

#### **Method Statement**

[insert Method Statement - A detailed note should be submitted outlining bidders proposed methodology and program for the provision of EWDS and Installation Services, backed with equipment, materials and manpower planning and deployment, and quality control procedures proposed to be adopted, justifying Bidder's capability for execution and timely completion of the work as per technical specifications, and achieve Commissioning and Acceptance of the Facilities within the specified Times for Completion].

Chapters shall address the following subject including Task, Time and resource scheduling.

- (b) Project Organization and Management Plan;
- (c) Design of the network with integration of different components
- (d) Delivery and Installation Plan including Task time and resource scheduling
- (e) (Quality Control and Training Plan
- (f) Pre-commissioning and Operational Acceptance Testing Plan
- (g) Maintenance Plan

## **Mobilization Schedule**

[Insert Mobilization Schedule]

### **Implementation Schedule**

The Bidder must prepare a Preliminary Project Plan and Implementation Schedule describing, among other things, the methods and human and material resources that the Bidder proposes to employ in the design, management, coordination, and execution of all its responsibilities, if awarded the Contract, as well as the estimated duration and completion date for each major activity. Bidder is required to complete the installation and commissioning of the entire system within 12 months including monsoons from the date of the award of work order.

Item category	S No	Item	Site Code	(Bidder to specify in the Prelimina ry Project Plan)	Installatio n (weeks from Effective Date)	Acceptan ce (weeks from Effective Date)	Liquid ated Damag es Milesto ne
DMR Radio	1	DMR handheld terminal including WPC license, Software for programming, one spare battery, charger, installation and any other necessary item	SEOC, DEOC, TEOC				
DMO	2	DMR Fixed Station including WPC license, Software for programming, power supply unit, installation and any other necessary item	SEOC, DEOC, TEOC				
comm.	3	SBMDV satellite terminals/Sat Com including WPC license, charger, installation and any other necessary item	SEOC, DEOC				
Satellite comm.	4	Satellite handset with external antenna & cable including WPC license, installation and any other necessary item	SEOC, DEOC, TEOC				
Siren system	5	Bi directional or Omni Horn speaker of range minimum 1 Km (minimum 65 dB) with strobe light including mounting arrangement	MPCS, TL				

	6	Remote Terminal Unit (RTU)	MPCS, TL		
	7	SIM Card for RTU	MPCS, TL		
	8	High end PC with Speaker and mic for voice communication with 2 nos. of communication interface-1 for GSM Modem and other for Ethernet (1+1 hot standby mode)	SEOC		
	9	GIS base Software to control & monitor siren system remotely (1+1 hot standby mode) + 15 digitized pre recorded voice message.	SEOC		
	10	Hardware based (Software embedded) alert activation having display for alert siren triggering remotely	TEOC		
	11	All electronic equipment earthing	SEOC, MPCS, TL		
	12	Smart TV (55") with necessary accessories for multipurpose usage display screen (from PC) /Video conferencing (not part of this tender).	SEOC		
	13	Spun Concrete Tower-15 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	TL		
Towers	14	Spun Concrete Tower-20 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	TL		
	15	Monopole 5 to 10 meter on roof top for Fixed station RF antenna and Siren for Cyclone Shelter including other necessary items like	SEOC, DEOC, TEOC, MPCS, TL		

		Farthing aviation light			
		Earthing, aviation light,			
		lighting arrester etc			
	16	Supply and installation of	SEOC		
		UPS-x KVA (10 KVA) with			
		any other necessary item			
		required			
	17	Supply and installation of	DEOC,		
		UPS-x KVA (5 KVA) with any	TEOC,		
		other necessary item	MPCS		
		required			
	18	Power cable for UPS and DG	SEOC,		
Power		sets	DEOC,		
00			TEOC,		
_			MPCS		
	19	Supply and installation of	SEOC		
		DG-set x KVA (10 KVA) with			
		any other necessary item			
		required			
	20	Supply and installation of	DEOC,		
		DG-set x KVA (5 KVA) with	TEOC		
		any other necessary item			
		required			
	21	Laptops (less than 1.5 Kg)	SEOC,		
		with 15" monitor pre loaded	DEOC,		
		OS	TEOC		
	22	Work Stations with 19 " LCD	SEOC,		
		Monitor (OS and Anti Virus)	DEOC,		
		with Head Phone, Webcam,	TEOC		
		Microphone, Keyboard,			
		Mouse	0		
	23	MS Office	SEOC,		
0			DEOC,		
Office setup			TEOC		
e SE	24	Modular Furniture (1 table +	SEOC,		
fice		1 chair) of Godrej/	DEOC,		
Ď		Featherlight/Wipro make	TEOC,		
		150/01	MPCS		
	25	LED/Plasma TV (55") with	SEOC,		
		set top box for News Feed	DEOC,		
			TEOC,		
	2.0	Briston Con	MPCS		
	26	Printer + Scanner	SEOC,		
			DEOC,		
		21	TEOC		
	27	2 ton Split Air Conditioner	SEOC,		
			DEOC,		

	1					1
		-				
		MPCS				
28	Fire Extinguisher	SEOC,				
		DEOC,				
		TEOC,				
		MPCS				
29	Supply and installation L2	SEOC,				
	Switch 24 port	DEOC				
30	Supply and installation L2	TEOC,				
	Switch 12 port	MPCS				
31	Supply and installation	SEOC,				
	Patch panel 24 port	DEOC,				
		TEOC,				
		MPCS				
32	Supply and installation of	SEOC,				
	LAN Cable - 100 meter will	DEOC,				
	all necessary materials	TEOC,				
		MPCS				
33	Supply and installation 42 U	SEOC,				
	Server Rack (19" width)	DEOC				
34	Supply and installation 9U	TEOC,				
	Server Rack (19" width)	MPCS				
35	Universal communication	SEOC,				
	interface (UCI)	DEOC				
	29 30 31 32 33 34	29 Supply and installation L2 Switch 24 port  30 Supply and installation L2 Switch 12 port  31 Supply and installation Patch panel 24 port  32 Supply and installation of LAN Cable - 100 meter will all necessary materials  33 Supply and installation 42 U Server Rack (19" width)  34 Supply and installation 9U Server Rack (19" width)  35 Universal communication	DEOC, TEOC, MPCS  29 Supply and installation L2 SEOC, Switch 24 port DEOC  30 Supply and installation L2 TEOC, Switch 12 port MPCS  31 Supply and installation SEOC, Patch panel 24 port DEOC, TEOC, MPCS  32 Supply and installation of LAN Cable - 100 meter will all necessary materials TEOC, MPCS  33 Supply and installation 42 U SEOC, Server Rack (19" width) DEOC  34 Supply and installation 9U TEOC, Server Rack (19" width) MPCS  35 Universal communication SEOC,	## April 1985   Fire Extinguisher   SEOC, DEOC, TEOC, MPCS    29	## April 19 Page 19 Pa	MPCS   SEOC, DEOC, TEOC, MPCS

## **ESHS Management Strategies and Implementation Plans**

#### (ESHS-MSIP)

The Bidder shall submit comprehensive and concise Environmental, Social, Health and Safety Management Strategies and Implementation Plans (ESHS-MSIP) as required by ITB 11.2 (j) of the Bid Data Sheet. These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ESHS provisions of the contract including those as may be more fully described in the Employer's Requirements described in Section VI.

# Code of Conduct: Environmental, Social, Health and Safety (ESHS)

The Bidder shall submit the Code of Conduct that will apply to the Contractor's employees and subcontractors as required by ITB 11.2 (j) of the Bid Data Sheet. The Code of Conduct shall ensure compliance with the ESHS provisions of the contract, including those as may be more fully described in the Employer's Requirements described in Section VI.

In addition, the Bidder shall submit an outline of how this Code of Conduct will be implemented. This will include: how it will be introduced into conditions of employment/engagement, what training will be provided, how it will be monitored and how the Contractor proposes to deal with any breaches.

## **Contractor's Equipment**

## Form EQU

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key Contractor's equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

Item of equipmer	at			
Equipment	Name of manufacturer	Model and power rating		
information				
	Capacity	Year of manufacture		
Current status	Current location			
	Details of current commitments			
Source	e Indicate source of the equipment			
	☐ Owned ☐ Rented ☐ Lease	ed		
Omit the followin	ng information for equipment owned by the	Bidder.		
	.g			
Owner	Name of owner			
	Address of owner			
	Telephone	Contact name and title		
	Fax	Telex		
Agreements	Details of rental / lease / manufacture agreem			
Agreements	Details of Tentar / Tease / manufacture agreein	this specific to the project		

## **Functional Guarantees**

### Form FUNC

The Bidder shall copy in the left column of the table below, the identification of each functional guarantee required in the Specification and stated by the Employer in para. 1.4 of Section III. Evaluation and Qualification Criteria, and in the right column, provide the corresponding value for each functional guarantee of the proposed EWDS and equipment.

Required Functional Guarantee	Value of Functional Guarantee of the Proposed EWDS and Equipment
1.	
2.	
3.	

## **Personnel**

#### Form PER -1

## Proposed Personnel

Bidders should provide the names of suitably qualified personnel to meet the specified requirements stated in Section III. The data on their experience should be supplied using the Form below for each candidate.

1.	Title of position*		
	Name		
2.	Title of position* [Environmental Specialist]		
	Name		
3.	Title of position*[Health and Safety Specialist]		
	Name		
4.	Title of position* [Social Specialist]		
	Name		
5.			
6.			

<sup>\*</sup>As listed in Section III.

Form PER-2

## Resume of Proposed Personnel

Name of Bidde	er				
Position					
Personnel information	Name	Date of birth			
	<b>Professional qualifications</b>	<u> </u>			
Present employment	Name of employer				
	Address of employer				
	Telephone	Contact (manager / personnel officer)			
	Fax	E-mail			
	Job title	Years with present employer			

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	То	Company / Project / Position / Relevant technical and management experience	

# Proposed Subcontractors for Major Items of EWDS and Installation Services

A list of major items of EWDS and Installation Services is provided below.

The following Subcontractors and/or manufacturers are proposed for carrying out the item of the facilities indicated. Bidders are free to propose more than one for each item

Major Items of	Proposed	Nationality
EWDS and	Subcontractors/Manufacturers	·
Installation Services		
Tower		
Siren		
DMR		
DG set		
Battery		
LAN setup		

[Note: Reference ITB 11, (a) Manufacturer's Authorization; and (b) Subcontractor Agreement or a letter of intent between the parties to enter into a Subcontractor Agreement are to be submitted along with the bid for major items of supply and services]

# **Country of Origin Declaration Form**

Item	Description	Country Code	Country

[Note: Bidders shall enter the full name or code representing the country of origin of all imported EWDS, equipment, and spares]

### **Others - Time Schedule**

# **Bidders Qualification**

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

#### Form ELI 1.1

# **Bidder Information Sheet**

	Date:
	NCB No.:
	Invitation for Bid No.:
	Page of pages
1.	Bidder's Legal Name
2.	In case of JV, legal name of each party:
3.	Bidder's actual or intended Country of Registration:
4.	Bidder's Year of Registration:
5.	Bidder's Legal Address in Country of Registration:
6.	Bidder's Authorized Representative Information
	Name:
	Address:
	Telephone/Fax numbers:
	Email Address:
7.	Attached are copies of original documents of:
	Articles of Incorporation or Registration of firm named in 1, above, in accordance with ITB Sub-Clauses 4.1 and 4.2.
	In case of JV, letter of intent to form JV including a draft agreement, or JV agreement, in accordance with ITB Sub-Clauses 4.1 and 11.2(h).
	In case of government owned entity from the Employer's country, documents establishing legal and financial autonomy and compliance with the principles of commercial law, in accordance with ITB Sub-Clause 4.5.

Please note that a written authorization needs to be attached to this sheet as required by ITB 21.2.

#### Form ELI 1.2

# **Party to JV Information Sheet**

(Where permitted as per BDS ITB 4.1)

Each partner of a JV must fill in this form

	Date:	
	NCB No.:	
	Invitation for Bid No.:	
	Page of pages	
1.	Bidder's Legal Name:	
2.	JV's Party legal name:	
3.	JV's Party Country of Registration:	
٥.	V V S Turty Country of Registration.	
1	JV's Party Year of Registration:	
4.	JV S Party Tear of Registration.	
5.	JV's Party Legal Address in Country of Registration:	
6.	JV's Party Authorized Representative Information	
	Name:	
	Address:	
	Telephone/Fax numbers:	
	Email Address:	
7.	Attached are copies of original documents of:	
	Articles of Incorporation or Registration of firm named in 1, above, in accordance with	th
	ITB Sub-Clauses 4.1 and 4.2.	
	In case of government owned entity from the Purchaser's country, documents establishin legal and financial autonomy and compliance with the principles of commercial law, in	ıg
	accordance with ITB Sub-Clause 4.5.	

# **Appendix to Technical Part Details of Participation in the**

### **Joint Venture (where permitted)**

PARTICIPATION DETAILS	FIRM 'A' (Lead Member)	FIRM 'B'	FIRM 'C'
Financial			
Name of the Banker(s)			
Planning			
Construction Equipment			
Key Personnel			
Execution of Work (Give details on contribution of each)			

The Joint Venture should indicate the details of participation as above.

**Not Applicable** 

Form CON - 2

#### **Historical Contract Non-Performance**

The following table shall be filled in for the Bidder and if JV, for each partner of the Joint Venture1 Bidder's Legal Name: Date: \_\_\_\_\_ JV Partner Legal Name: NCB No.: Page \_\_\_\_\_ of \_\_\_\_ pages Non-Performing Contracts in accordance with Section III, Evaluation Criteria Contract non-performance did not occur during the stipulated period, in accordance with Sub-Factor 2.2.1 of Section III, Evaluation Criteria ☐ Contract(s) not performed since 1<sup>st</sup> January [insert year] specified in Section III, Evaluation and Qualification Criteria, requirement 2.2.1 Year Non- performed **Contract Identification Total Contract** portion of Amount (Rs. contract million) [insert [insert amount and Contract Identification: [indicate complete] [insert amount] vear] contract name/number, and any other percentage] identification] Name of Employer: [insert full name] Address of Employer: [insert street/city/country] Reason(s) for nonperformance: [indicate main reason(s)] Pending Litigation, in accordance with Section III, Evaluation Criteria ☐ No pending litigation in accordance with Sub-Factor 2.2.2 of Section III, Evaluation Criteria Pending litigation in accordance with Sub-Factor 2.2.2 of Section III, Evaluation Criteria, as indicated below Total Contract Year Amount in Amount (Rs. million) Dispute (Rs. **Contract Identification** million)

	Contract Identification:	
 	Name of Employer:	
	Address of Employer:	
	Matter in dispute:	
	Party who initiated the dispute:	
	Status of dispute:	
	Contract Identification:	
 	Name of Employer:	
	Address of Employer:	
	Matter in dispute:	
	Party who initiated the dispute:	
	Status of dispute:	

# Form CON – 3: Environmental, Social, Health, and Safety Performance Declaration

[The following table shall be filled in for the Bidder, each partner of a Joint Venture and each Specialized Subcontractor]

Bidder's Name: [insert full name]
Date: [insert day, month, year]
Joint Venture Partner's or Specialized Subcontractor's Name: [insert full name]
NCB No. and title: [insert ICB number and title]
Page [insert page number] of [insert total number] pages

# Environmental, Social, Health, and Safety Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements

No suspension or termination of contract: An employer has not suspended or terminated a
contract and/or called the performance security for a contract for reasons related to
Environmental, Social, Health, or Safety (ESHS) performance since the date specified in
Section III, Qualification Criteria, and Requirements, Sub-Factor 2.2.3.

Declaration of suspension or termination of contract: The following contract(s) has/have
been suspended or terminated and/or Performance Security called by an employer(s) for reasons
related to Environmental, Social, Health, or Safety (ESHS) performance since the date specified
in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.2.3. Details are described
below:

Year	Suspended or terminated portion of contract	Contract Identification	Total Contract Amount (current value, in Rs. million)
[insert year]		Contract Identification: [indicate complete contract name/ number, and any other identification]  Name of Employer: [insert full name]  Address of Employer: [insert street/city/country]  Reason(s) for suspension or termination: [indicate main reason(s) e.g. for GBV/ SEA breaches]	
[insert	[insert amount	Contract Identification: [indicate complete contract	[insert amount]

year]	and percentage]	name/number, and any other identification]				
		Name of Employer: [insert full name]				
		Address of Employer: [insert street/city/country]				
		Reason(s) for suspension or termination: [indicate main reason(s)]				
•••		[list all applicable contracts]	•••			
Perforn	nance Security cal	led by an employer(s) for reasons related to ESHS pe	rformance			
Year	Co	Total Contract Amount (current value, in Rs. million)				
[insert year]	Contract Identification: [indicate complete contract name/ number, and [insert amount any other identification]					
	Name of Emplo					
	Address of Emp					
	Reason(s) for ca e.g. for GBV/SE	alling of performance security: [indicate main reason(s)]  (A breaches]				

#### Form CCC

# **Current Contract Commitments / Works in Progress**

Bidders and each partner to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Name of contract	Employer, contact address/tel/fax	Value of outstanding work (Rs. million)	Estimated completion date	Average monthly invoicing over last six months (Rs. million/month)
1.				
2.				
3.				
4.				
5.				
etc.				

#### **Form FIN – 3.1**

### **Financial Situation**

### **Historical Financial Performance**

Date: \_\_\_\_\_

Bidder's Legal Name:

JV Partner Legal Name:					NCB No.:		
_					Page	of	pages
To be completed by	the Bid	lder and, i	f JV, by ea	ach partner			
Financial information in Rs.		Histori	c informa	tion for pre (in Rs. mi		() year	S
	Year 1	Year 2	Year 3	Year	Year n	Avg.	Avg. Ratio
		Inform	nation fron	n Balance Sh	neet		
Total Assets (TA)							
Total Liabilities (TL)							
Net Worth (NW)							
Current Assets (CA)							
Current Liabilities (CL)							
Shareholder's Funds (Net Worth) = (Paid up equity +Reserves)- (revaluation reserves + Miscellaneous expenditure not written off)							

Depreciation					
	Informa	tion from l	Income State	ment	
Total Revenue (TR)					
Profits Before Taxes (PBT)					
	C	Cash Flow I	nformation		
Cash Flow from Operating Activities					
Net cash accruals= Profit after Tax + depreciation					

This information should be extracted from the Annual Financial Statements/ Balance sheets, which should be enclosed. Year 1 will be the latest year for which audited financial statements are available. Year 2 shall be the year immediately preceding year 1 and year 3 shall be the year immediately preceding Year 2.

- ☐ Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:
  - (a) Must reflect the financial situation of the Bidder or partner to a JV, and not sister or parent companies
  - (b) Historic financial statements must be audited by a certified accountant
  - (c) Historic financial statements must be complete, including all notes to the financial statements
  - (d) Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted)

#### **Form FIN – 3.2**

### **Average Annual Turnover**

[The followin Venture]	g table shall be filled ir	n for the Bidder and	d if JV, for each	h partner o	of the Joint	
Bidder's Legal Name: JV Partner Legal Name:			Date:			
			NCB No.: _			
			Page	of	pages	
	Annual turno	over data (constru	ction only)**			
Year		Rs. mill	lion			
*Average						
Annual						
Construction						
Turnover						

<sup>\*</sup>Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Evaluation Criteria, Sub-Factor 2.3.2.

<sup>\*\*</sup> This should be certified by a Chartered Accountant.

#### Form FIN 3.3

#### **Financial Resources**

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as indicated in Section III, Evaluation and Qualification Criteria

	Source of financing	Amount (Rs. million)
1.		
2.		
3.		
4.		

#### FORMAT FOR EVIDENCE OF ACCESS TO OR AVAILABILITY OF CASH FLOW

[To be given from a Nationalized or Scheduled Bank in India-No substitute other than this will be acceptable)]

#### Clause 2.3.3(ii) of Section III – Qualification Criteria

#### (1) AVAILABILITY OF CASH FLOW (WORKING CAPITAL)

This is to certify that M/sgood financial standing.	is a reputed company with a
If the contract for the works, namely [funded by the World Bank] is awarded to the a overdraft/credit facilities to the extent of Rs executing the above contract.	•
	Sd
	Name of Bank Manager
	Senior Bank Manager

### Address of the Bank

* Change the text as follows for Joint venture:
This is to certify that M/s who has formed a JV with M/s for participating in this bid, is a reputed company with a good financial standing.
If the contract for the work, namely
[This should be given by the JV partners in proportion to their financial participation.]

#### **Form EXP 2.4.1**

# **Experience - General Experience**

[The following table shall be filled for the Bidder and if JV, for each partner of the Joint Venture. Identify contracts that demonstrate continuous construction work over the past [5<sup>14</sup>] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.1. List contracts chronologically, according to their commencement (starting) dates]

JV Partner Legal Name: \_\_\_\_\_\_NCB No.: \_\_\_\_\_

Date:

Bidder's Legal Name:

Starting Month / Year	Ending Month / Year	Years *	Contract Identification	Role of Bidder ["Contract or" or "Subcontra ctor" or "Contract Manager"]
			Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value	
			Address:  Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	
			Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value	

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<sup>&</sup>lt;sup>14</sup> Modify to the number of years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.1, if different from 5.

Starting Month / Year	Ending Month / Year	Years *	Contract Identification	Role of Bidder ["Contract or" or "Subcontra ctor" or "Contract Manager"]
			Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	
			Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	
			Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	

<sup>\*</sup>List calendar year for years with contracts with at least nine (9) months activity per year starting with the earliest year

**Form EXP – 2.4.2(a)** 

# **Specific Experience**

[The following table shall be filled in for contracts performed by the Bidder, each partner of a Joint Venture where permitted, and specialist sub-contractors]

Bidder's Legal Name: JV Partner Legal Name:		Date: NCB No.:	
		Page of	pages
Similar Contract Number: of required.		Information	
Contract Identification			
Award date			
Stipulated Completion date			
Actual Completion date (provide explanation in case of delayed completion)			
Role in Contract	Contractor	Management Contractor	Subcontractor
Total contract amount			Rs. million
If partner in a JV or subcontractor, specify participation of total contract amount	%		Rs. million
Total contract amount on completion (if over original contract amount, provide explanation)			Rs. Million
Employer's Name:			

Similar Contract Number: of required.	Information
Address:	
Telephone/fax number:	
E-mail:	

**Form EXP – 2.4.2(a) (cont.)** 

# **Specific Experience (cont.)**

Bidder's Legal Name: JV Partner Legal Name:			of	pages
Similar Contract No[insert specific number] of [total number of contracts] required		Infor	nation	
Description of the similarity in accordance with Sub-Factor 2.4.2(a) of Section III:				
Amount	Rs. million			
Physical size				
Complexity				
Methods/Technology				
Physical Production Rate				

**Form EXP – 2.4.2(b)** 

# **Specific Experience in Key Activities**

Bidder's Legal Name:		_ Date:		
JV Partner Legal Name:		NCB No.:		
Subcontractor's Legal Name:		Page o	f pages	
		Information		
Contract Identification				
Award date				
Completion date				
Role in Contract				
	Contractor	Management Contractor	Subcontractor	
Total contract amount			Rs. Million	
If partner in a JV or subcontractor, specify participation of total contract amount	%		Rs. Million	
Employer's Name:				
Address:				
Telephone/fax number:				
E-mail:				

**Form EXP – 2.4.2 (b)(cont.)** 

# **Specific Experience in Key Activities (cont.)**

Bidder's Legal Name:	Page	of	pages
JV Partner Legal Name:			
Subcontractor's Legal Name:			
	Infor	mation	
Description of the key activities in			
accordance with Sub-Factor 2.4.2(b) of			
Section III:			

# Appendix to Technical Part Form of Bid Security (Bank Guarantee)

[Guarantor letterhead or SWIFT identifier code]

	o[insert guarantee reference number][insert date of issue of the guarantee]
Bidder") has sub Bid for the exec (hereinafter call-	[name of Bidder] [15] (hereinafter called "the smitted his Bid dated [date] or will submit his rution of [name of Contract] and "the Bid") under Invitations for Bids No
[name of bank registered office Bank") are bouncalled "the Empireurly to be made these presents.  SEALED with a 20	EOPLE by these presents that We
(1) or (2)	If after Bid opening the Bidder (a) withdraws his bid during the period of Bid validity specified in the Letter of Bid; or (b) does not accept the correction of the Bid Price pursuant to ITB 36;  If the Bidder having been notified of the acceptance of his bid by the Employer during the period of Bid validity:  (a) fails or refuses to execute the Contract Agreement in accordance with the Instructions to Bidders, if required; or  (b) fails or refuses to furnish the Performance Security and the Environmental, Social, Health and Safety (ESHS) Performance Security, in accordance with the Instruction to Bidders.

<sup>15</sup> Insert name of the Bidder, which in the case of a joint venture shall be (a) the name of the joint venture that submits the bid if the JV has been constituted into a legally enforceable JV, or (b) the names of all future partners of the JV as named in the letter of intent to execute the JV Agreement submitted by the bidder along with its bid.

\_

<sup>16</sup> The Bidder should insert the amount of the guarantee in words and figures denominated in Indian Rupees.

This figure should be the same as shown in Clause 20.1 of the Instructions to Bidders.

we undertake to pay to the Employer up to the above amount upon receipt of his first written demand, without the Employer having to substantiate his demand, provided that in his demand the Employer will note that the amount claimed by him is due to him owing to the occurrence of one or any of the four conditions, specifying the occurred condition or conditions.

This Guarantee will remain in days after the deadline for substitution of the Bidders or as it may be extend is hereby waived. Any demand than the above date.	mission of Bids as suc led by the Employer, 1	h deadline is notice of wh	s stated in the Inst nich extension(s) to	o the Bank
DATE	SIGNATURE	OF	THE	BANK
WITNESS	SEAL			
[signature, name, and address]				

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

<sup>&</sup>lt;sup>17</sup> 45 days after the end of the validity period of the Bid.

### **Manufacturer's Authorization**

[The Bidder shall require the Manufacturer to fill in this Form in accordance with the instructions indicated. This letter of authorization should be on the letterhead of the Manufacturer, should be signed by a person competent and having Power of Attorney to sign documents that are binding on the Manufacturer, and shall be registered or notarized so as to be legally enforceable. The Bidder shall include it in its Bid, if so indicated in the **BDS**.]

to be legally enforceable. T	the Bidder shall include it in its Bid, if so indicated in the <b>BDS.</b> ]
	Date: NCB No.:
To:	
WHEREAS	
We	[insert: name of Manufacturer], who are official manufacturers
	[insert: name and/or description of the EWDS & equipment], [insert: address of factory], do hereby
authorize	[insert: name & address of Bidder] to submit a bid the
purpose of which is	to provide the following goods, manufactured by us
applies], and to subsequent reference number of Invita	_ [list: items for which the Manufacturer's Authorization tly negotiate and sign the Contract against IFB [insert: title and ation for Bids].
	I guarantee and warranty in accordance with Clause 27 of the espect to the goods offered by the above firm.
into a formal relationship in defects liability) we, the M staff fully available to the	t we and, [insert: name of the Bidder] have entered in which, during the duration of the Contract (including warranty / anufacturer or Producer, will make our technical and engineering technical and engineering staff of the successful Bidder to assist e and best effort basis, in the performance of all its obligations to intract.
For and on behalf of the Ma	anufacturer
Name:	
Title:	
Duly authorized to sign this	Authorization on behalf of:
Dated on da	y of,

	Form
oiact)	

(Name of the Project)

(Declaration regarding tax/duty exemption for EWDS / Goods/ Equipment bought for the work)

(Bidder's Name and Address)	
	To:(Name of the Employer & address)
Dear Sir:	
Re: [Name of Work]	
Certificate for Import/Procurement	nt of EWDS / Goods/ Equipment
Government Order/Circular Number under w	hich tax/duty Exemption is being sought:

- 1. We confirm that we are solely responsible for obtaining tax/duty waivers which we have considered in our bid and in case of failure to receive such waivers for reasons whatsoever, the Employer will not compensate us.
- 2. We are furnishing below the information required by the Employer for issue of the necessary certificates in terms of the Government of India's relevant Notifications.
- 3. The EWDS / Goods/ Equipment for which certificates are required are as under:

		1		1	1	,
Items	Make/	Capacity	Quantity	Value	State whether	Remarks
(modify the	Brand	[where			it will be	regarding
list suitably	Name	applicable]			procured	justification for
for each		11 -			locally or	•
specific					imported [if so	1
work)*					from which	
"OIK)					country	III WOIRD.
					[Country]	
<b>EWDS</b>						
[a]						
[b]						
[c]						
Goods						
Equipment	•	•	•	•	•	

- 4. We agree that no modification to the above list is permitted after bids are opened.
- 5. We agree that the certificate will be issued only to the extent considered reasonable by the Employer for the work, based on the Employer's Requirements and the construction program and methodology as furnished by us along with the bid.
- 6. We confirm that the above EWDS, goods and equipment will be exclusively used for the construction of the above work and the construction equipment will not be sold or otherwise disposed of in any manner for a period of five years from the date of acquisition.

Date:	(Signature)
Place:	(Printed Name)
	(Designation)
	(Common Seal)

[This certificate will be issued within 60 days of signing of contract and no subsequent changes will be permitted.]

\* Modify the above to suit the requirements given in Government of India's Notifications as current of date of bidding.

### Letter of Bid - Financial Part

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid – Financial Part on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

			NCB No.:					
	Invitation for Bid No.:Alternative No <sup>18</sup> .:							
То			Alternative No <sup>16</sup> .:					
10.								
	the undersigned, herebedules. This accompanie	-	-	Bid Price and Price				
In sı	ıbmitting our Bid, we m	ake the following add	ditional declarations:					
(a)	date fixed for the bid	submission deadline inding upon us and	in accordance with the may be accepted at	e Bidding Document,				
(b)		•	and					
(c)	The discounts offered	and the methodology	for their application are	e:				
(d)	the Bidding process Recipient, its full addr	or execution of the tess, the reason for w	mmissions, gratuities, of Contract: [insert complete commission or gratuit]	or gratuity was paid				
	Name of Recipient	Address	Reason	Amount				

<sup>&</sup>lt;sup>18</sup> insert identification No. if this is a Bid for an alternative

Name	In the capacity of
Signed	
Duly authorized to sign** the bid for and on	behalf of

Dated on \_\_\_\_\_\_, \_\_\_\_\_,

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<sup>\*\*:</sup> Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid. In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder.

# **Appendix to Financial Part**

# Schedule No. 1. EWDS supply with 2 years warranty

Item cate gory	S No	Item	Quant ity	Unit	At site unit Price	Taxes payabl e as per line item (in accord ance with ITB 17.5 (b) (ii)	At site Total price = (4)X {(6) +(7)}
1	2	3	4	5	6	7	8
OMR Radio	1	DMR handheld terminal including WPC license, Software for programming, one spare battery, charger, installation and any other necessary item	17	Nos			
DMR	2	DMR Fixed Station including WPC license, Software for programming, power supply unit, installation and any other necessary item	9	Nos			
Sate Ilite	3	SBMDV satellite terminals/Sat Com including WPC license, charger, installation and any other necessary item	2	Nos			
com m.	4	Satellite handset with external antenna & cable including WPC license, installation and any other necessary item	9	Nos			
em	5	Bi directional or Omni Horn speaker of range minimum 1 Km (minimum 65 dB) with strobe light including mounting arrangement	51	Nos			
yst	6	Remote Terminal Unit (RTU)	51	Nos			
Siren system	7	SIM Card for RTU	51	Nos			
Sir	8	High end PC with Speaker and mic for voice communication with 2 nos. of communication interface- 1 for GSM Modem and other for Ethernet (1+1	2	Nos			

Item cate gory	S	Item	Quant ity	Unit	At site unit Price	Taxes payabl e as per line item (in accord ance with ITB 17.5 (b) (ii)	At site Total price = (4)X {(6) +(7)}
		standby mode)					
	9	GIS base Software to control & monitor siren system remotely (1+1 hot standby mode) + 15 digitized pre recorded voice message.	2	Nos			
	10	Hardware based (Software embedded) alert activation having display for alert siren triggering remotely	7	Nos			
	11	All electronic equipment earthing	52	Nos			
	12	Smart TV (55") with necessary accessories for multipurpose usage display screen (from PC) /Video conferencing.	1	Nos			
	13	Spun Concrete Tower-15 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	25	Nos			
Towers	14	Spun Concrete Tower-20 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	13	Nos			
	15	Monopole 5 to 10 meter on roof top for Fixed station RF antenna and Siren for Cyclone Shelter including other necessary items like Earthing, aviation light, lighting arrester etc	22	Nos			
Power	16	Supply and installation of UPS-x KVA (10 KVA) with minimum two hours battery backup and any other necessary item required	1	Nos			

Item cate gory	S No	Item	Quant	Unit	At site unit Price	Taxes payabl e as per line item (in accord ance with ITB 17.5 (b) (ii)	At site Total price = (4)X {(6) +(7)}
	17	Supply and installation of UPS-x KVA (5 KVA) with minimum two hour battery back-up and any other necessary item required	20	Nos			
	18	Power cable for UPS and DG sets	2100	RM			
	19	Supply and installation of DG-set x KVA (10 KVA) with any other necessary item required	1	Nos			
	20	Supply and installation of DG-set x KVA (5 KVA) with any other necessary item required	8	Nos			
	21	Laptops (less than 1.5 Kg) with 15" monitor pre loaded OS	10	Nos			
	22	Work Stations with 19 " LCD Monitor (OS and Anti Virus) with Head Phone, Webcam, Microphone, Keyboard, Mouse	10	Nos			
setup	23	MS Office for Desktop	10	Nos			
Office so	24	Modular Furniture (1 table + 1 chair) of Godrej/ Featherlight/Wipro make	29	Nos			
0	25	LED/Plasma TV (55") with set-top box for News Feed	22	Nos			
	26	Printer + Scanner	9	Nos			
	27	2 ton Split Air Conditioner	22	Nos			
	28	Fire Extinguisher	22	Nos			
	29	Supply and installation L2 Switch 24 port	2	Nos			
	30	Supply and installation L2 Switch 12 port	19	Nos			
	31	Supply and installation Patch panel 24 port	21	Nos			
LAN	32	Supply and installation of LAN Cable with all necessary materials	2100	RM			
	33	Supply and installation 42 U Server Rack (19" width)	2	Nos			

Item cate gory	S No	Item	Quant	Unit	At site unit Price	Taxes payabl e as per line item (in accord ance with ITB 17.5 (b) (ii)	At site Total price = (4)X {(6) +(7)}
	34	Supply and installation 9U Server Rack (19" width)	19	Nos			
UCI	35	Universal Communication Interface (UCI)	2	Nos			
	TOTAL (to Schedule No. 5. Grand Summary)						
		Name of Bidde Signature of Bidde		_			
<sup>1</sup> All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.							

# **Appendix to Financial Part**

# Schedule No. 2. Installation and commissioning including manpower and training

Item cate gory	S No	Item	Quant ity	Unit	Taxes payable as per line item (in accordan ce with ITB 17.5 (b) (ii)	At site unit Price	At site Total price = (4)X {(6)+(7)}
1	2	3	4	5	6	7	8
DMR Radio	2	DMR handheld terminal including WPC license, Software for programming, one spare battery, charger, installation and any other necessary item  DMR Fixed Station including WPC license, Software for programming, power supply unit, installation and any other necessary item	9	Nos			
Sate Ilite	3	SBMDV satellite terminals/Sat Com including WPC license, charger, installation and any other necessary item	2	Nos			
com m.	4	Satellite handset with external antenna & cable including WPC license, installation and any other necessary item	9	Nos			
Siren system	5	Bi directional or Omni Horn speaker of range minimum 1 Km (minimum 65 dB) with strobe light including mounting arrangement	51	Nos			
Sire	6	Remote Terminal Unit (RTU)	51	Nos			
	7	SIM Card for RTU	51	Nos			

Item cate gory	S No	Item	Quant	Unit	Taxes payable as per line item (in accordan ce with ITB 17.5 (b) (ii)	At site unit Price	At site Total price = (4)X {(6)+(7)}
	8	High end PC with Speaker and mic for voice communication with 2 nos. of	2	Nos	(-7(-7		,,
		communication interface- 1 for GSM  Modem and other for Ethernet (1+1  standby mode)					
	9	GIS base Software to control & monitor siren system remotely (1+1 hot standby mode) + 15 digitized pre recorded voice message.	2	Nos			
	10	Hardware based (Software embedded) alert activation having display for alert siren triggering remotely	7	Nos			
	11	All electronic equipment earthing	52	Nos			
	12	Smart TV (55") with necessary accessories for multipurpose usage display screen (from PC) /Video conferencing.	1	Nos			
Towers	13	Spun Concrete Tower-15 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	25	Nos			
	14	Spun Concrete Tower-20 meter including other necessary items like Earthing, aviation light, lighting arrester etc. with Equipment room/Hut beside the tower at a height of 3 mtr.	13	Nos			
	15	Monopole 5 to 10 meter on roof top for Fixed station RF antenna and Siren for Cyclone Shelter including other necessary items like Earthing, aviation light, lighting arrester etc	22	Nos			
Power	16	Supply and installation of UPS-x KVA (10 KVA) with minimum two hours battery	1	Nos			

Item cate gory	S No	Item	Quant ity	Unit	Taxes payable as per line item (in accordan ce with ITB 17.5 (b) (ii)	At site unit Price	At site Total price = (4)X {(6)+(7)}
		backup and any other necessary item required					
	17	Supply and installation of UPS-x KVA (5 KVA) with minimum two hour battery back-up and any other necessary item required	20	Nos			
	18	Power cable for UPS and DG sets	2100	RM			
	19 Supply and installation of DG-set x KVA (10 KVA) with any other necessary item required		1	Nos			
	20	Supply and installation of DG-set x KVA (5 KVA) with any other necessary item required	8	Nos			
	21	Laptops (less than 1.5 Kg) with 15" monitor pre loaded OS	10	Nos			
	22	Work Stations with 19 " LCD Monitor (OS and Anti Virus) with Head Phone, Webcam, Microphone, Keyboard, Mouse	10	Nos			
etuk	23	MS Office for Desktop	10	Nos			
Office setup	24	Modular Furniture (1 table + 1 chair) of Godrej/ Feather light/Wipro make	29	Nos			
0	25	LED/Plasma TV (55") with set-top box for News Feed	22	Nos			
	26	Printer + Scanner	9	Nos			
	27	2 ton Split Air Conditioner		Nos			
	28	Fire Extinguisher	22	Nos			
	29	Supply and installation L2 Switch 24 port	2	Nos			
_	30	Supply and installation L2 Switch 12 port	19	Nos			
LAN	31	Supply and installation Patch panel 24 port	21	Nos			
	32	Supply and installation of LAN Cable with all necessary materials	2100	RM			

Item cate gory	S	Item				Unit	Taxes paya as poline is (in according to the text) according to the text of th	ble er tem rdan ith 7.5	At site unit Price	At site Total price = (4)X {(6)+(7)}
	33	Supply (19" wid		on 42 U Server Rack	2	Nos				
	34		Supply and installation 9U Server Rack (19"			Nos				
UCI	35	Univers	al Communio	cation Interface (UCI)	2	Nos				
				<u> </u>	TOTAL (to	Schedule	e No. 5.	Grand	l Summary)	
				Name of Bidde	er					-
				Signature of Bidde	er					]
			<sup>1</sup> All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.							

Note: Bidder to consider all cost towards implementing ESHS and EMR as stated in IFB 11.2 (j)

## **Appendix to Financial Part**

# Schedule No. 3. O&M for 2 years including mandatory spare parts

Item	Description	Lots	Year 1 Price (1)	Year 2 Price (2)	Total Price Sum=(1)+ (2)
1	2	3	4	5	6
1	O&M for 2 years (when the system is under warranty) TOTAL (to Schedule	1 No. 5. Gran	d Summa	ary)	
				•	Name of Bidder
	Signature of Bidder				
	All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.				

# Appendix to Financial Part Schedule No. 4. AMC for 3 years after 2 years of O&M

Item	Description	Lots	Year 3 Price (1)	Year Price (2)	4	Year 5 Price (3)	Total Price Sum=(1)+ (2)+ (3)
1	Siren System and the Network	1					
2	Towers and poles	1					
2	DMR communication equipments with accessories	1					
3	SBMDVT	1					
3	Office setup	1					
4	Power bank	1					
	TOTAL (to Schedule No. 5. Grand Summary)						
	Name of Bidder						
	Signature of Bidder						
	All rates and prices shall be specified in Rs. only in accordance						
	with ITB 18.1.						

# Appendix to Financial Part Schedule No. 5. Grand Summary

Item	Description	Total Price <sup>1</sup>
	Total Schedule No. 1. EWDS supply	
	Total Schedule No. 2. Installation and commissioning including manpower and training	
	Total Schedule No. 3. O&M for 2 years including mandatory spare parts	
	Total Schedule No. 4. AMC for 3 years after 2 years of O&M	
	TOTAL (to Letter of Bio	d)
	Name of Bidd	er
	Signature of Bidd	

 $<sup>^{1}</sup>$  All rates and prices shall be specified in Rs. only in accordance with ITB 18.1

### **Section V. Eligible Countries**

## Eligibility for the Provision of Goods, Works and Non-Consulting Services in Bank-Financed Procurement

In reference to ITB 4.8 and 5.1, for the information of the Bidders, at the present time firms, goods and services as per from the following countries are excluded from this Bidding process:

Under ITB 4.8 (a) and 5.1: None

Under ITB 4.8 (b) and 5.1: None

## **PART 2 – Employer's Requirements**

## Section VI. Employer's Requirements

# Scope of Design of EWDS, Supply and Installation commissioning and Services by the Contractor

### **Specifications**

#### A. Introduction

#### 1.0 Business Requirements to Be Met by the System

Component A of the NCRMP project addresses a key part in relation to the Early Warning Dissemination to Coastal Communities. The benefits from the National Network would be realized only if it leads to action on the field in the Last Mile. The ability to reach Citizens would enable dissemination of warnings and information that would enable minimizing the loss from Cyclones.

The Last Mile Connectivity aims at information dissemination from the state, District and Taluka Levels to Communities, ensuring that the Last Man living nearest to the sea is well informed of actions to take in case of an upcoming Cyclone.

It is envisaged that Last Mile Connectivity will be achieved by equipping different Technologies viz. Digital Mobile Radio (DMR), Satellite mobile phone, Satellite Based Mobile Data voice Terminal (SBMDV), Alert Tower Siren Systems etc at SEOC, DEOC and TEOC and beach locations such that the warnings about the upcoming cyclones can be disseminated to Coastal Communities of Goa in an effective manner.

An integrated technical approach is to be considered for early warning dissemination system. All the modern communication technologies are to be utilized and integrated. The selected technologies should be robust, redundant and can be used for different applications and Groups.

#### 1.2 Functional Performance Requirements of the System

The objective of the project is to disseminate the emergency warnings well in time by the authorities to the community so that an effective evacuation plan can be put in place thus minimizing damage and casualty that may have been taken place otherwise due to cyclone. The said objective can be achieved effectively by establishment of Emergency Operation Centers (EO0043) at different levels like State (SEOC), District (DEOC) and Talukas (TEOC) so as to have operation, monitoring and control at different level at time of any disaster event. SEOC is placed on top of the hierarchy structure followed by DEOC and TEOCs. Preparatory works based on cyclone message as received from IMD (Indian Meteorology Department) and or Indian National Centre for Ocean Information Services (INCOIS) are to be carried out in and through the EOCs so that the same reaches till the last mile. EOCs will be playing the key role and needs to be equipped sufficiently so that at time of crisis things goes smoothly.

In case of Goa where there are 2 districts we have SEOC and North DEOC co located.

#### 1.2.1 Pre Cyclone Phase

Activities to be carried out from EOCs during pre cyclone stage are

- ➤ Warning through Alert siren All the beaches of Goa are proposed to have electronic siren which are to be triggered remotely from EOCs so that people on and near the beach area get the warning message. Such message may be in terms of hooting sound, pre recorded announcement and live voice message. The SEOC (software base solution) and TEOC's (Software base/hardware base having embedded software solution) will be enabled with such facilities. Salient points of alert siren system shall be:
  - Electronic siren
  - GUI interface with security features for triggering of the siren
  - Facility- Hooting, pre recorded voice and live voice message and strobe light
    - Multi language facility
    - Remote triggering through GSM-GPRS and Ethernet
    - Workable on both battery and grid power
    - Shall be dust and water resistance
    - Generating activity log details
    - Mounted on 20 meter or 15-meter-wide base angular tower
    - Tower wind speed capability minimum 240 Km per hour

Mono pole concrete towers on beaches are required to be constructed at locations identified through survey (details given in Clause D- Site tables, of the section). Such towers will host the siren (speakers) on top of it. Beside the tower one elevated (3 mtrs from ground) small equipment room/hut structure (2\*2\*2 mtrs) has to be created so that equipments like RTU (Radio Terminal Unit), VPN connectivity etc will be kept in the room. The room shall be well ventilated and secure. Total base area for construction will be of 4\*3 mtr.

Design of the tower along with the elevated equipment room/base hut will be done by the implementation agency. Before construction of tower the selected agency need to submit a copy of the structural design approved by IIT Roorkee/Chennai or from Structural Design Institute to Goa state Government

#### 1.2.2 Post Cyclone Phase

Need for communication, be it one to one or one to many are the prime requirement under post cyclone phase. At pre cyclone phase the existing communication network (mobile/landline or any other) shall be available for use whereas after the cyclone normal communication network may be down. In post disaster scenario it is the disaster management team who need to be active with various activities such as search and rescue, food supply, medical help, transportation etc. Enabling the working force to have

communication facility with higher officials and among close group is essential. In the post disaster scenario broadly two levels of communication will be required – disaster management (administrative) - Level 1 and the ground operations - Level 2.

- Satellite communication (not part of the tender) Satellite mobile communication is the most suitable communication mode due to its wide (global) coverage area. Satellite phones will be under SEOC and DEOC and will be used in field work in a responsible manner. Satellite mobile will be mostly used by level 1 official. Salient points of Satellite phone system are:
  - Calls can be limited within country
  - OPEX is high due to high call rates both outgoing and incoming
  - Mobile service available under open sky (more than 70% open sky)
  - Mobile coverage –throughout the world [INMARSAT]
  - Conference call (up to 5 persons) is possible on satellite network

In May 2017 BSNL has setup INMARSAT gateway at Ghaziabad for mobile satellite communication and is the only service provider in India. Now that the gateway is in India the calls can be monitored for security.

Selected vendor will facilitate Goa state Government in purchasing the service from BSNL and in this regard state will support the vendor through filling up of necessary forms. For the project duration (2 years) all the expense (CAPEX and OPEX) are to be borne by the vendor and any amount over and above the minimum service charge will be paid by the state. For minimum value for the service refer BSNL web-site.

➤ SBMDVT (Satellite based mobile data and voice terminal) is required to have instant data communication from place of disaster location. Such devices are required to establish communication link with satellite 'on the go' and be capable of sending photos of remote site/ disaster site instantaneously. Typical bandwidth required is approx. less than 500 Kbps. Such devises require license to operate and the same is issued by DoT. SBMDVT is proposed to be with DEOC.

Selected vendor will facilitate Goa state Government in purchasing the service and in this regard state will support the vendor through filling up of necessary forms. License issues along with any other statutory clearance required has to be taken up by the vendor. For the project duration (2 years) all the expense (CAPEX and OPEX) are to be borne by the vendor and any amount over and above the minimum service charge will be paid by the state.

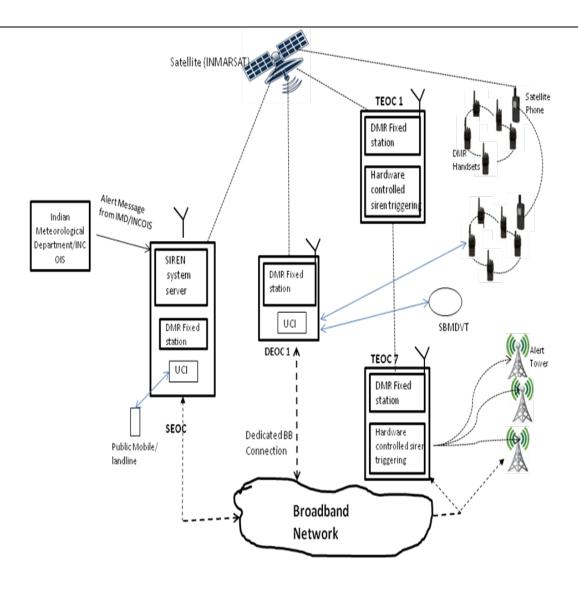
➤ **Digital Mobile Radio (DMR) Handset** - Level 2 communication is envisaged through DMR handset working in always 'ON mode'. Always 'ON mode' is essentially a broadcast call where instructions can be passed to the relevant person with all others in the group knowing what is happening. The handsets are to be of minimum 5-watt power working in both VHF and UHF band

Functionally two communication mode gets into work simultaneously- Satellite and VHF/UHF communication. Satellite communication gives wide area coverage while VHF/UHF provides always on broadcast feature within short area (typically around 5 Km).

Intra group communication within one level 2 groups shall be achieved through DMR handsets. Communication between level 1 and level 2 and inter group communication between two different level 2 groups may be achieved via satellite phone. Each working group supervisor will be having a satellite phone in addition to DMR handset and the satellite phones will serve the purpose of back end communication between ground team and officials managing the disaster. DMR hands set are proposed to be in all the EOC locations in varying quantity as given in BoQ.

Selected vendor will facilitate Goa state Government in purchasing the DMR handset service and in this regard state will support the vendor through filling up of necessary forms in regard to license issues. License issues along with any other statutory clearance required has to be taken up by the vendor. For the project duration (2 years) all the expense (CAPEX and OPEX) are to be borne by the vendor.

The proposed architecture diagram is shown below:



#### > Universal Communication Interface:

The UCI shall enable for communication between different technologies like Analog/VHF/HF/TETRA/HAM Radios/DMR /Sat phones/Mobile Phone Mobile Cellular -CDMA/Landline PSTN Telephone / VoIP Telephone. The UCI shall ensure interoperability and Voice conferencing among the above mentioned devices.

#### Other supporting infrastructure for EWDS project, Goa

#### a. LAN cabling and Internet Bandwidth

VPN connectivity and static IPs (as required) in all locations will be made available

by the state Government For Internet/Intranet bandwidth State will purchase the same separately. Internet/Intranet bandwidth is not part of the tender.

Setting up of LAN network in all EOC location needs to be done by the vendor in order to establish the required Internet/Intranet network for the solution to work.

#### b. Office Automation

Office automation includes furniture, AC and other preparatory works that are required to be done by the vendor. State Government will support by giving adequate room with a power point. Set up of UPS, DG set, power panel, earthing etc are to be done by the bidder. Internal wiring as required are to be done by the vendor as per the need. Security of the rooms will be taken care by the state Government

#### c. Statutory clearances

CRZ (Coastal Regulation Zone) clearances are required from the Ministry of Environment and Forests in order to have construction of towers on the beaches areas. Environment impact assessment study is required to be done and submitted to the ministry for obtaining CRZ certificate. Vendor will be provided the necessary CRZ clearance by the state for tower construction on the beaches.

For use of Radio equipments licenses from WPC (Wireless Public cell) a unit of DoT (Department of Telecommunication) is required. Frequencies required for operation of handsets along with no. of radio equipment are to be submitted along with onetime fee in order to obtain import license. In addition a yearly fee is charged under royalty head based on the number and type of equipment in use. In case of Goa we will require DMR handsets and DMR fixed station.

Selected vendor has to get all type of statutory clearances (except CRZ and ROW for VPN connectivity) from the competent authority and the state will only facilitate the vendor by filling up of forms as and when required. Any cost involved will be paid by the vendor for such activities till the project period (2 years).

#### B. TECHNICAL SPECIFICATIONS

#### 2.0 General Technical Requirements

- 2.0.1 The Products/equipment must be brand new, industry-grade of state-of-the art technology and the service should guard against technology obsolescence for at least 5 yrs after the complete contract period. The Products /equipment supplied under this contract shall conform to the Technical Specifications given in this tender
- 2.0.2 **Electrical Power:** All active (powered) equipment must operate on, e.g., 220v +/-20v, 50Hz +/- 2Hz]. All active equipment must include power plugs standard in India.

#### 2.0.3 **Environmental:**

#### **Specifications for Environmental Conditions**

The purpose of this section is to describe the minimum general equipment characteristics and specifications for environmental conditions, source power conditioning and backup, equipment construction, and installation. The section also highlights the Electro Magnetic Compatibility (EMC) guidelines for equipment that will be operated under the Electro Magnetic Interference (EMI) and Electro Static Discharge (ESD) conditions expected in High Voltage (EHV) power system environment.

#### **Environmental Conditions**

Equipment and their components provided under this specification shall operate reliably under the following environmental conditions.

#### **Temperature and Humidity**

Most of the equipment will not be installed in environmentally controlled shelters. Therefore, equipment shall operate in accordance with the limits shown below:

Temperature Range:	(Un Controlled
To Specification	Environment)
Operation without damage	0 to +45°C
Shipping/storage	-10 to+55°C
	-40 to+60°C
Relative Humidity, non-	Up to 95%
condensing	
Elevation:	
Operating	to 3,500m
Non-Operating	to 10,000m

For each location, the Contractor is required to assess the environmental conditions

for the equipment to be installed under this specification. The Contractor is responsible for all necessary enclosure, rack or equipment upgrades to ensure the proper operation of the installed equipment. Goa being near the sea hence salty weather condition will prevail throughout the year. Equipments and infrastructure should be designed to work in such condition.

#### **Tropicalization**

Communications equipment will often be stored and operated in uncontrolled environment areas and will be subject to mould, growth of fungus, corrosion and oxidation. The equipment and components shall be suitably tropicalized during manufacture through commissioning, as necessary.

#### **Contaminants**

Communications equipment may be located in areas of poor air quality with the main contaminant being dust. Cabinets shall be tight fitting utilizing filtered ventilation openings only.

#### 2.0.4 **Safety:**

#### **Safety Precautions:**

All personnel need to be issued necessary PPE (Personnel Protection Equipment) and suppose to wear all times on site. Special PPE is proposed to be issued to personnel in the field as necessary in hazardous locations following site instructions.

It is proposed that all staff in the field area must wear following safety equipments at the minimum in field area-

- Safety helmet
- Eye protection
- Protective clothing
- Safety Shoes

It is proposed that all personnel will be made aware of the client's site safety rules regarding safety, accident reporting, and site emergency procedures. These safety precautions need to be followed in strict compliance by personnel in the field area.

Warning and restricted area notices (e.g. - "Deep Excavation," "Exit", "Restricted area" etc.) will be positioned at all the working points in the field. All routes will be designated for the entry and exit points and emergency exits will be clearly shown.

Unless otherwise specified, all equipment must operate at noise levels no greater than 55 decibels.

#### **EMI and Electrostatic Interference**

At each location, the Contractor shall assess the need for shielding against radiated emissions and shall provide recommended solutions for any EMI problem found at each location. All electronic equipment that emits electromagnetic energy must be certified as meeting EN 55022 and EN 50082-1 or equivalent, emission standards.

#### 2.1 Hardware Specifications

#### 2.1.1 Digital Mobile Radio:

#### 2.1.1.1 Scope of work:

The Goa state Government desires to have ETSI standard DMR handsets and Fixed Stations in all the EOCs. The handsets will work in closed group standalone mode. 2 set of programmable software along with data cables will be required for group formation and other related activities.

Bidder is required to liaison with DOT and other Wireless Agencies for acquiring the License and other approvals. State Government will facilitate the vendor through necessary forms and authorization letter in obtaining the approvals.

Vendor has to Supply, Installation, testing, commissioning and maintain all the DMR equipment along with the software for 2 years under warranty and 3 years under AMC from the date of Successful Operational Acceptance.

#### 2.1.1.2 DMR handset (VHF, Tier-II) - Technical Specifications

DMR handset should comply with all the ETSI standards. Below given some of the technical specifications:

S/NO	PARAMETER	SPECIFICATION
1	General	
	i) Frequency Range	136 to174 MHz (full band)
	ii) TDMA	2– Slot
	iii) Channel Capacity	255 or more(for display) 16 Channels (non-display)
	iv) Channel spacing	12.5KHz
	v) Battery capacity &Voltage	2500 mAh or more capacity & 7.4V(rated) with Lithium –rechargeable battery.
	vi) Average battery life for 5/5/90 duty cycles	Digital: 10 hrs or more & Analog: 8 hrs or more
	vii) Frequency stability	+ 0.5PPM or better
	viii) Antenna Impedance	50 Ω
	ix) Weight	Less than 500 gm with battery

S/NO	PARAMETER	SPECIFICATION		
	x) Single Unit Charger and adopter	to be provided with all radio		
	xi) One Li-Ion spare battery of 25	500 mAh or more capacity to be provided with all		
2	Transmitter			
	i) RF Power output	1 to 5 Watts (programmable)		
	ii) Digital Modulation	4 FSK		
	iii) Modulation Limiting	+2.5KHz		
	iv) FM Hum Noise	-40 db or better		
	v) Adjacent Channel power	-60 db or better		
	vi) Audio Response	+1, -3db		
	vii) Digital Vocoder	AMBE+2		
3	Receiver			
	i) Sensitivity (Analog)	0.30 μV (12 db SINAD) or better		
	ii) Sensitivity(digital)	0.30 μV at 5% BER or better		
	iii) Adjacent Channel Selectivity	60dborbetter		
	iv) Inter-modulation	70dbor better		
	v) Audio output	Minimum 1Watt		
	vi) Audio Distortion	3% or better		
4	ENVIRONMENTAL SPECIFICA	ATION		
	i) Operating Temperature	-30°Cto+55°C		
	ii) Storage Temperature	-30°Cto+60°C		
	iii) Humidity	95% non-condensing (30 to 60 deg C)		
	iv) Vibration	MIL-STD-810F/G		
	v) Shock	MIL-STD-810F/G		
	vii) Dust	MIL-STD-810F/G		
	viii) Water intrusion	MIL-STD-810F/G / IP 67		
	ix) Salt	MIL-STD-810F/G		
	x) Rain	MIL-STD-810F/G		

#### **Feature wise Configuration:**

- 1. Simple press to talk
- 2. Low battery alert
- 3. Continuous Tone Coded Squelch System(CTCSS)
- 4. Operation–analog and digital capability
- 5. DTMF signaling
- 6. Should have 3-line display and full DTMF key pad
- 7. Busy Channel Lockout
- 8. Selective call Decode
- 9. Capable to kill/un-kill
- 10. Capable of VOX hand free operation
- 11. Chanel Scanning with call quieting facility

- 12. Emergency SOS/ SIREN
- 13. Talk around Mode
- 14. Automatic Number Identification(ANI)
- 15. Text messages (minimum 300 character) and pre defined message
- 16. Should have built-in GPS feature with following specifications:

Time to First Fix(TTFF) cold start:<2 minutes

Time to First Fix(TTFF) hot start:<20 seconds

Horizontally accuracy:<10 meters

- 17. Programming through in built Bluetooth as no provision for programming cables
- 18. In-built Voice recording facility for at least 10 messages of 1 minute each

#### 2.1.1.3 DMR Fixed Station Radio (VHF, Tier-II)

DMR handset should comply with all the ETSI standards. Below given some of the technical specifications:

S/NO	PARAMETER	SPECIFICATION		
1	General			
	i) Frequency Range	136to174 MHz (full band)		
	ii) TDMA	2–Slot		
	iii) Channel Capacity	255 or higher		
	iv) Channel spacing	12.5KHz		
	v) Operating Voltage	10.8to15.6V DC		
	vi)Frequency stability	+0.5PPMorbetter		
	vii) Antenna Impedance	50 Ω		
	viii) Communication interface	Ethernet/USB		
	ix) Weight	Less than 2kg		
	x) Display	Alphanumeric		
	xi) VSWR	Better than 1.5		
	xii) Protection	Reverse polarity and High VSWR		
	xiii) DTMF	2 Tone/5 tone		
2	Transmitter			
	i) RF Power output	5 to 25 Watts (programmable)		
	ii) Digital Modulation	4FSK		
	iii) Modulation Limiting	+2.5KHz		
	iv)FM Hum & Noise	-40 db or better		
	v) Adjacent Channel Power	-60 db or better		
	vi) Audio Response	+1,-3db		
	vii) Digital Vocoder	AMBE+2		
3	Receiver			
	i) Sensitivity (Analog)	0.30 µV (12 db SINAD) or better		
	ii) Sensitivity (digital)	0.30 µV at 5% BER or better		

S/NO	PARAMETER	SPECIFICATION		
	iii) Adjacent Chanel Selectivity	60dborbetter		
	iv) Inter-modulation	70db or better		
	v) Audio output	Minimum 3 Watts		
	vi) Audio Distortion	3% or better		
4	ENVIRONMENTAL SPECIFICATI	ON		
	i) Operating Temperature	-30° C to +55° C		
	ii) Storage Temperature	-30 ° C to +60 °C		
	iii) Humidity	95% non-condensing (30 to 60 deg		
	iv) Shock	MIL-STD-810F/G		
	v) Vibration	MIL-STD-810F/G		
vi) Dust MIL-ST		MIL-STD-810F/G		
	vii) Water intrusion	IP 54		
	viii) Salt	MIL-STD-810F/G		
	ix) Rain	MIL-STD-810F/G		

#### **Feature wise Configuration:**

- 1. Simple press to talk
- 2. Continuous Tone Coded Squelch System (CTCSS)
- 3. Timeout Timer (TOT)
- 4. All features of configuration VHF
- 5. DTMF signaling
- 6. Busy Channel Lock out
- 7. Selective call decodes
- 8. Capable to kill/un-killed
- 9. Chanel Scanning with call quieting facility
- 10. Emergency SOS/SIREN
- 11. Automatic Number Identification (ANI)
- 12. Talk around Mode
- 13. Should have built-in GPS feature with following specifications:
  - i. Time to First Fix (TTFF) cold start: <2minutes
  - ii. Time to First Fix (TTFF) hot start: <20 Seconds
  - iii. Horizontally accuracy :< 10 meters

#### EMR sign board

In confirmation to ITB 11.2 (j) (2) following precaution board are to be installed at the tower and radiating sites.

**Technical Specifications:** 

Material Cold Roll Iron

Size 3 feet (Height) X 2 feet (Width) X 1mm (Thickness)

Rust Proof Coating Red Oxide both side to prevent rusting

Background Paint White (Single side)

Content Color code 2 colors. Black and Red or Yellow

Printing Stencil/ Machine printing

Fixing 2 holes at each corner for fixing/hanging

Boundary All sides to be folded to avoid shard edge finish.

All the painting shall be done by spray machine and should have very smooth surface finish. The paints should be repute make oil paint of good quality. Red and Yellow should be glossy so that the same is clearly visible and identifiable from distance.

The sign board specimen showing the different color code is enclosed herewith



#### 2.1.2 Satellite Based Mobile Voice Data Terminal:

#### 2.1.2.1 Scope of work:

Satellite Based Mobile Voice Data Terminal (SBMDV) terminals are compact and lightweight. They can be carried as easily as a laptop, with the smallest weighing less than 6 kilograms. SBMDV shall be accessible worldwide. It delivers voice and broadband data connectivity to users wherever they are on the planet. SBMDV services shall be delivered via the Inmarsat-4 network. The service is accessed via SBMDV Launch Pad, a software interface, which is standard across all SBMDV terminals. SBMDV is accessible via a range of small, lightweight terminals, which provide performance options to suit different operational needs. They can be used both indoors and outdoors, being robust enough to withstand challenging environments and extremes of temperature.

Integrating seamlessly with your corporate network, it supports legacy applications while providing a smooth upgrade path to IP.

SBMDV should meet these exacting requirements and supports all major VPN products and encryption standards.

Bidder is required to liaison with DOT and other Wireless Agencies for acquiring the License and other approvals. State Government will facilitate the vendor through necessary forms and authorization letter in obtaining the approvals.

Vendor has to Design, Supply, Installation, testing, commissioning and maintain all the DMR equipment along with the software for 2 years under warranty and 5 years under AMC from the date of Successful Operational Acceptance.

The Bidder to ensure the availability of Gate way from any Service provider in India for procuring the services through INMARSAT for Satellite Data Voice Terminals

The bidder shall liaison with the Gateway Service provider to work out for the annual subscription plans.

### 2.1.2.2 SBMDV - Detailed technical specifications

S.	Particulars	Specification
No		
1	Simultaneou s voice and data	A single SBMDV terminal provides simultaneous voice and broadband data up to 464 kbps, enabling you to access the internet or send email, and talk on the phone at the same time.
2	Global coverage	SBMDV should be available across the globe, with the exception of the extreme polar regions, providing connectivity wherever your business or operations take you.
3	Reliable network	SBMDV services shall be delivered via the Inmarsat 4 network, with 99.9 per cent satellite and ground network availability.
4	Reliable L-band service	extreme environmental conditions. Its L- band radio technology gives it a high degree of pointing tolerance even during strong winds or seismic activity.
5	Secure	SBMDV should meet military and government requirements for security and supports all major VPN products.
6	Totally flexible	Should be supporting the latest IP services, as well as traditional circuit-switched voice and data, SBMDV integrates seamlessly with corporate networks and legacy applications.
8	Streaming rate	SBMDV should provide a maximum throughput of 128 kbps Streaming.
10	Cellular failover	SBMDV shall also be used as a dependable back-up solution to Landline/cellular network.
11	Easy to use	SBMDV terminal should be flexible and easy to use in a moving vehicle also.
12	Interfaces	1 x Analogue RJ-11 phone/fax interfaces
		2 x LAN interface
		Connector type: RJ-45
		Power over Ethernet (PoE)
		Data rate: 10/100 Mbps
		DC power connector: DC power input connector with Remote
		on/off and locking mechanism.
		SIM-Card slot
13	Application	Telephone
	s:	Broadband Internet
		Push to Talk (Compatible)
		Email and file transfer
		Video conferencing
		Live video / audio, broadcasting
		WAN
		VoIP
		FTP
		Store and Forward Video

S.	Particulars	Specification Specification
No		P
14	Other	
	features:	
	Telephone	Phone book, message indication, restricted dialing and traffic
	Functionalit	logging.
	y	
15	Other	
	parameters:	G: (X W D) 41 (221 (272
	Transceiver	Approx Size: (H x W x D) 41 / 231 / 278 mm
	Dimensions	d: (II III D) 120 / (2010
	Antenna	Approx Size: (H x W x D) 128 / Ø349 mm
	Dimensions	
	Global	
	Services:	Chandand ID. Ha to ACA librar
	Data	Standard IP: Up to 464 kbps
	capabilities Voice	Streaming IP: 32, 64, 128 kbps
	capabilities	Standard voice: 4 kbps AMBE+2 Premium voice: 64 kbps (@elevation>45°)
	Text	160 characters
	capabilities	100 characters
	Weight:	Antenna weight: less than 4 kg (excl. magnets)
	vv eight.	Transceiver weight: less than 4 kg (excl. magnets)
	Temperature:	Transcerver weight, less than 2.5 kg
	Operational	-25 to +55°C / -13 to 131°F
	Survival	-40 to +80°C / -40 to 176°F
	Storage	-40 to +85°C / -40 to 185°F
	Relative	95% non-condensing at +40°C / +104°F
	humidity:	
	Power:	DC input range: 10.5-32VDC
		Power (max) @10.5-32V.: 150W (incl. antenna & PoE output)
	User	Power On/Off button. LED Status. Factory default reset. SBMDV
	Interface:	web interface.
	Languages:	UK
	Approvals:	CE, GMPCS, FCC, Inmarsat Land Class 11 Type Approval
		(Vehicular)
	Package	SBMDV transceiver
	(Scope of	SBMDV tracking antenna (magnetic mount)
	Supply):	Antenna cable, coax w/ TNC plug ≥ 2.6meters
		Ethernet Cable 2meters
		IP handset w/cradle & coiled cable
		Getting started kit: Quick start guide, CD with manuals
		12/24V DC input cable 3meters

#### NOTETOTHE BIDDER

1. The bidder has to pay the Airtime in prepaid format with minimum recharge of 1000 unit (with 1-year validity per year of operation) each year for two years. The purchaser shall reimburse for the extra Airtime utilized to the bidder after one year prepaid/postpaid validity expires / usage whichever is earlier.

#### 2.1.3 Satellite Mobile Handset (not Part of the bid)

#### 2.1.3.1 Scope of work:

In May 2017 BSNL has setup INMARSAT gateway at Ghaziabad for mobile satellite communication and is the only service provider in India. At present only Government departments are eligible for applying Satellite handset services. In this regard BSNL will act as a service provider to the purchaser of the service.

Selected vendor will facilitate Goa state Government in purchasing the service from BSNL and in this regard state will support the vendor through filling up of necessary forms. For the project duration (2 years) all the expense (CAPEX and OPEX) are to be borne by the vendor and any amount over and above the minimum service charge will be paid by the state. For minimum value for the service refer BSNL web-site.

#### 2.1.3.2 Technical specification:

As laid by BSNL. For details refer - http://or visit web site: <a href="http://www.bsnl.co.in/opencms/bsnl/BSNL/services/enterprises/GSPS.html">http://www.bsnl.co.in/opencms/bsnl/BSNL/services/enterprises/GSPS.html</a>. The handsets supplied should be along with antenna and necessary cable (of standard length) for indoor coverage. Provisions for fixing of antennas on roof top/outside wall of the buildings are to be made by the bidder. Such fixing arrangement may vary depending on the EOC location and building structure.

#### 2.14. Universal Communication Interface

#### Introduction

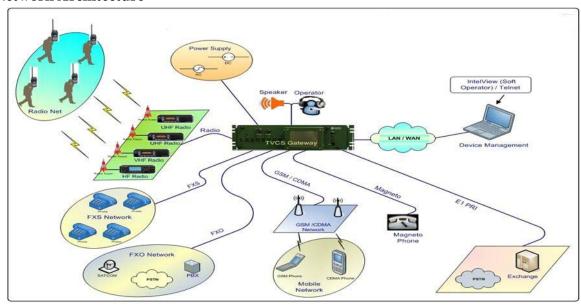
The Universal Communication Interface system utilizes the key concepts of interoperability, reliability, scalability and portability to ensure that personnel from different disciplines, jurisdictions, organizations and agencies are able to communicate with each other instantly. For example, communication between first responders such as police, disaster rescue forces, fire brigades that use wireless communication systems mostly equipment operating in Very High Frequency ("VHF") band and administrators on Cellular network or terrestrial satellite based Very Small Aperture Terminals ("VSAT"), should be possible with Voice over Internet Protocol ("VoIP") based Universal communication system.

#### 2.5.2 Scope of Work

Design, supply, delivery, installation, commissioning and maintenance (2 years under warranty and 5 years under AMC from the date of Operational Acceptance) of Universal Communication Interface at State Emergency Operation Center (SEOC).

The system should include universal communication interface based on common (e.g. VoIP) switching for voice call connectivity across various technology based equipments such as VHF radio, VSAT, VoIP calls on IP-VPN etc. The system should provide facility to interface communication technologies mentioned above for mainly voice intercommunication. The bidder's system should include Interface conversion cards / software systems to bring different systems on a single VoIP platform and VoIP gateway.

#### 2.5.3 Network Architecture



#### DETAILED TECHNICAL SPECIFICATIONS

Sl. No	Specifications	
1	General.	
	The radio Inter-portability system should interface with any combination of 2-way radios (HF, VHF and UHF), cellular/landline telephones, SATCOM, SIP/VoIP phones and RoIP users over LAN/WAN networks while allowing multiple simultaneous two-way conversations or conference calls between the above. The system must have 4 universal slots minimum capable of supporting different connections. The system should support E1/PRI interface and VOIP Interface for networking with other systems.	
2	Operational Requirements.  The Inter-portability system should be capable of the following:-	
a	Interfacing the various 2-way radios sets in HF, VHF and UHF bands in use.	

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b	The system should be capable of interconnecting the above radio networks to achieve
	Local area Interoperability at the level of a Unit/Formation HQ.
С	The system should allow interfacing with remote radios over various transmission media
	such that leased line, LANs, WANs or the internet.
d	The system should be capable of deployment as a tactical reaction package, a mobile
	Interoperability platform or a fixed station dispatch solution.
e	The system should be capable of interconnecting with almost any type of radio through
	a multitude of specially designed radio interface cables for radio sets RS STARS
	V(5W & 25W, Mk-I and Mk-II), TADIRAN PRC HF SET, TADIRAN CNR VHF SET,
	HHRS ,MSS(Mobile Satellite Station) etc.
f	The system should support Radio over IP (RoIP) in addition to SIP-based VolP
	capability to allow use of WAN of above stated radios by transporting digitized audio
	along with control signals (PTT/COR/DTMF). The RoIP capability shall be optimized
	for radio performance, and be capable of operating transparently over an IP network.
g	The System must allow users to interface a wide array of radios of all types by selecting
	appropriate cables from an interface cable cache defined during the contingency
	planning portion of a site survey. The system must be designed to allow interconnection
	and operation of a newly arrived radio (with appropriate cable available in the cache) in
	less than fifteen (15) minutes.
h	The Interoperability system should be capable of cross-connecting any or all of the
	interfaced radios to public telephone network (PSTN), to private access to branch
	exchanges (PABX), to SATCOM terminals, and to Cellular (GSM/CDMA) circuits.
i	The system should cross-connect an encrypted radio network together seamlessly.
j	The Interoperability system should be capable of interconnecting minimum 8 (8 nets
	supporting 128 cross connections) communications systems in each chassis. The unit
	should also support unrestricted number of conference calls involving any and all
	connected phones/ radios/ terminals/ users.
1 <sub>z</sub>	Should be a compact suggedized unit quitable for tectical use in both static and vahicular

	connected phones/ radios/ terminals/ users.
k	Should be a compact ruggedized unit suitable for tactical use in both static and vehicular role.
1	The system should support 64 SIP/VoIP phones for local and remote commanders and users. The VoIP support must reside on the CPU/controller card
m	The systems must allow configuration of custom dialing plan.
n	The systems must allow support for speed dialing.

0	It must be possible to interconnect multiple CNR Gateway units at different zones over			
Ü	IP WAN network to form a large interoperability domain made up of multiple zones. A user from one zone should be able to call another user in the same zone and a remote zone seamlessly.			
p	The Universal Communication Interface should be supplied with the following interfaces:-			
i.	2 ports for Radio connections.			
ii	2ports for FXO/FXS. The system must be able to configure any of the four ports as FXS or FXO.			
iii	2 ports for GSM/CDMA network connectivity with one on the GSM network and one on the CDMA network.			
iv	2 E1 PRI ports for connection to ULSB and/or PABX type equipment.			
v	64 VOIP connections for supporting VOIP phones and connect other Universal			
vi	Communication Interface to this Universal Communication Interface			
vii	One Operator VOIP Console software license must be bundles with each			
	Universal Communication Interface unit. This Operator Console VOIP phone must have a graphical Human Machine Interface (HMI) that supports physical switch or Finger-On-Glass PTT methods and shows status of all connected interfaces, shows all connections in progress and displays speed dial numbers to reach various interfaces with			
viii	minimum touches or clicks.			
,	The Unit must support one copper 10/100 BT Ethernet port as the IP network			
q	System Operation and Management.			
i	The system should perform either as an unmanned gateway or as a manned gateway while providing Interoperability over multiple radios. The system should allow users to form multi-party conferences in the unmanned mode as well as in the manned mode. It should allow remote DTMF control of to add additional users / phones / radio nets / terminals into the conversation to form an ad-hoc multi-party conference without any operator assistance.			
ii	The system should have public telephone interface feature should be designed to permit commanders to participate in problem management of an Interoperability solution when not on scene.			
iii	The system should allow remote DTMF control of cross-connect over RF links, over phone circuits, over PBX circuits, over SATCOM paths and over cellular channels to establish two-party or multi-party sessions.			
	(a)The system should use a software control package compatible with LINUX and latest Windows operating system to provide a user-friendly GUI (Graphical User Interface) depicting system operation and allowing programming of features.			

	(b) This software control software package shall allow connecting and disconnecting of an Interoperability channel with any other Interoperability channel.
	I The Interoperability system should also include an Ethernet remote control interface allowing the required computer control software to operate from multiple dispatch locations simultaneously.
iv	The System must should be equipped with networking capability to allow control of multiple Universal Communication Interface from multiple computers simultaneously in a wide area Interoperability format.
V	The system should allow the interconnection of multiple radios at one site with multiple radios at another site by simultaneously networking together two or more Universal Communication Interface units.
vi	The system should permit programming of all radio interface features, as well as phone interface features and SATCOM interface features. This software should keep an historic log of all Interoperability transactions referenced to time of day, shall permit password protection of all critical features, and shall allow priority access and rejection relative to individual passwords.
vi	The system should support Built In Test Equipment (BITE) facility.
r	Power Supply.
	Equipment should operate on mains 230V AC / 50 Hz Power input but can be supplied to support either 12 VDC and 24 VDC supply input.
S	The system must be protected against reverse voltage.
t	EMI/EMC Compliance
u	Should perform in noisy EMI/EMC environment and be designed to comply with JSS 55555 Table L2B environmental test parameters.
v	Features.
	The system should have controller software to provide full system status and control from a PC, locally or remotely over an Ethernet network.
W	Radio Interface Cables.
	(i) 4 radio interface cables for customer specified radios with the length of 5m must be provided with each unit.

#### NOTE TO THE BIDDER

01	The customized Software should be handed over to the purchaser.
02	The Bidder shall integrate the System at SEOC at Porvorim, Goa.
03	Bidder shall furnish the clause by clause compliance statement. In case there is any Deviation the same should be clearly brought out in the offer.

#### 2.1.5 Alert Tower Siren System:

The proposed system is a three tiered system. Tier 1 is the State Emergency Operations Center-SEOC. It is the main command and control center located in the state were most of the higher official may access it easily. Tier 2 is the Taluka Emergency Operations Center-TEOC. One TEOC is situated in each coastal Taluka. Tier 3 is the Remote Terminal Unit (RTU) with Horn Speaker at beach locations.

The end points in the system are the Alert Siren with Remote Terminal Unit (RTU) situated in areas vulnerable to cyclones. All the RTUs are proposed at beach location in addition one in Panjim. In Panjim the speaker Horn of RTU is to be installed on roof top of Panjim Residency (Property of Goa tourism Dept.) on a minimum 5 meters' pole with outer diameter of 4.5inch. Rest of the RTU will be on beach locations having 15/20-meter tower height. For tower location and other details refer end of this section.

An alert can be in the form of:

- a) Siren sound
- b) Prerecorded announcement
- c) Live announcement (through Ethernet only)
- d) Strobe light

The SEOC will control all the RTUs of the state while TEOC will be able to control RTUs that fall under the Taluka area.

The SEOC shall be connected to all the RTUs via an Ethernet/IP backbone and GSM network. The TEOCs shall be connected to the RTU in same manner but only to the RTUs under it.

The SEOC can initiate an alert on an individual RTU in the state, all RTU in a Taluka or all the RTUs of the state. To initiate an alert, the SEOC can send the alert signal directly to the RTUs over the pubic cellular network and over the Ethernet/IP network.

The TEOC can initiate an alert on an individual RTU, a group of RTU in the Taluka or all the RTUs of the Taluka. To initiate an alert, the TEOC can send the alert signal to the RTUs over the pubic cellular network and through the Ethernet/IP network. A minimum bandwidth of 512 Kbps both uplink and down link will be made available at each of the RTUs locations.

Operation and control in SEOC will be through GUI base software system installed on a high end PC. The solution should be on redundant mode so that in the event of one computer/software being non-operational the other set can be functional.

In TEOCs operation and control will be hardware base solution and all the activities details (log files) will be stored with time stamp at the server in SEOC. IP network between the EOCs will be made available by the state.

#### 2.1.5.1 Control Station-Technical specifications:

#### In SEOC

- a) The Control Station shall provide a centralized location for activating, controlling, and monitoring all system equipment. The Control Station should have the ability to broadcast live public address, pre-recorded messages and siren tones. The Control Station shall be placed at SEOC, Panjim.
- b) The Control station should have window/LINUX based software user friendly, graphical user interface for overall control, monitoring and to display the map & colour coded icons for status of RTU locations/sirens.
- c) It should also support the ability to display variety of different map views.
- d) The operator from Control Station should be able to alert/annunciate any RTU within the state by using GUI. The Control station software should have graphical representation of entire RTUs of the state.
- e) The software should have complete storage mechanism of all RTU status and alarm data.
- f) The software and hardware system is required to be in standby mode at SEOC so that in the event of one computer being non-operational, the other computer can be used.
- g) Computer with 19inch monitor shall be provided for ease of operation by the Controller. The system must support the ability to initiate concurrent or sequential activations including the ability to simultaneously send activations to different targets. HDMI port and Wi-Fi in the computer is a must so that the monitor can be simultaneously be displayed on smart TV.
- h) The system should be password protected and have adequate security to avoid any unauthorized access to the system.
- i) Microphone, speaker and associated hardware as needed to full fill the functionality shall be provided.
- j) Tie up with one of the TSP for GSM-GPRS connectivity has to be done by the vendor.
- k) The control software must be capable reconfiguring alarm records of the Sirens remotely over available communication path without requiring onsite visit. It must support the ability to report faults or trouble alarms via email and SMS text to maintenance personnel.
- l) Provision for remote health check of Siren system (RTU) through silent mode operation is mandatory.
- m) All type of cost of the operation in terms of software license fee (no. of RTUs, no. of login etc) shall be paid one time which shall include the user license usage for all TRUs. Purchaser shall pay only the additional hardware cost at TEOC.
- n) The facility to record the live voice messages and to broad cast the recorded voice

- messages as well as to broad cast real time voice messages shall be available at SEOC.
- o) The SEOC can initiate an alert on an individual siren automatically or override the automatic activation in the event malfunctions occur or cancel an activated message to all the RTUs in all the Talukas or all the RTUs of the state. The SEOC should have control over all the TEOCs and all the RTUs.

Bidder shall be paying the rental, usage and activation charges for the SIM card inside Control Station for GSM/GPRS Connectivity. The bidder shall pay upto 20 hours per SIM card talk time/Data usage charges per annum, beyond that purchaser shall borne the talk time/Data usage charges on actual basis.

#### In TEOC

- a) The Control station at TEOC will be software or hardware driven. Embedded software driven by hardware solution having menu driven option for selection of TRUs within the Taluka can be made.
- b) Interface kits like speaker, microphone necessary data cable should be supplied as part of the hardware solution.
- c) Triggering alarm from TEOC shall be possible through GSM network and Ethernet.
- d) The facility to record the live voice messages and to broad cast the recorded voice messages as well as to broadcast real time voice messages shall be available at TEOC.

All activity logs generated at TEOC level should capture and stored at SEOC server/control station.

#### 2.1.5.2 Remote Terminal Unit (RTU):

- a) The RTU should able to receive and decode all the 3 types of warning instructions sent from SEOC or TEOC via the cellular Network (GSM/GPRS) or Ethernet network.
- b) On receipt of a valid warning signal the RTU shall play the alert signal over a high powered speaker for a pre- determined duration. Duration of playing warning message should be controllable.
- c) For siren, the acoustic power (decibel rating) should be minimum 120 dB at 30 meter (on axis) as per FEMA standards expected to produce 65 to 70 dB sound level at a distance of 1 Km from the siren location.
- d) The communication terminal in the RTU must be tropicalized and should be able to operate under all kinds of weather conditions.
- e) The RTU/Siren should be enable to run on grid power supply and as a standby battery should be also provisioned. Li-Ion battery will be preferred over VRLA battery. Battery dimension should be calculated so that each RTU can run on battery for minimum 30 minutes continuously having siren in on condition.

- f) Primary mode of operation will be through Main Power supply. Battery should be provided as a backup to main power supply.
- g) Charging of battery will be through grid power supply.
- h) RTU equipments (electronic) should have surge protection and inbuilt voltage stabilizer to take care of sudden voltage fluctuations of grid supply.
- i) Provision of GPRS and Ethernet interface along with power amplifier should be available in the RTUs.
- j) The RTU with battery should be housed in minimum IP65/ NEMA 4X Stainless Steel/ Weather resistant cabinet with lock & key arrangement. The unit should send encoded information on available communication channel (GSM/GPRS media) regarding health of equipment. Provision for checking quality of performance remotely of the system at the SEOC should be made available.
- k) Health Status Check for Alert Sirens shall include low volume and silent testing at regular interval. The configurable parameters of the encoder/decoder programming must be possible through an external port (e.g. RS–232).
- RTUs should be maintenance-free and be robust enough to work under high temperature (more than 60 degree C), high humidity (> 95%) and saline environment.
- m) Optional local control should be available for manual operation of warning broadcast for local community.
- n) The Standby mode current consumption should be below 500 mA/230 V.
- o) RTU should have high powered audio amplifier compatible to work with the Speaker Horn Array. All the electronic unit i.e. RTU, Communication Interface Card, SIM card, batteries and other supporting electronics should be housed in a IP65/ weather resistant Outdoor Cabinet with lock & key arrangement.

#### 2.1.5.3 Speaker or Horn System and Strobe light:

- a) Speaker or horns (directional or Omni type) are to be mounted on the angular towers or pole with the help of mounting fixtures provided by the vendor. For details of tower refer attachment 3.
  - b) All Speaker cable must be inside the pole or covered by metal structure.
  - c) If horns or speaker are in multiple numbers then provision for Direct-Single-Horn-Replacement must be made possible at any time.
  - d) The horns should be light weight, rugged, weather proof and compact. Should be moulded in metallic aluminum/composite fiber for longer lasting finish. Total weight of horn assembly should not exceed 150 kgs. Should withstand harsh and saline weather condition and should be rustproof.
  - e) Degree of protection IP 65 shall be provided against foreign particles such as dust and jets of water, ideal for outdoor use.
  - f)The Loudspeaker cable should be double insulated to connect with the speaker amplifier. All Speaker cable must be inside the pole or covered by metal structure. The installation must follow best practice and should be away from

- any potential source of interference such as mains cable, data cable & telecom cable. The horn must also have a "netted" cover to protect against flying insects entering the horn and thereby damaging the horn/driver.
- g) Strobe light are to be mounted on the tower top integrated with the siren system on the tower. Activation of the strobe light shall be done from SEOC and TEOC. Multi colour namely RED and AMBER colour are required and choice of colour to be selected as per the severity felt by officials at SEOC and TEOC.
- h) With minimum 3000 Hours lamp life the strobe light should be visible at minimum 1.5 Km with 0.1 Lux all around.

Bidder should be responsible for duly installations of all unit at the beach locations of Coastal Talukas as per preferred specified locations given in Attachment 4. All cost of local transportation of goods including any charge of foreign and local engineer of the supplier, their accommodation in the field, DA, local transport and fording cost etc and any extra accessories, equipment, goods, engineering and installation charge, labor cost, fitting charge or any other ground work/earthwork or construction materials cost, any modification of existing power supply cables, ground earthing etc will be borne by the bidder.

#### NOTE TO THE BIDDER

Offered product OEM/ Indian distributor should have own registered office presence in India to ensure prompt service warranty support after sales.

#### **2.1.6** Towers and Pole:

#### 2.1.6.1 Specification for Mounting Pole:

The speaker/horn is to be mounted on GI Pole on roof top on the pillar of the building of Panjim Residency and MPCS locations. Length of the pole should be minimum 5(five) meters with outer diameter of 4.5 inch supported by guy wires (rust proof) to withstand wind velocity of minimum 240Km/hour.

The bidder shall work out detailed design of the civil foundation for the Monopole type tower from all aspects, keeping in view the effects of local metrological conditions like wind velocity, seismicity, temperature, saline weather etc. to ensure the safety of the pole. The pole, the brackets, nut bolts should be galvanized or rust proof. There should be weather cap on top of the pole to avoid rain water being collected inside the pole.

The civil foundation design should be approved by a IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.

All civil works should comply CPWD specification of 2009 along with all the latest amendments.

Bidders are advised to visit the sites of work, especially the sites of the alert siren towers to

satisfy themselves about availability of access and roads and the feasibility of transporting the plant and equipment to the final destinations. At a few locations, approach to the alert siren towers lies across beaches, which are predominantly sandy. Bidders shall obtain all the necessary permissions from the concerned authorities to transport the construction machinery and other equipment across the beaches. Access roads to a few of the alert siren towers may require improvement and strengthening of road crossings across drains, gutters, etc. Bidders may also have to acquire right of way across private properties for which they may have to enter into negotiations with the owners. All expenditure incurred on purchase of right of way, improvement of roads and payment of fees, rent etc. in this regard shall be borne by the bidders themselves.

#### 2.1.6.2 Specification for Spun Concrete Tower:

The specifications indicated herein are only to guide the bidder about the requirement of the user. The bidder shall workout detailed design of the tower from all aspects, keeping in view the effects of local metrological conditions like wind velocity (minimum 240Km/hour), seismicity, temperature, saline weather condition etc. to ensure the safety of the tower. The design of the tower shall be based on recognized principles of structural design conforming to standard practices followed in the field. Full responsibility regarding soundness of the design and the execution of the work rests with the bidder. If the bidder suggests any deviations in the required specifications, it should be approved by a IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.

All civil works should comply CPWD specification of 2009 along with all the latest amendments.

#### Scope of work

Bidder is required to install 15 meter and 20 meter Spun Concrete Towers (Monopole) along with RTU room within a base area of approx. 3x3 meter. All the towers are to be erected on the beach locations. The RTU room (hut) of size 2m x 2m x 2m is to be built at an height of 3 meter from the tower base. The RTU room should also be designed to withstand wind velocity of minimum 240 Km/hr. The hut will be made on a concrete with door locking system and ventilation that RTU and other equipment kept at the base area can be protected from sea water in times of high tide situation.

For structural design for the tower and FRP hut the vendor need to get their design approved by IIT (Indian Institute of Technology) and submit the same to the state Government before the construction work. If the bidder suggests any deviation in the approved specification/design the same has to get it re approved from the same authority.

## 2.7.3 Specifications for Fabrication and Design of Spun Concrete Tower

- 1) Tower members shall be of structural steel grade 'A' conforming to IS222, IS2062 and IS 7215 with latest amendments or Spun Concrete tower shall be casted with minimum M-60 grade of concrete.
- 2) All steel used shall be galvanized conforming to relevant IS specification i.e. IS2229 for tower members, IS5358 for fasteners and IS1573 for washers. Spray galvanizing is permitted whenever hot dip galvanization is not possible or all end plates used in spun concrete tower shall be galvanized conforming to relevant IS Standard.
- 3) Assembly of tower members and other structures on tower shall be by means of nuts and bolts with locking nuts. Riveting and welding may be done if the design demands and it shall conform to relevant IS specifications. No tower member shall have thickness less than 6mm.
- 4) The quality of steel used for NUTS&BOLTS should conform to ISNo.6639-1972and mechanical properties as per IS1367/67. Dimensionally it shall conform to IS1363, washer should be as per IS2016-1967. The heads being forged out of solid, truly concentric with the shank and shall be perfectly straight. All bolts shall have hexagonal heads and nuts. The bolts shall be treated with standard threads to take the full depth of the nut. All nuts shall fit and tight to the bolts. No appreciable fillet shall exist at the point where shank of the bolt connects to the head. Lock nuts and washers shall be provided to all bolts and nuts. The bidder shall include sufficient spare bolts and nuts to compensate for losing the field during erection. The cost of bolts and nuts shall be included in the cost of tower.

#### 2.7.4OtherFactors

- 1) The overall force coefficients for wind load on tower shall be taken from the latest standards prescribed by the bureau of Indian Standards for self-supporting steel towers, or spun concrete tower, load sand permissible stresses. For calculating the solidity ratio actual obstruction area of tower shall be considered. Separate wind obstruction areas shall be taken for ladder, cable rack and platforms etc.
- 2) The basic dynamic wind pressure at different eights for different zones shall be taken from the prescribed Standard. The basic wind velocity for the site is to be taken as one level upper as mention in the latest BISCodeNo.IS875. The permissible stresses in the various structural members of tower shall be adopted from the relevant clauses and table so fIS800 amended upto date and spun concrete tower shall comply IS875:1987(part III).3) Loading effect of seismic forces as per IS1893 and cyclic winds and conditions of frost etc.

#### **Foundations**

1)Concrete grade for RCC shall be M35 and for PCC, M20 Reinforcement steel grade high yielded formed bar as per IS:1786Fe415Gr. & stirrups as per IS:432 or IS:222. Bending of bars shall be accordingIS:2502

- 2) Clear covert reinforcement bars IS50mm. All bolt and nuts shall be according to IS:2502.
- 3) Reinforcement bars to be tied a teach junction with a bind in wire of grade not exceeding 18 Where exact length of bars is not available. Splicing shall be done as per IS: 456-1978.
- 4) Form work concrete and concrete workmanship etc. shall be as per IS:456,1978.
- 5) Maximum size of aggregate sis20mm. Suitable chairs of 16mm.shall be provided to hold the top reinforcement at the base during concreting as per the directions of the site Engineer.
- 6) All grade of weld material shall be of Fe415 and thickness not less than 6mm unless otherwise specified.
- 7) The cement, sand and concrete used shall be best grade and the concrete shall preferably be mixed in a mechanical mixer in the standard ratio. The foundation shall be watered and cured for at least12 day sand the erection of the tower shall be commenced only after the foundations are thoroughly cured.
- 8) Left out item if any shall be covered as per relevant IS codes.

## **Verticality, Deflection & Twist**

- a) Under Still Air Conditions: The tower shall be vertical after erection and no straining shall be permitted to achieve this. This erection tolerance of verticality shall be within permissible limits.
- b) Under Maximum Wind Load Conditions: The average slope of the axis of the antenna support column shall not depart from the vertical by more than 1deg under maximum wind load conditions. The successful Bidder will have to satisfy that verticality is maintained at not more than 1 deg at the maximum wind load conditions.

## **Protection against Lightning**

The tower shall be provided with a suitable designed complete system of lightening protection in accordance with the provision of IS-2309-1969 including necessary earthing based on the specific resistively of the soil and sub-soil water level. Two lightning discharge rods of height approximately 2.4 meters shall be securely fixed to the top of each tower on diagonally opposite corners. Each rod shall consist of a steel tube with four 13mm diameter rods of length 0.5 meter (approximately) welded to the top of the tube, disposed equally around the tube and splayed upward sat 45 degrees. The lightening protective system shall be got approved, before execution.

## **Painting**

- 1) The tower shall be given two coats of paint in addition to primer coats after erection. The tower shall be painted to have equal alternate bands of international orange and white colors with top and bottom bands painted in orange as per civil aviation regulations.
- 2) The paints used in painting shall be in accordance with IS-2074/62,2932 & 2933/75. Before applying coats of primer, the surface shall be given a coat of 'Pickling agent' so as to avoid the flaking of painting. The Bidder should furnish the details about the pickling intended to be applied.

3) The Bidder shall indicate the brand of the paints proposed to be used by him for painting of the tower.

#### **Earthing**

All the tower legs shall be grounded properly, following the standard practice of earthing of such structure sin level ground and mountainous regions.

An earthing system, generally to the provisions of internationally accepted standard shall be provided at each tower. All the tower legs shall be grounded properly, following the standard practice of earthing of such structure sin level ground and mountainous regions.

This shall consist of at least one radial earth strap extending from each tower leg, one loop encircling the tower. Particular attention shall be paid to the bonding of earthing straps to tower, runway, gantry and building steel work. Earthing strap shall consist of pure copper of nominal dimensions 3mmx2.5mmx60m long. The completed earth system shall have a resistance of less than 20hms, when measured with a specific earth resistance-measuring instrument. At least two earths at adequate distance a part bit interconnected shall be provided.

#### **Earthing of Telecom Equipments**

There shall be two earth pits, one pit is for Equipment earthing and one pit for Power Plant. The earth pits have following specification; 25mmx3mm Copper electrode strip is laid inside the ground to a depth of about 1.65 meters vertically down from the stiff soil level and is brazed to the Copper Plate x 600mm x 600mmx 3mm laid horizontally at a depth of 1.65 meters from the stiff soil level.

The earth grid is extended to the equipment room by a copper strip (25mmx1mm) and shall be terminate don a grounding copper plate bus bar by nut & bolt arrangement of brass.

The Telecom equipment are connected to the copper plate bus bar by a ground conductor yellow Green16 sq mm copper mesh wire/cable.

An earth electrode system with a maximum resistance of 20hms shall be installed. The electrode strip is bolted to the copper strip at the top with brass nut and bolts. The copper plate is laid in layers of charcoal, salt and mud. For watering these layers, a perforated GI pipe65 mm dia fixed with funnel at the top and bolted with copper plate of size 250mm x 1mm is laid vertically as per the drawing. The dimension of the earth pit shall be 1000 mm(length)x1000 mm (breadth)x 2000 mm(depth).

#### **Facilities on Tower**

a) Plat form Provision of one working plat format about 1 meter below the top,

- extended by 1 meter in all the sides to be made. 1.5meter high handrails would be provided at platform level with expanded metal net for additional safety. Platform flooring will consist of checkered plate conforming toIS3502 and shall be designed as to take stationery and moving load of 2 persons plus equipment weighing about 150Kg. At platform-"Top-plates", as a form of protection against accidental dislodging of small tools, are to be provided. One rest platforms access to the antenna and cable at 8m/10m
- b) An internal ladder of width not less than 300mm starting from about 0.5-meter level of the tower from the ground and going up to the top with openings at the platform shall be provided.

## **Aviation Obstruction Light, Power Cable & Coaxial Cable**

- 1) Twin lamp fitting equipped with appropriate color prismatic globes shall be provided as per latest civil aviation regulation for marking and lighting of obstacles. The globes and their housings shall be strong, weather proof and of approved manufacture. Each globe shall house a 250-volt bulb of appropriate wattage to yield specified illumination. The bulb should be of bayonet cap type and not of screw type.
- 2) Power supply cable for the lights and power sockets shall conform to IS-1554 (Part-I) of 1976. Lighting cables and power shall be terminated in T.P.N. switch fuse units in the control room at the site.
- 3) Distribution of supply to aviation obstruction light shall be through 4-way weather proof junction boxes. The power socket with switch shall be provided at the platform levels.
- 4) The Bidder shall provide temporary aviation obstruction lights during erection of tower as soon as the tower reaches the minimum height to be lighted as prescribed in civil aviation regulation.
- 5) The coaxial cable shall also be terminated in tower base area inside the hut.

### Tower and pole Load carrying capacity

- Load carrying capacity for 15/20mt tower and poles shall be taken into consideration (load of Siren and brackets for holding the siren). In addition to above the others forces acting on the tower may be taken into account as per latest IS Codes relevant to designing of towers.
  - The following equipment (with dimensions) shall be fixed on the top of the tower and poles.
- 2) For the purpose of design and calculations, the wind speed shall be taken at 240KMPH
- 3) Agency has to carry out the foundation exploration for each tower site, based on the results will design the foundation for the tower.

#### Trial Erection for Steel Tower/Spun Concrete Tower

Where deemed necessary by the Contractor or indicated by the Employer, the

members fabricated shall be erected on trial basis in the factory or any nearest site at the contractor's cost before transportation in order to confirm accuracy and other requirements of the fabrication.

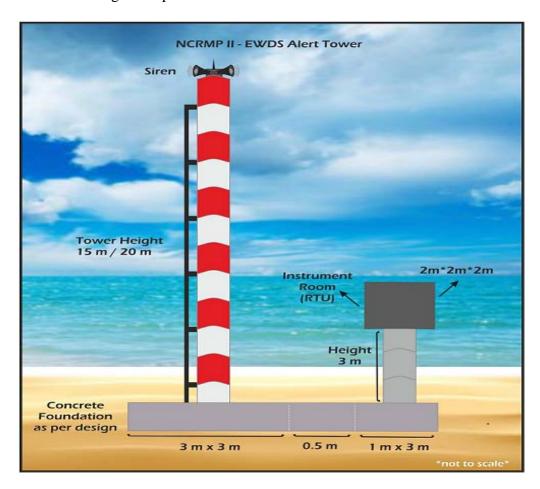
## **Product Inspection**

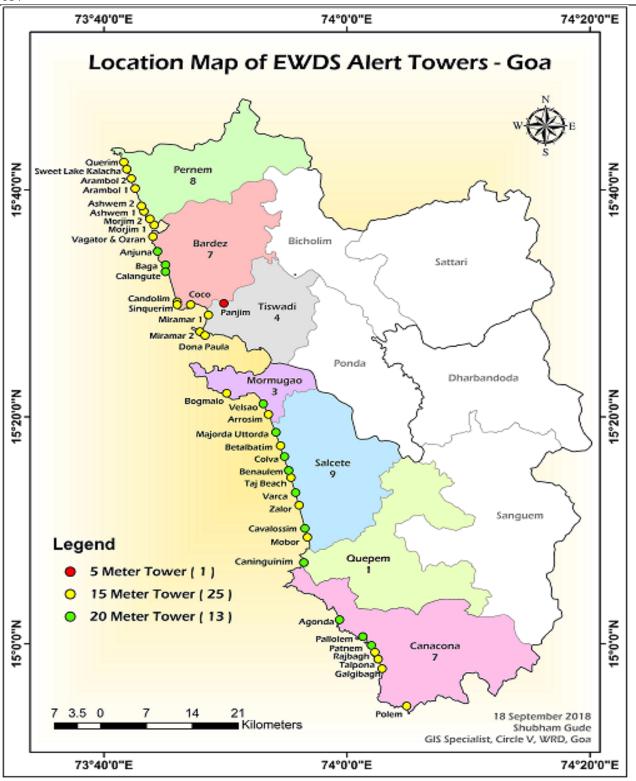
In-shop inspection report of finished products shall be submitted to the Purchaser for approval. The products shall be inspected by the Purchaser after the in-shop inspection by the manufacturer. Faulty portions shall be promptly rectified.

## NOTE TO THE BIDDER

The bidder to install Earth Bus at SEOC, DEOC, TEOC, MPCS and Tower location where telecom equipment and rack earthing shall be terminated. All the cables should be concealed in PVC duct.

Below given a pictorial view of siren/tower locations:





In addition, all the MPCS locations will be covered under siren system on minimum 5-meter pole on the terrace of the MPCS buildings.

All location details given in clause D - Site Table, of this section.

# 2.2 Network Equipments:

# 2.2.1 24 Port L2 switch

S. No.	Feature	Details
1	Interfaces	24 Ethernet 10/100 ports and 2 fixed Ethernet 10/100/1000 uplink ports
2	Switching Capacity	Should Support upto 16 Gbps switching fabric
3	Forwarding Rate	Should support Forwarding rate up to 6 Mbps
4	DRAM	Should have minimum 64 MB DRAM However, the Bidder to ensure the completeness of the functionality requirements.
5	Flash Memory	Should have minimum 32 MB Flash
		memory. However, the Bidder to ensure the completeness of the functionality requirements.
6	MAC Addresses	Should be Configurable up to 8000 MAC addresses
7	Power Supply	The switch should have external/ internal RPS-
8	VLAN	
a.		Should Support Up to 255 VLANs per switch and more than 2000 VLAN ID's.
b.		Capability of creating VLAN trunks on any port using standards-based IEEE 802.1g tagging.
9	Features to be support	ted
a.		IEEE 802.3x Flow Control
b.		IGMP snooping
C.		IEEE 802.1D Spanning Tree
d.		Should support IEEE 802.1w Rapid
		Spanning Tree Protocol
e.		Should support IEEE 802.1s Multiple
		Spanning Tree Protocol
f.		Support for Spanning Tree Route
		GuardDeleted
g.		IEEE 802.3ad Link Aggregation
h.		IEEE 802.1 ab
i.		Port mirroring-(one-to-one)
j.		MAC Address-based filtering
k.		IEEE 802.1p support
I.		Number of Queues per Port-4
m.		CoS Based on Switch Port
n.		Should provide TACACS+ / RADIUS authentication services.

S. No.	Feature	Details
0.		IPv6 management.
p.		Support for Secure Shell (SSH) Protocol
q.		Protection against Broadcast, multicast, and unicast storm
r.		IEEE 802.1x Port-based Access Control
10	Network Management	
a.		Web based Management
b.		CLI
c.		SNMP V1,V2 and V3 support
d.		Telnet support
e.		Console and Telnet support
f.		4 Groups of RMON supported

# 2.2.2 24 Port L2 switch

S. No.	Feature	Details
1	Ports	12 10/100 Mbps ports
		Managed 10/100 Mbps switch
2	Performance	8.8-Gbps switching fabric
3	Forwarding	2.4 Gbps maximum forwarding bandwidth or
4	DRAM	Minimum 16 MB DRAM
5	Flash memory	Min 8 MB flash memory
6	MAC address	Should support 8K MAC address
7	RPS	The switch should have external/ internal RPS.
		In case it is not feasible to provide such a switch,
		the bidder shall provide two switches to ensure
		redundancy.
8	L2 Features to be support	orted
a	The switch must sup	port Port Mirroring, Port Trunking and 802.3ad
b	IGMP snooping	
С	IEEE 802.1D Spannin	g-Tree Protocol
d	IEEE 802.1w Rapid S <sub>1</sub>	panning Tree
e	Per-port broadcast, i	multicast, and unicast storm control
f	IEEE 802.1s Multiple	STP
9	VLAN Support	
a	Support for dynamic	VLAN assignment through implementation of
b	IEEE 802.1Q	
С	The switch must suppo	ort dynamic VLAN Registration
10	Layer 2 Quality Of Service	
	Priority queues numbe	r :4
	802.1p support	

S. No.	Feature	Details
	Strict Priority Scheduling	
	Weighted Round Robin scheduling	
11	Network Access/Security	y management and ACL (Access Control List
a	Port Security function	
b	Switch should have 80	22.1x features to enable user authentication for
С	802.1x Port-based network Access Control	
d	802.1x MAC-based Access Control	
e	RADIUS /TACACS+ Authentication	
f	SSH	
g	Switch should support MAC table maintenance to limit the "aging time".	
12	Other features to be	
	supported	
a	Should Ensures quick fail-over recovery	
b	Switch should support STP/ RSTP to avoid loops in switched	
С	Switch should provide Ping Test function to detect that if the target	
d	Should support TFTP	
e	Switch should be man	ageable through Console port, Telnet, SNMP,

### 2.2.3 24 Port L2 switch

### 2.2.3.1 42U Rack

Racks should be with all requisite accessories and parts

- RACK 19 Inch to house IT Router/Switch
- Dimension 2057x600x1060mm (H x W x D)- for 42 U
- Door Glass Ip 41
- Color: Light Grey Powder Coated
- Cable Entry from: Top and Bottom of The Rack
- Both Front and Rear Doors Can Be Locked.
- Vented, Fan Housing Unit S35
- 4/6 Fan On Top
- Window Molding, 2u Cable Storage
- Mounting To Floor With Nuts And Bolts
- Shelf/Cable Guide
- Two Power bar 230V 2 KW 12 ways with Indian Plug

### 2.2.3.29U Rack

- RACK 19 Inch to house IT Router/Switch
- Dimension 501x600x600mm (HxWxD)
- Door Glass Ip 41

- Color: Light Grey Powder Coated
- Cable Entry from: Top And Bottom Of The Rack
- Both Front and Rear Doors Can Be Locked.
- Vented, Fan Housing Unit S35
- 2 Fan On Top
- Window Moulding, 2u Cable Storage
- Shelf/Cable Guide
- Easy wall mount provision with accessories
- Two Power bar 230V 2 KW 12 ways with Indian Plug

### 2.3 Office Automation:

## 2.3.1 Multimedia PC with 19" LCD Monitor Specification

Component	Specification
Processor	Intel processor Corei5 or better
RAM	2 GB DDR3 SDRAM 1066MHz
Hard Disk	1TB HDD
Video	DVD Read / write VGA
Monitor	19" wide view flat monitor
Ports	1 Serial More than 4 USB 2.0(min. 2 at front),
Audio	Microphone, Headphone, 2
Mouse	PS2
Network ports	1 RJ-45, 10/100/1000 Gigabit onboard Ethernet
Operating system	Pre loaded Microsoft Windows 10 with latest
	updates
Restore / recovery	Software CD

## 2.3.2 High end PC

The high end PC will be used in Alert Siren system and mass massaging system. Minimum specification should be:

Component	Specification
Processor Name	Intel Xeon E3-1275
Processor Speed	3.8 GHz
RAM	32 GB
Storage Capacity	1 TB connect via SATA
Graphics Card	AMD Radeon Pro WX 7100
Monitor Type	LCD Widescreen
Touch Screen	4K touch screen
Screen Size	Minimum 19 inches
Network ports	1 RJ-45, 10/100/1000 Gigabit onboard Ethernet
Ports	1 Serial More than 4 USB 2.0(min. 2 at front), HDMI

Audio	Microphone, Headphone
Operating System	Windows 10
Networking	Wi-Fi, Bluetooth

# 2.3.3 LED TV 55' (Wall Mountable)

Sl. No	Specification	
1	Full HD	
2	Colour System: PAL, SECAM, NTSC 3.58, NTSC 4.43	
3	Video Signal: 1080/24p (HDMI only), 1080/60i, 1080/60p (HDMI /	
	Component), 1080/50i, 1080/50p (HDMI / Component), 480/60i,	
	480/60p, 576/50i, 576/50p, 720/60p, 576/50p, 1080/30p (HDMI only),	
	720/30p (HDMI only), 720/24p (HDMI only)	
4	Black Lit Module: LED	
5	Video processing: X-Reality <sup>TM</sup> PRO Picture or equivalent for the best	
	ever picture quality	
6	Audio: Clear audio, Dolby Digital etc	
7	Speakers: 2 Channel full range	
8	USB Ports :2 or more, USB PLAY with different formats	
9	HDMI connections : 2 or more	
10	Composite Video inputs : 2	
11	Analog Audio Input(s): 2 rear	
12	Digital Audio Output(s): 1 (Rear)	
13	Audio Out: 1 (Side/Hybrid w/Audio Out)	
14	Other standard built in features	

# 2.3.4 Smart TV 55' (Wall Mountable)

Smart TV will be capable to support video conferring and be capable to work as display unit when connected to the monitor/PC.

Sl. No	Specification	
1	Full HD	
2	Colour System: PAL, SECAM, NTSC 3.58, NTSC 4.43	
3	Video Signal: 1080/24p (HDMI only), 1080/60i, 1080/60p (HDMI /	
	Component), 1080/50i, 1080/50p (HDMI / Component), 480/60i,	
	480/60p, 576/50i, 576/50p, 720/60p, 576/50p, 1080/30p (HDMI only),	

Sl. No	Specification	
	720/30p (HDMI only), 720/24p (HDMI only)	
4	Black Lit Module: LED	
5	Video processing: X-Reality <sup>TM</sup> PRO Picture or equivalent for the best	
	ever picture quality	
6	One-touch mirroring to display your Monitor/Smartphone's screen on the	
	TV	
7	Quick & Easy Internet Access with Wi-fi Built in Feature	
8	Audio: Clear audio, Dolby Digital etc	
9	Speakers: 2 Channel full range	
11	USB Ports :2 or more, USB PLAY with different formats	
13	HDMI connections : 2 or more	
12	Ethernet connection :1	
15	Composite Video inputs : 2	
16	Analog Audio Input(s): 2 rear	
17	Digital Audio Output(s): 1 (Rear)	
18	Audio Out: 1 (Side/Hybrid w/Audio Out)	
19	Other standard built in features	

# NOTE TO THE BIDDER

The bidder to install TV on the wall suitably or on stand as specified by Purchaser.

## 2.3.5 All in One Printer

General specification		
Functions	Print, copy, scan, fax	
Duty cycle (monthly)	Up to 15,000 pages	
Print technology	Laser	
Print Speed Black	Normal: up to 20 ppm	
Printing quality Black	Up to 1200 x 1200 dpi	
Recommended monthly page	500 to 2000	
volume		
Display	3.0" touchscreen, LCD (color graphics)	
PRINT		
Duplex printing	Automatic (standard)	
Print speed black (normal,	Up to 22 ppm	
letter)		
Print speed black (normal,	Up to 25 ppm	

General specification	
A4)	
PROCESSOR	
Processor speed	600 MHz
Connectivity	HP e print capability
Mobile printing capability	Wireless direct printing, Mobile Apps
Wireless capability	built-in WiFi 802.11b/g/n
USB	Hi-Speed USB 2.0 port (host/device)
USD	HI-Speed USB 2.0 port (nost/device)
Ethernet	built-in Fast Ethernet 10/100Base-TX network
	port
Phone line port	Phone line port (in/out)
27	G. 1 1 4 11 1 Fd WYF: 000 111 / / )
Network ready	Standard (built-in Ethernet, WiFi 802.11b/g/n)
SCAN	
Scanner type	Flatbed, ADF
Scan resolution, optical	Up to 1200 dpi
Scanner file format	Windows Scan Software supports file format:
	JPG, RAW(BMP), PDF, TIFF, PNG
	Mac Scan Software supports file format:
	TIFF, PNG, JPEG, JPEG-2000, PDF, PDF-
	Searchable, RTF, TXT
Scan size (flatbed),	216 x 297 mm
maximum	
Color scanning	Yes
Scan technology	Contact Image Sensor (CIS)
Bit depth	24-bit
Levels of grayscale	256
Scan input modes	Windows: Front control panel, Scanning via
	HP LaserJet Scan; Macintosh: HP Director or
	TWAIN-compliant or WIA-compliant
	software

## 2.3.6 Fax Machine

G3 Fax with Fax Modem Speed of 33.6 Kbps/ V.34 Standard is required.

## **2.3.7 SET TOP BOX**

Bidder shall provide one HD-Set Top Box from any of the Operators (Dish TV, Airtel, Videocon, Reliance or local operator) for News feed to be integrated with 55-inch TV at EOCs.

# **Specifications:**

HD with recording facility with Hard Disk capacity of 500 GB

#### NOTE TO THE BIDDER

The bidder to install Set Top Box suitably on the wall or on stand as specified by Purchaser and to be integrated with the 55 inch TVs. All the power cables and TV input cables should be concealed in PVC Duct.

#### 2.3.8 FIRE EXTINGUISHER

Dry chemical powder type Fire Extinguisher (ABC class) of 2kgs capacity, fitted with squeeze grip type discharge control valve assembly, inside CO2 gas cartridge of 120gms as per IS: 4947 with ISI mark and DCP powder as per IS: 12209 (for ABC class) with ISI mark packed in 2 kgs HDPE bags, with discharge hose and open nozzle and supplied complete with initial charge overall conforming to IS: 15683 with ISI mark and wall bracket. Fire Rating 34B.

#### NOTE TO THE BIDDER

Fire Extinguisher to be suitably mounted on the wall at common visible place in the SEOC, DEOC and TEOC.

## 2.3.8 Furniture & Air Conditioning

All the Computer Tables shall be of

- Size 1500mmx750 mm x 750 mm (L x W X H)
- Should have Brown Color Laminated Top
- Inbuilt CPU Stand with foot rest
- Inbuilt space for small UPS
- Should have minimum two drawers with lock & key facility
- Should be fitted with Electrical Power point
- Should have one revolving office Chair (with adjustable height)
- Chair should have Armrests

Bidder to provide at TEOC 1 no. 2 T Split AC, at DEOC 1 nos 2 T Split AC and at SEOC 2 nos 2T Split AC to be installed from a reputed brand.

#### 2.4 LAN Cabling:

Bidder is required to carry out the structured cabling for the Local area network integrating all the IT equipments.

## 2.4.1 General Specifications for Passive Cabling & Components Details

S No	Specifications	
	The solution shall also define the method(s) of flexible patching	
	for the telecommunications services to enable simple Moves,	
1.	Adds & Changes, (MAC's) without frequent rewiring of	

SN	Specifications
	locations and re-training of staff and to provide easy to follow
	trouble shooting steps and procedures.
	All Passive components except PVC Conduits, bends, Racks
2.	shall be of same make.
	Each equipment, panel and outgoing cable from the patch Panels
	shall be labeled. Proper labeling and numbering shall be Provided
3.	on the outlets.
The supplied UTP cable and components shall have approval ar	
	certification from third parties such as ETL, CSA, UL
4.	etc.(Provide documentary proof in this regard).
	Conformity to Standard: The following standards are to be
5.	complied with unless otherwise specified:
	ISO/IEC 11801:2002: International Standard for generic cabling
a.	for customer premises.
	TIA/EIA 568B: Commercial Building Telecommunications
b.	Cabling Standard (2002).
	TIA/EIA 568B.2-1: Commercial Building Telecommunication
	Cabling Standard (2002). Addendum no.1: Transmission
c.	Performance Specifications for 4 Pairs 100 Ω Category 6 cabling
d.	Shall meet all the requirements for the gigabit Ethernet
e.	UL Safety Standards

# 2.4.2 Face Plate

S.No	Specification	
	It shall have shutter to prevent dust and dirt getting into the	
1.	outlet for single port faceplate. Spring shutter with front access.	
	It shall have clear and separate label for application	
2.	identification	
3.	The faceplate of appropriate dimensions (1 port faceplate).	

# 2.4.3 Telecommunication Outlet

S. No	Specification
	It shall be universal RJ45 type, accepting most of the phone and data
1.	plugs
	It shall meet or exceed ISO/IEC 11801:2002 class E, TIA/EIA568-B.2.1
2.	Category 6 Component Specifications.
	It shall be UL and ETL Certified to meet TIA/EIA 568B.2-1Category 6
3.	Standard.
	It shall be IDC (Insulation Displacement Contact) type. IDC termination
	must have a 45 degree angular configuration when connected to the
4.	cable conductor to reduce the effect of metal fatigue.
5.	It shall have dust caps to prevent dirt entry.

# 2.4.4 Telecommunication Outlet

S. No	Specification	
	The Cat 6, UTP cable shall be 4-pair unshielded twisted pair(UTP) tested till	
1.	550 MHz.	
	The cable shall be of 4 twisted pairs of 22 to 24 AWG solid conductors with	
2.	a PVC jacket.	
	The cable shall be run using a star topology format from the Rack Room to	
	every work area outlet. Each run of cable from the Rack Room to every work	
	area outlet shall be continuous without any joints or splices except for the	
3.	open office design, when a consolidation point (CP), is proposed.	
	Cable markings to be printed after each and every meter length(e.g.	
4.	manufactured date, sequential meter lengths).	

# 2.4.5 Telecommunication Outlet

S. No	Specification	
1.	It shall be available in 24/12ports fitting on 19" Rack with cable manager	
2.	It shall be a loaded Patch Panel.	
	It shall be ETL certified to meet TIA/EIA 568-B.2-1 Category6	
3.	specifications.	
Patch Panel must have a Rear cable manager and this shall occup		
4.	area as the panel.	
	Patch Panel must be supplied with paper labels for station identification and	
5. ID tabs for individual port identification.		
6.	It shall be fitted with RJ 45 jacks.	
	Patch Panel must be with 45 degree silver-plated IDCs(Insulation	
7.	Displacement contacts) to provide secure, reliable gas-tight connections	

# 2.4.6 Telecommunication Outlet

S. No	Specification	
1.	The patch cord shall provide air-tight connection for cross-connection and shall meet or exceed ISO/IEC 11801:2002 Category 6 Component Specifications.	
2.	It shall be ETL certified to meet TIA/EIA 568-B.2-1 Category 6 specifications.	
3.	The Patch Cord shall have factory fitted boots to maintain the bend radius at both ends.	

## 2.4.7 Installation Services to be provided along with the supply

- Laying of Cat 6, UTP Cable as per structured cabling standards
- Fixing of RJ 45 with Faceplate
- Termination of UTP Cable at Patch Panel
- Termination of UTP Cable on WS side
- Testing, Documentation and Certification of Each Node
- Acceptance Testing
- In the events of Structured Cabling System failure, the supplier shall repair or replace the defective items at its own cost inclusive of the cost of labour to repair or replace any such defective product until the cabling system is set up to support the required applications.

## 2.5 Power Requirement:

UPS system must be high frequency microprocessor based with two hours' battery backup. Battery dimension are to be done based on the load of the equipment supplied excluding the load of AC. The online UPS should be a composite product with suitable rectifier cum charger and inverter along with suitable circuitry for input power stability, filtering PFC (Power factor correction), etc. to meet the detailed technical specs given below.

## 2.5.1 UPS (5 KVA/10 KVA Online) technical specification

UPS and batteries (minimum 2 hrs of autonomy) are to be designed to run all the equipments in the EOCs except AC.

Sl. No	Description	Specification
1	O/P Power Capacity	5000VA / 10000VA
2	Nominal Output Voltage	230V AC
3	Output Frequency	50 Hz ± 3 Hz
4	Output Wave form	Sine wave
5	Output Voltage Distortion	< 3 %
6	Crest Factor	3:01
7	Efficiency at full load	≥ 92%
8	Nominal output should be configurable	220, 230 or 240 V (exact voltage requirements to be intimated later)
9	Nominal Input Voltage	230 V AC per phase
10	Input voltage range	160V to 220 V
11	Input Frequency	50 Hz ± 5 Hz
12	Battery	External Battery for 2 Hrs backup

Sl. No	Description	Specification
13	Audible alarm should be	Alarm when
	provided for following	On battery
	conditions	Low Battery
		Over loaded
12	LED indication	Working on Battery
		Over load
		Bypass mode
		Battery faulty
15	Communication	System should have the facility
		to interface the computer
		through RS232 port (DB9
16	Operating Environment	0 to 50°C
17	Operating Relative Humidity	0 -95 %
18	Should confirm to following	CE, EN 50091-1, EN 50091-2,
		EN 55022 Class A

## **Battery backup for UPS**

- a) The Batteries should be of SMF VRLA type designed for 2hrs backup. Battery must be installed in two strings for the above specified power back for the equipments at 100% load.
- b) UPS should offer protection for battery from deep discharge and overcharging of the batteries. The battery bank should have the Fuse/Circuit Breaker for the protection.
  - c) High/Low Voltage Disconnector & Surge protection for power line:
  - d) The UPS system shall be adequately protected against Lightning and Surge Protection shall be provided at the distribution panel for the UPS system. Technical specification mentioned below.
  - e) High/Low Voltage Disconnector: High/Low Voltage disconnector must be provided at the input of UPS to protect from continuous high/low voltages to protect the UPS system. High/Low voltage disconnector will have voltage monitoring to sense all the single/ three phases of the incoming AC mains and will disconnect the mains supply to UPS as their will be abnormality as per below parameter using 4pole AC Contactor.

High Voltage Disconnection at : 220V

Re-connection as voltage will resume (at higher side):250V

Low Voltage Disconnection at :160V

Re-connection as voltage will resume (at lower side): 170V

Disconnection time of AC contactor :100 ms

Reconnection time delay: 20–30 sec.

Input MCB must be provided at the input.

Note: Capacity of contactor will be calculated for at least 30% higher load than UPS capacity.

High/Low voltage disconnector with surge protector is required of Single Phase type for 5KVA UPS and 10KVA UPS.

High/Low voltage disconnector must have manual-bypass facility in case of failure.

## **Class B Lightning Protection**

- To be installed at the input of High/Low Voltage disconnector with 63A inductor coil:
- Line to Neutral 50KA @10/350μs.
- Neutral to Ground 100KA@10/350µs.
- Response Time: ≤25ns
- Protection level at Iimp:<1.5KV
- One/Single pole MCB must be provided along with Class B protector to trip-of fin case of failure of Class B protector.

#### **Class C surge protection**

- To be installed after AC contactor inside the High/Low Voltage disconnector:
- Line to Neutral 20KA 8/20 µs.
- Neutral to Ground 20KA @ 8/20 μs.
- Response Time: ≤25ns
- Protection level at Iimp: < 1.6 KV.
- Alarm: Potential free contact must be provided.
- One MCB must be provided along with Class C protector to trip-off, in case of failure of Class C protector.

#### **AC Distribution Panel:**

An ACD Panel system shall be provided to distribute the incoming Mains for UPS Power and UPS Output to various equipment. AC Distribution Panel consists of:

- MCB/MCCB for UPS
- MCB for UPS Output Distribution (6 Nos)
- The rating of the above are to be calculated as per the actual load requirements.

#### 2.5.2 DG SET (5 KVA/15 KVA Online) technical specification

The proposed DG set should be able to support the IT/network equipments along with AC, in absence of primary power source.

Sl. No	Specification
1	Engine shall be vertical multi cylinder 4 stroke type in
	accordance with IS 10002-1981 with latest amendments.

Sl. No	Specification
2	Type: Multi cylinder
3	Method of starting: Electric start 12 V DC
4	Type of cooling: Water cooled /Air cooled
5	Type of governor: Mechanical/Electronic
6	Type of fuel: High speed diesel
7	Rating: Continuous
8	Output: Suitable HP rated to match the alternator
9	Rated speed: 1500 RPM
10	Over load capacity: 10% overload – minimum 1 hour, 50% overload – minimum 1 minute
11	DG set shall be compliant with the latest CPCB emission & noise norms (less than 75db at 1meter distance).
12	Accessories
13	Flywheel to suitable diameter and fuel injection equipment
12	Air cleaner
15	Lubricating oil cooler
16	Electric motor starting equipment like motor, battery, charging generator with voltage regulator etc.
17	Heavy duty radiator with fan
18	Residential type silencer with exhaust piping with vibration isolator
19	Fuel tank suitable for 8 Hrs of continuous running with necessary piping and fuel gauge, drain valve, inlet and outlet connections.
20	Anti vibration mounting pads (Dunlop)
21	Speed controlling governor
22	Suitable coupling system to the Alternator
23	Tachometer
24	Lubricating oil pressure gauge
25	Silent Type DG Set

## 2.6 Manpower Requirement:

# For project implementation stage:

Bidder to provide sufficient Manpower to ensure the successful project implementation within the time limit and minimum one Health and safety officer has to be deputed at the site of and during tower/pole erection activities.

operation of the system and to assist the State Government Officials

## For 2 years of O&M:

All the operation activities are to be performed by the bidder on day to day basis. Hence we recommend the **minimum** manpower stated below:

#### At SEOC:

Supervisor cum EWDS/Siren Expert:1 no. Should be B. Tech (ECE) with 5 yrs project Experience

Supervisor cum DMR group programming, LAN maintenance expert: 1 No. Should be B. Tech (ECE) with 5 yrs project Experience

## 2.7 Training Requirement:

#### **2.7.1** General

The main concept of the Training Program is to impart thorough and effective training so that the trainees shall get adequate knowledge of the EWDS Equipment & network. The trainees will be exposed to the constructional features of different components of EWDS equipment.

The Bidder shall provide training for installation and maintenance of the EWDS Equipment & network at SEOC, DEOC and TEOC.

The required training materials and handbooks w.r.t. operation & maintenance of the EWDS Equipment & network shall be supplied to each participant.

The Bidder shall specify in his bid the number of trainees, quantum of proposed training, pre-training qualifications required of the trainee sand the duration of the proposed training required.

#### 2.7.2Training Objectives

The trainir	ng shall consist of:
	☐ Class room training
	☐ On the job and /or onsite training

#### 2.7.3Training Target

For the Installation, commissioning, operation & maintenance of the EWDS Equipment & network, training shall be imparted as per Training Manual. The Training program shall cover the following:

- Terminology
- Introduction to various types of equipments being used for EWDS Equipment & network.
- The various parameters & their operating standard values e.g power

specifications required for Safe & proper functioning, visual indications, physical specifications etc.

- Integration of EWDS Equipment &network
- Network maintenance at various levels.

## 2.7.4On-The-Joband /or onsite Training

This training program will be organized in conjunction with the class room training. This will give the trainee a confidence & awareness of the actual site condition.

## 2.7.5Assessment during Training Program

The performance of the trainees shall be assessed progressively throughout the length of course. This shall be done by periodic tests during the training course this assessment shall be regarded as integral part of the teaching and practical training program.

#### 2.7.6 Certification

The trainees shall be trained to standards required to efficiently operate and maintain the EWDS Equipment & network. After successful training the trainees shall be awarded the training certificate.

The Bidder shall specify in his offer the number of trainees, quantum of proposed training, pretraining qualifications required of the trainees and the duration of the proposed training required. The Bidder shall provide all training materials and documents and aids.

Conduct of training of the Purchaser's personnel shall be at the Suppliers' plant and/or on site in assembly start-up operation, maintenance and/or repair of the supplied goods.

## 2.8 Documentation Requirements

Documents as detailed below are to be submitted in two sethard copy as well as two set soft copy (CD/Pen drive) to Purchaser within 30 days of commissioning /acceptance testing of the systems and facilities:

- a) Technical write up of the network design and functioning, System and Network architecture diagram, Active components configuration details, Security implementation
- b) Manufacturer's technical documentation on all devices used in the system including user manuals for configuring of switches, routers, etc
- c) Site Layout Diagram
- d) Site Connection Diagram
- e) Site Detailed Inventory of all the equipments and systems installed with make, model, Sl No etc. Complete cabling and electrical system layout (as Installed), including cable routing, telecommunication closets and telecommunication outlet/ connector designations. The layout shall detail locations of all equipment and indicate all wiring pathways
- f) Site certification and Acceptance test reports, performance test reports of networking components, servers and other components, scanning test report.
- g) Original manuals of software packages supplied
- h) Software Delivery Report
- i) IP addressing policy and scheme
- j) Changes in configuration, maintenance logs, patches carried out etc. Original manuals of all hardware supplied
- k) As built network configuration details with IP address, subnet, VLAN, port description, etc for all active components
- Detailed manual on Facility management for each and every facility (including security, operations, monitoring, configuring, Availability and service continuity management, capacity management, incident and problem management, SLA management, preventive maintenance plan, corrective maintenance plan, role of each management personnel etc)
- m) All reports on Manpower Deployment schedules.

n)

## 2.9 Spares

The bidder should setup the support center with sufficient personnel and inventory and spares within a month in case the Contract is awarded to it and the same should be functional till the period of performance warranty/support and Facility Management of EWDS, whichever is later. The bidder will submit an under taking in this regard along with the Bid.

Bidder is required to submit a certificate from OEM that Spares for another seven years should be made available.

# C. TESTING AND QUALITY ASSURANCE REQUIREMENTS

## 3.1 Inspections

- 3.1.1 Factory Inspections: Factory Inspection shall be carried out by the purchaser prior to the shipment of the major items like DMR, Alert Tower Siren System, SBMDVT's, Tower etc to check the specifications as per the Technical requirements of the Tender. It is made obligatory that Factory Inspection Test reports shall be a part of the documents for release of payments. The expenditure i.e. TA/DA etc of four officers towards inspection of the items shall be borne by the successful bidder. The factory inspection shall be at client's discretion.
- 3.1.2 Inspections following delivery: The Bidder shall carryout Post Delivery inspection of goods at Site in consultation with the Purchaser.
- 3.1.3 Bidder is to submit for DMR, system Lab Test Certificate on the parameter mentioned in technical specifications, from the NABL/ILAC approved Lab. The Certificate must be enclosed with technical bid. In case offer is received without Lab Certificate same will be rejected.
- 3.1.4 The purchaser also reserves the right to carry out the lab test of the samples submitted by the Bidder at any stage.
- 3.1.5 Tender Sample should have cards affixed on it duly signed and stamped by the firm indicating the following:
  - a) Name and address of the firm.
  - b) Tender No. with date of opening
  - c) Name of item, item No & size
- 3.1.6 Tender sample should have card affixed duly signed and stamped by concerned lab issued the Lab Test Report of the intended tender sample otherwise the offer is liable for rejection.
- 3.1.7 Samples Sent on Basis "Freight to Pay" Will not be Accepted: Samples submitted by the bidder whose offers are not accepted may be collected by their authorized representatives on requisition or hearing from this Date. In case samples are not collected within given time, the samples will be disposed of.
- 3.1.8 Dispatch Instructions and Documentation: The seller shall intimate to the purchaser, by Mail, telex or fax and speed post seven working days in advance, the mode of transport and probable date of delivery of consignment.

## 3.2 Pre-commissioning Tests

- 3.2.0 In addition to the Supplier's standard check-out and set-up tests, the Supplier (with the assistance of the Purchaser) must perform the following tests on the System and its Subsystems before Installation will be deemed to have occurred and the Purchaser will issue the Installation Certificate(s) (pursuant to GCC Clause 26 and related SCC clauses).
- 3.2.1 Functioning of DMR handset and Fixed station at two geographical location
- 3.2.2 Functioning of Mass Messaging

#### 3.2.3 SBMDVT Operation

#### 3.2.4 Alert Siren activation for 2sites from Control Center at SEOC and one TEOC

## 3.3 Operational Acceptance Tests

The Purchaser (with the assistance of the Supplier) will perform the following tests on the System and its Subsystems following Installation to determine whether the System and the Subsystems meet all the requirements mandated for Operational Acceptance.

After installation and configuration of each and every subsystem, integrating various systems and providing various services, tests shall be conducted for system performance as a whole. Commissioning shall mean end-to-end commissioning of the network with applications running to the fullest capability. Test parameters, commitments etc shall be submitted along with the bid, which shall be acceptable to the Purchaser. In the event, the test parameters, commitments are not submitted or not accepted explicitly in writing/minutes by the bidder, the Test parameters, commitment as decided by the bidder will be final and binding. Following is a general guideline to be followed in the case of testing and certification.

Upon Self-testing, Commissioning and two weeks of continuous trouble-free operation, the system shall be offered for inspection by the bidder. The successful Bidder, along with Purchaser, shall prepare an inspection and acceptance schedule with detail of each activity.

A detailed acceptance testing as per approved acceptance testing plan ("ATP") will be carried out before commissioning of the EWDS System. Bidder shall submit the Acceptance Test Procedures and get it approved by Purchaser.

### 3.4 Third Party Audit

The Third Party Auditor shall be appointed by State Government. The Third Party Auditor or officials as nominated by State Government shall be responsible for acceptance testing of the EWDS System supplied, installed, commissioned and during operation. Parameters for acceptance testing shall be prepared by bidder, finalized by the Consultant while taking approval of the competent authority. The Consultant before approval will also oversee the acceptance testing procedures as prepared by the Bidder so that there should not being any gaps. Cost of The Third Party Auditor shall be borne by NCRMP, Goa.

The Bidder will provide full support to the client for the smooth execution of the Third Party Acceptance testing. The Third Party Auditor shall scrutinize and submit the testing reports/SLA parameters on monthly or as desired.

# D. Site Table(s)

System, Subsystem, or lot number: ["entire System procurement"]
[ specify: the detailed information regarding the site(s) at which the System is to be operated]

S. No.	Details of EOC	Taluka/ District	Type of Roof	Height of Building (Approx)	Latitude/ Longitude
1	State Emergency Operation Centre (SEOC)-  Office No 1, Upper Ground Floor, Market Complex, Goa Housing Board, Alto, Porvorim, Goa	Bardez/ North Goa	RCC	20 mts	15° 31' 12" N/ 73° 49' 50" E
2	North Goa District Emergency Operation Centre (DEOC- North)-  Office No 1, Upper Ground Floor, Market Complex, Goa Housing Board, Alto, Porvorim, Goa	Bardez/ North Goa	RCC	20 mts	15° 31' 12" N / 73° 49' 50" E
3	South Goa District Emergency Operation Centre (DEOC- South)-  Office (premises formerly used by State Bank of India branch), Ground Floor, Mathanhy Saldanha Administrative Complex, Margao, Goa.	Salcete/South Goa	RCC/ Sloping Roof	25 mts	15° 17' 28" N/ 73° 57' 17" E
4	Taluka Emergency Operation Centre (TEOC)- Pernem  Government Complex Hall, 1 <sup>st</sup> Floor, Office of the Mamlatdar, Pernem, Goa.	Pernem/ North Goa	RCC/ Sloping Roof	20 mts	15° 43' 00" N/ 73° 47' 50" E

5	Taluka Emergency Operation Centre (TEOC)- Bardez  Room earlier used as Mahiti Ghar, Ground Floor, Govt. Office Complex, Morod, Mapusa	Bardez/ North Goa	RCC/ Sloping Roof	15mts	15° 35' 12" N/ 73° 48' 43" E
6	Taluka Emergency Operation Centre (TEOC)- Tiswadi  Ground Floor, Room No 2, Office of the Mamlatdar, Tiswadi, Panaji, Goa.	Tiswadi/ North Goa	RCC/ Sloping Roof	20mts	15° 30' 01" N/ 73° 49' 28" E
7	Taluka Emergency Operation Centre (TEOC)- Salcete  Room No 51, Ground Floor, Mathanhy Saldanha Administrative Complex, Margao, Goa.	Salcete/ South Goa	RCC/ Sloping Roof	25 mts	15° 17' 37" N/ 73° 57' 16" E
8	Taluka Emergency Operation Centre (TEOC)- Quepem  Entry Section, Ground Floor, Office of the Mamlatdar, Government Office Complex, Quepem, Goa.	Quepem/ South Goa	RCC/ Sloping Roof	20 mts	15° 12' 50" N/ 74° 04' 17" E
9	Taluka Emergency Operation Centre (TEOC)- Mormugao  Junta Quarters B-1/1 at Patrong Baina Vasco	Mormugao/ South Goa	RCC/ Sloping Roof	20 mts	15° 23' 30" N/ 73° 48' 47" E

2	Taluka Emergency	Canacona/	RCC/	20 mts	15° 00' 27"
	<b>Operation</b> Centre	South Goa	Sloping		N/
	(TEOC)- Canacona		Roof		
					74° 03' 01"
	Vacant premises which				E
	were allotted to the				
	Talathis of Nagercem				
	Palolem and Chaudi in the				
	Office of the Mamlatdar,				
	Canacona taluka,				
	Canacona, Goa.				

S	<b>Details of MPCS</b>	Taluka/	Nearby	Latitude/
No.		District	Landmark	Longitude
1	Multi-Purpose Cyclone Shelter (G+2) at Survey No. 53/1 of Dabolim in V.P. Chicalim of Mormugao Taluka, South Goa.	Mormugao/ South	Government Primary School Dabolim	15°23'22" N 73°51'04" E
2	Multi-Purpose Cyclone Shelter (G+2) at Survey No. 76/1 of Chapoli in V.P. Shristal of Canacona Taluka, South Goa	Canacona/ South	Chapoli Dam	15°01'27.61" N 74°02'38.61" E
3	Multi-Purpose Cyclone Shelter (G+2) at Survey No. 117/1 of Cuelim in V.P. Cuelim of Mormugao Taluka, South Goa	Mormugao/ South	Government Primary School Cuelim	15°21'28.84" N 73°54'9.84" E
4	Multipurpose Cyclone Shelter (MPCS) Pilerne under Survey No. 115/1 in Saligao village, Bardez-Goa	Bardez/North	Pilerne Fire Station	15°31'57.87" N 73°47'37.70" E
5	Multi-Purpose Cyclone Shelter (G+2) at Survey No. 122/1 of Zuarinagar in V.P. Sancoale of Mormugao Taluka, South Goa.	Mormugao/ South	Government Primary School Zuarinagar	15°23'28.46" N 73°53'08.42" E
6	Multi-Purpose Cyclone shelter (G+2) at Survey No. 137/17, of Mahalwada ,V.P. Poinguinim of Canacona Taluka, South Goa	Canacona/ South	Government Primary School Mahalwada	14°58' 26.2" N 74°04'15.8" E

7	Multi - Purpose Cyclone shelter (G+2)	Canacona/	Government	15°00'40.82" N
	at Survey No. 128/2, of Nagarcem in	South	Primary School	74°02'03.62" E
	the jurisdiction of Canacona		Nagarcem	
	Municipal Council Canacona Taluka,			
	South Goa			
8	Multipurpose Cyclone Shelter	Bardez/North	Government	15°37'24.24"
	(MPCS) At Dando-Siolim under		Primary School	N
	Survey No. 104/1, of Siolim Village,		Dando Siolim,	73°45'19.46" E
	in V. P. Siolim -Marna Bardez		Near temple	
	Taluka-Goa			
9	MPCS at Porvorim, Bardez Taluka	Bardez/North	Opposite	15°31'22.18"
			stadium	N
				73°49'46.70" E
10	MPCS at Altinho in Govt Polytechnic,		Next to	
	Panji Goa.	Tiswadi/North	Government	
		118Waui/Norui	Polytechnic	15°29'04.38" N
			Panaji, Altinho	73°49'29.30" E
11	MPCS at Junaswada, Mandrem,		Government	15°40'15.69"N
	Pernem Goa	Pernem/North	Primary School	
			Junaswada	73°42'48.92"E
12	MPCS at Bindolim, Aquem, Margao		Government	
	Goa.	Salcete/South	Primary School	15°16'10.14" N
			Bindolim	73°58'10.08" E

# **North Goa Tower locations:**

Sr. No	Beach Locations	Taluka	Village	Latitude	Longitude	Towe r Heig ht
1	Querim	Pernem	Querim	15°42'28.41"N	73°41'36.72" E	15
2	Sweet Water Lake	Pernem	Arambol	15°41'51.72"N	73°41'52.01" E	15
3	Arambol 2	Pernem	Arambol	15°41'28.54"N	73°42'5.49"E	15
4	Arambol 1	Pernem	Mandrem	15°40'21.02"N	73°42'26.43" E	15
5	Ashwem 2	Pernem	Mandrem	15°38'38.81"N	73°43'3.43"E	15

	1		1	1	1 1	
6	Ashwem 1	Pernem	Morjim	15°38'5.23"N	73°43'17.83" E	15
7	Morjim 2	Pernem	Morjim	15°37'20.57"N	73°43'49.97" E	15
8	Morjim 1	Pernem	Morjim	15°36'57.85"N	73°44'4.48"E	15
9	Vagator	Bardez	Anjuna	15°35'57.38"N	73°44'1.35"E	15
10	Anjuna	Bardez	Anjuna	15°34'39.41"N	73°44'22.20" E	20
11	Baga	Bardez	Calangute	15°33'18.83"N	73°45'6.92"E	20
12	Calangute	Bardez	Calangute	15°32'44.42"N	73°45'20.11" E	20
13	Candolim	Bardez	Candolim	15°30'44.01"N	73°45'53.10" E	15
14	Sinquerim	Bardez	Candolim	15°29'53.40"N	73°46'0.61"E	15
15	Coco	Bardez	Nerul	15°29'57.52"N	73°47'13.87" E	15
16	Miramar 1	Tiswadi	Panjim City	15°29'1.30"N	73°48'30.80" E	15
17	Miramar 2	Tiswadi	Panjim City	15°27'34.95"N	73°47'54.90" E	15
18	Hawaii	Tiswadi	Taligaon	15°27'17.74"N	73°48'24.73" E	15
19	Panjim	Tiswadi	Panjim City	15°30'0" N	73°49'52" E	5

# **South Goa Tower locations:**

Sr. No	EWDS Name	Taluka	Village	Latitude	Longitude	Tower Height
51.140	Name	Tatuka	vinage	Latitude	Longitude	Height
1	Bogmalo	Mormugao	Chicalim	15°22'13.05"N	73°50'0.53"E	15
2	Velsao	Mormugao	Velsao	15°21'13.82"N	73°53'4.37"E	20
3	Arrosim	Mormugao	Arrosim	15°19'43.29"N	73°53'44.71"E	15
4	Majorda	Salcete	Majorda	15°18'42.69"N	73°54'7.17"E	20
5	Betalbatim	Salcete	Betalbatim	15°17'28.51"N	73°54'32.41"E	15
6	Colva	Salcete	Colva	15°16'34.18"N	73°54'49.43"E	20
7	Benaulem	Salcete	Benaulim	15°15'16.33"N	73°55'11.45"E	20
8	Taj	Salcete	Benaulim	15°14'42.75"N	73°55'21.47"E	15
9	Varca	Salcete	Varca	15°13'23.38"N	73°55'44.49"E	20
10	Zalor	Salcete	Varca	15°12'17.38"N	73°56'1.57"E	15
11	Cavalossim	Salcete	Cavlosim	15°10'13.16"N	73°56'32.05"E	20
12	Mobor	Salcete	Cavalossim	15° 9'27.29"N	73°56'43.86"E	15
13	Cananguinim	Quepem	Naquerim	15° 7'9.39"N	73°56'26.65"E	20
14	Agonda	Canacona	Agonda	15° 1'57.75"N	73°59'26.53"E	20
15	Pallolem	Canacona	Nagarcem - Pallolem	15° 0'41.71"N	74° 1'16.37"E	20
16	Patnem	Canacona	Nagarcem - Pallolem	14°59'55.35"N	74° 1'58.45"E	20
17	Rajbagh	Canacona	Nagarcem - Pallolem	14°59'22.32"N	74° 2'14.99"E	15
18	Talpona	Canacona	Poinguinim	14°58'42.91"N	74° 2'31.29"E	15
19	Galgibagh	Canacona	Poinguinim	14°57'52.89"N	74° 2'51.05"E	15
20	Polem	Canacona	Loliem	14°54'32.27"N	74° 4'53.69"E	15

**Forms and Procedures** 

# **Form of Completion Certificate**

Date: Loan/Credit N°:
IFB N°:
°o:
Dear Ladies and/or Gentlemen,
Pursuant to GC Clause 24 (Completion of the Facilities) of the General Conditions of the Contract entered into between yourselves and the Employer dated, relating to the, we hereby notify you that the following part(s) of the Facilities was evere) complete on the date specified below, and that, in accordance with the terms of the Contract, the Employer hereby takes over the said part(s) of the Facilities, together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.
1. Description of the Facilities or part thereof:
2. Date of Completion:
However, you are required to complete the outstanding items listed in the attachment heretos soon as practicable.
This letter does not relieve you of your obligation to complete the execution of the Facilities a accordance with the Contract nor of your obligations during the Defect Liability Period.
Very truly yours,
Title Project Manager)

# Form of Operational Acceptance Certificate

	Date:
	Loan/Credit N°:
	IFB N°:
To:	
Dear Ladies	and/or Gentlemen,
Contract ent	GC Sub-Clause 25.3 (Operational Acceptance) of the General Conditions of the gered into between yourselves and the Employer dated, relating to, we hereby notify you that the Functional
	of the following part(s) of the Facilities were satisfactorily attained on the date
1.	Description of the Facilities or part thereof:
2.	Date of Operational Acceptance:
	loes not relieve you of your obligation to complete the execution of the Facilities ce with the Contract nor of your obligations during the Defect Liability Period.
Very truly y	ours,
Title	
(Project Man	nager)

# **Change Order Procedure and Forms**

Date:	
Loan/Credit N°:	
IFB N°:	

## **CONTENTS**

- General 1.
- 2.
- Change Order Log References for Changes 3.

### **ANNEXES**

Annex 1	Request for Change Proposal
Annex 2	Estimate for Change Proposal
Annex 3	Acceptance of Estimate
Annex 4	Change Proposal
Annex 5	Change Order
Annex 6	Pending Agreement Change Order
Annex 7	Application for Change Proposal
Annex 9	Sample clauses [delete if not used]

#### **Change Order Procedure**

#### 1. General

This section provides samples of procedures and forms for implementing changes in the Facilities during the performance of the Contract in accordance with GC Clause 39 (Change in the Facilities) of the General Conditions.

#### 2. Change Order Log

The Contractor shall keep an up-to-date Change Order Log to show the current status of Requests for Change and Changes authorized or pending, as Annex 8. Entries of the Changes in the Change Order Log shall be made to ensure that the log is up-to-date. The Contractor shall attach a copy of the current Change Order Log in the monthly progress report to be submitted to the Employer.

#### 3. References for Changes

- (1) Request for Change as referred to in GC Clause 39 shall be serially numbered CR-X-nnn.
- (2) Estimate for Change Proposal as referred to in GC Clause 39 shall be serially numbered CN-X-nnn.
- (3) Acceptance of Estimate as referred to in GC Clause 39 shall be serially numbered CA-X-nnn.
- (4) Change Proposal as referred to in GC Clause 39 shall be serially numbered CP-X-nnn.
- (5) Change Order as referred to in GC Clause 39 shall be serially numbered CO-X-nnn.

Note: (a) Requests for Change issued from the Employer's Home Office and the Site representatives of the Employer shall have the following respective references:

Home Office CR-H-nnn Site CR-S-nnn

(b) The above number "nnn" is the same for Request for Change, Estimate for Change Proposal, Acceptance of Estimate, Change Proposal and Change Order.

#### **Annex 1. Request for Change Proposal**

(Employer's Letterhead)

То:		[Contractor's name and address]	Date:
Atte	ntion	:[Name and title]	
		Name:Number:	
Dea	r Ladi	ies and/or Gentlemen:	
Prop	osal	<u> </u>	requested to prepare and submit a Change ace with the following instructions within
1.	Title	e of Change:	
2.	Cha	nge Request No	
3.	Orig	ginator of Change: Employer: Contractor (by Appli	cation for Change Proposal No
4.	Brie	of Description of Change:	
5.	Faci	lities and/or Item No. of equipment relate	ed to the requested Change:
6.	Refe	erence drawings and/or technical docume	nts for the request of Change:
	<u>Dra</u>	wing No./Document No. Descri	iption_
7.	Deta	ailed conditions or special requirements o	n the requested Change:
8.	Gen	eral Terms and Conditions:	
	(a)	Please submit your estimate to us show have on the Contract Price.	ring what effect the requested Change will
	(b)	Your estimate shall include your clar completion of the requested Change.	im for the additional time, if any, for

<sup>&</sup>lt;sup>19</sup> Refer to Annex 7

- (c) If you have any opinion negative to the adoption of the requested Change in connection with the conformability to the other provisions of the Contract or the safety of the EWDS or Facilities, please inform us of your opinion in your proposal of revised provisions.
- (d) Any increase or decrease in the work of the Contractor relating to the services of its personnel shall be calculated.
- (e) You shall not proceed with the execution of the work for the requested Change until we have accepted and confirmed the amount and nature in writing.

(Employer's Name)		
(0)		
(Signature)		
(Name of signatory)		
(Title of signatory)		

#### **Annex 2. Estimate for Change Proposal**

(Contractor's Letterhead)

				`	,		
To:		[	Employer's name	and address]		Date:	
Atte	ntion	:	[Na	me and title]			
Dea	r Ladi	ies an	d/or Gentlemen:				
appr Sub- cost	oxim Claus of pre	ate co se 39. eparin	est of preparing the 2.1 of the General	ne below-reference al Conditions. Wo oposal, in accorda	ed Change Pro Ve acknowledg	pleased to notify you posal in accordance ve that your agreement aub-Clause 39.2.2, is a	with GC nt to the
1.	Title	e of C	hange:				
2.	Cha	Change Request No./Rev.:					
3.	Brie	ef Des	cription of Chang	ge:			
4.	Sch	eduleo	d Impact of Chang	ge:			
5.	Cos	t for F	Preparation of Cha	ange Proposal:	2	0	
	(a)	Eng	ineering			(Amount)	
				hrs xhrs xhrs			
			Total Engineeri	ng Cost			
	(b)	Oth	er Cost				
	Tota	al Cos	t(a) + (b)				

<sup>&</sup>lt;sup>20</sup> Costs shall be in Rs.

Contractor's Name)
Signature)
Name of signatory)
Fitle of signatory)

#### Annex 3. Acceptance of Estimate

(Employer's Letterhead)

То:	[Contractor's name and address]	Date:
Atte	ntion:[Name and title]	
	tract Name:	
Dear	Ladies and/or Gentlemen:	
	hereby accept your Estimate for Change Proporeparation of the Change Proposal.	sal and agree that you should proceed with
1.	Title of Change:	
2.	Change Request No./Rev.:	
3.	Estimate for Change Proposal No./Rev.:	
4.	Acceptance of Estimate No./Rev.:	
5.	Brief Description of Change:	
6.	Other Terms and Conditions: In the event accepted, you shall be entitled to compensate Proposal described in your Estimate for Characteristics with GC Clause 39 of the General	ion for the cost of preparation of Change ge Proposal mentioned in para. 3 above in
(Em	ployer's Name)	
(Sign	nature)	
(Nar	ne and Title of signatory)	

#### **Annex 4. Change Proposal**

(Contractor's Letterhead)

То:	[Employer's name and address]	Date:
Atte	ntion:[Name and title]	
	tract Name:tract Number:	
Dear	r Ladies and/or Gentlemen:	
	esponse to your Request for Change Proposal by submit our proposal as follows:	No, we
1.	Title of Change:	
2.	Change Proposal No./Rev.:	
3.	Originator of Change: Employer: Contractor:	
4.	Brief Description of Change:	
5.	Reasons for Change:	
6.	Facilities and/or Item No. of Equipme	nt related to the requested Change
7.	Reference drawings and/or technical document	nts for the requested Change:
	Drawing/Document No. Description	<u>n</u>
8.	Estimate of increase/decrease to the Contract	Price resulting from Change Proposal: <sup>21</sup>
		(Amount)
	(a) Direct material	
	(b) Major construction equipment	

<sup>&</sup>lt;sup>21</sup> Costs shall be in Rs.

9.

10.

11.

12.

13.

(c)	Direct field labor (Total hrs)		
(d)	Subcontracts		
(e)	Indirect material and labor		
(f)	Site supervision		
(g)	Head office technical staff salaries		
	Process engineer hrs @ rate/hr Project engineer hrs @ rate/hr Equipment engineer hrs @ rate/hr Procurement hrs @ rate/hr Draftsperson hrs @ rate/hr Total hrs		
(h)	Extraordinary costs (computer, travel, etc.)		
(i)	Fee for general administration, % of Items		
(j)	Taxes and customs duties		
	al lump sum cost of Change Proposal of items (a) to (j))		
	t to prepare Estimate for Change Proposal  ount payable if Change is not accepted)		
Add	litional time for Completion required due to Change Proposal		
Effe	ect on the Functional Guarantees		
Effe	ect on the other terms and conditions of the Contract		
	dity of this Proposal: within [Number] days after receipt of this Proposal by the bloyer		
Othe	er terms and conditions of this Change Proposal:		
(a)	You are requested to notify us of your acceptance, comments or rejection of this detailed Change Proposal within days from your receipt of this Proposal.		
(b)	The amount of any increase and/or decrease shall be taken into account in the adjustment of the Contract Price.		

(c)	Contractor's cost for preparation of this Change Proposal: <sup>2</sup>	
rejection	is cost shall be reimbursed by the Employer in case of Employer's of this Change Proposal without default of the Contractor in access of the General Conditions of Contract.]	
(Contract	or's Name)	-
(Signature	e)	-
(Name of	signatory)	-
(Title of s	signatory)	-

<sup>&</sup>lt;sup>2</sup> Specify where necessary.

#### Annex 5. Change Order

(Employer's Letterhead)

То:	[Contrac	tor's name and address]		Date:	
Atte	ention:[Nan	ne and title]			
	tract Name: tract Number:				
Dea	r Ladies and/or Gentlemo	en:			
and	agree to adjust the Con-	ler for the work specified in tract Price, Time for Compl GC Clause 39 of the Genera	letion and	d/or other condition	
1.	Title of Change:				
2.	Change Request No./R	ev.:			
3.	Change Order No./Rev	:			
4.	Originator of Change:	Employer:Contractor:			
5.	Authorized Price:				
	Ref. No.:		Date:		
6.	Adjustment of Time fo	r Completion			
	None	Increase days		Decrease	_ days
7.	Other effects, if any				
Aut	horized by:(Employer)			Date:	
Acc	epted by:(Contractor)			Date:	

#### **Annex 6. Pending Agreement Change Order**

(Employer's Letterhead)

To:	To:[Contractor's name and address] Date:	
Atte	ttention:[Name and title]	
Con Con	Contract Name:Contract Number:	
Dear	Dear Ladies and/or Gentlemen:	
	We instruct you to carry out the work in the Change Order detailed below GC Clause 39 of the General Conditions.	in accordance with
1.	. Title of Change:	
2.	Employer's Request for Change Proposal No./Rev.:dated:	
3.	. Contractor's Change Proposal No./Rev.:	dated:
4.	. Brief Description of Change:	
5.	. Facilities and/or Item No. of equipment related to the	requested Change:
6.	. Reference Drawings and/or technical documents for the requested C	hange:
	<u>Drawing/Document No.</u> <u>Description</u>	
7.	. Adjustment of Time for Completion:	
8.	. Other change in the Contract terms:	
9.	. Other terms and conditions:	

(Employer's Name)	
(Signature)	
(Name of signatory)	
(Title of signatory)	
(Title of bigilatory)	

#### **Annex 7. Application for Change Proposal**

(Contractor's Letterhead)

То:	[Employer's name and address]	Date:
Atte	ention:[Name and title]	
	ntract Name:ntract Number:	
Dea	ar Ladies and/or Gentlemen:	
We	hereby propose that the below-mentioned work be	reated as a Change in the Facilities.
1.	Title of Change:	
2.	Application for Change Proposal No./Rev.:	dated:
3.	Brief Description of Change:	
4.	Reasons for Change:	
5.	Order of Magnitude Estimation (in the currencies	of the Contract):
6.	Scheduled Impact of Change:	
7.	Effect on Functional Guarantees, if any:	
8.	Appendix:	
(Co	ntractor's Name)	
(Sig	gnature)	
(Na	me of signatory)	
	(Title of signatory	)

**Supplementary Information** 

## PART 3 – Conditions of Contract and Contract Forms

**Section VII. General Conditions (GC)** 

#### **General Conditions**

#### **Contract and Interpretation**

#### 1. Definitions

1.1 The following words and expressions shall have the meanings hereby assigned to them:

"Contract" means the Contract Agreement entered into between the Employer and the Contractor, together with the Contract Documents referred to therein; they shall constitute the Contract, and the term "the Contract" shall in all such documents be construed accordingly.

"Contract Documents" means the documents listed in Article 1.1 (Contract Documents) of the Contract Agreement (including any amendments thereto).

"GC" means the General Conditions hereof.

"PC" means the Particular Conditions.

"day" means calendar day.

"year" means 365 days.

"month" means calendar month.

"Party" means the Employer or the Contractor, as the context requires, and "Parties" means both of them.

"Employer" means the person **named as such in the PC** and includes the legal successors or permitted assigns of the Employer.

"Project Manager" means the person appointed by the Employer in the manner provided in GC Sub-Clause 17.1 (Project Manager) hereof and **named as such in the PC** to perform the duties delegated by the Employer.

"Contractor" means the person(s) whose bid to perform the Contract has been accepted by the Employer and is named as Contractor in the Contract Agreement, and includes the legal successors or permitted assigns of the Contractor.

"Contractor's Representative" means any person nominated by the Contractor and approved by the Employer in the manner provided in GC Sub-Clause 17.2 (Contractor's Representative and Construction Manager) hereof to perform the duties delegated by the Contractor. "Construction Manager" means the person appointed by the Contractor's Representative in the manner provided in GC Sub-Clause 17.2.4.

"Subcontractor," including manufacturers, means any person to whom execution of any part of the Facilities, including preparation of any design or supply of any Plant, is subcontracted directly or indirectly by the Contractor, and includes its legal successors or permitted assigns.

"The Adjudicator" is the person appointed jointly by the Employer and the Contractor to resolve disputes in the first instance, as provided for in GC 46.

"The Bank" means the financing institution named in the PC.

"Contract Price" means the sum specified in Article 2.1 (Contract Price) of the Contract Agreement, subject to such additions and adjustments thereto or deductions therefrom, as may be made pursuant to the Contract.

"Facilities" means the Plant to be supplied and installed, as well as all the Installation Services to be carried out by the Contractor under the Contract.

"Plant" means permanent plant, equipment, machinery, apparatus, materials, articles and things of all kinds to be provided and incorporated in the Facilities by the Contractor under the Contract (including the spare parts to be supplied by the Contractor under GC Sub-Clause 7.3 hereof), but does not include Contractor's Equipment.

"Installation Services" means all those services ancillary to the supply of the Plant for the Facilities, to be provided by the Contractor under the Contract, such as transportation and provision of marine or other similar insurance, inspection, expediting, site preparation works (including the provision and use of Contractor's Equipment and the supply of all construction materials required), installation, testing, precommissioning, commissioning, operations, maintenance, the provision of operations and maintenance manuals, training, etc... as the case may require.

"Contractor's Equipment" means all facilities, equipment, machinery, tools, apparatus, appliances or things of every kind required in or for installation, completion and maintenance of Facilities that are to be provided by the Contractor, but does not include Plant, or other things intended to form or forming part of

the Facilities.

"Country of Origin" means the countries and territories eligible under the rules of the Bank as further **elaborated in the PC.** 

"Site" means the land and other places upon which the Facilities are to be installed, and such other land or places as may be specified in the Contract as forming part of the Site.

"Effective Date" means the date of fulfillment of all conditions stated in Article 3 (Effective Date) of the Contract Agreement, from which the Time for Completion shall be counted.

"Time for Completion" means the time within which Completion of the Facilities as a whole (or of a part of the Facilities where a separate Time for Completion of such part has been prescribed) is to be attained, as referred to in GC Clause 8 and in accordance with the relevant provisions of the Contract.

"Completion" means that the Facilities (or a specific part thereof where specific parts are specified in the Contract) have been completed operationally and structurally and put in a tight and clean condition, that all work in respect of Precommissioning of the Facilities or such specific part thereof has been completed, and that the Facilities or specific part thereof are ready for Commissioning as provided in GC Clause 24 (Completion) hereof.

"Precommissioning" means the testing, checking and other requirements specified in the Employer's Requirements that are to be carried out by the Contractor in preparation for Commissioning as provided in GC Clause 24 (Completion) hereof.

"Commissioning" means operation of the Facilities or any part thereof by the Contractor following Completion, which operation is to be carried out by the Contractor as provided in GC Sub-Clause 25.1 (Commissioning) hereof, for the purpose of carrying out Guarantee Test(s).

"Guarantee Test(s)" means the test(s) specified in the Employer's Requirements to be carried out to ascertain whether the Facilities or a specified part thereof is able to attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, in accordance with the provisions of GC Sub-Clause 25.2 (Guarantee Test) hereof.

"Operational Acceptance" means the acceptance by the Employer of the Facilities (or any part of the Facilities where the

Contract provides for acceptance of the Facilities in parts), which certifies the Contractor's fulfillment of the Contract in respect of Functional Guarantees of the Facilities (or the relevant part thereof) in accordance with the provisions of GC Clause 28 (Functional Guarantees) hereof and shall include deemed acceptance in accordance with GC Clause 25 (Commissioning and Operational Acceptance) hereof. "Defect Liability Period" means the period of validity of the warranties given by the Contractor commencing at Completion of the Facilities or a part thereof, during which the Contractor is responsible for defects with respect to the Facilities (or the relevant part thereof) as provided in GC Clause 27 (Defect Liability) hereof. "ESHS" means environmental, social (including exploitation and abuse (SEA) and gender based violence (GBV), health and safety. **Contract** Subject to Article 1.2 (Order of Precedence) of the Contract 2.1 **Documents** Agreement, all documents forming part of the Contract (and all parts thereof) are intended to be correlative, complementary and mutually explanatory. The Contract shall be read as a whole. 3. Interpretation 3.1 In the Contract, except where the context requires otherwise: words indicating one gender include all genders; (a) (b) words indicating the singular also include the plural and words indicating the plural also include the singular; provisions including the word "agree," "agreed," or (c) "agreement" require the agreement to be recorded in writing; the word "tender" is synonymous with "bid," "tenderer," (d) with "bidder," and "tender documents" with "bidding documents," and "written" or "in writing" means hand-written, type-(e) written, printed or electronically made, and resulting in a permanent record. The marginal words and other headings shall not be taken into consideration in the interpretation of these Conditions. 3.2 Incoterms Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of Parties thereunder shall be as prescribed by *Incoterms*.

Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1<sup>er</sup>, 75008 Paris, France.

#### 3.3 Entire Agreement

Subject to GC Sub-Clause 16.4 hereof, the Contract constitutes the entire agreement between the Employer and Contractor with respect to the subject matter of Contract and supersedes all communications, negotiations and agreements (whether written or oral) of Parties with respect thereto made prior to the date of Contract.

#### 3.4 Amendment

No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each Party hereto.

#### 3.5 <u>Independent Contractor</u>

The Contractor shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture or other joint relationship between the Parties hereto. Subject to the provisions of the Contract, the Contractor shall be solely responsible for the manner in which the Contract is performed. All employees, representatives or Subcontractors engaged by the Contractor in connection with the performance of the Contract shall be under the complete control of the Contractor and shall not be deemed to be employees of the Employer, and nothing contained in the Contract or in any subcontract awarded by the Contractor shall be construed to create any contractual relationship between any such employees, representatives or Subcontractors and the Employer.

#### 3.6 Non-Waiver

3.6.1 Subject to GC Sub-Clause 3.7.2 below, no relaxation, forbearance, delay or indulgence by either Party in enforcing any of the terms and conditions of the Contract or the granting of time by either Party to the other shall prejudice, affect or restrict the rights of that Party under the Contract, nor shall any waiver by either Party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract.

#### 3.6.2 Any waiver of a Party's rights, powers or remedies under

the Contract must be in writing, must be dated and signed by an authorized representative of the Party granting such waiver, and must specify the right and the extent to which it is being waived. 3.7 <u>Severability</u> If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract. 3.8 Country of Origin "Origin" means the place where the plant and component parts thereof are mined, grown, produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially in its basic characteristics or in purpose or utility from its components. 4. Communica-4.1 Wherever these Conditions provide for the giving or issuing of tions approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be: (a) in writing and delivered against receipt; and (b) delivered, sent or transmitted to the address for the recipient's communications as stated in the Contract Agreement. When a certificate is issued to a Party, the certifier shall send a copy to the other Party. When a notice is issued to a Party, by the other Party or the Project Manager, a copy shall be sent to the Project Manager or the other Party, as the case may be. The Contract shall be governed by and interpreted in accordance Law and 5.1 with laws of the country specified in the PC. Language 5.2 The ruling language of the Contract shall be that **stated in the PC.** 5.3 The language for communications shall be the ruling language unless otherwise stated in the PC. Fraud and If the Employer determines that the Contractor and/or any of its Corruption personnel, or its agents, or its Subcontractors, subconsultants, services providers, suppliers and/or their employees has engaged in corrupt, fraudulent, collusive coercive, obstructive practices, in competing for or in executing the

Contract, then the Employer may, after giving 14 days notice to the Contractor, terminate the Contractor's employment under the Contract and expel him from the Site, and the provisions of Clause 42 shall apply as if such expulsion had been made under Sub-Clause 42.2.1 (c).

For the purposes of this Sub-Clause,

- (i) "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party<sup>22</sup>;
- (ii) "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation<sup>23</sup>;
- (iii) "collusive practice" is an arrangement between two or more parties<sup>24</sup> designed to achieve an improper purpose, including to influence improperly the actions of another party;
- (iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party<sup>25</sup> or the property of the party to influence improperly the actions of a party;
- (v) "obstructive practice" is
  - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or

"Party" refers to a public official; the terms "benefit" and "obligation" relate to the procurement process or contract execution; and the "act or omission" is intended to influence the procurement process or contract execution.

<sup>24</sup> "Parties" refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non competitive levels.

<sup>&</sup>quot;Another party" refers to a public official acting in relation to the procurement process or contract execution]. In this context, "public official" includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.

<sup>&</sup>quot;Party" refers to a participant in the procurement process or contract execution.

(bb) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under Sub-Clause 9.8.

#### **Subject Matter of Contract**

## 7. Scope of Facilities

- 7.1 Unless otherwise expressly limited in the Employer's Requirements, the Contractor's obligations cover the provision of all Plant and the performance of all Installation Services required for the design, and the manufacture (including procurement, quality assurance, construction, installation, associated civil works, Precommissioning and delivery) of the Plant, and the installation, completion and commissioning of the Facilities in accordance with the plans, procedures, specifications, drawings, codes and any other documents as specified in the Section, Employer's Requirements. specifications include, but are not limited to, the provision of supervision and engineering services; the supply of labor, materials, equipment, spare parts (as specified in GC Sub-Clause 7.3 below) and accessories; Contractor's Equipment; construction utilities and supplies; temporary materials, structures and facilities; transportation (including, without limitation, unloading and hauling to, from and at the Site); and storage, except for those supplies, works and services that will be provided or performed by the Employer, as set forth in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer.
- 7.2 The Contractor shall, unless specifically excluded in the Contract, perform all such work and/or supply all such items and materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Completion of the Facilities as if such work and/or items and materials were expressly mentioned in the Contract.
- 7.3 In addition to the supply of Mandatory Spare Parts included in the Contract, the Contractor agrees to supply spare parts required for the operation and maintenance of the Facilities for the period **specified in the PC** and the provisions, if any, **specified in the PC**. However, the identity, specifications and quantities of such spare parts and the terms and conditions relating to the supply thereof are to be agreed between the Employer and the Contractor, and the price of such spare parts shall be that given in Price Schedule No. 6, which shall be

			added to the Contract Price. The price of such spare parts shall include the purchase price therefor and other costs and expenses (including the Contractor's fees) relating to the supply of spare parts.
8.	Time for Commence- ment and Completion	8.1	The Contractor shall commence work on the Facilities within the period <b>specified in the PC</b> and without prejudice to GC Sub-Clause 26.2 hereof, the Contractor shall thereafter proceed with the Facilities in accordance with the time schedule specified in the Appendix to the Contract Agreement titled Time Schedule.
		8.2	The Contractor shall attain Completion of the Facilities or of a part where a separate time for Completion of such part is specified in the Contract, within the time <b>stated in the PC</b> or within such extended time to which the Contractor shall be entitled under GC Clause 40 hereof.
		8.3	The Contractor shall not carry out any work, including mobilization and/or pre-construction activities, unless the Project Manager is satisfied that appropriate measures are in place to address environmental, social, health and safety risks and impacts. At a minimum, the Contractor shall apply the Management Strategies and Implementation Plans and Code of Conduct, submitted as part of the Bid and agreed as part of the Contract. The Contractor shall submit, on a continuing basis, for the Project Manager's prior approval, such supplementary Management Strategies and Implementation Plans as are necessary to manage the ESHS risks and impacts of ongoing works. These Management Strategies and Implementation Plans collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP). The C-ESMP shall be approved prior to the commencement of construction activities. The approved C-ESMP shall be reviewed, periodically (but not less than every six (6) months), and updated in a timely manner, as required, by the Contractor to ensure that it contains measures appropriate to the Works activities to be undertaken. The updated C-ESMP shall be subject to prior approval by the Project Manager."
9.	Contractor's Responsibilities	9.1	The Contractor shall design, manufacture including associated purchases and/or subcontracting, install and complete the Facilities in accordance with the Contract. When completed, the Facilities should be fit for the purposes for which they are intended as defined in the Contract.
		9.2	The Contractor confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the

Facilities including any data as to boring tests provided by the Employer, and on the basis of information that the Contractor could have obtained from a visual inspection of the Site if access thereto was available and of other data readily available to it relating to the Facilities as of the date twenty-eight (28) days prior to bid submission. The Contractor acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Facilities.

- 9.3 The Contractor shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which such authorities or undertakings require the Contractor to obtain in its name and which are necessary for the performance of the Contract, including, without limitation, visas for Contractor's the Subcontractor's personnel and entry permits for all imported Contractor's Equipment. The Contractor shall acquire all other permits, approvals and/or licenses that are not the responsibility of the Employer under GC Sub-Clause 10.3 hereof and that are necessary for the performance of the Contract.
- 9.4 The Contractor shall comply with all laws in force in the country where the Facilities are to be implemented. The laws will include all local, state, national or other laws that affect the performance of the Contract and bind upon the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any and all liabilities, damages, claims, fines, penalties and expenses of whatever nature arising or resulting from the violation of such laws by the Contractor or its personnel, including the Subcontractors and their personnel, but without prejudice to GC Sub-Clause 10.1 hereof.
- 9.5 Any Plant and Installation Services that will be incorporated in or be required for the Facilities and other supplies shall have their origin as specified under GC Clause 1 (Country of Origin). Any subcontractors retained by the Contractor shall be from a country as specified in GC Clause 1 (Country of Origin).
- 9.6 The Contractor shall permit the Bank to inspect the Contractor's accounts and records relating to the performance of the Contractor and to have them audited by auditors appointed by the Bank, if so required by the Bank.
- 9.7 If the Contractor is a joint venture (JV) of two or more persons,

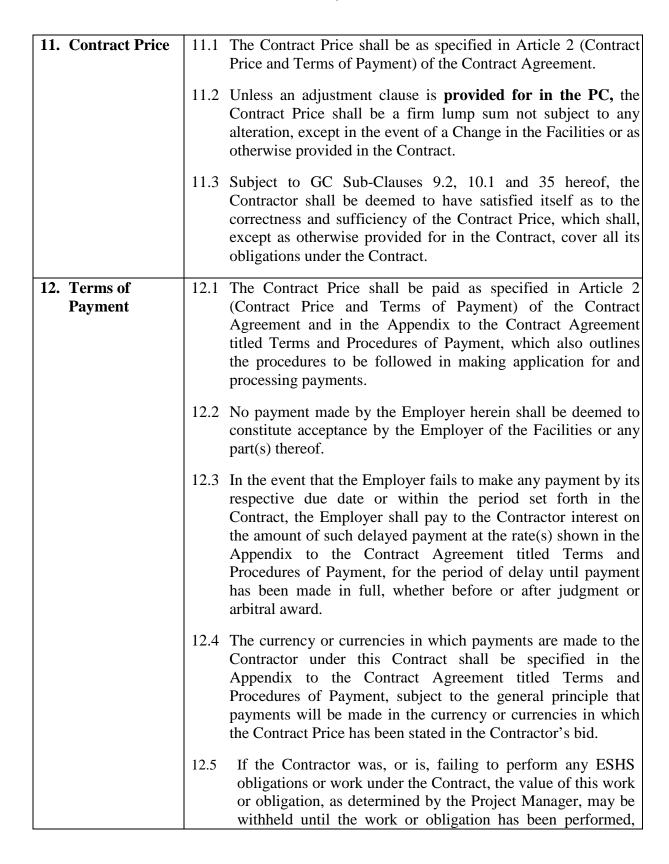
- all such persons shall be jointly and severally bound to the Employer for the fulfillment of the provisions of the Contract, unless otherwise specified in the PC, and shall designate one of such persons to act as a leader with authority to bind the JV. The composition or the constitution of the JV shall not be altered without the prior consent of the Employer.
- 9.8 The Contractor shall permit, and shall cause its Subcontractors and subconsultants to permit, the Bank and/or persons appointed by the Bank to inspect the Site and all accounts and records relating to the performance of the Contract and the submission of the Bid, and to have such accounts and records audited by auditors appointed by the Bank if requested by the Bank. The Contractor's and its Subcontractors' and subconsultants' attention is drawn to Sub-Clause 6.1 [Fraud and Corruption] which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under Sub-Clause 9.8 constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).
- 9.9 The Contractor shall comply with the specified Environmental, Social, Health, and Safety requirements, including ESHS Code of Conduct that will apply to its employees and subcontractors.

## 10. Employer's Responsibilities

- 10.1 All information and/or data to be supplied by the Employer as described in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, shall be deemed to be accurate, except when the Employer expressly states otherwise.
- 10.2 The Employer shall be responsible for acquiring and providing legal and physical possession of the Site and access thereto, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract, including all requisite rights of way, as specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer. The Employer shall give full possession of and accord all rights of access thereto on or before the date(s) specified in that Appendix.
- 10.3 The Employer shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which (a) such authorities or undertakings require the Employer to obtain in the Employer's name, (b) are necessary for the execution of the Contract, including those

- required for the performance by both the Contractor and the Employer of their respective obligations under the Contract, and (c) are specified in the Appendix (Scope of Works and Supply by the Employer).
- 10.4 If requested by the Contractor, the Employer shall use its best endeavors to assist the Contractor in obtaining in a timely and expeditious manner all permits, approvals and/or licenses necessary for the execution of the Contract from all local, state or national government authorities or public service undertakings that such authorities or undertakings require the Contractor or Subcontractors or the personnel of the Contractor or Subcontractors, as the case may be, to obtain.
- 10.5 Unless otherwise specified in the Contract or agreed upon by the Employer and the Contractor, the Employer shall provide sufficient, properly qualified operating and maintenance personnel; shall supply and make available all raw materials, utilities, lubricants, chemicals, catalysts, other materials and facilities; and shall perform all work and services of whatsoever nature, including those required by the Contractor to properly carry out Precommissioning, Commissioning and Guarantee Tests, all in accordance with the provisions of the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, at or before the time specified in the program furnished by the Contractor under GC Sub-Clause 18.2 hereof and in the manner thereupon specified or as otherwise agreed upon by the Employer and the Contractor.
- 10.6 The Employer shall be responsible for the continued operation of the Facilities after Completion, in accordance with GC Sub-Clause 24.8, and shall be responsible for facilitating the Guarantee Test(s) for the Facilities, in accordance with GC Sub-Clause 25.2.
- 10.7 All costs and expenses involved in the performance of the obligations under this GC Clause 10 shall be the responsibility of the Employer, save those to be incurred by the Contractor with respect to the performance of Guarantee Tests, in accordance with GC Sub-Clause 25.2.
- 10.8 In the event that the Employer shall be in breach of any of his obligations under this Clause, the additional cost incurred by the Contractor in consequence thereof shall be determined by the Project Manager and added to the Contract Price.

#### **Payment**



and/or the cost of rectification or replacement, as determined by the Project Manager, may be withheld until rectification or replacement has been completed. Failure to perform includes, but is not limited to the following:

- (i) failure to comply with any ESHS obligations or work described in the Employer's Requirements which may include: working outside site boundaries, excessive dust, failure to keep public roads in a safe usable condition, damage to offsite vegetation, pollution of water courses from oils or sedimentation, contamination of land e.g. from oils, human waste, damage to archeology or cultural heritage features, air pollution as a result of unauthorized and/or inefficient combustion;
- (ii) failure to regularly review C-ESMP and/or update it in a timely manner to address emerging ESHS issues, or anticipated risks or impacts;
- (iii) failure to implement the C-ESMP e.g. failure to provide required training or sensitization;
- (iv) failing to have appropriate consents/permits prior to undertaking Works or related activities;
- (v) failure to submit ESHS report/s (as described in Appendix A), or failure to submit such reports in a timely manner;
- (vi) failure to implement remediation as instructed by the Project Manager within the specified timeframe (e.g. remediation addressing non-compliance/s).

#### 13. Securities

#### 13.1 <u>Issuance of Securities</u>

The Contractor shall provide the securities specified below in favor of the Employer at the times, and in the amount, manner and form specified below.

#### 13.2 Advance Payment Security

13.2.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide a security in an amount equal to the advance payment calculated in accordance with the Appendix to the Contract Agreement titled Terms and Procedures of Payment, and in the same currency or currencies.

13.2.2 The security shall be in the form provided in the bidding documents or in another form acceptable to the Employer. The amount of the security shall be reduced in proportion to the value of the Facilities executed by and paid to the Contractor from time to time, and shall automatically become null and void when the full amount of the advance payment has been recovered by the Employer. The security shall be returned to the Contractor immediately after its expiration.

#### 13.3 Performance Security

- 13.3.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide security for the due performance of the Contract in the amount **specified** in the **PC**.
- 13.3.2 The performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security, shall be denominated in the currency or currencies of the Contract, or in a freely convertible currency acceptable to the Employer, and shall be in the forms provided in Section IX, Contract Forms, corresponding to the type of bank guarantee stipulated by the Employer in the PC, or in another form acceptable to the Employer.
- 13.3.3 Unless otherwise specified in the PC, the security shall be reduced by half on the date of the Operational Acceptance. The Security shall become null and void, or shall be reduced pro rata to the Contract Price of a part of the Facilities for which a separate Time for Completion is provided, five hundred and forty (540) days after Completion of the Facilities or three hundred and sixty five (365) days after Operational Acceptance of the Facilities, whichever occurs first; provided, however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GC Sub-Clause 27.10, is liable for an extended defect liability obligation, the performance security shall be extended for the period specified in the PC pursuant to GC Sub-Clause 27.10 and up to the amount specified in the PC.

# 13.3.4 The Employer shall not make a claim under the Performance Security, except for amounts to which the Employer is entitled under the Contract. The Employer shall indemnify and hold the Contractor harmless against and from all damages, losses and expenses (including legal fees and expenses) resulting from a claim under the Performance Security to the extent to which the Employer was not entitled to make the claim.

### 14. Taxes and Duties

- 14.1 Except as otherwise specifically provided in the Contract, the Contractor shall bear and pay all taxes, duties, levies and charges assessed on the Contractor, its Subcontractors or their employees by all municipal, state or national government authorities in connection with the Facilities in and outside of the country where the Site is located.
- 14.2 Notwithstanding GC Sub-Clause 14.1 above, the Employer shall bear and promptly pay
  - (a) all customs and import duties for the Plant specified in Price Schedule No. 1; and
  - (b) other domestic taxes such as, sales tax and value added tax (VAT) on the Plant specified in Price Schedules No. 1 and No. 2 and that is to be incorporated into the Facilities, and on the finished goods, imposed by the law of the country where the Site is located.
- 14.3 If any tax exemptions, reductions, allowances or privileges may be available to the Contractor in the country where the Site is located, the Employer shall use its best endeavors to enable the Contractor to benefit from any such tax savings to the maximum allowable extent.
- 14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies and charges prevailing at the date twenty-eight (28) days prior to the date of bid submission in the country where the Site is located (hereinafter called "Tax" in this GC Sub-Clause 14.4). If any rates of Tax are increased or decreased, a new Tax is introduced, an existing Tax is abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of Contract, which was or will be assessed on the Contractor, Subcontractors or their employees in connection with performance of the Contract, an equitable adjustment of the Contract Price shall be made to fully take into account any such change by addition to

the Contract Price or deduction therefrom, as the case may be, in accordance with GC Clause 36 hereof.

#### **Intellectual Property**

## 15. License/Use of Technical Information

- 15.1 For the operation and maintenance of the Plant, the Contractor hereby grants a non-exclusive and non-transferable license (without the right to sub-license) to the Employer under the patents, utility models or other industrial property rights owned by the Contractor or by a third Party from whom the Contractor has received the right to grant licenses thereunder, and shall also grant to the Employer a non-exclusive and non-transferable right (without the right to sub-license) to use the know-how and other technical information disclosed to the Employer under the Contract. Nothing contained herein shall be construed as transferring ownership of any patent, utility model, trademark, design, copyright, know-how or other intellectual property right from the Contractor or any third Party to the Employer.
- 15.2 The copyright in all drawings, documents and other materials containing data and information furnished to the Employer by the Contractor herein shall remain vested in the Contractor or, if they are furnished to the Employer directly or through the Contractor by any third Party, including suppliers of materials, the copyright in such materials shall remain vested in such third Party.

## 16. Confidential Information

- 16.1 The Employer and the Contractor shall keep confidential and shall not, without the written consent of the other Party hereto, divulge to any third Party any documents, data or other information furnished directly or indirectly by the other Party hereto in connection with the Contract, whether such information has been furnished prior to, during or following termination of the Contract. Notwithstanding the above, the Contractor may furnish to its Subcontractor(s) such documents, data and other information it receives from the Employer to the extent required for the Subcontractor(s) to perform its work under the Contract, in which event the Contractor shall obtain from such Subcontractor(s) an undertaking of confidentiality similar to that imposed on the Contractor under this GC Clause 16.
- 16.2 The Employer shall not use such documents, data and other information received from the Contractor for any purpose other than the operation and maintenance of the Facilities.

Similarly, the Contractor shall not use such documents, data and other information received from the Employer for any purpose other than the design, procurement of Plant, construction or such other work and services as are required for the performance of the Contract.

- 16.3 The obligation of a Party under GC Sub-Clauses 16.1 and 16.2 above, however, shall not apply to that information which
  - (a) now or hereafter enters the public domain through no fault of that Party
  - (b) can be proven to have been possessed by that Party at the time of disclosure and which was not previously obtained, directly or indirectly, from the other Party hereto
  - (c) otherwise lawfully becomes available to that Party from a third Party that has no obligation of confidentiality.
- 16.4 The above provisions of this GC Clause 16 shall not in any way modify any undertaking of confidentiality given by either of the Parties hereto prior to the date of the Contract in respect of the Facilities or any part thereof.
- 16.5 The provisions of this GC Clause 16 shall survive termination, for whatever reason, of the Contract.

#### **Execution of the Facilities**

#### **17. Representatives** 17

#### 17.1 Project Manager

If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Employer shall appoint and notify the Contractor in writing of the name of the Project Manager. The Employer may from time to time appoint some other person as the Project Manager in place of the person previously so appointed, and shall give a notice of the name of such other person to the Contractor without delay. No such appointment shall be made at such a time or in such a manner as to impede the progress of work on the Such appointment shall only take effect upon receipt of such notice by the Contractor. The Project Manager shall represent and act for the Employer at all times during the performance of the Contract. All notices, instructions, orders, certificates, approvals and all other communications under the Contract shall be given by the Project Manager, except as herein otherwise provided.

All notices, instructions, information and other communications given by the Contractor to the Employer under the Contract shall be given to the Project Manager, except as herein otherwise provided.

#### 17.2 <u>Contractor's Representative & Construction Manager</u>

- 17.2.1 If the Contractor's Representative is not named in the Contract, then within fourteen (14) days of the Effective Date, the Contractor shall appoint the Contractor's Representative and shall request the Employer in writing to approve the person so appointed. If the Employer makes no objection to the appointment within fourteen (14)days, Contractor's Representative shall be deemed to have been approved. If the Employer objects to the appointment within fourteen (14) days giving the reason therefor, then the Contractor shall appoint a replacement within fourteen (14) days of such objection, and the foregoing provisions of this GC Sub-Clause 17.2.1 shall apply thereto.
- 17.2.2 The Contractor's Representative shall represent and act for the Contractor at all times during the performance of the Contract and shall give to the Project Manager all the Contractor's notices, instructions, information and all other communications under the Contract.

All notices, instructions, information and all other communications given by the Employer or the Project Manager to the Contractor under the Contract shall be given to the Contractor's Representative or, in its absence, its deputy, except as herein otherwise provided.

The Contractor shall not revoke the appointment of the Contractor's Representative without the Employer's prior written consent, which shall not be unreasonably withheld. If the Employer consents thereto, the Contractor shall appoint some other person as the Contractor's Representative, pursuant to the procedure set out in GC Sub-Clause 17.2.1.

17.2.3 The Contractor's Representative may, subject to the approval of the Employer which shall not be unreasonably withheld, at any time delegate to any person any of the powers, functions and authorities vested in him or her. Any

such delegation may be revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Contractor's Representative, and shall specify the powers, functions and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until a copy thereof has been delivered to the Employer and the Project Manager.

Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with this GC Sub-Clause 17.2.3 shall be deemed to be an act or exercise by the Contractor's Representative.

- 17.2.4 From the commencement of installation of the Facilities at the Site until Completion, the Contractor's Representative shall appoint a suitable person as the Construction Manager. The Construction Manager shall supervise all work done at the Site by the Contractor and shall be present at the Site throughout normal working hours except when on leave, sick or absent for reasons connected with the proper performance of the Contract. Whenever the Construction Manager is absent from the Site, a suitable person shall be appointed to act as the Construction Manager's deputy.
- 17.2.5 The Employer may by notice to the Contractor object to any representative or person employed by the Contractor in the execution of the Contract who, in the reasonable opinion of the Employer, may behave inappropriately, undertakes behavior which breaches the Code of Conduct (ESHS) (e.g. spreading communicable diseases, sexual harassment, gender-based violence (GBV), sexual exploitation or abuse, illicit activity or crime, may be incompetent or negligent, or may commit a serious breach of the Site regulations provided under GC Sub-Clause 22.4. The Employer shall provide evidence of the same, whereupon the Contractor shall remove such person from the Facilities.
- 17.2.6 If any representative or person employed by the Contractor is removed in accordance with GC Sub-Clause 17.2.5, the Contractor shall, where required, promptly appoint a replacement.

#### 18. Work Program

#### 18.1 Contractor's Organization

The Contractor shall supply to the Employer and the Project Manager a chart showing the proposed organization to be established by the Contractor for carrying out work on the Facilities within twenty-one (21) days of the Effective Date.

The chart shall include the identities of the key personnel and the curricula vitae of such key personnel to be employed shall be supplied together with the chart. The Contractor shall promptly inform the Employer and the Project Manager in writing of any revision or alteration of such an organization chart.

# 18.2 <u>Program of Performance</u>

Within twenty-eight (28) days after the Effective Date, the Contractor shall submit to the Project Manager a detailed program of performance of the Contract, made in a form acceptable to the Project Manager and showing the sequence in which it proposes to design, manufacture, transport, assemble, install and precommission the Facilities, as well as the date by which the Contractor reasonably requires that the Employer shall have fulfilled its obligations under the Contract so as to enable the Contractor to execute the Contract in accordance with the program and to achieve Completion, Commissioning and Acceptance of the Facilities in accordance with the Contract. The program so submitted by the Contractor shall accord with the Time Schedule included in the Appendix to the Contract Agreement titled Time Schedule, and any other dates and periods specified in the Contract. The Contractor shall update and revise the program as and when appropriate or when required by the Project Manager, but without modification in the Times for Completion specified in the PC pursuant to Sub-Clause 8.2 and any extension granted in accordance with GC Clause 40, and shall submit all such revisions to the Project Manager.

# 18.3 <u>Progress Report</u>

The Contractor shall monitor progress of all the activities specified in the program referred to in GC Sub-Clause 18.2 above, and supply a progress report to the Project Manager every month.

The progress report shall be in a form acceptable to the Project Manager and shall indicate: (a) percentage completion achieved compared with the planned percentage completion for each activity; and (b) where any activity is behind the program, giving comments and likely consequences and stating the corrective action being taken.

a. In addition to the progress report the Contractor shall also provide a report on the Environmental, Social, Health and Safety (ESHS) metrics set out in Appendix A. In addition to

Appendix A reports, the Contractor shall also provide immediate notification to the Project Manager of incidents in the following categories. Full details of such incidents shall be provided to the Project Manager within the timeframe agreed with the Project Manager.

- (a) confirmed or likely violation of any law or international agreement;
- (b) any fatality or serious (lost time) injury;
- (c) significant adverse effects or damage to private property (e.g. vehicle accident, damage from fly rock, working beyond the boundary)
- (d) major pollution of drinking water aquifer or damage or destruction of rare or endangered habitat (including protected areas) or species; or
- (e) any allegation of gender based violence (GBV), sexual exploitation or abuse, sexual harassment or sexual misbehavior, rape, sexual assault, child abuse, or defilement, or other violations involving children.

#### 18.4 Progress of Performance

If at any time the Contractor's actual progress falls behind the program referred to in GC Sub-Clause 18.2, or it becomes apparent that it will so fall behind, the Contractor shall, at the request of the Employer or the Project Manager, prepare and submit to the Project Manager a revised program, taking into account the prevailing circumstances, and shall notify the Project Manager of the steps being taken to expedite progress so as to attain Completion of the Facilities within the Time for Completion under GC Sub-Clause 8.2, any extension thereof entitled under GC Sub-Clause 40.1, or any extended period as may otherwise be agreed upon between the Employer and the Contractor.

#### 18.5 Procedures

The Contract shall be executed in accordance with the Contract Documents including the procedures given in the Forms and Procedures of the Employer's Requirements.

The Contractor may execute the Contract in accordance with its own standard project execution plans and procedures to the extent that they do not conflict with the provisions contained in the Contract.

# 19.1 The Appendix to the Contract Agreement titled List of Major 19. Subcontracting Items of Plant and Installation Services and List of Approved Subcontractors, specifies major items of supply or services and a list of approved Subcontractors against each item, including manufacturers. Insofar as no Subcontractors are listed against any such item, the Contractor shall prepare a list of Subcontractors for such item for inclusion in such list. The Contractor may from time to time propose any addition to or deletion from any such list. The Contractor shall submit any such list or any modification thereto to the Employer for its approval in sufficient time so as not to impede the progress of work on the Facilities. Such approval by the Employer for any of the Subcontractors shall not relieve the Contractor from any of its obligations, duties or responsibilities under the Contract. 19.2 The Contractor shall select and employ its Subcontractors for such major items from those listed in the lists referred to in GC Sub-Clause 19.1. 19.3 For items or parts of the Facilities not specified in the Appendix to the Contract Agreement titled List of Major Items of Plant and Installation Services and List of Approved Contractor employ Subcontractors, the may such Subcontractors as it may select, at its discretion. 19.4 Each sub-contract shall include provisions which would entitle the Employer to require the sub-contract to be assigned to the Employer under GC 19.5 (if and when applicable), or in event of termination by the Employer under GC 42.2. If a sub-contractor's obligations extend beyond the expiry date of the relevant Defects Liability Period and the Project Manager, prior to that date, instructs the Contractor to assign the benefits of such obligations to the Employer, then the Contractor shall do so. 20. Design and **Specifications and Drawings Engineering** 20.1.1 The Contractor shall execute the basic and detailed design and the engineering work in compliance with the provisions of the Contract, or where not so specified, in accordance with good engineering practice. The Contractor shall be responsible for any discrepancies, errors or omissions in the specifications, drawings and other

technical documents that it has prepared, whether such

specifications, drawings and other documents have been approved by the Project Manager or not, provided that such discrepancies, errors or omissions are not because of inaccurate information furnished in writing to the Contractor by or on behalf of the Employer.

20.1.2 The Contractor shall be entitled to disclaim responsibility for any design, data, drawing, specification or other document, or any modification thereof provided or designated by or on behalf of the Employer, by giving a notice of such disclaimer to the Project Manager.

# 20.2 Codes and Standards

Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date twenty-eight (28) days prior to date of bid submission shall apply unless otherwise specified. During Contract execution, any changes in such codes and standards shall be applied subject to approval by the Employer and shall be treated in accordance with GC Clause 39.

# 20.3 Approval/Review of Technical Documents by Project Manager

20.3.1 The Contractor shall prepare or cause its Subcontractors to prepare, and furnish to the Project Manager the documents listed in the Appendix to the Contract Agreement titled List of Documents for Approval or Review, for its approval or review as specified and in accordance with the requirements of GC Sub-Clause 18.2 (Program of Performance).

Any part of the Facilities covered by or related to the documents to be approved by the Project Manager shall be executed only after the Project Manager's approval thereof.

GC Sub-Clauses 20.3.2 through 20.3.7 shall apply to those documents requiring the Project Manager's approval, but not to those furnished to the Project Manager for its review only.

20.3.2 Within fourteen (14) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GC Sub-Clause 20.3.1, the Project Manager shall either return one copy thereof to the Contractor with its approval

endorsed thereon or shall notify the Contractor in writing of its disapproval thereof and the reasons therefor and the modifications that the Project Manager proposes.

If the Project Manager fails to take such action within the said fourteen (14) days, then the said document shall be deemed to have been approved by the Project Manager.

- 20.3.3 The Project Manager shall not disapprove any document, except on the grounds that the document does not comply with the Contract or that it is contrary to good engineering practice.
- 20.3.4 If the Project Manager disapproves the document, the Contractor shall modify the document and resubmit it for the Project Manager's approval in accordance with GC Sub-Clause 20.3.2. If the Project Manager approves the document subject to modification(s), the Contractor shall make the required modification(s), whereupon the document shall be deemed to have been approved.
- 20.3.5 If any dispute or difference occurs between the Employer and the Contractor in connection with or arising out of the disapproval by the Project Manager of any document and/or any modification(s) thereto that cannot be settled between the Parties within a reasonable period, then such dispute or difference may be referred to an Adjudicator for determination in accordance with GC Sub-Clause 46.3 hereof. If such dispute or difference is referred to an Adjudicator, the Project Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed.
- 20.3.6 The Project Manager's approval, with or without modification of the document furnished by the Contractor, shall not relieve the Contractor of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Project Manager.
- 20.3.7 The Contractor shall not depart from any approved document unless the Contractor has first submitted to the Project Manager an amended document and

obtained the Project Manager's approval thereof, pursuant to the provisions of this GC Sub-Clause 20.3.

If the Project Manager requests any change in any already approved document and/or in any document based thereon, the provisions of GC Clause 39 shall apply to such request.

#### 21. Procurement

# 21.1 Plant

Subject to GC Sub-Clause 14.2, the Contractor shall procure and transport all Plant in an expeditious and orderly manner to the Site.

# 21.2 Employer-Supplied Plant

If the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, provides that the Employer shall furnish any specific items to the Contractor, the following provisions shall apply:

- 21.2.1 The Employer shall, at its own risk and expense, transport each item to the place on or near the Site as agreed upon by the Parties and make such item available to the Contractor at the time specified in the program furnished by the Contractor, pursuant to GC Sub-Clause 18.2, unless otherwise mutually agreed.
- 21.2.2 Upon receipt of such item, the Contractor shall inspect the same visually and notify the Project Manager of any detected shortage, defect or default. The Employer shall immediately remedy any shortage, defect or default, or the Contractor shall, if practicable and possible, at the request of the Employer, remedy such shortage, defect or default at the Employer's cost and expense. After inspection, such item shall fall under the care, custody and control of the Contractor. The provision of this GC Sub-Clause 21.2.2 shall apply to any item supplied to remedy any such shortage or default or to substitute for any defective item, or shall apply to defective items that have been repaired.
- 21.2.3 The foregoing responsibilities of the Contractor and its obligations of care, custody and control shall not relieve the Employer of liability for any undetected shortage, defect or default, nor place the Contractor under any liability for any such shortage, defect or default whether under GC Clause 27 or under any other provision of Contract.

# 21.3 Transportation

- 21.3.1 The Contractor shall at its own risk and expense transport all the materials and the Contractor's Equipment to the Site by the mode of transport that the Contractor judges most suitable under all the circumstances.
- 21.3.2 Unless otherwise provided in the Contract, the Contractor shall be entitled to select any safe mode of transport operated by any person to carry the materials and the Contractor's Equipment.
- 21.3.3 Upon dispatch of each shipment of materials and the Contractor's Equipment, the Contractor shall notify the Employer by telex, cable, facsimile or electronic means, of the description of the materials and of the Contractor's Equipment, the point and means of dispatch, and the estimated time and point of arrival in the country where the Site is located, if applicable, and at the Site. The Contractor shall furnish the Employer with relevant shipping documents to be agreed upon between the Parties.
- 21.3.4 The Contractor shall be responsible for obtaining, if necessary, approvals from the authorities for transportation of the materials and the Contractor's Equipment to the Site. The Employer shall use its best endeavors in a timely and expeditious manner to assist the Contractor in obtaining such approvals, if requested by the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any claim for damage to roads, bridges or any other traffic facilities that may be caused by the transport of the materials and the Contractor's Equipment to the Site.

# 21.4 <u>Customs Clearance</u>

The Contractor shall, at its own expense, handle all imported materials and Contractor's Equipment at the point(s) of import and shall handle any formalities for customs clearance, subject to the Employer's obligations under GC Sub-Clause 14.2, provided that if applicable laws or regulations require any application or act to be made by or in the name of the Employer, the Employer shall take all necessary steps to comply with such laws or regulations. In the event of delays in customs clearance that are not the fault of the Contractor,

	the Contractor shall be entitled to an extension in the Time for
	Completion, pursuant to GC Clause 40.
22. Installation	22.1 <u>Setting Out/Supervision</u>
	22.1.1 Bench Mark: The Contractor shall be responsible for the true and proper setting-out of the Facilities in relation to bench marks, reference marks and lines provided to it in writing by or on behalf of the Employer.
	If, at any time during the progress of installation of the Facilities, any error shall appear in the position, level or alignment of the Facilities, the Contractor shall forthwith notify the Project Manager of such error and, at its own expense, immediately rectify such error to the reasonable satisfaction of the Project Manager. If such error is based on incorrect data provided in writing by or on behalf of the Employer, the expense of rectifying the same shall be borne by the Employer.
	22.1.2 Contractor's Supervision: The Contractor shall give or provide all necessary superintendence during the installation of the Facilities, and the Construction Manager or its deputy shall be constantly on the Site to provide full-time superintendence of the installation. The Contractor shall provide and employ only technical personnel who are skilled and experienced in their respective callings and supervisory staff who are competent to adequately supervise the work at hand.
	22.2 Labor:
	22.2.1 Engagement of Staff and Labor
	Except as otherwise stated in the Specification, the Contractor shall make arrangements for the engagement of all staff and labor, local or otherwise, and for their payment, housing, feeding and transport.
	The Contractor shall provide and employ on the Site in the installation of the Facilities such skilled, semi- skilled and unskilled labor as is necessary for the proper and timely execution of the Contract. The Contractor is encouraged to use local labor that has the necessary skills.
	The Contractor shall be responsible for obtaining all necessary permit(s) and/or visa(s) from the appropriate

authorities for the entry of all labor and personnel to be employed on the Site into the country where the Site is located. The Employer will, if requested by the Contractor, use his best endeavors in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national or government permission required for bringing in the Contractor's personnel.

The Contractor shall at its own expense provide the means of repatriation to all of its and its Subcontractor's personnel employed on the Contract at the Site to the place where they were recruited or to their domicile. It shall also provide suitable temporary maintenance of all such persons from the cessation of their employment on the Contract to the date programmed for their departure. In the event that the Contractor defaults in providing such means of transportation and temporary maintenance, the Employer may provide the same to such personnel and recover the cost of doing so from the Contractor.

# 22.2.2 Persons in the Service of Employer

The Contractor shall not recruit, or attempt to recruit, staff and labor from amongst the Employer's Personnel.

#### 22.2.3 Labor Laws

The Contractor shall comply with all the relevant labor Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration and emigration, and shall allow them all their legal rights.

The Contractor shall at all times during the progress of the Contract use its best endeavors to prevent any unlawful, riotous or disorderly conduct or behavior by or amongst its employees and the labor of its Subcontractors.

The Contractor shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labor.

# 22.2.4 Rates of Wages and Conditions of Labor

The Contractor shall pay rates of wages, and observe conditions of labor, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.

The Contractor shall inform the Contractor's Personnel about their liability to pay personal income taxes in the Country in respect of such of their salaries, wages and allowances as are chargeable under the Laws for the time being in force, and the Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.

# 22.2.5 Working Hours

No work shall be carried out on the Site on locally recognized days of rest, or outside the normal working hours stated in the PC, unless:

- (a) otherwise stated in the Contract,
- (b) the Project Manager gives consent, or
- (c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Works, in which case the Contractor shall immediately advise the Project Manager.

If and when the Contractor considers it necessary to carry out work at night or on public holidays so as to meet the Time for Completion and requests the Project Manager's consent thereto, the Project Manager shall not unreasonably withhold such consent.

This Sub-Clause shall not apply to any work which is customarily carried out by rotary or double-shifts.

#### 22.2.6 Facilities for Staff and Labor

Except as otherwise stated in the Specification, the Contractor shall provide and maintain all necessary

accommodation and welfare facilities for the Contractor's Personnel. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Specification.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the Permanent Works.

# 22.2.7 Health and Safety

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel. In collaboration with local health authorities, the Contractor shall ensure that medical staff, first aid facilities, sick bay and ambulance service are available at all times at the Site and at any accommodation for Contractor's and Employer's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics.

The Contractor shall appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility, and shall have the authority to issue instructions and take protective measures to prevent accidents. Throughout the performance of the Contract, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority.

The Contractor shall send to the Project Manager, details of any accident as soon as practicable after its occurrence. The Contractor shall maintain records and make reports concerning health, safety and welfare of persons, and damage to property, as the Project Manager may reasonably require.

The Contractor shall throughout the contract (including the Defects Notification Period): (i) conduct Information, Education and Consultation Communication (IEC) campaigns, at least every other month, addressed to all the Site staff and labor (including all the Contractor's employees, all Sub-Contractors and Employer's and Project Manager's employees, and all truck drivers and crew making

deliveries to Site for construction activities) and to the immediate local communities, concerning the risks, dangers and impact, and appropriate avoidance behavior with respect to of Sexually Transmitted Diseases (STD)—or Sexually Transmitted Infections (STI) in general and HIV/AIDS in particular; (ii) provide male or female condoms for all Site staff and labor as appropriate; and (iii) provide for STI and HIV/AIDS screening, diagnosis, counseling and referral to a dedicated national STI and HIV/AIDS program, (unless otherwise agreed) of all Site staff and labor.

The Contractor shall include in the program to be submitted for the execution of the Facilities under Sub-Clause 18.2 an alleviation program for Site staff and labor and their families in respect of Sexually Transmitted Infections (STI) and Sexually Transmitted Diseases (STD) including HIV/AIDS. The STI, STD and HIV/AIDS alleviation program shall indicate when, how and at what cost the Contractor plans to satisfy the requirements of this Sub-Clause and the related specification. component, the program shall detail the resources to be provided or utilized and any related sub-contracting proposed. The program shall also include provision of a detailed cost estimate with supporting documentation. Payment to the Contractor for preparation implementation this program shall not exceed the Provisional Sum dedicated for this purpose.

### 22.2.8 Funeral Arrangements

In the event of the death of any of the Contractor's personnel or accompanying members of their families, the Contractor shall be responsible for making the appropriate arrangements for their return or burial, unless otherwise **specified in the PC.** 

## 22.2.9 Records of Contractor's Personnel

The Contractor shall keep accurate records of the Contractor's personnel, including the number of each class of Contractor's Personnel on the Site and the names, ages, genders, hours worked and wages paid to all workers. These records shall be summarized on a monthly basis in a form approved by the Project Manager and shall be available for inspection by the Project Manager until the Contractor has completed all

work.

# 22.2.10 Supply of Foodstuffs

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.

## 22.2.11 Supply of Water

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.

# 22.2.12 Measures against Insect and Pest Nuisance

The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.

## 22.2.13 Alcoholic Liquor or Drugs

The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal by Contractor's Personnel.

#### 22.2.14 Arms and Ammunition

The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.

# 22.2.15 Prohibition of All Forms of Forced or Compulsory Labor

The contractor shall not employ "forced or compulsory labor" in any form. "Forced or compulsory labor" consists of all work or service, not voluntarily performed, that is extracted from an individual under threat of force or penalty.

#### 22.2.16 Prohibition of Harmful Child Labor

The Contractor shall not employ any child to perform any work that is economically exploitative, or is likely to be hazardous to, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

# 22.3 Contractor's Equipment

- 22.3.1 All Contractor's Equipment brought by the Contractor onto the Site shall be deemed to be intended to be used exclusively for the execution of the Contract. The Contractor shall not remove the same from the Site without the Project Manager's consent that such Contractor's Equipment is no longer required for the execution of the Contract.
- 22.3.2 Unless otherwise specified in the Contract, upon completion of the Facilities, the Contractor shall remove from the Site all Equipment brought by the Contractor onto the Site and any surplus materials remaining thereon.
- 22.3.3 The Employer will, if requested, use its best endeavors to assist the Contractor in obtaining any local, state or national government permission required by the Contractor for the export of the Contractor's Equipment imported by the Contractor for use in the execution of the Contract that is no longer required for the execution of the Contract.

# 22.4 Site Regulations and Safety

The Employer and the Contractor shall establish Site regulations setting out the rules to be observed in the execution of the Contract at the Site and shall comply therewith. The Contractor shall prepare and submit to the Employer, with a copy to the Project Manager, proposed Site regulations for the Employer's approval, which approval shall not be unreasonably withheld.

Such Site regulations shall include, but shall not be limited to, rules in respect of security, safety of the Facilities, gate control, sanitation, medical care, and fire prevention.

#### 22.5 Opportunities for Other Contractors

- 22.5.1 The Contractor shall, upon written request from the Employer or the Project Manager, give all reasonable opportunities for carrying out the work to any other contractors employed by the Employer on or near the Site.
- 22.5.2 If the Contractor, upon written request from the Employer or the Project Manager, makes available to other contractors any roads or ways the maintenance for which the Contractor is responsible, permits the use by such other contractors of the Contractor's Equipment, or provides any other service of whatsoever nature for such other contractors, the Employer shall fully compensate the Contractor for any loss or damage caused or occasioned by such other contractors in respect of any such use or service, and shall pay to the Contractor reasonable remuneration for the use of such equipment or the provision of such services.
- 22.5.3 The Contractor shall also so arrange to perform its work as to minimize, to the extent possible, interference with the work of other contractors. The Project Manager shall determine the resolution of any difference or conflict that may arise between the Contractor and other contractors and the workers of the Employer in regard to their work.
- 22.5.4 The Contractor shall notify the Project Manager promptly of any defects in the other contractors' work that come to its notice, and that could affect the Contractor's work. The Project Manager shall determine the corrective measures, if any, required to rectify the situation after inspection of the Facilities. Decisions made by the Project Manager shall be binding on the Contractor.

#### 22.6 Emergency Work

If, by reason of an emergency arising in connection with and during the execution of the Contract, any protective or remedial work is necessary as a matter of urgency to prevent damage to the Facilities, the Contractor shall immediately carry out such work.

If the Contractor is unable or unwilling to do such work immediately, the Employer may do or cause such work to be done as the Employer may determine is necessary in order to

prevent damage to the Facilities. In such event the Employer shall, as soon as practicable after the occurrence of any such emergency, notify the Contractor in writing of such emergency, the work done and the reasons therefor. If the work done or caused to be done by the Employer is work that the Contractor was liable to do at its own expense under the Contract, the reasonable costs incurred by the Employer in connection therewith shall be paid by the Contractor to the Employer. Otherwise, the cost of such remedial work shall be borne by the Employer.

#### 22.7 Site Clearance

- 22.7.1 Site Clearance in Course of Performance: In the course of carrying out the Contract, the Contractor shall keep the Site reasonably free from all unnecessary obstruction, store or remove any surplus materials, clear away any wreckage, rubbish or temporary works from the Site, and remove any Contractor's Equipment no longer required for execution of the Contract.
- 22.7.2 Clearance of Site after Completion: After Completion of all parts of the Facilities, the Contractor shall clear away and remove all wreckage, rubbish and debris of any kind from the Site, and shall leave the Site and Facilities in a clean and safe condition.

## 22.8 Watching and Lighting

The Contractor shall provide and maintain at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the Facilities, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.

# 23. Test and Inspection

- 23.1 The Contractor shall at its own expense carry out at the place of manufacture and/or on the Site all such tests and/or inspections of the Plant and any part of the Facilities as are specified in the Contract.
- 23.2 The Employer and the Project Manager or their designated representatives shall be entitled to attend the aforesaid test and/or inspection, provided that the Employer shall bear all costs and expenses incurred in connection with such attendance including, but not limited to, all traveling and board and lodging expenses.
- 23.3 Whenever the Contractor is ready to carry out any such test

and/or inspection, the Contractor shall give a reasonable advance notice of such test and/or inspection and of the place and time thereof to the Project Manager. The Contractor shall obtain from any relevant third Party or manufacturer any necessary permission or consent to enable the Employer and the Project Manager or their designated representatives to attend the test and/or inspection.

23.4 The Contractor shall provide the Project Manager with a certified report of the results of any such test and/or inspection.

If the Employer or Project Manager or their designated representatives fails to attend the test and/or inspection, or if it is agreed between the Parties that such persons shall not do so, then the Contractor may proceed with the test and/or inspection in the absence of such persons, and may provide the Project Manager with a certified report of the results thereof.

- 23.5 The Project Manager may require the Contractor to carry out any test and/or inspection not required by the Contract, provided that the Contractor's reasonable costs and expenses incurred in the carrying out of such test and/or inspection shall be added to the Contract Price. Further, if such test and/or inspection impede the progress of work on the Facilities and/or the Contractor's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Completion and the other obligations so affected.
- 23.6 If any Plant or any part of the Facilities fails to pass any test and/or inspection, the Contractor shall either rectify or replace such Plant or part of the Facilities and shall repeat the test and/or inspection upon giving a notice under GC Sub-Clause 23.3.
- 23.7 If any dispute or difference of opinion shall arise between the Parties in connection with or arising out of the test and/or inspection of the Plant or part of the Facilities that cannot be settled between the Parties within a reasonable period of time, it may be referred to an Adjudicator for determination in accordance with GC Sub-Clause 46.3.
- 23.8 The Contractor shall afford the Employer and the Project Manager, at the Employer's expense, access at any reasonable time to any place where the Plant are being manufactured or the Facilities are being installed, in order to inspect the

- progress and the manner of manufacture or installation, provided that the Project Manager shall give the Contractor a reasonable prior notice.
- 23.9 The Contractor agrees that neither the execution of a test and/or inspection of Plant or any part of the Facilities, nor the attendance by the Employer or the Project Manager, nor the issue of any test certificate pursuant to GC Sub-Clause 23.4, shall release the Contractor from any other responsibilities under the Contract.
- 23.10 No part of the Facilities or foundations shall be covered up on the Site without the Contractor carrying out any test and/or inspection required under the Contract. The Contractor shall give a reasonable notice to the Project Manager whenever any such parts of the Facilities or foundations are ready or about to be ready for test and/or inspection; such test and/or inspection and notice thereof shall be subject to the requirements of the Contract.
- 23.11 The Contractor shall uncover any part of the Facilities or foundations, or shall make openings in or through the same as the Project Manager may from time to time require at the Site, and shall reinstate and make good such part or parts.

If any parts of the Facilities or foundations have been covered up at the Site after compliance with the requirement of GC Sub-Clause 23.10 and are found to be executed in accordance with the Contract, the expenses of uncovering, making openings in or through, reinstating, and making good the same shall be borne by the Employer, and the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been delayed or impeded in the performance of any of its obligations under the Contract.

# 24. Completion of the Facilities

- 24.1 As soon as the Facilities or any part thereof has, in the opinion of the Contractor, been completed operationally and structurally and put in a tight and clean condition as specified in the Employer's Requirements, excluding minor items not materially affecting the operation or safety of the Facilities, the Contractor shall so notify the Employer in writing.
- 24.2 Within seven (7) days after receipt of the notice from the Contractor under GC Sub-Clause 24.1, the Employer shall supply the operating and maintenance personnel specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer for Precommissioning of

the Facilities or any part thereof.

Pursuant to the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, the Employer shall also provide, within the said seven (7) day period, the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Precommissioning of the Facilities or any part thereof.

- 24.3 As soon as reasonably practicable after the operating and maintenance personnel have been supplied by the Employer and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters have been provided by the Employer in accordance with GC Sub-Clause 24.2, the Contractor shall commence Precommissioning of the Facilities or the relevant part thereof in preparation for Commissioning, subject to GC Sub-Clause 25.5.
- 24.4 As soon as all works in respect of Precommissioning are completed and, in the opinion of the Contractor, the Facilities or any part thereof is ready for Commissioning, the Contractor shall so notify the Project Manager in writing.
- 24.5 The Project Manager shall, within fourteen (14) days after receipt of the Contractor's notice under GC Sub-Clause 24.4, either issue a Completion Certificate in the form specified in the Employer's Requirements (Forms and Procedures), stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's notice under GC Sub-Clause 24.4, or notify the Contractor in writing of any defects and/or deficiencies.

If the Project Manager notifies the Contractor of any defects and/or deficiencies, the Contractor shall then correct such defects and/or deficiencies, and shall repeat the procedure described in GC Sub-Clause 24.4.

If the Project Manager is satisfied that the Facilities or that part thereof have reached Completion, the Project Manager shall, within seven (7) days after receipt of the Contractor's repeated notice, issue a Completion Certificate stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's repeated notice.

If the Project Manager is not so satisfied, then it shall notify the Contractor in writing of any defects and/or deficiencies within seven (7) days after receipt of the Contractor's repeated notice, and the above procedure shall be repeated.

- 24.6 If the Project Manager fails to issue the Completion Certificate and fails to inform the Contractor of any defects and/or deficiencies within fourteen (14) days after receipt of the Contractor's notice under GC Sub-Clause 24.4 or within seven (7) days after receipt of the Contractor's repeated notice under GC Sub-Clause 24.5, or if the Employer makes use of the Facilities or part thereof, then the Facilities or that part thereof shall be deemed to have reached Completion as of the date of the Contractor's notice or repeated notice, or as of the Employer's use of the Facilities, as the case may be.
- 24.7 As soon as possible after Completion, the Contractor shall complete all outstanding minor items so that the Facilities are fully in accordance with the requirements of the Contract, failing which the Employer will undertake such completion and deduct the costs thereof from any monies owing to the Contractor.
- 24.8 Upon Completion, the Employer shall be responsible for the care and custody of the Facilities or the relevant part thereof, together with the risk of loss or damage thereto, and shall thereafter take over the Facilities or the relevant part thereof.

# 25. Commissioning and Operational Acceptance

## 25.1 Commissioning

- 25.1.1 Commissioning of the Facilities or any part thereof shall be commenced by the Contractor immediately after issue of the Completion Certificate by the Project Manager, pursuant to GC Sub-Clause 24.5, or immediately after the date of the deemed Completion, under GC Sub-Clause 24.6.
- 25.1.2 The Employer shall supply the operating and maintenance personnel and all raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Commissioning.
- 25.1.3 In accordance with the requirements of the Contract, the Contractor's and Project Manager's advisory personnel shall attend the Commissioning, including the Guarantee Test, and shall advise and assist the Employer.

#### 25.2 Guarantee Test

25.2.1 Subject to GC Sub-Clause 25.5, the Guarantee Test

and repeats thereof shall be conducted by the Contractor during Commissioning of the Facilities or the relevant part thereof to ascertain whether the Facilities or the relevant part can attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees. The Employer shall promptly provide the Contractor with such information as the Contractor may reasonably require in relation to the conduct and results of the Guarantee Test and any repeats thereof.

25.2.2 If for reasons not attributable to the Contractor, the Guarantee Test of the Facilities or the relevant part thereof cannot be successfully completed within the period from the date of Completion **specified in the PC** or any other period agreed upon by the Employer and the Contractor, the Contractor shall be deemed to have fulfilled its obligations with respect to the Functional Guarantees, and GC Sub-Clauses 28.2 and 28.3 shall not apply.

## 25.3 Operational Acceptance

- 25.3.1 Subject to GC Sub-Clause 25.4 below, Operational Acceptance shall occur in respect of the Facilities or any part thereof when
  - (a) the Guarantee Test has been successfully completed and the Functional Guarantees are met; or
  - (b) the Guarantee Test has not been successfully completed or has not been carried out for reasons not attributable to the Contractor within the period from the date of Completion specified in the PC pursuant to GC Sub-Clause 25.2.2 above or any other period agreed upon by the Employer and the Contractor; or
  - (c) the Contractor has paid the liquidated damages specified in GC Sub-Clause 28.3 hereof; and
  - (d) any minor items mentioned in GC Sub-Clause 24.7 hereof relevant to the Facilities or that part thereof have been completed.
  - 25.3.2 At any time after any of the events set out in GC Sub-Clause 25.3.1 have occurred, the Contractor may give a notice to the Project Manager requesting the issue of an Operational Acceptance Certificate in the form provided in the Employer's Requirements (Forms and

- Procedures) in respect of the Facilities or the part thereof specified in such notice as of the date of such notice.
- 25.3.3 The Project Manager shall, after consultation with the Employer, and within seven (7) days after receipt of the Contractor's notice, issue an Operational Acceptance Certificate.
- 25.3.4 If within seven (7) days after receipt of the Contractor's notice, the Project Manager fails to issue the Operational Acceptance Certificate or fails to inform the Contractor in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the Facilities or the relevant part thereof shall be deemed to have been accepted as of the date of the Contractor's said notice.

# 25.4 Partial Acceptance

- 25.4.1 If the Contract specifies that Completion and Commissioning shall be carried out in respect of parts of the Facilities, the provisions relating to Completion and Commissioning including the Guarantee Test shall apply to each such part of the Facilities individually, and the Operational Acceptance Certificate shall be issued accordingly for each such part of the Facilities.
- 25.4.2 If a part of the Facilities comprises facilities such as buildings, for which no Commissioning or Guarantee Test is required, then the Project Manager shall issue the Operational Acceptance Certificate for such facility when it attains Completion, provided that the Contractor shall thereafter complete any outstanding minor items that are listed in the Operational Acceptance Certificate.

# 25.5 Delayed Pre commissioning and/or Guarantee Test

25.5.1 In the event that the Contractor is unable to proceed with the Precommissioning of the Facilities pursuant to Sub-Clause 24.3, or with the Guarantee Test pursuant to Sub-Clause 25.2, for reasons attributable to the Employer either on account of non availability of other facilities under the responsibilities of other contractor(s), or for reasons beyond the Contractor's control, the provisions leading to "deemed" completion of activities such as Completion, pursuant

- to GC Sub-Clause 24.6, and Operational Acceptance, pursuant to GC Sub-Clause 25.3.4, and Contractor's obligations regarding Defect Liability Period, pursuant to GC Sub-Clause 27.2, Functional Guarantee, pursuant to GC Clause 28, and Care of Facilities, pursuant to GC Clause 32, and GC Clause 41.1, Suspension, shall not apply. In this case, the following provisions shall apply.
- 25.5.2 When the Contractor is notified by the Project Manager that he will be unable to proceed with the activities and obligations pursuant to above Sub-Clause 25.5.1, the Contractor shall be entitled to the following:
  - (a) the Time of Completion shall be extended for the period of suspension without imposition of liquidated damages pursuant to GC Sub-Clause 26.2;
  - (b) payments due to the Contractor in accordance with the provision specified in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, which would not have been payable in normal circumstances due to non-completion of the subject activities, shall be released to the Contractor against submission of a security in the form of a bank guarantee of equivalent amount acceptable to the Employer, and which shall become null and void when the Contractor will have complied with its obligations regarding those payments, subject to the provision of Sub-Clause 25.5.3 below;
  - (c) the expenses towards the above security and extension of other securities under the contract, of which validity needs to be extended, shall be reimbursed to the Contractor by the Employer;
  - (d) the additional charges towards the care of the Facilities pursuant to GC Sub-Clause 32.1 shall be reimbursed to the Contractor by the Employer for the period between the notification mentioned above and the notification mentioned in Sub-Clause 25.5.4 below. The provision of GC Sub-Clause 33.2 shall apply to the Facilities during the same period.

- 25.5.3 In the event that the period of suspension under above Sub-Clause 25.5.1 actually exceeds one hundred eighty (180) days, the Employer and Contractor shall mutually agree to any additional compensation payable to the Contractor.
- 25.5.4 When the Contractor is notified by the Project Manager that the plant is ready for Precommissioning, the Contractor shall proceed without delay in performing Precommissioning in accordance with Clause 24.

## **Guarantees and Liabilities**

# 26. Completion Time Guarantee

- 26.1 The Contractor guarantees that it shall attain Completion of the Facilities (or a part for which a separate time for completion is specified) within the Time for Completion specified in the PC pursuant to GC Sub-Clause 8.2, or within such extended time to which the Contractor shall be entitled under GC Clause 40 hereof.
- 26.2 If the Contractor fails to attain Completion of the Facilities or any part thereof within the Time for Completion or any extension thereof under GC Clause 40, the Contractor shall pay to the Employer liquidated damages in the amount **specified in the PC** as a percentage rate of the Contract Price or the relevant part thereof. The aggregate amount of such liquidated damages shall in no event exceed the amount **specified as "Maximum"** in **the PC** as a percentage rate of the Contract Price. Once the "Maximum" is reached, the Employer may consider termination of the Contract, pursuant to GC Sub-Clause 42.2.2.

Such payment shall completely satisfy the Contractor's obligation to attain Completion of the Facilities or the relevant part thereof within the Time for Completion or any extension thereof under GC Clause 40. The Contractor shall have no further liability whatsoever to the Employer in respect thereof.

However, the payment of liquidated damages shall not in any way relieve the Contractor from any of its obligations to complete the Facilities or from any other obligations and liabilities of the Contractor under the Contract.

Save for liquidated damages payable under this GC Sub-Clause 26.2, the failure by the Contractor to attain any milestone or other act, matter or thing by any date specified in the Appendix

to the Contract Agreement titled Time Schedule, and/or other program of work prepared pursuant to GC Sub-Clause 18.2 shall not render the Contractor liable for any loss or damage thereby suffered by the Employer. 26.3 If the Contractor attains Completion of the Facilities or any part thereof before the Time for Completion or any extension thereof under GC Clause 40, the Employer shall pay to the Contractor a bonus in the amount specified in the PC. The aggregate amount of such bonus shall in no event exceed the amount specified as "Maximum" in the PC. 27. Defect 27.1 The Contractor warrants that the Facilities or any part thereof Liability shall be free from defects in the design, engineering, materials and workmanship of the Plant supplied and of the work executed. 27.2 The Defect Liability Period shall be five hundred and forty (540) days from the date of Completion of the Facilities (or any part thereof) or one year from the date of Operational Acceptance of the Facilities (or any part thereof), whichever first occurs, unless specified otherwise in the PC pursuant to GC Sub-Clause 27.10. If during the Defect Liability Period any defect should be found in the design, engineering, materials and workmanship of the Plant supplied or of the work executed by the Contractor, the Contractor shall promptly, in consultation and agreement with the Employer regarding appropriate remedying of the defects, and at its cost, repair, replace or otherwise make good as the Contractor shall determine at its discretion, such defect as well as any damage to the Facilities caused by such defect. The Contractor shall not be responsible for the repair, replacement or making good of any defect or of any damage to the Facilities arising out of or resulting from any of the following causes: (a) improper operation or maintenance of the Facilities by the Employer; operation of the Facilities outside specifications provided (b) in the Contract: or normal wear and tear. (c) 27.3 The Contractor's obligations under this GC Clause 27 shall not apply to: any materials that are supplied by the Employer under GC (a) Sub-Clause 21.2, are normally consumed in operation, or have a normal life shorter than the Defect Liability Period stated herein;

- (b) any designs, specifications or other data designed, supplied or specified by or on behalf of the Employer or any matters for which the Contractor has disclaimed responsibility herein; or
- (c) any other materials supplied or any other work executed by or on behalf of the Employer, except for the work executed by the Employer under GC Sub-Clause 27.7.
- 27.4 The Employer shall give the Contractor a notice stating the nature of any such defect together with all available evidence thereof, promptly following the discovery thereof. The Employer shall afford all reasonable opportunity for the Contractor to inspect any such defect.
- 27.5 The Employer shall afford the Contractor all necessary access to the Facilities and the Site to enable the Contractor to perform its obligations under this GC Clause 27.

The Contractor may, with the consent of the Employer, remove from the Site any Plant or any part of the Facilities that are defective if the nature of the defect, and/or any damage to the Facilities caused by the defect, is such that repairs cannot be expeditiously carried out at the Site.

27.6 If the repair, replacement or making good is of such a character that it may affect the efficiency of the Facilities or any part thereof, the Employer may give to the Contractor a notice requiring that tests of the defective part of the Facilities shall be made by the Contractor immediately upon completion of such remedial work, whereupon the Contractor shall carry out such tests.

If such part fails the tests, the Contractor shall carry out further repair, replacement or making good, as the case may be, until that part of the Facilities passes such tests. The tests shall be agreed upon by the Employer and the Contractor.

27.7 If the Contractor fails to commence the work necessary to remedy such defect or any damage to the Facilities caused by such defect within a reasonable time (which shall in no event be considered to be less than fifteen (15) days), the Employer may, following notice to the Contractor, proceed to do such work, and the reasonable costs incurred by the Employer in connection therewith shall be paid to the Employer by the Contractor or may be deducted by the Employer from any monies due the

Contractor or claimed under the Performance Security.

- 27.8 If the Facilities or any part thereof cannot be used by reason of such defect and/or making good of such defect, the Defect Liability Period of the Facilities or such part, as the case may be, shall be extended by a period equal to the period during which the Facilities or such part cannot be used by the Employer because of any of the aforesaid reasons.
- 27.9 Except as provided in GC Clauses 27 and 33, the Contractor shall be under no liability whatsoever and howsoever arising, and whether under the Contract or at law, in respect of defects in the Facilities or any part thereof, the Plant, design or engineering or work executed that appear after Completion of the Facilities or any part thereof, except where such defects are the result of the gross negligence, fraud, or criminal or willful action of the Contractor.
- 27.10 In addition, any such component of the Facilities, and during the period of time as may be **specified in the PC**, shall be subject to an extended defect liability period. Such obligation of the Contractor shall be in addition to the defect liability period specified under GC Sub-Clause 27.2.

# 28. Functional Guarantees

- 28.1 The Contractor guarantees that during the Guarantee Test, the Facilities and all parts thereof shall attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, subject to and upon the conditions therein specified.
- 28.2 If, for reasons attributable to the Contractor, the minimum level of the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not met either in whole or in part, the Contractor shall at its cost and expense make such changes, modifications and/or additions to the Plant or any part thereof as may be necessary to meet at least the minimum level of such Guarantees. The Contractor shall notify the Employer upon completion of the necessary changes, modifications and/or additions, and shall request the Employer to repeat the Guarantee Test until the minimum level of the Guarantees has been met. If the Contractor eventually fails to meet the minimum level of Functional Guarantees, the Employer may consider termination of the Contract, pursuant to GC Sub-Clause 42.2.2.
- 28.3 If, for reasons attributable to the Contractor, the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not attained either in whole or

in part, but the minimum level of the Functional Guarantees specified in the said Appendix to the Contract Agreement is met, the Contractor shall, at the Contractor's option, either

- (a) make such changes, modifications and/or additions to the Facilities or any part thereof that are necessary to attain the Functional Guarantees at its cost and expense, and shall request the Employer to repeat the Guarantee Test or
- (b) pay liquidated damages to the Employer in respect of the failure to meet the Functional Guarantees in accordance with the provisions in the Appendix to the Contract Agreement titled Functional Guarantees.
- 28.4 The payment of liquidated damages under GC Sub-Clause 28.3, up to the limitation of liability specified in the Appendix to the Contract Agreement titled Functional Guarantees, shall completely satisfy the Contractor's guarantees under GC Sub-Clause 28.3, and the Contractor shall have no further liability whatsoever to the Employer in respect thereof. Upon the payment of such liquidated damages by the Contractor, the Project Manager shall issue the Operational Acceptance Certificate for the Facilities or any part thereof in respect of which the liquidated damages have been so paid.

# 29. Patent Indemnity

29.1 The Contractor shall, subject to the Employer's compliance with GC Sub-Clause 29.2, indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Employer may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract by reason of: (a) the installation of the Facilities by the Contractor or the use of the Facilities in the country where the Site is located; and (b) the sale of the products produced by the Facilities in any country.

Such indemnity shall not cover any use of the Facilities or any part thereof other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the Facilities or any part thereof, or any products produced thereby in association or combination with any other equipment, plant or materials not supplied by the Contractor, pursuant to the Contract Agreement.

29.2 If any proceedings are brought or any claim is made against the

Employer arising out of the matters referred to in GC Sub-Clause 29.1, the Employer shall promptly give the Contractor a notice thereof, and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.

29.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Contractor may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided or designed by or on behalf of the Employer.

# 30. Limitation of Liability

- 30.1 Except in cases of criminal negligence or willful misconduct,
  - (a) neither Party shall be liable to the other Party, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, which may be suffered by the other Party in connection with the Contract, other than specifically provided as any obligation of the Party in the Contract, and
  - (b) the aggregate liability of the Contractor to the Employer, whether under the Contract, in tort or otherwise, shall not exceed the amount resulting from the application of the multiplier specified in the PC, to the Contract Price or, if a multiplier is not so specified, the total Contract Price, provided that this limitation shall not apply to the cost of

repairing or replacing defective equipment, or to any obligation of the Contractor to indemnify the Employer with respect to patent infringement.

# **Risk Distribution**

31. Transfer of Ownership	31.1	Ownership of the Plant (including spare parts) to be imported into the country where the Site is located shall be transferred to the Employer upon loading on to the mode of transport to be used to convey the Plant from the country of origin to that country.
	31.2	Ownership of the Plant (including spare parts) procured in the country where the Site is located shall be transferred to the Employer when the Plant are brought on to the Site.
	31.3	Ownership of the Contractor's Equipment used by the Contractor and its Subcontractors in connection with the Contract shall remain with the Contractor or its Subcontractors.
	31.4	Ownership of any Plant in excess of the requirements for the Facilities shall revert to the Contractor upon Completion of the Facilities or at such earlier time when the Employer and the Contractor agree that the Plant in question are no longer required for the Facilities.
	31.5	Notwithstanding the transfer of ownership of the Plant, the responsibility for care and custody thereof together with the risk of loss or damage thereto shall remain with the Contractor pursuant to GC Clause 32 (Care of Facilities) hereof until Completion of the Facilities or the part thereof in which such Plant are incorporated.
32. Care of Facilities	32.1	The Contractor shall be responsible for the care and custody of the Facilities or any part thereof until the date of Completion of the Facilities pursuant to GC Clause 24 or, where the Contract provides for Completion of the Facilities in parts, until the date of Completion of the relevant part, and shall make good at its own cost any loss or damage that may occur to the Facilities or the relevant part thereof from any cause whatsoever during such period. The Contractor shall also be responsible for any loss or damage to the Facilities caused by the Contractor or its Subcontractors in the course of any work carried out, pursuant to GC Clause 27. Notwithstanding the foregoing, the Contractor shall not be liable for any loss or damage to the Facilities or that part thereof caused by reason of any of the matters specified or referred

to in paragraphs (a), (b) and (c) of GC Sub-Clauses 32.2 and 38.1.

- 32.2 If any loss or damage occurs to the Facilities or any part thereof or to the Contractor's temporary facilities by reason of
  - (a) insofar as they relate to the country where the Site is located, nuclear reaction, nuclear radiation, radioactive contamination, pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced contractor could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance, including War Risks and Political Risks, taken out under GC Clause 34 hereof; or
  - (b) any use or occupation by the Employer or any third Party other than a Subcontractor, authorized by the Employer of any part of the Facilities; or
  - (c) any use of or reliance upon any design, data or specification provided or designated by or on behalf of the Employer, or any such matter for which the Contractor has disclaimed responsibility herein,

the Employer shall pay to the Contractor all sums payable in respect of the Facilities executed, notwithstanding that the same be lost, destroyed or damaged, and will pay to the Contractor the replacement value of all temporary facilities and all parts thereof lost, destroyed or damaged. If the Employer requests the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Contractor shall make good the same at the cost of the Employer in accordance with GC Clause 39. If the Employer does not request the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Employer shall either request a change in accordance with GC Clause 39, excluding the performance of that part of the Facilities thereby lost, destroyed or damaged, or, where the loss or damage affects a substantial part of the Facilities, the Employer shall terminate the Contract pursuant to GC Sub-Clause 42.1 hereof.

32.3 The Contractor shall be liable for any loss of or damage to any Contractor's Equipment, or any other property of the Contractor used or intended to be used for purposes of the Facilities, except (i) as mentioned in GC Sub-Clause 32.2 with respect to the Contractor's temporary facilities, and (ii) where such loss or

- damage arises by reason of any of the matters specified in GC Sub-Clauses 32.2 (b) and (c) and 38.1.
- 32.4 With respect to any loss or damage caused to the Facilities or any part thereof or to the Contractor's Equipment by reason of any of the matters specified in GC Sub-Clause 38.1, the provisions of GC Sub-Clause 38.3 shall apply.
- 33. Loss of or Damage to Property; Accident or Injury to Workers; Indemnification
- 33.1 Subject to GC Sub-Clause 33.3, the Contractor shall indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, in respect of the death or injury of any person or loss of or damage to any property other than the Facilities whether accepted or not, arising in connection with the supply and installation of the Facilities and by reason of the negligence of the Contractor or its Subcontractors, or their employees, officers or agents, except any injury, death or property damage caused by the negligence of the Employer, its contractors, employees, officers or agents.
- 33.2 If any proceedings are brought or any claim is made against the Employer that might subject the Contractor to liability under GC Sub-Clause 33.1, the Employer shall promptly give the Contractor a notice thereof and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.

33.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from any liability for loss of or damage to property of the Employer, other than the Facilities not yet taken over, that is caused by fire, explosion or any other perils, in excess of the amount recoverable from

insurances procured under GC Clause 34, provided that such fire, explosion or other perils were not caused by any act or failure of the Contractor. 33.4 The Party entitled to the benefit of an indemnity under this GC Clause 33 shall take all reasonable measures to mitigate any loss or damage which has occurred. If the Party fails to take such measures, the other Party's liabilities shall be correspondingly reduced. 34. Insurance 34.1 To the extent specified in the Appendix to the Contract Agreement titled Insurance Requirements, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances set forth below in the sums and with the deductibles and other conditions specified in the said Appendix. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, who should not unreasonably withhold such approval. (a) Cargo Insurance During Transport Covering loss or damage occurring while in transit from the Contractor's or Subcontractor's works or stores until arrival at the Site, to the Plant (including spare parts therefor) and to the Contractor's Equipment. (b) **Installation All Risks Insurance** Covering physical loss or damage to the Facilities at the Site, occurring prior to Completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the Defect Liability Period while the Contractor is on the Site for the purpose of performing its obligations during the Defect Liability Period. (c) Third Party Liability Insurance Covering bodily injury or death suffered by third Parties including the Employer's personnel, and loss of or damage to property occurring in connection with the supply and installation of the Facilities. (d) **Automobile Liability Insurance** Covering use of all vehicles used by the Contractor or its Subcontractors, whether or not owned by them, in connection with the execution of the Contract.

# (e) Workers' Compensation

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

# (f) Employer's Liability

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

#### (g) Other Insurances

Such other insurances as may be specifically agreed upon by the Parties hereto as listed in the Appendix to the Contract Agreement titled Insurance Requirements.

- 34.2 The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1 except for the Cargo Insurance During Transport, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.
- 34.3 The Contractor shall, in accordance with the provisions of the Appendix to the Contract Agreement titled Insurance Requirements, deliver to the Employer certificates of insurance or copies of the insurance policies as evidence that the required policies are in full force and effect. The certificates shall provide that no less than twenty-one (21) days' notice shall be given to the Employer by insurers prior to cancellation or material modification of a policy.
- 34.4 The Contractor shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Contractor.
- 34.5 The Employer shall at its expense take out and maintain in effect during the performance of the Contract those insurances

- specified in the Appendix to the Contract Agreement titled Insurance Requirements, in the sums and with the deductibles and other conditions specified in the said Appendix. The Contractor and the Contractor's Subcontractors shall be named as co-insureds under all such policies. All insurers' rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies. The Employer shall deliver to the Contractor satisfactory evidence that the required insurances are in full force and effect. The policies shall provide that not less than twenty-one (21) days' notice shall be given to the Contractor by all insurers prior to any cancellation or material modification of the policies. If so requested by the Contractor, the Employer shall provide copies of the policies taken out by the Employer under this GC Sub-Clause 34.5.
- 34.6 If the Contractor fails to take out and/or maintain in effect the insurances referred to in GC Sub-Clause 34.1, the Employer may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Contractor under the Contract any premium that the Employer shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Contractor. If the Employer fails to take out and/or maintain in effect the insurances referred to in GC 34.5, the Contractor may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Employer under the Contract any premium that the Contractor shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Employer. If the Contractor fails to or is unable to take out and maintain in effect any such insurances, the Contractor shall nevertheless have no liability or responsibility towards the Employer, and the Contractor shall have full recourse against the Employer for any and all liabilities of the Employer herein.
- 34.7 Unless otherwise provided in the Contract, the Contractor shall prepare and conduct all and any claims made under the policies effected by it pursuant to this GC Clause 34, and all monies payable by any insurers shall be paid to the Contractor. The Employer shall give to the Contractor all such reasonable assistance as may be required by the Contractor. With respect to insurance claims in which the Employer's interest is involved, the Contractor shall not give any release or make any compromise with the insurer without the prior written consent of the Employer. With respect to insurance claims in which the Contractor's interest is involved, the Employer shall not give any release or make any compromise with the insurer without

the prior written consent of the Contractor. 35. Unforeseen 35.1 If, during the execution of the Contract, the Contractor shall **Conditions** encounter on the Site any physical conditions other than climatic conditions, or artificial obstructions that could not have been reasonably foreseen prior to the date of the Contract Agreement by an experienced contractor on the basis of reasonable examination of the data relating to the Facilities including any data as to boring tests, provided by the Employer, and on the basis of information that it could have obtained from a visual inspection of the Site if access thereto was available, or other data readily available to it relating to the Facilities, and if the Contractor determines that it will in consequence of such conditions or obstructions incur additional cost and expense or require additional time to perform its obligations under the Contract that would not have been required if such physical conditions or artificial obstructions had not been encountered, the Contractor shall promptly, and before performing additional work or using additional Plant or Contractor's Equipment, notify the Project Manager in writing of (a) the physical conditions or artificial obstructions on the Site that could not have been reasonably foreseen; (b) the additional work and/or Plant and/or Contractor's Equipment required, including the steps which the Contractor will or proposes to take to overcome such conditions or obstructions; (c) the extent of the anticipated delay; and (d) the additional cost and expense that the Contractor is likely to incur. On receiving any notice from the Contractor under this GC Sub-Clause 35.1, the Project Manager shall promptly consult with the Employer and Contractor and decide upon the actions to be taken to overcome the physical conditions or artificial obstructions encountered. Following such consultations, the Project Manager shall instruct the Contractor, with a copy to the Employer, of the actions to be taken. 35.2 Any reasonable additional cost and expense incurred by the Contractor in following the instructions from the Project Manager to overcome such physical conditions or artificial obstructions referred to in GC Sub-Clause 35.1 shall be paid by the Employer to the Contractor as an addition to the Contract

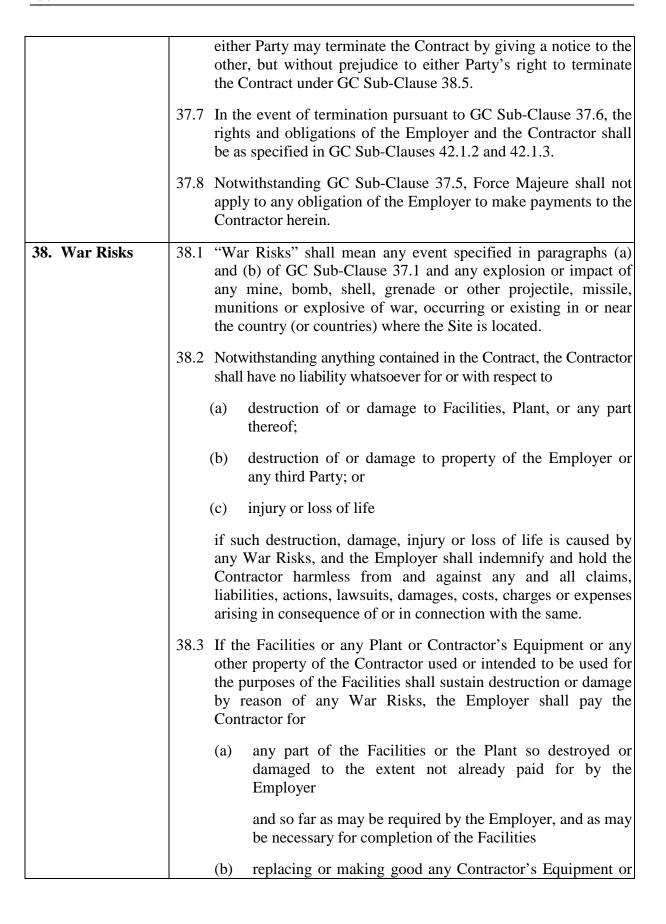
Price. If the Contractor is delayed or impeded in the performance of the Contract because of any such physical conditions or artificial obstructions referred to in GC Sub-Clause 35.1, the Time for Completion shall be extended in accordance with GC Clause 40. 36. Change in 36.1 If, after the date twenty-eight (28) days prior to the date of Bid submission, in the country where the Site is located, any law, Laws and regulation, ordinance, order or by-law having the force of law is **Regulations** enacted, promulgated, abrogated or changed which shall be deemed to include any change in interpretation or application by the competent authorities, that subsequently affects the costs and expenses of the Contractor and/or the Time for Completion, the Contract Price shall be correspondingly increased or decreased, and/or the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been affected in the performance of any of its obligations under the Contract. Notwithstanding the foregoing, such additional or reduced costs shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with the PC pursuant to GC Sub-Clause 11.2. "Force Majeure" shall mean any event beyond the reasonable 37. Force control of the Employer or of the Contractor, as the case may be, Majeure and which is unavoidable notwithstanding the reasonable care of the Party affected, and shall include, without limitation, the following: war, hostilities or warlike operations whether a state of (a) war be declared or not, invasion, act of foreign enemy and civil war (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion and terrorist acts confiscation, nationalization, mobilization, commandeering (c) or requisition by or under the order of any government or de jure or de facto authority or ruler or any other act or failure to act of any local state or national government authority (d) strike, sabotage, lockout, embargo, import restriction, port congestion, lack of usual means of public transportation and communication, industrial dispute, shipwreck, shortage or restriction of power supply, epidemics,

quarantine and plague

- (e) earthquake, landslide, volcanic activity, fire, flood or inundation, tidal wave, typhoon or cyclone, hurricane, storm, lightning, or other inclement weather condition, nuclear and pressure waves or other natural or physical disaster
- (f) shortage of labor, materials or utilities where caused by circumstances that are themselves Force Majeure.
- 37.2 If either Party is prevented, hindered or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances thereof within fourteen (14) days after the occurrence of such event.
- 37.3 The Party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such Party's performance is prevented, hindered or delayed. The Time for Completion shall be extended in accordance with GC Clause 40.
- 37.4 The Party or Parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect thereof upon its or their performance of the Contract and to fulfill its or their obligations under the Contract, but without prejudice to either Party's right to terminate the Contract under GC Sub-Clauses 37.6 and 38.5.
- 37.5 No delay or nonperformance by either Party hereto caused by the occurrence of any event of Force Majeure shall
  - (a) constitute a default or breach of the Contract, or
  - (b) give rise to any claim for damages or additional cost or expense occasioned thereby, subject to GC Sub-Clauses 32.2, 38.3 and 38.4

if and to the extent that such delay or nonperformance is caused by the occurrence of an event of Force Majeure.

37.6 If the performance of the Contract is substantially prevented, hindered or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the currency of the Contract, the Parties will attempt to develop a mutually satisfactory solution, failing which



other property of the Contractor so destroyed or damaged

(c) replacing or making good any such destruction or damage to the Facilities or the Plant or any part thereof.

If the Employer does not require the Contractor to replace or make good any such destruction or damage to the Facilities, the Employer shall either request a change in accordance with GC Clause 39, excluding the performance of that part of the Facilities thereby destroyed or damaged or, where the loss, destruction or damage affects a substantial part of the Facilities, shall terminate the Contract, pursuant to GC Sub-Clause 42.1.

If the Employer requires the Contractor to replace or make good on any such destruction or damage to the Facilities, the Time for Completion shall be extended in accordance with GC 40.

- 38.4 Notwithstanding anything contained in the Contract, the Employer shall pay the Contractor for any increased costs or incidentals to the execution of the Contract that are in any way attributable to, consequent on, resulting from, or in any way connected with any War Risks, provided that the Contractor shall as soon as practicable notify the Employer in writing of any such increased cost.
- 38.5 If during the performance of the Contract any War Risks shall occur that financially or otherwise materially affect the execution of the Contract by the Contractor, the Contractor shall use its reasonable efforts to execute the Contract with due and proper consideration given to the safety of its and its Subcontractors' personnel engaged in the work on the Facilities, provided, however, that if the execution of the work on the Facilities becomes impossible or is substantially prevented for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of any War Risks, the Parties will attempt to develop a mutually satisfactory solution, failing which either Party may terminate the Contract by giving a notice to the other.
- 38.6 In the event of termination pursuant to GC Sub-Clauses 38.3 or 38.5, the rights and obligations of the Employer and the Contractor shall be specified in GC Sub-Clauses 42.1.2 and 42.1.3.

### **Change in Contract Elements**

## **39.** Change in the Facilities

### 39.1 Introducing a Change

- 39.1.1 Subject to GC Sub-Clauses 39.2.5 and 39.2.7, the Employer shall have the right to propose, and subsequently require, that the Project Manager order the Contractor from time to time during the performance of the Contract to make any change, modification, addition or deletion to, in or from the Facilities hereinafter called "Change", provided that such Change falls within the general scope of the Facilities and does not constitute unrelated work and that it is technically practicable, taking into account both the state of advancement of the Facilities and the technical compatibility of the Change envisaged with the nature of the Facilities as specified in the Contract.
- 39.1.2 The Contractor may from time to time during its performance of the Contract propose to the Employer with a copy to the Project Manager, any Change that the Contractor considers necessary or desirable to improve the quality, efficiency or safety of the Facilities. The Employer may at its discretion approve or reject any Change proposed by the Contractor, provided that the Employer shall approve any Change proposed by the Contractor to ensure the safety of the Facilities.
- 39.1.3 Notwithstanding GC Sub-Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Contractor in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Completion.
- 39.1.4 The procedure on how to proceed with and execute Changes is specified in GC Sub-Clauses 39.2 and 39.3, and further details and forms are provided in the Employer's Requirements (Forms and Procedures).

#### 39.2 Changes Originating from Employer

39.2.1 If the Employer proposes a Change pursuant to GC Sub-Clause 39.1.1, it shall send to the Contractor a "Request for Change Proposal," requiring the Contractor to prepare and furnish to the Project Manager as soon as reasonably practicable a "Change Proposal," which shall include the following:

- (a) brief description of the Change
- (b) effect on the Time for Completion
- (c) estimated cost of the Change
- (d) effect on Functional Guarantees (if any)
- (e) effect on the Facilities
- (f) impact of the change on ESHS risks(f)
- (g) effect on any other provisions of the Contract.
- 39.2.2 Prior to preparing and submitting the "Change Proposal," the Contractor shall submit to the Project Manager an "Estimate for Change Proposal," which shall be an estimate of the cost of preparing and submitting the Change Proposal.

Upon receipt of the Contractor's Estimate for Change Proposal, the Employer shall do one of the following:

- (a) accept the Contractor's estimate with instructions to the Contractor to proceed with the preparation of the Change Proposal
- (b) advise the Contractor of any part of its Estimate for Change Proposal that is unacceptable and request the Contractor to review its estimate
- (c) advise the Contractor that the Employer does not intend to proceed with the Change.
- 39.2.3 Upon receipt of the Employer's instruction to proceed under GC Sub-Clause 39.2.2 (a), the Contractor shall, with proper expedition, proceed with the preparation of the Change Proposal, in accordance with GC Sub-Clause 39.2.1.
- 39.2.4 The pricing of any Change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If such rates and prices are inequitable, the Parties thereto shall agree on specific rates for the valuation of the Change.
- 39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate effect of compliance therewith and with all other Change Orders that have already become binding upon the Contractor

under this GC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen percent (15%), the Contractor may give a written notice of objection thereto prior to furnishing the Change Proposal as aforesaid. If the Employer accepts the Contractor's objection, the Employer shall withdraw the proposed Change and shall notify the Contractor in writing thereof.

The Contractor's failure to so object shall neither affect its right to object to any subsequent requested Changes or Change Orders herein, nor affect its right to take into account, when making such subsequent objection, the percentage increase or decrease in the Contract Price that any Change not objected to by the Contractor represents.

39.2.6 Upon receipt of the Change Proposal, the Employer and the Contractor shall mutually agree upon all matters therein contained. Within fourteen (14) days after such agreement, the Employer shall, if it intends to proceed with the Change, issue the Contractor with a Change Order.

If the Employer is unable to reach a decision within fourteen (14) days, it shall notify the Contractor with details of when the Contractor can expect a decision.

If the Employer decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Contractor accordingly. Under such circumstances, the Contractor shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Contractor in its Estimate for Change Proposal submitted in accordance with GC Sub-Clause 39.2.2.

39.2.7 If the Employer and the Contractor cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Completion, or any other matters identified in the Change Proposal, the Employer may nevertheless instruct the Contractor to proceed with the Change by issue of a "Pending Agreement Change Order."

Upon receipt of a Pending Agreement Change Order, the Contractor shall immediately proceed with effecting the Changes covered by such Order. The Parties shall thereafter attempt to reach agreement on the outstanding issues under the Change Proposal.

If the Parties cannot reach agreement within sixty (60) days from the date of issue of the Pending Agreement Change Order, then the matter may be referred to the Adjudicator in accordance with the provisions of GC Sub-Clause 46.1.

### 39.3 Changes Originating from Contractor

39.3.1 If the Contractor proposes a Change pursuant to GC Sub-Clause 39.1.2, the Contractor shall submit to the Project Manager a written "Application for Change Proposal," giving reasons for the proposed Change and including the information specified in GC Sub-Clause 39.2.1.

Upon receipt of the Application for Change Proposal, the Parties shall follow the procedures outlined in GC Sub-Clauses 39.2.6 and 39.2.7. However, should the Employer choose not to proceed, the Contractor shall not be entitled to recover the costs of preparing the Application for Change Proposal.

# 40. Extension of Time for Completion

- 40.1 The Time(s) for Completion specified in the PC pursuant to GC Sub-Clause 8.2 shall be extended if the Contractor is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following:
  - (a) any Change in the Facilities as provided in GC Clause 39
  - (b) any occurrence of Force Majeure as provided in GC Clause 37, unforeseen conditions as provided in GC Clause 35, or other occurrence of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GC Sub-Clause 32.2
  - (c) any suspension order given by the Employer under GC Clause 41 hereof or reduction in the rate of progress pursuant to GC Sub-Clause 41.2 or
  - (d) any changes in laws and regulations as provided in GC Clause 36 or
  - (e) any default or breach of the Contract by the Employer, Appendix to the Contract Agreement titled, or any activity, act or omission of the Employer, or the Project Manager,

or any other contractors employed by the Employer, or (f) any delay on the part of a sub-contractor, provided such delay is due to a cause for which the Contractor himself would have been entitled to an extension of time under this sub-clause, or delays attributable to the Employer or caused by customs, (g) (h) any other matter specifically mentioned in the Contract by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Contractor. 40.2 Except where otherwise specifically provided in the Contract, the Contractor shall submit to the Project Manager a notice of a claim for an extension of the Time for Completion, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Employer and the Contractor shall agree upon the period of such extension. In the event that the Contractor does not accept the Employer's estimate of a fair and reasonable time extension, the Contractor shall be entitled to refer the matter to an Adjudicator, pursuant to GC Sub-Clause 46.1. The Contractor shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract. In all cases where the Contractor has given a notice of a claim for an extension of time under GC 40.2, the Contractor shall consult with the Project Manager in order to determine the steps (if any) which can be taken to overcome or minimize the actual or anticipated delay. The Contractor shall there after comply with all reasonable instructions which the Project Manager shall give in order to minimize such delay. If compliance with such instructions shall cause the Contractor to incur extra costs and

41. Suspension

Price.

41.1 The Employer may request the Project Manager, by notice to the Contractor, to order the Contractor to suspend performance of any or all of its obligations under the Contract. Such notice shall specify the obligation of which performance is to be suspended,

the Contractor is entitled to an extension of time under GC 40.1, the amount of such extra costs shall be added to the Contract

the effective date of the suspension and the reasons therefor. The Contractor shall thereupon suspend performance of such obligation, except those obligations necessary for the care or preservation of the Facilities, until ordered in writing to resume such performance by the Project Manager.

If, by virtue of a suspension order given by the Project Manager, other than by reason of the Contractor's default or breach of the Contract, the Contractor's performance of any of its obligations is suspended for an aggregate period of more than ninety (90) days, then at any time thereafter and provided that at that time such performance is still suspended, the Contractor may give a notice to the Project Manager requiring that the Employer shall, within twenty-eight (28) days of receipt of the notice, order the resumption of such performance or request and subsequently order a change in accordance with GC Clause 39, excluding the performance of the suspended obligations from the Contract.

If the Employer fails to do so within such period, the Contractor may, by a further notice to the Project Manager, elect to treat the suspension, where it affects a part only of the Facilities, as a deletion of such part in accordance with GC Clause 39 or, where it affects the whole of the Facilities, as termination of the Contract under GC Sub-Clause 42.1.

#### 41.2 If

- (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, or fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice or
- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's

failure to provide possession of or access to the Site or other areas in accordance with GC Sub-Clause 10.2, or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may by fourteen (14) days' notice to the Employer suspend performance of all or any of its obligations under the Contract, or reduce the rate of progress.

- 41.3 If the Contractor's performance of its obligations is suspended or the rate of progress is reduced pursuant to this GC Clause 41, then the Time for Completion shall be extended in accordance with GC Sub-Clause 40.1, and any and all additional costs or expenses incurred by the Contractor as a result of such suspension or reduction shall be paid by the Employer to the Contractor in addition to the Contract Price, except in the case of suspension order or reduction in the rate of progress by reason of the Contractor's default or breach of the Contract.
- 41.4 During the period of suspension, the Contractor shall not remove from the Site any Plant, any part of the Facilities or any Contractor's Equipment, without the prior written consent of the Employer.

#### 42. Termination

### 42.1 <u>Termination for Employer's Convenience</u>

- 42.1.1 The Employer may at any time terminate the Contract for any reason by giving the Contractor a notice of termination that refers to this GC Sub-Clause 42.1.
- 42.1.2 Upon receipt of the notice of termination under GC Sub-Clause 42.1.1, the Contractor shall either immediately or upon the date specified in the notice of termination
  - (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
  - (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii) below
  - (c) remove all Contractor's Equipment from the Site, repatriate the Contractor's and its Subcontractors' personnel from the Site, remove from the Site any

- wreckage, rubbish and debris of any kind, and leave the whole of the Site in a clean and safe condition, and
- (d) subject to the payment specified in GC Sub-Clause 42.1.3,
  - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
  - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors; and
  - (iii) deliver to the Employer all non-proprietary drawings, specifications and other documents prepared by the Contractor or its Subcontractors as at the date of termination in connection with the Facilities.
- 42.1.3 In the event of termination of the Contract under GC Sub-Clause 42.1.1, the Employer shall pay to the Contractor the following amounts:
  - (a) the Contract Price, properly attributable to the parts of the Facilities executed by the Contractor as of the date of termination
  - (b) the costs reasonably incurred by the Contractor in the removal of the Contractor's Equipment from the Site and in the repatriation of the Contractor's and its Subcontractors' personnel
  - (c) any amounts to be paid by the Contractor to its Subcontractors in connection with the termination of any subcontracts, including any cancellation charges
  - (d) costs incurred by the Contractor in protecting the Facilities and leaving the Site in a clean and safe condition pursuant to paragraph (a) of GC Sub-Clause 42.1.2
  - (e) the cost of satisfying all other obligations,

commitments and claims that the Contractor may in good faith have undertaken with third Parties in connection with the Contract and that are not covered by paragraphs (a) through (d) above.

### 42.2 Termination for Contractor's Default

- 42.2.1 The Employer, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefor to the Contractor, referring to this GC Sub-Clause 42.2:
  - (a) if the Contractor becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Contractor is a corporation, a resolution is passed or order is made for its winding up, other than a voluntary liquidation for the purposes of amalgamation or reconstruction, a receiver is appointed over any part of its undertaking or assets, or if the Contractor takes or suffers any other analogous action in consequence of debt
  - (b) if the Contractor assigns or transfers the Contract or any right or interest therein in violation of the provision of GC Clause 43.
  - (c) if the Contractor, in the judgment of the Employer has engaged in corrupt, collusive, coercive, or fraudulent practices, as defined in GC Clause 6, in competing for or in executing the Contract.

#### 42.2.2 If the Contractor

- (a) has abandoned or repudiated the Contract
- (b) has without valid reason failed to commence work on the Facilities promptly or has suspended, other than pursuant to GC Sub-Clause 41.2, the progress of Contract performance for more than twenty-eight (28) days after receiving a written instruction from the Employer to proceed
- (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause
- (d) refuses or is unable to provide sufficient materials,

services or labor to execute and complete the Facilities in the manner specified in the program furnished under GC Sub-Clause 18.2 at rates of progress that give reasonable assurance to the Employer that the Contractor can attain Completion of the Facilities by the Time for Completion as extended,

then the Employer may, without prejudice to any other rights it may possess under the Contract, give a notice to the Contractor stating the nature of the default and requiring the Contractor to remedy the same. If the Contractor fails to remedy or to take steps to remedy the same within fourteen (14) days of its receipt of such notice, then the Employer may terminate the Contract forthwith by giving a notice of termination to the Contractor that refers to this GC Sub-Clause 42.2.

- 42.2.3 Upon receipt of the notice of termination under GC Sub-Clauses 42.2.1 or 42.2.2, the Contractor shall, either immediately or upon such date as is specified in the notice of termination,
  - (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
  - (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) below
  - (c) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
  - (d) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors
  - (e) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities.

42.2.4 The Employer may enter upon the Site, expel the Contractor, and complete the Facilities itself or by employing any third Party. The Employer may, to the exclusion of any right of the Contractor over the same, take over and use with the payment of a fair rental rate to the Contractor, with all the maintenance costs to the account of the Employer and with an indemnification by the Employer for all liability including damage or injury to persons arising out of the Employer's use of such equipment, any Contractor's Equipment owned by the Contractor and on the Site in connection with the Facilities for such reasonable period as the Employer considers expedient for the supply and installation of the Facilities.

Upon completion of the Facilities or at such earlier date as the Employer thinks appropriate, the Employer shall give notice to the Contractor that such Contractor's Equipment will be returned to the Contractor at or near the Site and shall return such Contractor's Equipment to the Contractor in accordance with such notice. The Contractor shall thereafter without delay and at its cost remove or arrange removal of the same from the Site.

- 42.2.5 Subject to GC Sub-Clause 42.2.6, the Contractor shall be entitled to be paid the Contract Price attributable to the Facilities executed as of the date of termination, the value of any unused or partially used Plant on the Site, and the costs, if any, incurred in protecting the Facilities and in leaving the Site in a clean and safe condition pursuant to paragraph (a) of GC Sub-Clause 42.2.3. Any sums due the Employer from the Contractor accruing prior to the date of termination shall be deducted from the amount to be paid to the Contractor under this Contract.
- 42.2.6 If the Employer completes the Facilities, the cost of completing the Facilities by the Employer shall be determined.

If the sum that the Contractor is entitled to be paid, pursuant to GC Sub-Clause 42.2.5, plus the reasonable costs incurred by the Employer in completing the Facilities, exceeds the Contract Price, the Contractor shall be liable for such excess.

If such excess is greater than the sums due the Contractor under GC Sub-Clause 42.2.5, the Contractor shall pay the balance to the Employer, and if such excess is less than the sums due the Contractor under GC Sub-Clause 42.2.5, the

Employer shall pay the balance to the Contractor.

The Employer and the Contractor shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.

### 42.3 Termination by the Contractor

#### 42.3.1 If

- (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice, or
- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may give a notice to the Employer thereof, and if the Employer has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Contractor is still unable to carry out any of its obligations under the Contract for any reason attributable to the Employer within twenty-eight (28) days of the said notice, the Contractor may by a

- further notice to the Employer referring to this GC Sub-Clause 42.3.1, forthwith terminate the Contract.
- 42.3.2 The Contractor may terminate the Contract forthwith by giving a notice to the Employer to that effect, referring to this GC Sub-Clause 42.3.2, if the Employer becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, being a corporation, if a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Employer takes or suffers any other analogous action in consequence of debt.
- 42.3.3 If the Contract is terminated under GC Sub-Clauses 42.3.1 or 42.3.2, then the Contractor shall immediately
  - (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
  - (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii)
  - (c) remove all Contractor's Equipment from the Site and repatriate the Contractor's and its Subcontractors' personnel from the Site, and
  - (d) subject to the payment specified in GC Sub-Clause 42.3.4,
    - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
    - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors, and
    - (iii) deliver to the Employer all drawings, specifications and other documents prepared

by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities. 42.3.4 If the Contract is terminated under GC Sub-Clauses 42.3.1 or 42.3.2, the Employer shall pay to the Contractor all payments specified in GC Sub-Clause 42.1.3, and reasonable compensation for all loss, except for loss of profit, or damage sustained by the Contractor arising out of, in connection with or in consequence of such termination. 42.3.5 Termination by the Contractor pursuant to this GC Sub-Clause 42.3 is without prejudice to any other rights or remedies of the Contractor that may be exercised in lieu of or in addition to rights conferred by GC Sub-Clause 42.3. 42.4 In this GC Clause 42, the expression "Facilities executed" shall include all work executed, Installation Services provided, and all Plant acquired, or subject to a legally binding obligation to purchase, by the Contractor and used or intended to be used for the purpose of the Facilities, up to and including the date of termination. 42.5 In this GC Clause 42, in calculating any monies due from the Employer to the Contractor, account shall be taken of any sum previously paid by the Employer to the Contractor under the Contract, including any advance payment paid pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment. 43. Assignment 43.1 Neither the Employer nor the Contractor shall, without the express prior written consent of the other Party, which consent shall not be unreasonably withheld, assign to any third Party the Contract or any part thereof, or any right, benefit, obligation or interest therein or there under, except that the Contractor shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract. 44. Export 44.1 Notwithstanding any obligation under the Contract to complete Restrictions all export formalities, any export restrictions attributable to the Employer, to the country of the Employer or to the use of the Plant and Installation Services to be supplied which arise from trade regulations from a country supplying those Plant and Installation Services, and which substantially impede the Contractor from meeting its obligations under the Contract, shall release the Contractor from the obligation to provide deliveries or services, always provided, however, that the Contractor can demonstrate to the satisfaction of the Employer and of the Bank that it has completed all formalities in a timely manner, including applying for permits, authorizations and licenses necessary for the export of the Plant and Installation Services under the terms of the Contract. Termination of the Contract on this basis shall be for the Employer's convenience pursuant to Sub-Clause 42.1.

### Claims, Disputes and Arbitration

## 45. Contractor's Claims

45.1 If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in connection with the Contract, the Contractor shall submit a notice to the Project Manager, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Project Manager. Without admitting the Employer's liability, the Project Manager may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Project Manager to inspect all these records, and shall (if instructed) submit copies to the Project Manager.

Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by

the Contractor and approved by the Project Manager, the Contractor shall send to the Project Manager a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Project Manager may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be proposed by the Contractor and approved by the Project Manager.

Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Project Manager and approved by the Contractor, the Project Manager shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the principles of the claim within such time.

Each Payment Certificate shall include such amounts for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.

The Project Manager shall agree with the Contractor or estimate: (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with GC Clause 40, and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph

	of this Sub-Clause.	
		In the event that the Contractor and the Employer cannot agree on any matter relating to a claim, either Party may refer the matter to the Adjudicator pursuant to GC 46 hereof.
46. Disputes and	46.1	Appointment of the Adjudicator
Arbitration		The Adjudicator shall be appointed jointly by the Employer and the Contractor, at the time of the Employer's issuance of the Letter of Acceptance. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the PC, to appoint the Adjudicator within 14 days of receipt of such request.
		The Adjudicator should be in position before "notice to proceed with work" is issued to the Contractor and an agreement should be signed with the Adjudicator jointly by the Employer and the Contractor in the form attached – Appendix B.
	46.2	Should the Adjudicator resign or die, or should the Employer and the Contractor agree that the Adjudicator is not functioning in accordance with the provisions of the Contract, a new Adjudicator shall be jointly appointed by the Employer and the Contractor. In case of disagreement between the Employer and the Contractor, within 30 days, the Adjudicator shall be designated by the Appointing Authority <b>designated in the PC</b> at the request of either party, within 14 days of receipt of such request.
	46.3	If the Contractor believes that a decision taken by the Project Manager was either outside the authority given to the Project Manager by the Contract or that the decision was wrongly taken, the decision shall be referred to the Adjudicator within 14 days of the notification of the Project Manager's decision.
	46.4	The Adjudicator shall give a decision in writing within 28 days of receipt of a notification of a dispute.
		The Adjudicator shall be paid daily at the rate <b>specified in the PC</b> , together with reimbursable expenses of the types <b>specified in the PC</b> , and the cost shall be divided equally between the Employer and the Contractor. Whatever decision is reached by the Adjudicator, either party may refer a decision of the Adjudicator to an Arbitrator within 28 days of the Adjudicator's written decision. If neither party refers the dispute to arbitration

	within the above 28 days, the Adjudicator's decision shall be final and binding.
46.5	Arbitration
	The arbitration shall be conducted in accordance with the arbitration procedures published by the institution named and in the place <b>specified in the PC</b> .
	The Arbitrator(s) shall give a decision in writing within 120 days of start of the proceedings unless otherwise agreed to by the Parties. The Arbitrators shall entertain only those issues which have been earlier referred to the Adjudicator and either party is dissatisfied with the decision given by the Adjudicator.
	Notwithstanding any reference to the Adjudicator or arbitration herein,  (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree
	(b) The Employer shall pay the Contractor any monies due the Contractor.

### **APPENDIX A to General Conditions**

### **Environmental, Social, Health and Safety (ESHS)**

### **Metrics for Progress Reports**

[Note to Employer: the following metrics may be amended to reflect the Employer's environmental, social, health and safety policies and/or the ESHS requirements of the project. The metrics that are required should be determined by the ESHS risks of the Works and not necessarily by the scale of the Works]

Metrics for regular reporting:

a. environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;

health and safety incidents, accidents, injuries and all fatalities that require treatment;

interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);

status of all permits and agreements:

- i. work permits: number required, number received, actions taken for those not received;
- ii. status of permits and consents:
  - list areas/facilities with permits required (quarries, asphalt & batch EWDS s), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);
  - list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);
  - identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);
  - for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).

health and safety supervision:

- safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;
- number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed (by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);

#### worker accommodations:

- i. number of expats housed in accommodations, number of locals;
- ii. date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;

actions taken to recommend/require improved conditions, or to improve conditions.

- HIV/AIDS: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);
- gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);

### training:

- i. number of new workers, number receiving induction training, dates of induction training;
- ii. number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
- iii. number and dates of HIV/AIDS sensitization and/or training, no. workers receiving training (this reporting period and in the past); same questions for gender sensitization, flag person training.
- iv. number and date of GBV /SEA sensitization and/or training, number of workers receiving training on code of conduct (in the reporting period and in the past), etc.

#### environmental and social supervision:

i. environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;

- ii. sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS center, community centers, etc.), highlights of activities (including violations of environmental and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and
- iii. community liaison person(s): days worked (hours community center open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.

*Grievances*: list new grievances (e.g. allegations of GBV / SEA) received in the reporting period and unresolved past grievances by date received, complainant, how received, to whom referred to for action, resolution and date (if completed), data resolution reported to complainant, any required follow-up (Cross-reference other sections as needed):

- i. Worker grievances;
- ii. Community grievances

*Traffic and vehicles/equipment:* 

- i. traffic accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
- ii. accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
- iii. overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).

*Environmental mitigations and issues (what has been done):* 

- dust: number of working bowsers, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/spoil lorries with covers, actions taken for uncovered vehicles;
- ii. erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
- iii. quarries, borrow areas, spoil areas, asphalt EWDS s, batch EWDS s: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil

- salvage, traffic management, decommissioning planning, decommissioning implementation;
- iv. blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
- v. spill cleanups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination;
- vi. waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;
- vii. details of tree planting and other mitigations required undertaken in the reporting period;
- viii. details of water and swamp protection mitigations required undertaken in the reporting period.
- ix. Details of Safety management plan for Tower Foundation works, excavation and Tower erection works
- x. Details of safety in tower climbing & fall protection.

### compliance:

- i. compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;
- ii. compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- iii. compliance status of GBV/SEA prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- iv. compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- v. other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

## APPENDIX B<sup>26</sup>

### **Appointment of Adjudicator**

Suggested Draft <sup>27</sup> of <b>Letter of Appointment of Adjudicators</b>					
Sub: (Contract)	Name	of	the		
To  Name and address of the Adjudicator  We hereby confirm your appointment as Adjudicator for the above contract assignment specified in this Letter of Appointment.	t to carr	y out	the		
For administrative purpose (name of the officer Employer) has been assigned to administer the assignment and to provide with all relevant information needed to carry out the assignment on be employer and the contractor. The services will be required during the period the work of (Name of the Contract)	the Ad	ljudic both	ator the		
The Adjudicator shall visit the worksite once in 3 (three) months till the contracted above or as specifically requested by Employer/ Contracted upto the end of defects liability period with prior intimation to the Encontractor. The duration of each visit shall ordinarily be for one day only. are approximate and (Name of the employer and Name of the Contraction necessary to postpone or cancel the assignment and/or shorten or extend the	or for the mployer These of tor) may	ne pe and durat y fin	riod the ions		
The appointment will become effective upon confirmation of letter by you. of Adjudicator shall be liable for termination under a 30 (thirty) days written date of issue of the notice, if both Employer and the Contractor so dappointment shall automatically stand terminated 14 days after the defect reperiod as stated in Clause 27 of the Conditions of Contract is over.	n notice lesire.	from Also	the the		
The Adjudicator will be paid a fee of Rs (Rupees or of visit at the worksite. The actual expenses for boarding and traveling in the assignment will be reimbursed to the Adjudicator. The Adjudicator we receipted bill in triplicate to the employer indicating the date of the visit, fees a proof in support of the actual expenditure [only for items valued above incurred by him against boarding, lodging and traveling expenses after per on each occasion. The Employer will make the admissible payment (both and the Contractor's share) to the Adjudicator within 30 days of the receipt	connectivill submares for the Rs. 2 forming the En	tion visit a visit 200 earthe vinder	with pre- and ach] visit ver's		

<sup>&</sup>lt;sup>26</sup> If ITB 46 makes provision of an Adjudicator from list provided by an institution, kindly modify Appendix A to state that the fee and reimbursable payable to the adjudicator shall be as per the rules of the Institution.

<sup>27</sup> The draft letter may be modified as necessary.

Contractor's share on this account (half the paid amount) will be recovered by the Employer from the Contractor's bills against the work.

In accepting this assignment, the Adjudicator should understand and agree that he is responsible for any liabilities and costs arising out of risks associated with travel to and from the place of emergency repatriation, loss or damage to personal/professional effects and property. The Adjudicator is advised to effect personal insurance cover in respect of such risks if he does not already have such cover in place. In this regard, the Adjudicator shall maintain appropriate medical, travel, accident and third-party liability insurance. The obligation under this paragraph will survive till termination of this appointment.

Procedures for resolution of disputes by the Adjudicator is described in the contract of \_\_\_\_\_\_ (name of the contract) between the employer and the contractor vide clause no.46 of the General Conditions of Contract. Your recommendation should be given in the format attached, within 28 days of receipt of a notification of dispute.

The Adjudicator will carry out the assignment in accordance with the highest standard of professional and ethical competence and integrity, having due regard to the nature and purpose of the assignment, and will conduct himself in a manner consistent herewith. After visiting the worksite, the Adjudicator will discuss the matter with the Employer and if necessary with the Contractor before arriving at any decision.

The Adjudicator will agree that all knowledge and information not within the public domain, which may be acquired while carrying out this service shall be all time and for all purpose, regarded as strictly confidential and held in confidence, and shall not be directly or indirectly disclosed to any party whatsoever, except with the permission of the employer and the contractor. The Adjudicator's decision should be communicated in the form of a speaking order specifying the reasons.

The Adjudicator will agree that any manufacturing or construction firm with which he might be associated with, will not be eligible to participate in bidding for any goods or works resulting from or associated with the project of which this consulting assignment forms a part.

Read and Agreed Name of Adjudicator

Signature

Place:

Date:

Name of Employer Signature of authorized representative of Employer Name of the Contractor Signature of authorized representative of Contractor

Attachment: Copy of contract document between the employer and contractor and format for recommendation.

### SUMMARY OF AJUDICATIOR'S RESPONSIBILITIES

The Adjudicator has the following principal responsibilities:

- 1. Visit the site periodically.
- 2. Keep abreast of job activities and developments.
- 3. Encourage the resolution of disputes by the parties.
- 4. When a dispute is referred to it, conduct a hearing (no legal presentation), complete its deliberations, and prepare a recommendation in a professional and timely manner (as per sample format)

### Sample Format of Adjudicator's Recommendation

### [Project Name] Recommendation of Adjudicator

Dispute No. XX [NAME OF DISPUTE]  Hearing Date:
Dispute
Description of dispute. A one or two sentence summation of the dispute.
Contractor's Position
A short summation of the contractor's position as understood by the Adjudicator.
Employer's Position
A short summation of the Employer's position as understood by the Adjudicator.
Recommendation
The Adjudicator's specific recommendation for settlement of the dispute. ( <i>The recommended course is consistent with the explanation</i> ).
Explanation
(This section could also be called Considerations, Rationale, Findings, Discussion, and so on.)
The Adjudicator's description of how each recommendation was reached.
Respectfully submitted,
Date:
Date:
Dota

## Appendix C (Refer GC 22.2.3)

### Salient Features of Labour & Environment Protection Laws<sup>28</sup>

### SALIENT FEATURES OF SOME MAJOR LABOUR LAWS APPLICABLE TO ESTABLISHMENTS ENGAGED IN BUILDING AND OTHER CONSTRUCTION WORK

- (a) <u>Employees Compensation Act 1923</u>: The Act provides for compensation in case of injury, disease or death arising out of and during the course of employment.
- (b) Payment of Gratuity Act 1972: gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years' service or more or on death at the rate of 15 days' wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.
- (c) <u>Employees P.F. and Miscellaneous Provision Act 1952 (since amended)</u>: The Act provides for monthly contribution by the employer plus workers @ 10% or 8.33%. The benefits payable under the Act are:
  - (i) Pension or family pension on retirement or death, as the case may be.
  - (ii) Deposit linked insurance on the death in harness of the worker.
  - (iii) Payment of P.F. accumulation on retirement/death etc.
- (d) <u>Maternity Benefit Act 1961</u>: The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.
- (e) <u>Sexual Harassment of Women at the Workplace (Prevention, Prohibition and Redressal) Act, 2013</u>: This Act defines sexual harassment in the workplace, provides for an enquiry procedure in case of complaints and mandates the setting up of an Internal Complaints Committee or a Local Complaints Committee
- (f) Contract Labour (Regulation & Abolition) Act 1970: The Act provides for certain welfare measures to be provided by the Contractor to contract labour and in case the Contractor fails to provide, the same are required to be provided, by the Principal Employer by law. The Principal Employer is required to take Certificate of Registration and the Contractor is required to take license from the designated Officer. The Act is applicable to the establishments or Contractor of Principal Employer if they employ 20 or more contract labour.
- (g) Minimum Wages Act 1948: The Employer is supposed to pay not less than the

<sup>&</sup>lt;sup>28</sup> This list is only illustrative and not exhaustive. Bidders and Contractors are responsible for checking the correctness and completeness of the list. The law as current on the date of bid opening will apply.

- Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, Runways are scheduled employments.
- (h) Payment of Wages Act 1936: It lays down the mode, manner and by what date the wages are to be paid, what deductions can be made from the wages of the workers.
- (i) Equal Remuneration Act 1976: The Act provides for payment of equal wages for work of equal nature to male and female workers and for not making discrimination against Female employees in the matters of transfers, training and promotions etc.
- (j) Payment of Bonus Act 1965: The Act is applicable to all establishments employing 20 or more employees. Some of the State Governments have reduced this requirement from 20 to 10. The Act provides for payments of annual bonus subject to a minimum of 8.33% of the wages drawn in the relevant year. It applies to skilled or unskilled manual, supervisory, managerial, administrative, technical or clerical work for hire or reward to employees who draw a salary of Rs. 10,000/- per month or less. To be eligible for bonus, the employee should have worked in the establishment for not less than 30 working days in the relevant year. The Act does not apply to certain establishments.
- (k) <u>Industrial Disputes Act 1947</u>: the Act lays down the machinery and procedure for resolution of Industrial disputes, in what situations, a strike or lock-out becomes illegal and what are the requirements for laying off or retrenching the employees or closing down the establishment.
- (l) <u>Trade Unions Act 1926</u>: The Act lays down the procedure for registration of trade unions of workmen and employers. The Trade Unions registered under the Act have been given certain immunities from civil and criminal liabilities.
- (m) <u>Child Labour (Prohibition & Regulation) Act 1986</u>: The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of Child Labour is prohibited in the Building and Construction Industry.
- (n) Inter-State Migrant workmen's (Regulation of Employment & Conditions of Service) Act 1979: The Act is applicable to an establishment which employs 5 or more inter-state migrant workmen through an intermediary (who has recruited workmen in one state for employment in the establishment situated in another state). The Inter-State migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, traveling expenses from home upto the establishment and back, etc.

- (o) The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act 1996 and the Building and Other Construction Workers Welfare Cess Act, 1996 (BOCWW Cess Act): All the establishments who carry on any building or other construction work and employ 10 or more workers are covered under these Acts. All such establishments are required to pay cess at the rate not exceeding 2% of the cost of construction as may be notified by the Government. The Employer of the establishment is required to provide safety measures at the building or construction work and other welfare measures, such as Canteens, First Aid facilities, Ambulance, Housing accommodations for workers near the work place etc. The Employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government.
- (p) <u>Factories Act 1948</u>: the Act lays down the procedure for approval of plans before setting up a factory engaged in manufacturing processes, health and safety provisions, welfare provisions, working hours, annual earned leave and rendering information regarding accidents or dangerous occurrences to designated authorities. It is applicable to premises employing 10 persons or more with aid of power or 20 or more persons without the aid of power.
- (q) Weekly Holidays Act -1942
- (r) <u>Bonded Labour System (Abolition) Act, 1976</u>: The Act provides for the abolition of bonded labour system with a view to preventing the economic and physical exploitation of weaker sections of society. Bonded labour covers all forms of forced labour, including that arising out of a loan, debt or advance.
- (s) Employer's Liability Act, 1938: This Act protects workmen who bring suits for damages against employers in case of injuries endured in the course of employment. Such injuries could be on account of negligence on the part of the employer or persons employed by them in maintenance of all machinery, equipment etc. in healthy and sound condition.
- (t) Employees State Insurance Act 1948: The Act provides for certain benefits to insured employees and their families in case of sickness, maternity and disablement arising out of an employment injury. The Act applies to all employees in factories (as defined) or establishments which may be so notified by the appropriate Government. The Act provides for the setting up of an Employees' State Insurance Fund, which is to be administered by the Employees State Insurance Corporation. Contributions to the Fund are paid by the employer and the employee at rates as prescribed by the Central Government. The Act also provides for benefits to dependents of insured persons in case of death as a result of an employment injury.
- (u) <u>The Personal Injuries (Compensation Insurance) Act, 1963</u>: This Act provides for the employer's liability and responsibility to pay compensation to employees

where workmen sustain personal injuries in the course of employment.

(v) <u>Industrial Employment (Standing Order) Act 1946</u>: It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the Employer on matters provided in the Act and get the same certified by the designated Authority.

## SALIENT FEATURES OF SOME OF THE MAJOR LAWS THAT ARE APPLICABLE FOR PROTECTION OF ENVIRONMENT.

- 1. The Environment (Protection) Act, 1986 and as amended: This provides for the protection and improvement of environment and for matters connected therewith, and the prevention of hazards to human beings, other living creatures, EWDS s and property. 'Environment' includes water, air and land and the inter-relationship which exists among and between water, air and land, and human beings, other living creatures, EWDS s, micro-organism and property.
- 2. The Forest Conservation Act, 1980, as amended, and Forest (Conservation) Rules, 1981 as amended: These provides for protection of forests by restricting conversion of forested areas into non- forested areas and prevention of deforestation, and stipulates the procedures for cutting any trees that might be required by the applicable rules. Permissions under the Act also stipulates the norms and compliance requirements of the employer and any contractor on behalf of the employer.
- 3. State Tree Preservation Acts as may be in force: These provide for protection of trees of important species. Contractors will be required to obtain prior permission for full or partial cutting, uprooting, or pruning of any such trees.
- 4. The Wildlife (Protection) Act, 1972, and as amended: This provides for protection of wildlife through notifying National Parks and Sanctuaries and buffer areas around these zones; and to protect individuals of nationally important species listed in the Annex of the Act.
- 5. The Biological Diversity Act, 2002: This provides for conservation of biological diversity, sustainable use of components of biological diversity, and fair and equitable sharing of the benefits arising out of the use of biological resources, knowledge and for matters connected therewith or incidental thereto.
- 6. The Public Liability Insurance Act, 1991 as amended and The Public Liability Insurance Rules, 1991 as amended: These provide for public liability insurance for the purpose of providing immediate relief to the persons affected by accident occurring while handling hazardous substances and for mattes connected herewith or incidental thereto. Hazardous substance means any substance or preparation which is defined as hazardous substance under the Environment (Protection) Act 1986, and exceeding such quantity as may be specified by notification by the Central Government.

- 7. The Ancient Monuments and Archaeological Sites and Remains Act, 1958 and the Ancient Monuments and Archaeological Sites and Remains (Amendment and Validation) Act, 2010, the Ancient Monuments and Archaeological Sites and Remains Rules, 1959 amended 2011, the National Monuments Authority Rules, 2011 and the similar State Acts: These provide for conservation of cultural and historical remains found in India. Accordingly, area within the radii of 100m and 300m from the "protected property" are designated as "protected area" and "controlled area" respectively. No development activity (including building, mining, excavating, blasting) is permitted in the "protected area" and development activities likely to damage the protected property is not permitted in the "controlled area" without prior permission of the Archaeological Survey of India (ASI) or the State Departments of Art and Culture or Archaeology as applicable.
- 8. The Environmental Impact Assessment Notification, 2006 and as amended: This provides for prior environmental clearance for new, modernization and expansion projects listed in Schedule 1 of the Notification. Contractors will be required to ensure that no work starts until applicable clearances under the Notification is not available. Contractors will be responsible for implementation of any environmental management plan stipulated as per the permission under this Notification; and will be required to prepare and submit to the employer and compliance report stipulated in the permission under the Notification.
- 9. The Water (Prevention and Control of Pollution) Act, 1974 as amended, and the Water (Prevention and Control of Pollution) Rules, 1975 as amended: These provide for the prevention and control of water pollution and the maintaining and restoring of wholesomeness of water. 'Pollution' means such contamination of water or such alteration of the physical, chemical or biological properties of water or such discharge of any sewage or trade effluent or of any other liquid, gaseous or solid substance into water(whether directly or indirectly) as may, or is likely to, create a nuisance or render such water harmful or injurious to public health or safety, or to domestic, commercial, industrial, agricultural or other legitimate uses, or to the life and health of animals or EWDS s or of aquatic organisms. Contractors will need to obtain consent for establishment and consent for operation of any item of work or installation of equipment that generates waste water, and observe the required standards of establishment and operation of these items of work or installations; as well as install and operate all required waste water treatment facilities.
- 10. The Water (Prevention and Control of Pollution) Cess Act, 1977 and The Water (Prevention and Control of Pollution) Cess Rules, 1978: These provide for the levy and collection of a cess on water consumed by persons carrying on certain industries and by local authorities, with a view to augment the resources of the Central Board and the State Boards for the prevention and control of water pollution under the Water (Prevention and Control of Pollution) Act, 1974
- 11. The Air (Prevention and Control of Pollution) Act, 1981 as amended, and the Air (Prevention and Control of Pollution) Rules, 1982: These provides for prevention, control and abatement of air pollution. 'Air Pollution' means the presence in the atmosphere of any 'air pollutant', which means any solid, liquid or gaseous substance (including noise) present in the atmosphere in such concentration as may be or tend to be injurious to human beings or

other living creatures or EWDS s or property or environment. Contractors will need to obtain consent for establishment and consent for operation of any item of work or installation of equipment that generates air pollution such as batching EWDS s, hot mix EWDS s, power generators, backup power generation, material handling processes, and observe the required standards of establishment and operation of these items of work or installations.

- 12. Noise Pollution (Control and Regulation) Rules, 2000, and as amended: This provides for standards for noise for day and night for various land uses and specifies special standards in and around sensitive receptors of noise such as schools and hospitals. Contractors will need to ensure compliance to the applicable standards, and install and operate all required noise control devices as may be required for all EWDS s and work processes.
- 13. Chemical Accidents (Emergency Planning, Preparedness and Response) Rules, 1996: This provides for Requirement of preparation of on-site and off-site Disaster Management Plans for accident-prone areas.
- 14. The Explosives Act 1884 and the Explosives Rules, 2008: These provide for safe manufacture, possession, sale, use, transportation and import of explosive materials such as diesel, Oil and lubricants etc.; and also for regulating the use of any explosives used in blasting and/or demolition. All applicable provisions will need compliance by the contractors.
- 15. The Petroleum Rules, 2002: This provides for safe use and storage of petroleum products, and will need to be complied by the contractors.
- 16. The Gas Cylinder Rules 2004 and amendments: This provides for regulations related to storage of gas, and possession of gas cylinder more than the exempted quantity. Contractors should comply with all the requirements of this Rule.
- 17. Manufacture, Storage and Import of Hazardous Chemical Rules of 1989 and as amended: These provide for use and storage of hazardous material such as highly inflammable liquids like HSD/LPG. Contractors will need to ensure compliance to the Rules; and in the event where the storage quantity exceeds the regulated threshold limit, the contractors will be responsible for regular safety audits and other reporting requirements as prescribed in the Rules.
- 18. Hazardous & Other Wastes (Management and Transboundary Movement) Rules, 2016: These provide for protection of general public from improper handling storage and disposal of hazardous waste. The rules prescribe the management requirement of hazardous wastes from its generation to final disposal. Contractors will need to obtain permission from the State Pollution Control Boards and other designated authorities for storage and handling of any hazardous material; and will to ensure full compliance to these rules and any conditions imposed in the permit.
- 19. The Bio Medical Waste Management Rules, 2016: This provides for control, storage, transportation and disposal of bio-medical wastes. As and where the contractor has any first

- aid facility and dispensaries, established in either temporary or permanent manner, compliance to these Rules are mandatory.
- 20. Construction and Demolition Waste Management Rules, 2016: This provides for management of construction and demolition waste (such as building materials possible to be reused, rubble and debris or the like); and applies to all those waste resulting from construction, re-modelling, repair or demolition of any civil structure. Contractor will need to prepare a waste disposal plan and obtain required approval from local authorities, if waste generation is more than 20 tons in any day or 300 tons in any month during the contract period; and ensure full compliance to these rules and any conditions imposed in the regulatory approval.
- 21. The E-Waste (Management) Rules, 2016: This provides for management of E-wastes (but not covering lead acid batteries and radio-active wastes) aiming to enable the recovery and/or reuse of useful material from e-waste, thereby reducing the hazardous wastes destined for disposal and to ensure the environmentally sound management of all types of waste of electrical and electronic equipment. This Rule applies to every manufacturer, producer, consumer, bulk consumer, collection centers, dealers, e-retailer, refurbisher, dismantler and recycler involved in manufacture, sale, transfer, purchase, collection, storage and processing of e-waste or electrical and electronic equipment listed in Schedule I, including their components, consumables, parts and spares which make the product operational.
- 22. Plastic waste Management Rules, 2016: This provides for control and management of the plastic waste generated from any activity. Contractors will ensure compliance to this Rule.
- 23. The Batteries (Management and Handling) Rules 2001: This provides for ensuring safe disposal and recycling of discarded lead acid batteries likely to be used in any equipment during construction and operation stage. Rules require proper control and record keeping on the sale or import of lead acid batteries and recollection of the used batteries by registered recyclers to ensure environmentally sound recycling of used batteries. Contractors will ensure compliance to this Rule.
- 24. The Ozone Depleting Substances (Regulation and Control) Rules, 2000 and as amended: This provides for regulation of production and consumption of ozone depleting substances in the country, and specifically prohibits export to or import from countries not specified in the Rules, and prohibits unless specifically permitted, any use of ozone depleting substance.
- 25. The Coastal Regulation Zone Notifications, 1991 and as amended: This provides for regulation of development activities within the 500m of high tide line in coastal zone and 100m of stretches of rivers and estuaries influenced by tides. Contractors will be required to ensure that no work starts until applicable clearances under the Notification is not available. Contractors will be responsible for implementation of any plan stipulated as per the permission under this Notification; and will be required to prepare and submit to the employer and compliance report stipulated in the permission under the Notification.
- 26. The Motor Vehicle Act 1988 as amended (and State Motor Vehicle Acts as may be in force)

- and the Motor Vehicle Rules, 1989, and as amended (and State Motor Vehicle Rules as may be in force): To minimize the road accidents, penalizing the guilty, provision of compensation to victim and family and check vehicular air and noise pollution. Contractors will be required to ensure full compliance to these rules.
- 27. Easement Act, 1882: This provides for the rights of landowners on groundwater. Contractors will need to ensure that other landowners' rights under the Act is not affected by any groundwater abstraction by the contractors.
- 28. State Groundwater Acts and Rules as may be in force and the Guidelines for Groundwater Abstraction for drinking and domestic purposes in Notified Areas and Industry/Infrastructure project proposals in Non-Notified areas, 2012: These provide for regulating extraction of ground water for construction/industrial and drinking and domestic purposes. Contractors will need to obtain permission from Central/State Groundwater Boards prior to groundwater abstraction through digging any bore well or through any other means; and will to ensure full compliance to these rules and any conditions imposed in the permit.
- 29. The Mines Act, 1952 as amended; the Minor Mineral and concession Rules as amended; and the State Mineral (Rights and Taxation) Acts as may be in force: These provide for for safe and sound mining activity. The contractors will procure aggregates and other building materials from quarries and borrow areas approved under such Acts. In the event the contractors open any new quarry and/or borrow areas, appropriate prior permission from the State Departments of Minerals and Geology will need to be obtained. Contractors will also need to ensure full compliance to these rules and any conditions imposed in the permit.
- 30. The Insecticides Act, 1968 and Insecticides Rules, 1971 and as amended: These provide for regulates the manufacture, sale, transport, distribution, export, import and use of pesticides to prevent risk to human beings or animals, and for matters connected therewith. No one should import or manufacture; sell, stock or exhibit foe sale; distribute, transport, use: (i) any misbranded insecticides, (ii) any insecticide the sale, distribution or use of which is for the time being prohibited under the Act; and (iii) any insecticide except in accordance with the condition on which it was registered under the Act.
- 31. National Building Codes of India, 2005 and as amended: This provides guidelines for regulating the building construction activities in India. The code mainly contains administrative regulations, development control rules and general building requirements; stipulations regarding materials, structural design and construction; and building and plumbing services. Contractors will be required to comply with all Bureau of Indian Standards Codes dealing with: (i) use and disposal of asbestos containing materials in construction; (ii) paints containing lead; (iii) permanent and temporary ventilations in workplace; (iv) safety, and hygiene at the workplace; (v) prevention of fire; (vi) prevention of accidents from faulty electrical gadgets, equipment and accessories; and all other such codes incidental to the Contract.

### **Section VIII. Particular Conditions**

The following Particular Conditions shall supplement the General Conditions in Section VII. Whenever there is a conflict, the provisions herein shall prevail over those in the General Conditions.

#### Particular Conditions

The following Particular Conditions (PC) shall supplement the General Conditions (GC). Whenever there is a conflict, the provisions herein shall prevail over those in the GC. The clause number of the PC is the corresponding clause number of the GC.

PC 1. Definitions	The Employer is: The Executive Engineer, Works Division III, Water Resources Department, Government of Goa, 4 <sup>th</sup> Floor, Government Office Building, Opposite Court, Ponda, Goa-403401.  The Project Manager is: The Executive Engineer, Works Division III, Water Resources Department, Government of Goa, 4 <sup>th</sup> Floor, Government Office Building, Opposite Court, Ponda, Goa-403401		
	The Bank is: World Bank		
	Country of Origin: all countries and territories as indicated in Section V of the bidding document, Eligible Countries.		
PC 2. Contract	The following documents also form part of the Contract:		
Documents	(i) the ESHS Management Strategies and Implementation Plans; and		
	(ii) Code of Conduct (ESHS).		
PC 5. Law and Language	PC 5.1 The Contract shall be interpreted in accordance with the laws of: The Union of India.		
Lunguage	PC 5.2 The ruling language is: English		
	PC 5.3 The language for communications is: English		
PC 7.1 Scope of Facilities	GC 7.1 In line 6, delete the word" and after "completion" and insert a "(,)". Also, add in line 7, the words "and performance testing" after the word "commissioning".		
PC 7. Scope of Facilities [Spare	PC 7.3 The Contractor agrees to supply spare parts (Schedule 3 and 4 of Section VI) for a period of years: 5 (five years)		
Parts] (GC Clause 7)	Employer expects that wear and tear on <b>Early Warning Dissemination System</b> (EWDS) System components will necessitate routine replacement of such components.		
	The contractor agrees to supply EWDS spare parts required for the operation and maintenance of the System for five years from the date of successful Operational Acceptance.		
	The contractor shall stock sufficient nos. of critical spares to achieve the desired Service Level Agreement (SLA). The Bidder		

	shall replace Spare equipment for the EWDS System that has been damaged, found inoperable or substituted for defective equipment with new equipment configured, tested and labeled within one week of being identified or substituted.  In addition, in the event of termination of the production of spare parts, advance notification will be made to the Employer of the pending termination, with sufficient time to permit the Employer to procure the needed requirement. Following such termination, the Contractor will furnish to the extent possible and at no cost to the Employer the blueprints, drawings and specifications of the spare parts, if requested.			
PC 8. Time for Commencement and Completion	PC 8.1 The Contractor shall commence work on the Facilities from the Effective Date for determining Time for Completion as specified in the Contract Agreement.			
•	PC 8.2 The Time for Completion of the whole of the Facilities shall be 12 months (including monsoon season) from the Effective Date as described in the Contract Agreement. followed by Operations and Maintenance of 24 months and subsequently Annual Comprehensive Maintenance of 36 months			
PC 11. Contract price	PC11.2 No adjustment to the contract price.			
PC 12. Terms of Payment	GC 12.3 - add the words "which have become due" after the word, "payment" in sentence 1.  Delete the words "whether before or after judgment or arbitral award" in the last sentence.			
PC 12. Terms of Payment	PC 12.4 The currency in which payments are made to the Contractor under this Contract shall be Indian National Rupees (Rs.)			
PC 13. Securities	GC 13.2.1 – Add at the end of para (before full stop), "with a validity of ninety (90) days beyond the day of completion of facilities in accordance with GC Clause 24."  GC 13.2.2 Delete the portion in sentence 1 'or in another form acceptable to the Employer'  Delete the portion starting from Sentence 1 "in proportion till the end of this Sub-Clause after its expiration" and substitute with, "as per the procedures specified in PC. The security shall be discharged after Completion of the Facilities or relevant part thereof".  Add at the end of Sub clause 13.2.2 Procedure for effecting reduction in the Advance Payment Security:  The Advance Payment Security shall be allowed to be reduced every three (3) months after First Running Account Bill/Stage payment under the Contract. The cumulative amount of reduction at any point of time shall not exceed seventy-five percent (75%) of the advance			

corresponding to cumulative value of the Facilities completed as per a certificate to be issued by the project manager. It should be clearly understood that reduction in the value of security for advance should not in any way dilute the Contractor's responsibility and liabilities under the Contract including in respect of the Facilities for which reduction in the value of security is allowed.

PC 13.3.1 (a) The amount of Performance Security, as a percentage of the Contract Price for the Facility or for the part of the Facility for which a separate Time for Completion is provided, shall be: 5%

- (b) The amount of Environmental, Social, Safety and Health (ESHS) Performance Security, as a percentage of the Contract Price for the Facility or for the part of the Facility for which a separate Time for Completion is provided, shall be: 1% which is over and above the Performance Security of 5% specified at (a) above
- -- Add the following at the end of Sub-Clause after the word, "PC": "with a validity upto sixty (60) days beyond the Defect Liability period."

Add the following at the end of GC 13.3.1:

If the performance security is a bank guarantee, it shall be issued either by a Nationalized or Scheduled Bank in India or a by foreign bank through a correspondent Nationalized or Scheduled Bank located in India.

- PC 13.3.2 The Performance Security and if applicable, the Environmental, Social, Health and Safety (ESHS) Performance Security, shall be in the forms of the bank guarantee attached hereto in Section IX, Contract Forms.
- PC 13.3.3 Delete the first sentence reading "Unless otherwise specified ...... of the Operational Acceptance"

In sentence 2, after the words "Facilities", add the words "(or the relevant part thereof)."

Add before the last sentence of the sub-clause

PC 13.3.3 The Performance Security shall be reduced to ten percent (10%) of the value of the component covered by the extended defect liability to cover the Contractor's extended defect liability in accordance with the provision in the PC, pursuant to GC Sub-Clause 27.10.

PC 13.3.4 Deleted.

### PC 14 Taxes and Duties

GC 14.2 - replace it with the following:

GC 14.2 – "The Employer shall bear and pay/reimburse to the Contractor domestic taxes such as CGST, SGCT and IGST, in respect

	of direct transaction between the Employer and the Contractor imposed upon, on the EWDS and Equipment and mandatory spare parts specified in Price Schedule No.3 and 4 to be incorporated into the Facilities, by the Laws of Union of India".		
	GC 14.3 – Deleted.		
	GC 14.4 – Add the following at the end of the Sub-Clause: "However, these adjustments, after ascertaining the facts, would be restricted to direct transactions between the Employer and the Contractor and not on procurement of raw materials, intermediary components etc. by the Contractor. Further, no adjustment of the Contract Price shall be made on account of variation in deemed export benefits."		
PC 15.1 License/Use of Technical Information	GC 15.1 - Add the following at the end of the Sub-Clause: "The Employer shall however be free to reproduce all drawings, documents and other material furnished to the Employer for the purpose of the Contract including, if required, for operation and maintenance."		
PC 17 Representatives	GC 17.1 - In sentence 3, delete the word "No" and replace with the words, "The Employer shall take all reasonable care to see that no". In sentence 3, substitute the words, "shall be" with "is". In sentence 4, delete the sentence "Such appointment shall only take effect upon receipt of such notice by the Contractor".		
	GC 17.2.4 - In sentence 1, substitute the word "Completion" with "Operational Acceptance'.		
PC 18 Work Program	GC 18.2 - In sentence 1, substitute the words "Effective Date" with "Notification of Award".		
	GC 18.3 Add the following at the end of the sub-clause - The Supplier shall submit to the Purchaser the following reports:		
	(a) Monthly progress reports, summarizing:		
	(i) results accomplished during the prior period;		
	(ii) cumulative deviations to date from schedule of progress milestones as specified in the Agreed and Finalized Project Plan;		
	(iii) corrective actions to be taken to return to planned schedule of progress; proposed revisions to planned schedule;		
	(iv) other issues and outstanding problems; proposed actions to be taken;		

	(v) resources that the Supplier expects to be provided by the Purchaser and/or actions to be taken by the Purchaser in the next reporting period;
	<ul> <li>(vi) other issues or potential problems the Supplier foresees that could impact on project progress and/or effectiveness.</li> <li>vii) Report of deviation (if any) from the Management Strategies and Implementation Plans (MSIP) to manage the Environmental, Social, Health and Safety (ESHS) risks as stated in Appendix 1, as stated in GC 18.3</li> </ul>
	vii) if vii above is true then submission of corrective actions to be taken to return to MSIP as planed
	(b) inspection and quality assurance reports;
	(c) training participants test results; and
	(d) Monthly log of service calls and problems resolutions throughout the contract period including warranty and AMC period.
PC 20 Design and Engineering	GC 20.3.2 - Delete the last sentence of the Sub-Clause "If the Project Manager by the Project Manager".
	GC 20.3.4 - In the last sentence after the words "modification(s)", delete the word "whereupon" and substitute with "and upon resubmission with the required modifications".  GC 20.3.4- Add the following at the end of the Sub-Clause:  "The procedure for submission of the documents by the Contractor and their approval by the Project manager shall be discussed and finalized with the Contractor".
PC 21 Procurement	GC 21.3.3 –Add at the end of the sub-clause- The Contractor shall provide the Purchaser with shipping and other documents "as specified in the GCC," along with the following documents
	1) Original Invoice Custom, 2) Packing Slip, 3) Country of Origin Certificate from Concerned Chamber of Commerce, 4) Airway Bill, 5) Insurance Certificate, 6) Bill of Lading, 7) Factory Inspection Report (Letter of Waiver if any by the purchaser)

	GC 21.4 – substitute this sub-clause with the following:
	"GC 21.4 Customs Clearance
	The Contractor shall, at its own expense, handle all imported materials and Contractor's Equipment at the point(s) of import and shall handle any formalities for customs clearance."
PC 22 Installation	GC 22.2.3 - Add the following at the end of GC 22.2.3:
1 C 22 Instanation	"Salient features of major labour and other laws that are applicable to construction industry in India are given as Appendix C to these General Conditions of Contract."  PC22.2.5 Working Hours
	Normal working hours are:_09:00 hrs to 18:00 hrs
PC 24 Completion of the	GC 24.3 - In sentence 1, after the word "matters", add, "if so specified in Appendix 6 (Scope of Works and Supply by the Employer).
Facilities	GC 24.4 – Add the following after the word "shall" at the end: "commence Commissioning as per procedures stipulated in Technical Specification, and as soon as Commissioning is satisfactorily completed, the Contractor shall".
DC 24.3 and 25.2	Completion – Guarantee Test – Acceptance
PC 24.3 and 25.2	Add the following at the beginning of sub-clause 25.2.2 [1] <i>Provision for 'deemed completion of activities'</i> In the event that the Contractor is unable to proceed with the Precommissioning of the Facilities pursuant to Sub-Clause 24.3, or with the Guarantee Test pursuant to Sub-Clause 25.2, for reasons attributable to the Employer either on account of non-availability of other facilities under the responsibilities of other contractor(s), or for the reasons beyond the Employer's control, the provisions leading to "deemed" completion of activities such as Completion, pursuant GC Sub-Clause 24.6, and Operational Acceptance, pursuant to GC Sub-Clause 25.3.4, and Contractor's obligations regarding Defect Liability Period, pursuant to GC Sub-Clause 27.2, Functional Guarantee, pursuant to GC Clause 28, and care of Facilities, pursuant to GC Clause 32, and GC Clause 41.1, Suspension, shall not apply. In this case, the following provisions shall apply. [2] When the Contractor is notified by the Project Manager that he will be unable to proceed with the activities and obligations pursuant to the above Sub-Clause 13.1, the Contractor shall be entitled to the following:

- (a) The Time of Completion shall be extended for the period of suspension without imposition of liquidated damages pursuant to GC Sub-Clause 26.2.
- (b) Payments due to the Contractor in accordance with the provision specified in Appendix 1 (Terms and procedures of Payment) to the Contract Agreement, which would not have been payable in normal circumstances due to non-completion of the subject activities, shall be released to the Contractor against submission of a security in the form of a bank guarantee of equivalent amount acceptable to the Employer, and which shall become null and void when the Contractor will have complied with its obligations regarding these payments, subject to the provision of para 3 below;
- (c) The expenses towards the security and extension of other securities under the contract, of which validity need to be extended, shall be reimbursed to the Contractor by the Employer; and
- (d) The additional charges towards the care of the Facilities pursuant to GC Sub-Clause 32.1 shall be reimbursed to the Contractor by the Employer for the period between the notification mentioned above and the notification mentioned in para 4 below. The provision of GC Sub-Clause 33.2 shall apply to the Facilities during the same period.
- [3] In the event that the period of suspension under the above Sub-Clause 13.1 actually exceeds one hundred eighty (180) days, the Employer and Contractor shall mutually agree to any additional compensation payable to the Contractor.
- [4] When the Contractor is notified by the project Manager that the EWDS is ready for Pre-commissioning, the Contractor shall proceed without delay in performing all the specified activities and obligations under the contract.

#### PC 25. Commissioning and Operational Acceptance

GC 25.1.1 replace the existing clause with the following: Commissioning of the Facilities or any part thereof shall be completed by the Contractor as per procedures detailed in Technical Specifications.

GC 25.1.2 - In sentence 1, after the word "shall", add, "unless otherwise specified in Technical Specifications"

PC 25.2.2 The Guarantee Test of the Facilities shall be successfully completed within one month from the date of Completion.

GC 25.3.1(b) - In the last line, after the word "the Contractor" add the words "but successful completion of the Facilities has been achieved".

Add the following Sub-Clause: -

GC 25.3.1(e) – Three sets of as built drawings of (in scale ......) and operating and maintenance manuals and CD's (as required) are

	furnished. GC 25.3.3 - Substitute the word "Seven (7)" with "Twenty-one (21)". GC 25.3.4 - Substitute the word "Seven (7)" with "Twenty one (21)".
PC 26.	GC 26.2
Completion Time Guarantee	Applicable rate for liquidated damages: one -half percent of the contract price 0.5% per week
	Further, for the performance towards the related services after acceptant of the system, following shall govern – NA
	Maximum deduction for liquidated damages: 10% of contract price
	GC 26.3 No bonus will be paid to the Contractor for attaining completion of facilities or any part thereof before the time for completion.
PC 27. Defect Liability	GC 27.2 – Required Defect Liability Period is two years from the date of completion of the Facilities.
	GC 27.6 - Substitute the last sentence of the second para with the following:  "The tests in character shall in any case be not less than what has already been agreed by the Employer and the Contractor for the original equipment/part of the Facilities".  GC 27.8 - Add the following at the end of the Sub-Clause:  "Upon correction of the defects in the Facilities or any part thereof by repair/replacement, such repair/replacement shall have the Defect Liability Period extended by a period of twelve (12) months from the time such replacement/repair of the facilities or any part thereof".  GC 27.8 Add the following as a new Sub-Clause 27.8.1:  "GC 27.8.1 At the end of the Defect Liability Period, the Contractor's liability ceases except for latent defects. The Contractor's liability for latent defects warranty shall be limited to period of Five (5) years from the end of Defect Liability Period. For the purpose of this clause, the latent defects shall be the defects inherently lying within the material or arising out of design deficiency which do not manifest themselves during the Defect Liability Period defined in this GC Clause 27, but later." Upon correction of the defects in the Facilities or any part thereof by repair/replacement, such repair/replacement shall have the Defect Liability Period of twelve (12) months from the time such replacement/repair of the facilities or any part thereof subject to a total defect liability period of 2 years (730 days) for such facility."  GC 27.9 — Replace the word "completion of facilities" with "operational acceptance" in line 5. PC 27.10 The critical components covered under the extended

	defect liability are NA and the period shall be NA
PC 28 Functional Guarantees	GC 28.2 - Add the following after the words "GC Sub-Clause 42.2.2" in the last sentence: "and recover the payments already made to the Contractor".
	GC 28.3 (a) - In sentence 1, after the word "expense" add the words "within a mutually agreed time".
	GC 28.4 - Substitute the word "The "appearing in the beginning of the Sub-Clause with the following: "In case the employer exercises its option to accept the equipment after levy of liquidated damages, the"
PC 31 Transfer of Ownership	GC 31.1 – deleted
	GC 31.4 - Add the following after the word "Facilities" in the last sentence:  "provided quantity of any EWDS and Equipment specifically stipulated in the Contract shall be the property of the Employer whether or not incorporated in the Facilities."
PC 34 Insurance	GC 34.7  At the end of first sentence, after the word "Contractor" add the words "as per the procedure outlined in PC."
	Add Clause GC 34.8 as under:
	GC 34.8 – Appropriation of Insurance Proceeds
	Should any loss or damage occur, the Supplier shall:  a) initiate and pursue claim till settlement; and  b) promptly make arrangements for repair and/or replacement of the damaged or lost item/s and ensure supply/commissioning in terms of the contract, irrespective of settlement of claim by the insurance company.  Keeping in view the above the Employer shall give, from time to time, written authorization to the insurance company to directly pay monies payable by the insurer to the supplier after excluding any payment including advances already paid by the employer in respect of those items. Such excluded payments will be payable to the Employer only and insurer will accordingly make the payment as advised by the employer from time to time. All subsequent payments, if any, due under the Contract, shall be regulated by the relevant terms of payment.  (Modify the above as appropriate to suit each case)

	CC 26.1 Add the following before the 1-1
PC 36 Change in Laws and Regulations	GC 36.1 - Add the following before the last sentence of the Sub-Clause:  "However, these adjustments, after ascertaining the facts, would be restricted to direct transactions between the Employer and the Contractor and not on procurement of raw materials, intermediary components etc. by the Contractor. Further, no adjustment of the Contract price shall be made on account of variation in deemed export benefits."  GC 37.6 - In line 6-7-8-9, replace the words "failing which either
Majeure	party may terminate the Contract by giving a notice of the other, but without prejudice to either party's right to terminate the Contract under GC Sub-Clause 38.5" with the words "failing which the dispute will be resolved in accordance with GC Clause 46".  GC 37.7 - Deleted
	GC 37.8 - Deleted
PC 38 War Risks	GC 38.5 - In last sentence, replace the words "failing which either party may terminate the Contract by giving a notice to the other" with the words "failing which the dispute will be resolved in accordance with GC Clause 46".
PC 39. Change in the Facilities	GC 38.6 - In first sentence, delete the words "or 38.5" GC 39.2.5 - In the last sentence of first sub Para substitute the words "shall withdraw the proposed change and shall notify the Contractor in writing thereof" with the words "and the Contractor shall agree on specific rates for valuation of the Change"
	GC 39.2.6 - Add the following sentence in the beginning of the Sub-Clause:
	"If rates and prices of any change are not available in the Contract, the parties thereto shall agree on specific rates for the valuation of the change."
PC 42 Termination	GC 42.3.1(b) – In the first sentence after the word "Facilities", add the words "which the Employer is required to obtain as per provision of the Contract as per relevant applicable laws of the country".
PC 44.1 Export Restrictions	GC 44.1 – deleted.
PC 46. Disputes	PC 46.1 and PC 46.2
and Arbitration	Shri Anil Shivram Raikar
	Appointing Authority for the Adjudicator: The Chairman of the

Institu	ution of Engineers (India)
PC 46	5.4
1	rate and types of reimbursable expenses to be paid to the dicator: Rs. 10,000 per day and board, lodging, travel expenses,
PC 4	6.5
The p	procedure for arbitration will be as follows:
(a)	In case of Dispute or difference arising between the Purchaser and a Contractor relating to any matter arising out of or connected with this contract, such disputes or difference shall be settled in accordance with the Arbitration and Conciliation Act, 1996. The arbitral tribunal shall consist of 3 Arbitrators one each to be appointed by the Purchaser and the Contractor. The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties and shall act as Presiding Arbitrator. In case of failure of the two Arbitrators appointed by the parties to reach upon a consensus within a period of 30 days from the appointment of the Arbitrator appointed subsequently, the Presiding Arbitrator shall be appointed by the President of the Institution of Engineers (India).
(b)	If one of the parties fails to appoint its Arbitrator in pursuance of sub-clause (a) above within 30 days after receipt of the notice of the appointment of its Arbitrator by the other party, the Chairman of the Institution of Engineers (India) Goa State Centre, both in cases of Foreign Contractor as well as Indian Contractor, shall appoint the Arbitrator. A certified copy of the order of the Chairman of the Institution of Engineers (India) Goa State Centre, making such an appointment shall be furnished to each of the parties.
(c)	Arbitration may be commenced prior to or after completion of the Works, provided that the obligations of the Employer, the Project Manager, the Contractor and the Adjudicator shall not be altered by reason of the arbitration being conducted during the progress of the Works.
(d)	Arbitration proceedings shall be held at Panjim, Goa, India, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English.
(e)	The decision of the majority of Arbitrators shall be final and binding upon both parties. The cost and expenses of

Arbitration proceedings will be paid as determined by the arbitral tribunal. However, the expenses incurred by each party in connection with the preparation, presentation, etc. of its proceedings as also the fees and expenses paid to the Arbitrator appointed by such party or on its behalf shall be borne by each party itself.

- (f) Where the value of the contract is Rs.50 million and below, the disputes or differences arising shall be referred to the Sole Arbitrator. The Sole Arbitrator should be appointed by agreement between the parties; failing such agreement, by the appointing authority, namely the Chairman, Institution of Engineers (India), Goa State Centre.
- (g) The Arbitrator should give final award within 120 days of starting of the proceedings, unless otherwise agreed to by the Parties.
- (h) Performance under the contract shall continue during the arbitration proceedings and payments due to the Contractor by the Purchaser shall not be withheld, unless they are the subject matter of the arbitration proceedings.

\*

### **Section IX. - Contract Forms**

### **Notification of Award - Letter of Acceptance**

#### [on letterhead paper of the Employer]

[The Letter of Acceptance shall be the basis for formation of the Contract as described in ITB Clause 43. This Standard Form of Letter of Acceptance shall be filled in and sent to the successful Bidder only after evaluation of bids has been completed, subject to any review by the World Bank required under the Loan Agreement.]

To:
This is to notify you that your Bid dated for execution of the for the Contract Price in the aggregate of, as corrected and modified in accordance with the Instructions to Bidders is hereby accepted by our Agency.
You are requested to furnish the Performance Security and an Environmental, Social, Health and Safety Performance Security [Delete ESHS Performance Security if it is not required under the contract] within 28 days in accordance with the Conditions of Contract, using for that purpose the Performance Security Form and the ESHS Performance Security Form [Delete ESHS Performance Security if it is not required under the contract] included in Section IX - Contract Forms, of the Bidding Document  [Choose one of the following statements:]
We accept that [insert the name of Adjudicator proposed by the Bidder] be appointed as the Adjudicator <sup>30</sup> .
[or]
We do not accept that[insert the name of the Adjudicator proposed by the Bidder] be appointed as the Adjudicator, and by sending a copy of this Letter of Acceptance to[insert name of the Appointing Authority], the Appointing Authority, we are hereby requesting such Authority to appoint the Adjudicator in accordance with ITB 46.1 and GC 46.1 <sup>31</sup> .

<sup>29</sup> Delete "corrected and" or "and modified" if not applicable.

<sup>30</sup> To be used only if the Contractor disagrees in the Bid with the Adjudicator proposed by the Employer in the Instructions to Bidders, and has accordingly offered another candidate.

<sup>&</sup>lt;sup>31</sup> To be used only if the Contractor disagrees in the Bid with the Adjudicator proposed by the Employer in the ITB, has accordingly offered another candidate, and the Employer does not accept the counterproposal.

We have reviewed the Method Statement and Construction Schedule submitted by you along with the bid (Technical Proposal) and our comments are given in the attachment. You are requested to submit a revised detailed Program of Performance of the Contract as per Clause 18.2 of General Conditions of Contract within 28 days of this Notification of Award.

Name and Title of Signatory	γ:	_
Name of Agency:		

Attachment: Contract Agreement

### **Contract Agreement**

THIS AGREEMEN	T is m	ade th	e, day of,,
BETWEEN			
having its principa Employer"), and (2	al plac )	e of and	business at (hereinafter called "the, a corporation incorporated under the laws of having its principal place of business at after called "the Contractor").
deliver, install, cor	nplete Contra	and o	res to engage the Contractor to design, manufacture, test, commission certain Facilities, viz ("the nas agreed to such engagement upon and subject to the terms ring.
NOW IT IS HEREE	SY AG	REED	as follows:
Article 1. 1.1 Contract Documents	1.1	Con	tract Documents (Reference GC Clause 2)
		the	following documents shall constitute the Contract between Employer and the Contractor, and each shall be read and trued as an integral part of the Contract:
		(a)	This Contract Agreement and the Appendices hereto
		(b)	Letter of Bid and Price Schedules submitted by the Contractor
		(c)	Particular Conditions
		(d)	General Conditions
		(e)	Specification
		(f)	Drawings
		(g)	Other completed bidding forms submitted with the Bid
		(h)	Any other documents forming part of the Employer's Requirements
			Program of Performance, Methodology, Quality arance Program and ESHS Environmental Management tegies and Implementation Plan

Any other documents shall be added here

(j)

#### 1.2 Order of Precedence (Reference GC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above.

#### 1.3 <u>Definitions</u> (Reference GC Clause 1)

Capitalized words and phrases used herein shall have the same meanings as are ascribed to them in the General Conditions.

# Article 2. Contract Price and Terms of Payment

#### 2.1 <u>Contract Price</u> (Reference GC Clause 11)

#### 2.2 Terms of Payment (Reference GC Clause 12)

The terms and procedures of payment according to which the Employer will reimburse the Contractor are given in the Appendix (Terms and Procedures of Payment) hereto.

The Employer may instruct its bank to issue an irrevocable confirmed documentary credit made available to the Contractor in a bank in the country of the Contractor. The credit shall be for an amount of \_\_\_\_\_\_; and shall be subject to the Uniform Customs and Practice for Documentary Credits 1993 Revision, ICC Publication No. 600.

In the event that the amount payable under Schedule No. 1 is adjusted in accordance with GC 11.2 or with any of the other terms of the Contract, the Employer shall arrange for the documentary credit to be amended accordingly.

### Article 3. Effective Date

#### 3.1 Effective Date (Reference GC Clause 1)

The Effective Date from which the Time for Completion of the Facilities shall be counted is the date when all of the following conditions have been fulfilled:

- (a) This Contract Agreement has been duly executed for and on behalf of the Employer and the Contractor;
- (b) The Contractor has submitted to the Employer the performance security and the advance payment guarantee;

- (c) The Employer has paid the Contractor the advance payment
- (d) The Contractor has been advised that the documentary credit referred to in Article 2.2 above has been issued in its favor.

Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.

3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of this Contract notification because of reasons not attributable to the Contractor, the Parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Completion and/or other relevant conditions of the Contract.

Article 4	<b>1</b> .
Com	municatio
ns	

4.1	The address of the Employer for notice purposes, pursuant to GC
	4.1 is:

4.2 The address of the Contractor for notice purposes, pursuant to GC 4.1 is: \_\_\_\_\_\_.

### Article 5. Appendices

- 5.1 The Appendices listed in the attached List of Appendices shall be deemed to form an integral part of this Contract Agreement.
- 5.2 Reference in the Contract to any Appendix shall mean the Appendices attached hereto, and the Contract shall be read and construed accordingly.

IN WITNESS WHEREOF the Employer and the Contractor have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

Signed by, for and on behalf of the Employer

[Signature]		
[Title]		
in the presence of		

Signed by, for and on behalf of the Contractor
[Signature]
[Title]
in the presence of

#### **APPENDICES**

Appendix i Terms and Freedures of Faymen	Appendix 1	Terms a	nd Procedure	s of Pay	ment
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Appendix 2 Price Adjustment

Appendix 3 Insurance Requirements

Appendix 4 Time Schedule

Appendix 5 List of Major Items of EWDS and Installation Services and List of Approved Subcontractors

Appendix 6 Scope of Works and Supply by the Employer

Appendix 7 List of Documents for Approval or Review

Appendix 8 Functional Guarantees

[Note: Sample Forms of Appendices are provided herein. However, since the provisions of Appendices would be contract specific, these may be finalized by the Employer on a case-to-case basis.]

#### **Appendix 1. Terms and Procedures of Payment**

In accordance with the provisions of GC Clause 12 (Terms of Payment), the Employer shall pay the Contractor in the following manner and at the following times, on the basis of the Price Breakdown given in the section on Price Schedules. Payments will be made in the INR only.

#### TERMS OF PAYMENT

#### Schedule No. 1. EWDS supply

Within forty-five (45) days after receipt of material (at site) in total. There will be no part payment against partial supply.

#### Schedule No. 2. Installation and commissioning including manpower

Within forty-five (45) days after receipt of Installation and commissioning certificate from 3rd party.

#### Schedule No. 3. O&M for 2 years including mandatory spare parts

Quarterly payment within forty-five (45) days after receipt of invoice.

#### Schedule No. 4. AMC for 3 years after completion of 2 years of O&M

Quarterly payment within forty-five (45) days after receipt of invoice

In respect of EWDS and equipment, the following payments shall be made in Rs. In the following manner:

#### Payment shall be made in Indian Rupees in the following manner:

#### i) Disbursement of Payment for project implementation period shall be as under:

#### **Schedule 1:**

- Advance Payment- Nil
- 5% of Contract Price of Schedule No. 1 will be paid against invoice after submission of Project design report along with design of the towers.
- 75% of Contract Price of Schedule No. 1 will be paid against invoice after successful supply of Good against delivery of receipt of ordered materials in Good condition at Destination and approval of the delivery report by SPIU as per Schedule of Requirements.
- 20% of Contract Price of Schedule No. 1 will be paid against submission of Acceptance Test Report.

#### Schedule 2:

- 70% of Contract Price of Schedule No. 2 will be paid against invoice after successful completion of installation and commissioning of all the equipments and approval of the installation report by SPIU.
- 10% of Contract Price of Schedule No. 2 will be paid against invoice after successful completion of Training
- 20% of Contract Price of Schedule No. 2 will be paid against submission of Acceptance Test Report.

#### ii) Disbursement of Payment for Warranty and AMC period shall be as under

- Contract Price of **Schedule No. 3** will be paid (under warranty period) against invoice in quarterly basis in arrears i.e. after completion of the respective period.
- Contract Price of **Schedule No. 4** will be paid (under AMC period) against invoice in quarterly basis in arrears i.e. after completion of the respective period.

Payment of GST will be against valid Invoice as per GST Act & Rules and submission of GST Registration Certificate along with declaration that GST Registration is valid and all liabilities towards GST have been discharged by the vendor. GST amount will be reimbursed after 45 days of submission of valid Invoice and all required documents and declaration by vendor

For all the payments to be made, against Bank guarantees, the bank guarantee shall be issued by a Scheduled Indian Bank or a foreign bank located in India. The guarantees issued by other banks should be confirmed by a Scheduled Indian Bank or a foreign bank operating in India.

The bidder shall indicate in the Price Schedules specifying all items prices shown therein including the unit prices and total prices of the goods and related services along with GST or any other duties and taxes applicable against the schedule of requirements. GST if livable shall be paid as applicable. Any statutory variation shall be paid on submission of documentary evidence. Bidders shall be required to quote HSN code and applicable tax rates.

"GST" means all four Acts CGST, SGST, UTGST, IGST and any other regulations by Government in relation to GST and rules thereto.

Note: The award of AMC for 5 Years after expiring of warranty will be at the discretion of purchaser, subjected to EWDS tenure.

Applicable Sales and similar other taxes and duties will be reimbursed on submission of bill with documentary evidence of payment within forty-five [45] days of receipt of documents.

In the event that the Employer fails to make any payment on its respective due date, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate of Two percent (2%) per month for period of delay until payment has been made in full.

#### PAYMENT PROCEDURES

The procedures to be followed in applying for certification and making payments shall be as follows:

#### **Certification documents for**

#### **Schedule 1:**

- Purchase Order copy
- FAT certificates
- Delivery receipts
- Design reports

#### **Schedule 2:**

- Installation report
- Training completion report
- AT Report

#### Schedule 3 and 4:

• Monthly reports accordance with the technical functional guarantees.

•

#### **Appendix 2. Price Adjustment**

Prices are to remain firm and fixed for the duration of the Contract.

#### **Appendix 3. Insurance Requirements**

Details to be completed by the Employer prior to issuing the bidding documents. In the event that the Employer provides any insurances under the Contract, appropriate details must be given.

#### **Insurances to be Taken Out by the Contractor**

In accordance with the provisions of GC Clause 34, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances <sup>32</sup> set forth below in the sums and with the deductibles and other conditions specified. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, such approval not to be unreasonably withheld.

#### (a) <u>Cargo Insurance</u>

Covering loss or damage occurring, while in transit from the supplier's or manufacturer's works or stores until arrival at the Site, to the Facilities (including spare parts there for) and to the construction equipment to be provided by the Contractor or its Subcontractors.

Amount	Deductible limits	Parties	From	То
	iiiiits	insured		
1	2	3	4	5
Capital value of	Amount of	Contractor	The date	End of the defect
the Plant,	the	and	of the	liability period as
including the	premium	Employer	contract	defined under PCC
spare parts	payable to		agreement	27.2
therefor, and the	the Insurer			
Contractor's	by the			
Equipment.	Employer			

#### Installation All Risks Insurance

Covering physical loss or damage to the Facilities at the Site, occurring prior to completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the defect liability period while the Contractor is on the Site for the purpose of performing its obligations during the defect liability period.

Amount	Deductible limits	Parties insured	From	То
1	2	3	4	5
Value of the	Amount of	Contractor,	The date	End of the defect
Facilities as per	the	Sub	of the	liability period as
the Contract	premium	Contractor	contract	defined under PCC
Price	payable to	and	agreement	27.2
	the Insurer	Employer	_	
	by the	_		
	Employer			

#### (c) Third Party Liability Insurance

Covering bodily injury or death suffered by third parties (including the Employer's personnel) and loss of or damage to property (including the Employer's property and any parts of the Facilities that have been accepted by the Employer) occurring in connection with the supply and installation of the Facilities.

Amount	Deductible limits	Parties insured	From	То
1	2	3	4	5
10% of the contract price.	Amount of the premium payable to the Insurer by the Employer	Contractor and Sub Contractor	The date of the contract agreement	End of the defect liability period as defined under PCC 27.2

<sup>&</sup>lt;sup>32</sup> Contractors' may also take out and maintain Contractor's All Risks (CAR) Insurance policies, provided the policy covers all risks listed herein.

#### (d) Automobile Liability Insurance

Covering use of all vehicles used by the Contractor or its Subcontractors (whether or not owned by them) in connection with the supply and installation of the Facilities. Comprehensive insurance in accordance with statutory requirements.

#### (e) Workers' Compensation

In accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.

#### (f) Employer's Liability

In accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.

#### (g) Other Insurances

The Contractor is also required to take out and maintain at its own cost the following insurances:

Amount	Deductible limits	Parties insured	From	То
1	2	3	4	5
Value of the works carried out by the Sub Contractors	Amount of the premium payable to	Contractor, Sub Contractor and	The date of the contract	End of the defect liability period as defined under PCC 27.2
Contractors	the Insurer by the Employer	Employer	agreement	21.2

The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Cargo, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.

### **Insurances To Be Taken Out By The Employer**

The Employer shall at its expense take out and maintain in effect during the performance of the Contract the following insurances. - **Not Applicable** 

#### Appendix 4. Time Schedule

The Employer should normally provide a Time Schedule to be followed by the Contractor during the performance of the Contract. This schedule should be provided with the bidding documents under this Appendix. All completion times indicated must be in accordance with the information regarding Time(s) for Completion given in the Bid Data Sheet.

Except under exceptional circumstances, the Time Schedule should indicate periods of time (e.g., weeks or months) and not specify calendar dates. All periods should be shown from the Effective Date of the Contract.

Should it become necessary to amend the Time Schedule to reflect any agreements made with the selected Bidder prior to award of Contract, the amended Time Schedule shall replace the original Time Schedule prior to signature of the Contract Agreement.

If the bidding documents contain no Time Schedule, the Bidder shall be required to submit with its bid a detailed program, showing how and the order in which it intends to perform the Contract and showing the key events requiring action or decision by the Employer. In preparing this Program, the Bidder shall adhere to the Time(s) for Completion given in the Bid Data Sheet. The Time Schedule submitted by the selected Bidder and amended as necessary prior to award of Contract shall be included as Appendix to the Contract Agreement before the Contract is signed.

If bidders, pursuant to the provisions of the Instructions to Bidders, are to be permitted to offer an Alternative Bid based on a different Time Schedule, details of this and any resulting reduction in Price from their conforming bid based on the Time Schedule included in the bidding documents shall be submitted as an Attachment to their bid.

### Appendix 5. List of Major Items of EWDS and Installation Services and List of Approved Subcontractors

Prior to award of Contract, the following details shall be completed, indicating those Subcontractors proposed by the Bidder in the corresponding Attachment to its bid that are approved by the Employer for engagement by the Contractor during the performance of the Contract.

A list of major items of EWDS and Installation Services is provided below.

The following Subcontractors and/or manufacturers are approved for carrying out the items of the Facilities indicated below. Where more than one Subcontractor is listed, the Contractor is free to choose between them, but it must notify the Employer of its choice in good time prior to appointing any selected Subcontractor. In accordance with GC Sub-Clause 19.1, the Contractor is free to submit proposals for Subcontractors for additional items from time to time. No Subcontracts shall be placed with any such Subcontractors for additional items until the Subcontractors have been approved in writing by the Employer and their names have been added to this list of Approved Subcontractors.

Major Items of EWDS and Installation Services	Approved Subcontractors/Manufacturers	Nationality

#### Appendix 6. Scope of Works and Supply by the Employer

Prior to issuing the bidding documents, the Employer shall indicate in this Appendix details of all personnel and Facilities it will provide for use by the Contractor and indicate, where applicable, the charges that it will make in respect of their use.

The Employer shall also identify any part(s) of the facilities it intends to carry out itself (or by other contractors), and any EWDS, equipment, or materials that it proposes to purchase itself and supply to the Contractor for incorporation in the facilities, indicating, where applicable, the charges that it will make in respect thereof.

The following personnel, facilities, works and supplies will be provided/supplied by the Employer, and the provisions of GC Clauses 10, 21 and 24 shall apply as appropriate.

All personnel, facilities, works and supplies will be provided by the Employer in good time so as not to delay the performance of the Contractor, in accordance with the approved Time Schedule and Program of Performance pursuant to GC Sub-Clause 18.2.

Unless otherwise indicated, all personnel, facilities, works and supplies will be provided free of charge to the Contractor.

<u>Personnel</u>	Charge to Contractor (if any)
<u>Facilities</u>	Charge to Contractor (if any)
<u>Works</u>	Charge to Contractor (if any)
<u>Supplies</u>	Charge to Contractor (if any)

#### **Appendix 7. List of Documents for Approval or Review**

Pursuant to GC Sub-Clause 20.3.1, the Contractor shall prepare, or cause its Subcontractor to prepare, and present to the Project Manager in accordance with the requirements of GC Sub-Clause 18.2 (Program of Performance), the following documents for

#### A. Approval

- 1.
- 2.
- 3.

#### B. Review

- 1.
- 2.
- 3.

#### **Appendix 8. Functional Guarantees**

#### 1. General

This Appendix sets out

- (a) the functional guarantees referred to in GC Clause 28 (Functional Guarantees)
- (b) the preconditions to the validity of the functional guarantees, either in production and/or consumption, set forth below
- (c) the minimum level of the functional guarantees
- (d) the formula for calculation of liquidated damages for failure to attain the functional guarantees.

#### 2. Preconditions

The Contractor gives the functional guarantees (specified herein) for the facilities, subject to the following preconditions being fully satisfied:

#### **Not Applicable**

#### 3. Functional Guarantees

Subject to compliance with the foregoing preconditions, the Contractor guarantees as follows:

3.1 Production Capacity

#### Not Applicable

and/or

3.2 Raw Materials and Utilities Consumption

#### **Not Applicable**

#### 4. Failure in Guarantees and Liquidated Damages

4.1 Failure to Attain Guaranteed Production Capacity- Not Applicable

If the production capacity of the facilities attained in the guarantee test, pursuant to GC Sub-Clause 25.2, is less than the guaranteed figure specified in para. 3.1 above, but the actual production capacity attained in the guarantee test is not less than the minimum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications

and/or additions to the Facilities, pursuant to GC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of 0.5% per week or part thereof\_\_\_\_\_\_ for every complete one percent (1%) of the deficiency in the production capacity of the Facilities, or at a proportionately reduced rate for any deficiency, or part thereof, of less than a complete one percent (1%).

4.2 Raw Materials and Utilities Consumption in Excess of Guaranteed Level - **Not Applicable** 

[To be specified in the appropriate wording for the type of facilities if there are consumption guarantees.]

\_\_\_\_\_

If the actual measured figure of specified raw materials and utilities consumed per unit (or their average total cost of consumption) exceeds the guaranteed figure specified in para. 3.2 above (or their specified average total cost of consumption), but the actual consumption attained in the guarantee test, pursuant to GC Sub-Clause 25.2, is not more than the maximum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications and/or additions to the Facilities pursuant to GC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of [amount in the contract currency] for every complete one percent (1%) of the excess consumption of the Facilities, or part thereof, of less than a complete one percent (1%).

[The rate of liquidated damages specified in paras. 4.1 and 4.2 above shall be at least equivalent to the rate specified in Section III Evaluation and Qualification Criteria, for the comparison of functional guarantees provided by the bidders.]

#### 4.3 Minimum Levels

Notwithstanding the provisions of this paragraph, if as a result of the guarantee test(s), the following minimum levels of performance guarantees (and consumption guarantees) are not attained by the Contractor, the Contractor shall at its own cost make good any deficiencies until the Facilities reach any of such minimum performance levels, pursuant to GC Sub-Clause 28.2:

(a) production capacity of the Facilities attained in the guarantee test: ninety-five percent (95%) of the guaranteed production capacity (the values offered by the Contractor in its bid for functional guarantees represents 100%).

#### and/or

- (b) average total cost of consumption of all the raw materials and utilities of the Facilities: one hundred and five percent (105%) of the guaranteed figures (the figures offered by the Contractor in its bid for functional guarantees represents 100%).
- 4.4 Limitation of Liability- **Not applicable**

Only GCC28.3 (a) is applicable. Hence GCC28.3 (b) is not applicable.

## Performance Security - Bank Guarantee [including Additional Performance Security for unbalanced bids]

[Guarantor letterhead or SWIFT identifier code]

To:		[name of Employer]	
	[address of Employer]		
	"the Applicant") has undertaken, in	[name of Contract and brief	
	a you with a Bank Guarantee by a recognized appliance with his obligations in accordance we applied to give the AND WHEREAS we have agreed to give the		
	of the Applicant, up to a total of [in words], and v	we are the Guarantor and responsible to you, on  [amount of guarantee <sup>35</sup> ]  we undertake to pay you, upon your first written	
[amour		sums within the limits ofeding to prove or to show grounds or reasons for	
your de			

33 In the case of a JV, insert the name of the Joint Venture
 34 The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.
 35 An amount shall be inserted by the Guarantor, representing the percentage of the Contract Price specified in the Contract less provisional sums, if any, and denominated in Indian Rupees.

We further agree that no change or addition to or other modification of the terms of the Contract or of the Works to be performed thereunder or of any of the Contract documents which may be made between you and the Applicant shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall (a) be reduced by half upon our receipt of a copy of the Operational Acceptance Certificate; and (b) expire no later than the earlier of: <sup>36</sup> (i) twelve months after our receipt of either (a) above; or (ii) eighteen months after our receipt of a copy of the
Completion Certificate; or (iii) the day of, 2 <sup>37</sup>
Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.
Signature and seal of the guarantor
Signature and sear of the guarantor
Name of Bank
Adams

Date \_\_\_\_

This text shall be revised as and where necessary to take into account (i) partial acceptance of the Facilities in accordance with Sub-Clause 25.4 of the GC; and (ii) extension of the performance security when the Contractor is liable for an extended warranty obligation pursuant to Sub-Clause 27.10 of the GC (although in this latter case the Employer might want to consider an extended warranty security in lieu of the extension of the performance security).

Insert the date twenty-eight days after the expected expiration date of the Defect Liability Period. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

### **Environmental, Social, Health and Safety (ESHS) Performance Security - Bank Guarantee**[Guarantor letterhead or SWIFT identifier code]

	formance Guarantee No
To:	[name of Employer] [address of Employer]
(hereinafte	IEREAS [name and address of Contractor <sup>38</sup> ]  r called "the Contractor") has undertaken, in pursuance of Contract No  to execute [name of Contract and iption of Facilities] (hereinafter called "the Contract");
Contractor specified the	D WHEREAS it has been stipulated by you in the said Contract that the shall furnish you with a Bank Guarantee by a recognized bank <sup>39</sup> for the sum herein as security for compliance with Environmental, Social, Health and/or Safety igations in accordance with the Contract;
AN	D WHEREAS we have agreed to give the Contractor such a Bank Guarantee;
you, on be guarantee types and put to pay you within the	W THEREFORE we hereby affirm that we are the Guarantor and responsible to chalf of the Contractor, up to a total of [amount of [in words], such sum being payable in the proportions of currencies in which the Contract Price is payable, and we undertake, upon your first written demand and without cavil or argument, any sum or sums limits of [amount of guarantee] as aforesaid without ng to prove or to show grounds or reasons for your demand for the sum specified
	hereby waive the necessity of your demanding the said debt from the Contractor enting us with the demand.

<sup>38</sup> In the case of a JV, insert the name of the Joint Venture
39 The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.
40 An amount shall be inserted by the Guarantor, representing the percentage of the Contract Price specified in the Contract less provisional sum if any, and denominated in Indian Rupees.

We further agree that no change or addition to or other modification of the terms of the Contract or of the Works to be performed thereunder or of any of the Contract documents which may be made between you and the Contractor shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall be valid until ........ <sup>41</sup>, and consequently any demand for payment under it must be received by us at this office on or before that date.

Signature and seal of the guarantor				
Name of Bank				
nucios .				
Date				

<sup>41</sup> Insert the date twenty-eight days after the expected expiration date of the Defect Liability Period. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months] [one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

# **Advance Payment Security**Demand Guarantee

[Guarantor letterhead or SWIFT identifier code]

	tee No[insert guarantee reference number][insert date of issue of the guarantee]
To:	[name of Employer]
	[address of Employer]
	[name of Contract]
Gentlemen:	
Payment Security") of the all and address of Contractions faithful performance under the security of the all and address of Contractions.	the provisions of the Conditions of Contract, Sub-clause 13.2 ("Advance bove-mentioned Contract,
payment toright of objection on our par	[bank], as instructed by the Applicant, agree ably to guarantee as primary obligator and not as Surety merely, the [name of Employer] on his first demand without whatsoever t and without his first claim to the Applicant, in the amount not exceeding amount of guarantee] [in
Contract or of work to be p made between	nat no change or addition to or other modification of the terms of the erformed thereunder or of any of the Contract documents which may be [name of Employer] and the Applicant, shall in any way under this guarantee, and we hereby waive notice of any such change,

<sup>42</sup> In the case of a JV, insert the name of the Joint Venture.
<sup>43</sup> The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.

The maximum amount of this guarantee shall be progressively reduced by the amount of the dvance payment repaid by the Applicant as indicated in copies of interim statements or payment ertificates which shall be presented to us. This guarantee shall remain valid and in full effect from the date of the advance payment under the Contract until [name of the Imployer] receives full repayment of the same amount from the Applicant. Consequently, any temand for payment under this guarantee must be received by us at this office on or before that date.		
	Yours truly,	
	Signature and seal:	
	Name of Bank:	
	Address:	
	Date:	

44 An amount shall be inserted by the bank representing the amount of the Advance Payment, and denominated in Indian Rupees.