

**Bidding Document
for
Procurement of
System integrator for Design, Supply,
Installation, Commissioning and Services
for Early Warning Dissemination System
for the Coastal Districts of Maharashtra for
Last Mile Connectivity**

National Competitive Bidding
(Two envelope Bidding Process with e-Procurement)

June 2019

GOVERNMENT OF MAHARASHTRA

**State Project Implementation Unit
National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department,
Maharashtra**

For

National Cyclone Risk Mitigation Project (NCRMP II),

**RFB No:-IN-RRD-MAH-113949-CW-RFB
National Open Competitive Bidding
(Single Stage Two-Envelope Bidding Process with e-Procurement)
Design, Supply and Installation of EWDS**

CONTRACT TITLE	System integrator for Design, Supply, Installation, Commissioning and Services for Early Warning Dissemination System for the Coastal Districts of Maharashtra for Last Mile Connectivity
PERIOD OF SALE OF BIDDING DOCUMENT	FROM 14/06/2019 TO 15/07/2019
LAST DATE AND TIME FOR RECEIPT OF BIDS	DATE 15/07/2019 TIME 15:00 HOURS
TIME AND DATE OF OPENING BIDS– Technical Part ¹	DATE 15/07/2019 TIME 15:30 HOURS
PLACE OF OPENING OF BIDS	State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com
OFFICER INVITING BIDS	State Project Director, State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra.

¹The firms that qualify technically shall be notified subsequently for opening of the financial part of their bids.

INVITATION FOR BIDS
(IFB)

GOVERNMENT OF MAHARASHTRA

**State Project Implementation Unit
National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department, Maharashtra**

For

National Cyclone Risk Mitigation Project (NCRMP II),

RFB No:-IN-RRD-MAH-113949-CW-RFB

INVITATIONS FOR BIDS (IFB)

E-Procurement Notice

(Single Stage Two Envelope Bidding Process with e-Procurement)

Design, Supply and Installation of EWDS

NATIONAL COMPETITIVE BIDDING

Employer: State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department: Government of Maharashtra.

Contract Title: System integrator for Design, Supply, Installation, Commissioning and Services for Early Warning Dissemination System for the Coastal Districts of Maharashtra for Last Mile Connectivity.

Loan No./Credit No./ Grant No.: India-P144726: National Cyclone Risk Mitigation Project-II, Ln/ Cr IDA-56930

Bid No.: IN-RRD-MAH-113949-CW-RFB

Date: 14th June 2019

1. The Government of India has received financing from the World Bank towards the cost of the National Cyclone Risk Mitigation Project (NCRMP II), Maharashtra and intends to apply a part of the proceeds towards payments under the contract for Design, Supply, Installation, Commissioning and Services for implementation of component-A of Last Mile Connectivity of NCRMP.
2. The State Project Implementation Unit, National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department, Maharashtra now invites online Bids from eligible Bidders for Design, Supply, Installation, Commissioning and Services for implementation of component-A of Last Mile Connectivity of NCRMP shown in Table below. Bidders are advised to note the clauses on eligibility (Section I Clause 4) and minimum qualification criteria (Section III – Evaluation and Qualification Criteria), to qualify for the award of the contract. In addition, please refer to paragraphs 1.6 and 1.7 of the World Bank's Guidelines setting forth the World Bank's policy on conflict of interest.

3. Bidding will be conducted through National Competitive Bidding procedures agreed with the World Bank. Bidding is open to all eligible bidders as defined in the World Bank's Guidelines: Procurement of Goods, Works and Non-Consulting Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers, January 2011 Revised July 2014.
4. Bidding document in English is available online on www.mahatenders.gov.in from 14/06/2019 to 15/07/2019 for a non-refundable fee. Eligible Bidders shall make payment of a nonrefundable fee of INR 10000 (Ten thousand only) towards cost of bid document which shall be submitted online on e-tendering portal www.mahatenders.gov.in using online payment system. Bidders will be required to register on the website www.mahatenders.gov.in, which is free of cost. The bidders would be responsible for ensuring that any addenda available on the website is also downloaded and incorporated.
5. Bids must be submitted online in the e-tendering portal www.mahatenders.gov.in on or before 15:00 hours on 15/07/2019 and the "Technical Part" of the bids will be opened online on 15/07/2019 at 15:30 hours. The "Financial Part" shall remain unopened in the e-procurement system until the second public Bid opening for the financial part. Any bid or modifications to bid (including discount) received outside e-procurement system will not be considered. If the office happens to be closed on the date of opening of the bids as specified, the bids will be opened on the next working day at the same time. The electronic bidding system would not allow any late submission of bids.
6. All Bids must be accompanied by a bid security of the amount specified for the contract in the table below. Bid security will have to be in any one of the forms as specified in the bidding document and shall have to be valid for 45 days beyond the validity of the bid. Procedure for submission of bid security is described in Para 9.
7. The bidders are required to submit:
 - a. original payment document towards the cost of bid document and registration on e-procurement website (if not previously registered)
 - b. original bid security in approved form and
 - c. power of attorney to sign the bid document
 - d. original affidavit regarding correctness of information furnished with bid documentwith "State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Relief and Rehabilitation Department: Government of Maharashtra" before the bid submission deadline, either by registered post/speed post/courier or by hand, failing which the bids will be declared non-responsive and will not be opened.
8. A pre-bid meeting will be held on 28/06/2019 at 13:00 hours at the office of State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Relief and Rehabilitation Department: Government of Maharashtra to clarify the issues and to answer questions on any matter that may be raised at that stage as stated

in ITB Clause 7.4 of ‘Instructions to Bidders’ of the bidding document. Bidders are advised to download and go through the bidding document prior to the pre-bid meeting in order for bidders to have a good understanding of the scope of the requirements under this contract for discussion and clarification at the pre-bid meeting.

9. Other details can be seen in the bidding document. The Employer shall not be held liable for any delays due to system failure beyond its control. Even though the system will attempt to notify the bidders of any bid updates, the Employer shall not be liable for any information not received by the bidder. It is the bidders’ responsibility to verify the website for the latest information related to this bid.
10. Details of the Early Warning Dissemination System requirements² (including facilities, location, delivery period, etc.) are shown in the table below:

Package No.	Name of work	Bid Security in (Rs.)	Cost of Bid Processing fee (Rs.)	Period of completion in months (Including Monsoon)
(1)	(2)	(4)	(5)	(6)
IN-RRD-MAH-113949-CW-RFB	System integrator for Design, Supply, Installation, Commissioning and Services for Early Warning Dissemination System for the Coastal Districts of Maharashtra for Last Mile Connectivity	1.06 Crores.	10,000/-	*36 months

*The period of the completion of contract is beyond the scheduled project period.

Contract will be signed for the period of 3 years (1 year of implementation & 2 years of warranty after installation and commissioning)

11. The address for communication is as under:

- (a) Name & Designation of Officer :State Project Director
- (b) Official Address: State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail: pmncrmpmaha@gmail.com

² A brief description of the Plant requirements should be provided, including facilities, location, delivery period etc. and other information necessary to enable potential Bidders to decide whether or not to respond to the Invitation for Bids. The information shall be in line with that provided in any other para of the IFB.

PART 1 – Bidding Procedures

National Open Competitive Bidding (TWO-ENVELOPE BIDDING PROCESS WITH E-PROCUREMENT) Design, Supply and Installation of Plant

Contract title :	System integrator for Design, Supply, Installation, Commissioning and Services for Early Warning Dissemination System for the Coastal Districts of Maharashtra for Last Mile Connectivity
Period of sale of bidding document	14-Jun-19
Time and date of pre-bid meeting	28-Jun-19
Last date and time for receipt of bids	15-Jul-19 Time 15.00 Hrs.
Time and date of opening bids-technical part	15-Jul-19 Time 15.30 Hrs.
Place of opening of bids	State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com
Officer inviting bids	State Project Director, NCMRP II – Maharashtra

Section I. Instructions to Bidders

Section I. Instructions to Bidders

General

- 1. Scope of Bid**
 - 1.1 In connection with the Invitation for Bids **indicated in the Bid Data Sheet (BDS)**, the Employer, as **indicated in the BDS**, issues this Bidding Document for the procurement of Plant and Installation Services as specified in Section VI, Employer's Requirements. The name, identification, and number of lots (contracts) of the National Competitive Bidding (NCB) are **provided in the BDS**.
 - 1.2 Unless otherwise stated, throughout this Bidding Document definitions and interpretations shall be as prescribed in the General Conditions, Section VII.
- 2. Source of Funds**
 - 2.1 The Borrower or Recipient (hereinafter called "Borrower") **indicated in the BDS** has applied for or received financing (hereinafter called "funds") from the World Bank (hereinafter called "the Bank") toward the cost of the project **named in the BDS**. The Borrower intends to apply a portion of the funds to eligible payments under the contract(s) for which this Bidding Document is issued.
 - 2.2 Payments by the Bank will be made only at the request of the Borrower and upon approval by the Bank in accordance with the terms and conditions of the financing agreement between the Borrower and the Bank (hereinafter called the Loan Agreement), and will be subject in all respects to the terms and conditions of that Loan Agreement. No party other than the Borrower shall derive any rights from the Loan Agreement or have any claim to the funds.
 - 2.3 The Loan Agreement prohibits a withdrawal from the loan account for the purpose of any payment to persons or entities, or for any import of equipment, plant, or materials, if such payment or import is prohibited by a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations.
- 3. Fraud and Corruption**
 - 3.1 The Bank requires that Borrowers (including beneficiaries of Bank loans), as well as Bidders, Suppliers, Contractors and their agents (whether declared or not), personnel, subcontractors, sub-consultants, service providers and suppliers, under Bank-financed contracts, observe the highest standard of ethics during the procurement and execution of such contracts. In pursuit of

this policy, the Bank:

- (a) defines, for the purposes of this provision, the terms set forth below as follows:
 - (i) “corrupt practice” is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party³;
 - (ii) “fraudulent practice” is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation⁴;
 - (iii) “collusive practice” is an arrangement between two or more parties⁵ designed to achieve an improper purpose, including to influence improperly the actions of another party;
 - (iv) “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party⁶ or the property of the party to influence improperly the actions of a party;
 - (v) “obstructive practice” is
 - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or

³“Another party” refers to a public official acting in relation to the procurement process or contract execution. In this context, “public official” includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.

⁴“Party” refers to a public official; the terms “benefit” and “obligation” relate to the procurement process or contract execution; and the “act or omission” is intended to influence the procurement process or contract execution.

⁵“Parties” refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non competitive levels.

⁶“Party” refers to a participant in the procurement process or contract execution.

- (bb) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under sub-clause 3.2 below.
 - (b) will reject a proposal for award if it determines that the Bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices in competing for the contract in question;
 - (c) will cancel the portion of the loan allocated to a contract if it determines at any time that representatives of the Borrower or of a beneficiary of the loan engaged in corrupt, fraudulent, collusive, or coercive practices during the procurement or the execution of that contract, without the Borrower having taken timely and appropriate action satisfactory to the Bank to remedy the situation; and
 - (d) will sanction a firm or an individual, at any time, in accordance with prevailing Bank's sanctions procedures^a, including by publicly declaring such firm or individual ineligible, either indefinitely or for a stated period of time:(i) to be awarded a Bank-financed contract; and (ii) to be a nominated^b sub-contractor, consultant, manufacturer or supplier, or service provider of an otherwise eligible firm being awarded a Bank-financed contract.
- 3.2 In further pursuance of this policy, Bidders shall permit the Bank to inspect any accounts and records and other documents relating to the Bid submission and contract performance, and to have them audited by auditors appointed by the Bank.
- 3.3 Furthermore, Bidders shall be aware of the provision stated in

^a A firm or an individual may be declared ineligible to be awarded a Bank-financed contract upon completion of the Bank's sanctions proceedings as per its sanctions procedures, including inter alia: (i) temporary suspension in connection with an ongoing sanctions proceeding; (ii) cross-debarment as agreed with other International Financial Institutions, including Multilateral Development Banks; and (iii) the World Bank Group corporate administrative procurement sanctions procedures for fraud and corruption.

^b A nominated sub-contractor, consultant, manufacturer or supplier, or service provider (different names are used depending on the particular bidding document) is one which either has been:(i) included by the bidder in its pre-qualification application or bid because it brings specific and critical experience and know-how that are accounted for in the evaluation of the bidder's pre-qualification application or the bid; or (ii) appointed by the Borrower.

the General Conditions (GC 42.2.1(c)).

- 4. Eligible Bidders**
- 4.1 A Bidder may be a private entity or a government-owned entity—subject to ITB 4.5—or any combination of such entities in the form of a joint venture,(JV) under an existing agreement or with the intent to enter into such an agreement supported by a letter of intent, unless otherwise **specified in the BDS**. In the case of a joint venture when permitted:
- (a) **unless otherwise specified in the BDS**, all partners shall be jointly and severally liable for the execution of the Contract in accordance with the Contract terms, and
 - (b) the JV shall nominate a Representative who shall have the authority to conduct all business for and on behalf of any and all the partners of the JV during the bidding process and, in the event the JV is awarded the Contract, during contract execution. This authorization shall be evidenced by submitting a power of attorney signed by legally authorized signatories of all partners.
 - (c) the joint venture agreement should be registered in the place **specified in BDS** so as to be legally valid and binding on all partners. **Unless specified in the BDS**, there is no limit on the number of partners in a JV.
- 4.2 A Bidder, and all partners constituting the Bidder, shall have a nationality of an eligible country as defined in *Guidelines: Procurement under IBRD Loans and IDA Credits, January 2011 Revised July 2014*, (hereinafter referred to as the Guidelines),in accordance with Section V, Eligible Countries. A Bidder shall be deemed to have the nationality of a country if the Bidder is a national or is constituted, incorporated, or registered and operates in conformity with the provisions of the laws of that country. This criterion shall also apply to the determination of the nationality of proposed subcontractors or suppliers for any part of the Contract including related services.
- 4.3 A Bidder shall not have a conflict of interest. All Bidders found to have a conflict of interest shall be disqualified. A Bidder may be considered to have a conflict of interest with one or more parties in this bidding process, if :
- (a) they have a controlling partner in common; or
 - (b) they receive or have received any direct or indirect

subsidy from any of them; or

- (c) they have the same legal representative for purposes of this bid; or
- (d) they have a relationship with each other, directly or through common third parties, that puts them in a position to have access to information about or influence on the bid of another Bidder, or influence the decisions of the Employer regarding this bidding process; or
- (e) a Bidder submits more than one bid in this bidding process, either individually or as a partner in a joint venture, except for alternative offers permitted under ITB Clause 13. This will result in the disqualification of all such bids. However, this does not limit the participation of a Bidder as a subcontractor in another bid or of a firm as a subcontractor in more than one bid. or
- (f) a Bidder or any of its affiliates participated as a consultant in the preparation of the design or technical specifications of the Plant and Installation Services that are the subject of the bid.
- (g) a Bidder or any of its affiliates has been hired (or is proposed to be hired) by the Employer or the Borrower as Project Manager for the contract.
- (h) a Bidder would be providing goods, works, or non-consulting services resulting from or directly related to consulting services for the preparation or implementation of the project specified in the BDS ITB 2.1 that it provided or were provided by any affiliate that directly or indirectly controls, is controlled by, or is under common control with that firm.
- (i) a Bidder has a close business or family relationship with a professional staff of the Borrower (or of the project implementing agency, or of a recipient of a part of the loan) who: (i) are directly or indirectly involved in the preparation of the bidding documents or specifications of the contract, and/or the bid evaluation process of such contract; or (ii) would be involved in the implementation or supervision of such contract unless the conflict stemming from such relationship has been resolved in a manner acceptable to the Bank throughout

the procurement process and execution of the contract.

- 4.4 A Bidder that has been sanctioned by the Bank in accordance with the above ITB 3.1 (d), or in accordance with the Bank's Guidelines on Preventing and Combating Corruption in Projects Financed by IBRD Loans and IDA Credits and Grants, shall be ineligible to be awarded a Bank-financed contract, or benefit from a Bank-financed contract, financially or otherwise, during such period of time as the Bank shall determine. The list of debarred firms and individuals is available at the electronic address **specified in the BDS**.
- 4.5 Government-owned entities in the Borrower's country shall be eligible only if they can establish that they (i) are legally and financially autonomous, (ii) operate under the principles of commercial law, and (iii) are not dependent agencies of the Employer or the Borrower.
- 4.6 Bidders shall provide such evidence of their eligibility satisfactory to the Employer, as the Employer shall reasonably request.
- 4.7 Deleted.
- 4.8 Firms shall be excluded if:
 - (a) as a matter of law or official regulation, the Borrower's country prohibits commercial relations with that country, provided that the Bank is satisfied that such exclusion does not preclude effective competition for the supply of goods or related services required; or
 - (b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the Borrower's country prohibits any import of goods or contracting of works or services from that country or any payments to persons or entities in that country.

5. Eligible Plant and Installation Services

- 5.1 The Plant and Installation Services to be supplied under the Contract shall have their origin in eligible source countries as defined in ITB 4.2 above and all expenditures under the Contract will be limited to such Plant and Installation Services.
- 5.2 For purposes of ITB 5.1 above, "origin" means the place where the plant, or component parts thereof are mined, grown,

produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially different in its basic characteristics or in purpose or utility from its components.

Contents of Bidding Document

6. Sections of Bidding Document

- 6.1 The Bidding Document consists of Parts 1, 2, and 3, which include all the Sections indicated below, and should be read in conjunction with any Addenda issued in accordance with ITB 8.

PART 1 Bidding Procedures

- Section I. Instructions to Bidders (ITB)
- Section II. Bid Data Sheet (BDS)
- Section III. Evaluation and Qualification Criteria
- Section IV. Bidding Forms
- Section V. Eligible Countries

PART 2 Employer's Requirements

- Section VI. Employer's Requirements

PART 3 Conditions of Contract and Contract Forms

- Section VII. General Conditions (GC)
- Section VIII. Particular Conditions (PC)
- Section IX. Contract Forms

- 6.2 The Invitation for Bids issued by the Employer is not part of the Bidding Document.
- 6.3 The Employer is not responsible for the completeness of the Bidding Document and its addenda, if they were not obtained directly from the source stated by the Employer in the Invitation for Bids.
- 6.4 The Bidder is expected to examine all instructions, forms, terms, and specifications in the Bidding Document. Failure to furnish all information or documentation required by the Bidding Document may result in the rejection of the bid.

7. Clarification of Bidding

- 7.1 A prospective Bidder requiring any clarification of the Bidding Document shall notify the Employer online through

**Document, Site
Visit, Pre-Bid
Meeting**

the e-procurement portal **specified in the BDS** or raise his enquiries during the pre-bid meeting, if provided for in accordance with ITB 7.4. Clarifications requested through any other mode shall not be considered by the Employer. The Employer will respond to any request for clarification, provided that such request is received prior to the deadline for submission of bids within a period **specified in the BDS**. Description of clarification sought and the response of the Employer will be uploaded on the e-procurement portal for information of all Bidders without identifying the source of request for clarification. Should the Employer deem it necessary to amend the Bidding Document as a result of a request for clarification, it shall do so following the procedure under ITB 8 and ITB 23.2.

- 7.2 The Bidder is advised to visit and examine the site where the plant is to be installed and its surroundings and obtain for itself on its own responsibility all information that may be necessary for preparing the bid and entering into a contract for the provision of Plant and Installation Services. The costs of visiting the site shall be at the Bidder's own expense.
- 7.3 The Bidder and any of its personnel or agents will be granted permission by the Employer to enter upon its premises and lands for the purpose of such visit, but only upon the express condition that the Bidder, its personnel, and agents will release and indemnify the Employer and its personnel and agents from and against all liability in respect thereof, and will be responsible for death or personal injury, loss of or damage to property, and any other loss, damage, costs, and expenses incurred as a result of the inspection.
- 7.4 The Bidder's designated representative is invited to attend a pre-bid meeting, if **provided for in the BDS**. The purpose of the meeting will be to clarify issues and to answer questions on any matter that may be raised at that stage.
- 7.5 The Bidder is requested, to submit any questions only through the e-procurement portal, not later than one week before the meeting. Clarifications requested through any other mode shall not be considered by the Employer.
- 7.6 Minutes of the pre-bid meeting, including the text of the questions raised without identifying the source, and the responses given, together with any responses prepared after the meeting, will be uploaded on e-procurement portal. Any modification to the Bidding Document that may become necessary as a result of the pre-bid meeting shall be made by

the Employer exclusively through the issue of an Addendum pursuant to ITB 8 and not through the minutes of the pre-bid meeting. It is the bidder's responsibility to check on the e-procurement system, for any addendum/ amendment/ corrigendum to the bidding document.

7.7 Nonattendance at the pre-bid meeting will not be a cause for disqualification of a Bidder.

8. Amendment of Bidding Document

8.1 At any time prior to the deadline for submission of bids, the Employer may amend the Bidding Document by issuing addenda. The addendum will appear on the e-procurement system under "Latest Corrigendum" and email notification is also automatically sent to those bidders who have started working on the tender, or as **otherwise specified in BDS**.

8.2 Any addendum issued shall be part of the Bidding Document and shall be deemed to have been communicated to all bidders.

8.3 To give prospective Bidders reasonable time in which to take an addendum into account in preparing their bids, the Employer may, at its discretion, extend the deadline for the submission of bids, pursuant to ITB 23.2 by issuing a notification in the e-procurement portal.

Preparation of Bids

9. Cost of Bidding

9.1 The Bidder shall bear all costs associated with the preparation and submission of its Bid, and the Employer shall not be responsible or liable for those costs, regardless of the conduct or outcome of the bidding process.

10. Language of Bid

10.1 The Bid, as well as all correspondence and documents relating to the bid exchanged by the Bidder and the Employer, shall be written in English. Supporting documents and printed literature that are part of the Bid may be in another language provided they are accompanied by an accurate translation of the relevant passages in English, in which case, for purposes of interpretation of the Bid, such translation shall govern.

11. Documents Comprising the Bid

11.1 The Bid submitted by the Bidder shall comprise two Parts, namely the Technical Part and the Financial Part. These two Parts shall be submitted simultaneously.

11.2 The Technical Part shall contain the following:

(a) Letter of Bid – Technical Part

- (b) Bid Security, in accordance with ITB 20;
- (c) alternative bids – technical part, if permissible, in accordance with ITB 13;
- (d) written confirmation authorizing the signatory of the Bid to commit the Bidder, in accordance with ITB 21.2;
- (e) documentary evidence established in accordance with ITB 14.1 that the Plant and Installation Services offered by the Bidder in its bid or in any alternative bid, if permitted, are eligible;
- (f) documentary evidence in accordance with ITB 15 establishing the Bidder's eligibility and qualifications to perform the contract if its Bid is accepted;
- (g) documentary evidence established in accordance with ITB 16 that the Plant and Installation Services offered by the Bidder conform to the Bidding Document;
- (h) in the case of a bid submitted by a JV (where permitted), JV agreement, or letter of intent to enter into a JV including a draft agreement signed by all partners, indicating at least the parts of the Plant to be executed by the respective partners;
- (i) List of subcontractors, in accordance with ITB 16.2, and a letter of intent between the parties confirming their participation; and
- (j) any other document **required in the BDS.**

11.3 The **Financial Part** shall contain the following:

- (a) Letter of Bid – Financial Part: prepared in accordance with ITB 12 and ITB 17;
- (b) Completed schedules as required, including Price Schedules, in accordance with ITB 12 and 17;
- (c) Alternative Bid - Financial Part: if permissible in accordance with ITB 13; and
- (d) any other document **required in the BDS.**

11.4 The Technical Part shall not include any information related to the Bid price. Where material financial information related to the Bid price is contained in the Technical Part, the Bid shall be declared non-responsive.

- 11.5 The Bidder shall furnish in the Letter of Bid – Financial Part information on commissions and gratuities, if any, paid or to be paid to agents or any other party relating to this Bid, and to contract execution if the Bidder is awarded the contract.
- 12. Letter of Bid and Schedules**
- 12.1 The Bidder shall complete the Letter of Bid – Technical Part and Letter of Bid – Financial Part, including the appropriate Price Schedules, using the relevant forms furnished in Section IV, Bidding Forms. The forms must be completed as instructed in each form. The bidders shall fill up online, the forms that are available for online filling on the e-portal. The rest of the forms shall be downloaded by the bidders and filled up.
- 13. Alternative Bids**
- 13.1 **The BDS indicates** whether alternative bids are allowed. If they are allowed, the BDS will also indicate whether they are permitted in accordance with ITB 13.3, **or** invited in accordance with ITB13.2 and/or ITB 13.4.
- 13.2 When alternatives to the Time Schedule are explicitly invited, a statement to that effect will be **included in the BDS**, and the method of evaluating different time schedules will be described in Section III, Evaluation and Qualification Criteria.
- 13.3 Except as provided under ITB 13.4 below, Bidders wishing to offer technical alternatives to the Employer’s requirements as described in the bidding document must also provide: (i) a price at which they are prepared to offer a plant meeting the Employer’s requirements; and (ii) all information necessary for a complete evaluation of the alternatives by the Employer, including drawings, design calculations, technical specifications, breakdown of prices, and proposed installation methodology and other relevant details. Only the technical alternatives, if any, of the lowest evaluated Bidder conforming to the basic technical requirements shall be considered by the Employer.
- 13.4 When bidders are **invited in the BDS** to submit alternative technical solutions for specified parts of the facilities, such parts shall be described in Section VI, Employer’s Requirements. Technical alternatives that comply with the performance and technical criteria specified for the Plant and Installation Services shall be considered by the Employer on their own merits, pursuant to ITB 31. The method for their evaluation will be stipulated in Section III. Evaluation and Qualification Criteria.
- 14. Documents**
- Establishing the**
- 14.1 To establish the eligibility of the Plant and Installation Services in accordance with ITB Clause 5, Bidders shall

Eligibility of the Plant and Installation Services	complete in the Technical Part of the Bid, the country of origin declarations in the declaration Forms, included in Section IV, Bidding Forms.
15. Documents Establishing the Eligibility and Qualifications of the Bidder	<p>15.1 To establish its eligibility and qualifications to perform the Contract in accordance with Section III, Evaluation and Qualification Criteria, the Bidder shall provide the information requested in the corresponding information sheets included in Section IV, Bidding Forms.</p> <p>15.2 Deleted.</p>
16. Documents establishing conformity of the Plant and Installation Services	<p>16.1 The Bidder shall furnish the information stipulated in Section IV, in sufficient detail to demonstrate substantial responsiveness of the Bidders' proposal to the work requirements and the completion time.</p> <p>16.2 For major items of Plant and Installation Services as listed by the Employer in Section III, Evaluation and Qualification Criteria, which the Bidder intends to purchase or subcontract, the Bidder shall give details of the name and nationality of the proposed Subcontractors, including manufacturers, for each of those items. In addition, the Bidder shall include in the Technical Part of its bid information establishing compliance with the requirements specified by the Employer for these items. Quoted rates and prices in the Financial Part of the Bids will be deemed to apply to whichever Subcontractor is appointed, and no adjustment of the rates and prices will be permitted.</p> <p>16.3 The Bidder shall be responsible for ensuring that any Subcontractor proposed complies with the requirements of ITB 4, and that any plant, or services to be provided by the Subcontractor comply with the requirements of ITB 5 and ITB 15.1</p>
17. Bid Prices and Discounts	<p>17.1 The prices and discounts quoted by the Bidder in the Letter of Bid –Financial Part and in the Price Schedules shall conform to the requirements specified below:</p> <p>Unless otherwise specified in the BDS, bidders shall quote for the entire Plant and Installation Services on a “single responsibility” basis such that the total bid price covers all the Contractor’s obligations mentioned in or to be reasonably inferred from the bidding document in respect of the design, manufacture, including procurement and subcontracting (if any), delivery, construction, installation and completion of the plant including supply of mandatory spares (if any). This</p>

includes all requirements under the Contractor's responsibilities for testing, pre-commissioning and commissioning of the plant and, where so required by the bidding document, the acquisition of all permits, approvals and licenses, etc.; the operation, maintenance and training services and such other items and services as may be specified in the Bidding Document, all in accordance with the requirements of the General Conditions. Items against which no price is entered by the Bidder will not be paid for by the Employer when executed and shall be deemed to be covered by the prices for other items. Corrections if any in the bid can be carried out by editing the information in the forms if available online, before electronic submission on e-procurement portal.

17.2 Bidders are required to quote the price for the commercial, contractual and technical obligations outlined in the bidding document.

17.3 Bidders shall give a breakdown of the prices in the manner and detail called for in the Price Schedules included in Section IV, Bidding Forms.

17.4 Depending on the scope of the Contract, the Price Schedules may comprise up to the six (6) schedules listed below. Separate numbered Schedules included in Section IV, Bidding Forms, from those numbered 1-4 below, shall be used for each of the elements of the Plant and Installation Services. The total amount from each Schedule corresponding to an element of the Plant and Installation Services shall be summarized in the schedule titled Grand Summary, (Schedule 5), giving the total bid price(s) to be entered in the Letter of Bid.

Schedule No. 1 Plant (including Mandatory Spare Parts)
Supplied from Abroad – not used

Schedule No. 2 Plant (including Mandatory Spare Parts)

Schedule No. 3 Design Services

Schedule No. 4 Installation Services

Schedule No. 5 Grand Summary (Schedule Nos. 1 to 4)

Schedule No. 6 Recommended Spare Parts

Bidders shall note that the plant and equipment included in Schedule Nos. 1 and 2 above **exclude** materials used for civil, building and other construction works. All such materials shall be included and priced under Schedule No. 4, Installation

Services.

17.5 In the Schedules, bidders shall give the required details and a breakdown of their prices as follows:

- (a) Plant to be supplied from abroad (Schedule No. 1): Not used
- (b) Plant manufactured within the Employer's country (Schedule No. 2):
 - (i) The price of the plant shall be quoted on an EXW Incoterm basis (such as "ex-works," "ex-factory," "ex-warehouse" or "off-the-shelf," as applicable),
 - (ii) Sales tax and all other taxes payable in the Employer's country on the plant if the contract is awarded to the Bidder.
- (c) Design Services (Schedule No. 3).
- (d) Installation Services shall be quoted separately (Schedule No. 4) and shall include rates or prices for local transportation to named place of final destination as **specified in the BDS**, insurance and other services incidental to delivery of the plant, all labor, contractor's equipment, temporary works, materials, consumables and all matters and things of whatsoever nature, including operations and maintenance services, the provision of operations and maintenance manuals, training, etc., where identified in the Bidding Document, as necessary for the proper execution of the installation and other services, including all taxes, duties, levies and charges payable in the Employer's country as of twenty-eight (28) days prior to the deadline for submission of bids.
- (e) Recommended spare parts shall be quoted separately (Schedule 6) as specified in either subparagraph (a) or (b) above in accordance with the origin of the spare parts.

17.6 The current edition of Incoterms, published by the International Chamber of Commerce shall govern.

17.7 The prices shall be either fixed or adjustable as **specified in the BDS**.

17.8 In the case of **Fixed Price**, prices quoted by the Bidder shall

be fixed during the Bidder's performance of the contract and not subject to variation on any account. A bid submitted with an adjustable price quotation will be treated as non-responsive and rejected.

- 17.9 In the case of **Adjustable Price**, prices quoted by the Bidder shall be subject to adjustment during performance of the contract to reflect changes in the cost elements such as labor, material, transport and contractor's equipment in accordance with the procedures specified in the corresponding Appendix to the Contract Agreement. A bid submitted with a fixed price quotation will not be rejected, but the price adjustment will be treated as zero.
- 17.10 If so indicated in ITB 1.1, bids are being invited for individual lots (contracts) or for any combination of lots (packages). Bidders wishing to offer any price reduction (discount) for the award of more than one Contract shall specify in their Letter of Bid – Financial Part, the price reductions applicable to each package, or alternatively, to individual Contracts within the package, and the manner in which the price reductions will apply.
- 17.11 Bidders wishing to offer any unconditional discount shall specify in their Letter of Bid – Financial Part the offered discounts and the manner in which price discounts will apply.
- 17.12 Bidders may like to ascertain availability of tax/duty exemption benefits available in India to the contracts financed under World Bank loan/credits. They are solely responsible for obtaining such benefits which they have considered in their bid and in case of failure to receive such benefits for reasons whatsoever, the Employer will not compensate the bidder (Contractor). The bidder shall furnish along with his bid a declaration to this effect in the Declaration Format provided in Section IV of the bidding documents.

Where the bidder has quoted taking into account such benefits, it must give all information required for issue of certificates in terms of the Government of India's relevant Notifications as per the declaration format. In case the bidder has not provided the required information or has indicated to be furnished later on in the Declaration Format, the same shall be construed that the plant/ goods/equipment for which certificate is required is Nil.

To the extent the Employer determines the quantities indicated therein are reasonable keeping in view the

Employer's Requirements, construction program and methodology, the certificates will be issued within 60 days of signing of the contract and no subsequent changes will be permitted. In case of materials pertaining to Variation items and quantities the certificate shall be issued only on request from the Contractor when in need and duly certified by the Project Manager.

No certificate will be issued for items where no quantity/capacity of equipment is indicated in the statement.

If the bidder has considered the tax/duty exemption for plant/ goods/equipment to be bought for the work, the bidder shall confirm and certify that the Employer will not be required to undertake any responsibilities of the Government of India Scheme or the said exemptions being available during the contract execution, except issuing the required certificate. The bids which do not conform to the above provisions or any condition by the bidder which makes the bid subject to availability of tax/duty exemption for plant/ goods/equipment or compensation on withdrawal of any variations to the said exemptions will be treated as non-responsive and rejected.

Any delay in procurement of the plant/ goods/ equipment as a result of the above shall not be a cause for granting any extension of time.

18. Currencies of Bid and Payment

18.1 The currency of the bid and the currency of payments shall be Indian Rupees only.

18.2 Deleted.

19. Period of Validity of Bids

19.1 Bids shall remain valid for the period **specified in the BDS** after the bid submission deadline date prescribed by the Employer. A bid valid for a shorter period shall be rejected by the Employer as non-responsive.

19.2 In exceptional circumstances, prior to the expiration of the bid validity period, the Employer may request Bidders to extend the period of validity of their bids. The request and the responses shall be made in writing. If a bid security is requested in accordance with ITB 20, the Bidder granting the request shall also extend the bid security for forty-five (45) days beyond the deadline of the extended validity period. A Bidder may refuse the request without forfeiting its bid security. A Bidder granting the request shall not be required or permitted to modify its bid, except as provided in ITB 19.3.

19.3 In the case of fixed price contracts, if the award is delayed by

a period exceeding fifty-six (56) days beyond the expiry of the initial bid validity, the Contract price shall be adjusted by a factor or factors **specified in the BDS**. Bid evaluation shall be based on the Bid Price without taking into consideration the above correction.

20. Bid Security

20.1 The Bidder shall furnish as part of the Technical Part of its bid, a bid security as **specified in the BDS**, in original form and in the amount **specified in the BDS**.

20.2 Deleted.

20.3 If a bid security is specified pursuant to ITB 20.1, the bid security shall be a demand guarantee in any of the following forms at the Bidder's option:

- (a) an unconditional guarantee issued by a Nationalized or Scheduled bank located in India;
- (b) an irrevocable letter of credit issued by a Nationalized or Scheduled bank located in India;
- (c) a cashier's or certified check or demand draft issued by a Nationalized or Scheduled bank located in India; or
- (d) another security **indicated in the BDS**,

In the case of a bank guarantee, the bid security shall be submitted using the Bid Security Form included in Section IV, Bidding Forms. The form must include the complete name of the Bidder. The bid security shall be valid for forty-five days (45) beyond the original validity period of the bid, or beyond any period of extension if requested under ITB 19.2.

20.4 If a bid security is specified pursuant to ITB 20.1, any bid not accompanied by a substantially responsive bid security shall be rejected by the Employer as non-responsive.

20.5 If a bid security is specified pursuant to ITB 20.1, the bid security of unsuccessful Bidders shall be returned as promptly as possible upon the successful Bidder's signing the Contract and furnishing the performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security pursuant to ITB 45.

20.6 The bid security of the successful Bidder shall be returned as promptly as possible once the successful Bidder has signed the Contract and furnished the required performance security

and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security.

20.7 The bid security may be forfeited:

- (a) if a Bidder withdraws its bid during the period of bid validity specified by the Bidder on the Letter of Bid – Technical Part and repeated in the Letter of Bid - Financial Part, or any extension thereto provided by the Bidder in accordance with ITB 19.2; or
- (b) if the Bidder does not accept the correction of its Bid Price pursuant to ITB 36; or
- (c) if the successful Bidder fails to:
 - (i) sign the Contract in accordance with ITB 44; or
 - (ii) furnish a performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security in accordance with ITB 45.

20.8 The Bid Security of a JV shall be in the name of the JV that submits the bid. If the JV has not been legally constituted into a legally enforceable JV at the time of bidding, the Bid Security shall be in the names of all future partners as named in the letter of intent referred to in ITB 4.1.

21. Format and Signing of Bid

21.1 The Bidder shall prepare the documents comprising the bid as described in ITB 11 and upload the scanned copies in the e-procurement portal along with scanned copies of requisite certificates as are mentioned in different sections in the bidding document. In addition, the Bidder shall submit originals documents, in the manner **specified in the BDS**. In the event of any discrepancy between the original and the uploaded documents, the original shall prevail.

21.2 The bid shall be signed by a person duly authorized to sign on behalf of the Bidder. This authorization shall consist of a written confirmation as **specified in the BDS** and shall be uploaded along with the bid. The name and position held by each person signing the authorization must be typed or printed below the signature. All pages of the bid where entries or amendments have been made shall be signed or initialed by the person signing the bid.

21.3 A bid submitted by a JV where permitted, shall comply with the following requirements:

- (a) Unless not required in accordance with ITB 4.1 (a), be

signed so as to be legally binding on all partners and

- (b) Include the Representative's authorization referred to in ITB 4.1 (b), consisting of a power of attorney signed by those legally authorized to sign on behalf of the JV. Documents establishing authority to sign the bid on behalf of the JV shall be uploaded along with the bid.

21.4 Any interlineations, erasures, or overwriting shall be valid only if they are signed or initialed by the person signing the bid.

Online Submission and Opening of Bids

22. Online Submission, Sealing and Marking of Bids

22.1 Bidders shall submit their bids, both Technical and Financial Parts, electronically, including alternative bids, if permitted in accordance with ITB 13, through the e-procurement portal. Any document submitted through any other means will not be considered as part of the Bid except for the Originals specified in ITB 21.1. The electronic bid submission procedure is **specified in the BDS.**

22.2 Physical, E-mail, Telex, Cable or Facsimile bids will be rejected as non-responsive.

23. Deadline for Submission of Bids

23.1 Bids, both Technical and Financial Parts, must be submitted in the e-procurement portal no later than the date and time **indicated in the BDS.**

23.2 The Employer may, at its discretion, extend the deadline for the submission of bids by amending the Bidding Document in accordance with ITB 8, in which case all rights and obligations of the Employer and Bidders previously subject to the deadline shall thereafter be subject to the deadline as extended.

24. Late Bids

24.1 The e-Procurement system would not allow any late submission of bids after due date & time as per server system.

25. Withdrawal, Substitution, and Modification of Bids

25.1 A Bidder may withdraw, substitute, or modify its bid on the e-portal, in accordance with the process **defined in the BDS.**

25.2 Bids requested to be withdrawn in accordance with ITB 25.1 shall not be opened.

25.3 No bid may be withdrawn, substituted, or modified in the interval between the deadline for submission of bids and the expiration of the period of bid validity specified by the Bidder on the Letter of Bid – Technical Part and repeated in the

Letter of Bid - Financial Part or any extension thereof. This will result in the forfeiture of the Bid Security pursuant to ITB 20.7.

Public Opening of Technical Parts of Bid

26. Public Opening of Technical Parts of Bids

26.1 The Employer shall conduct the bid opening of technical parts of all bids in public, as per electronic bid opening procedure, in the presence of Bidders` designated representatives and anyone who choose to attend, and at the address, date and time **specified in the BDS**. Specific electronic bid opening procedures shall be as **specified in the BDS**. Bidders can also view the bid opening by logging on to the e-procurement system. The Financial Parts of the bids shall remain unopened in the e-procurement system, until the subsequent public opening, following the evaluation of the Technical Parts of the Bids. In all cases, original documents submitted as specified in ITB 21 shall be first scrutinized, and Bids that do not comply with these provisions will be declared non-responsive and will not be opened.

In the event of the specified date of bid opening being declared a holiday for the Employer, the bids will be opened at the appointed time and location on the next working day.

26.2 The Bidder's names, details of original bid security, if applicable Alternative Bids – Technical Part, and any other details as the Employer may consider appropriate will be notified, online by the Employer at the time of bid opening.

26.3 The Employer shall prepare a record of the bid opening, including the information disclosed and upload the same for viewing online. A copy of the record shall be made available on the e-procurement system. Only technical parts of bids and alternative bids-technical part that are opened and read out at bid opening shall be considered further for evaluation.

Evaluation of Bids – General Provisions

27. Confidentiality

27.1 Information relating to the evaluation of bids and recommendation of contract award, shall not be disclosed to Bidders or any other persons not officially concerned with such process until information on Contract award is communicated to all Bidders.

27.2 Any attempt by a Bidder to influence the Employer in the evaluation of the bids or Contract award decisions may result in the rejection of its bid.

27.3 Notwithstanding ITB 27.2, from the time of bid opening to the time of Contract award, if any Bidder wishes to contact the Employer on any matter related to the bidding process, it should do so in writing.

28. Clarification of Bids

28.1 To assist in the examination, evaluation, and comparison of the bids, and qualification of the Bidders, the Employer may, at its discretion, ask any Bidder for a clarification of its bid. Any clarification submitted by a Bidder that is not in response to a request by the Employer shall not be considered. The Employer's request for clarification and the response shall be in writing. No change in the prices or substance of the bid shall be sought, offered, or permitted, except to confirm the correction of arithmetic errors discovered by the Employer in the evaluation of the bids, in accordance with ITB 36.

28.2 If a Bidder does not provide clarifications of its bid by the date and time set in the Employer's request for clarification, its bid may be rejected.

29. Deviations, Reservations, and Omissions

29.1 During the evaluation of bids, the following definitions apply:

- (a) "Deviation" is a departure from the requirements specified in the Bidding Document;
- (b) "Reservation" is the setting of limiting conditions or withholding from complete acceptance of the requirements specified in the Bidding Document; and
- (c) "Omission" is the failure to submit part or all of the information or documentation required in the Bidding Document.

30. Nonmaterial Nonconformities

30.1 Provided that a bid is substantially responsive, the Employer may waive any nonconformity in the bid that does not constitute a material deviation, reservation or omission.

30.2 Provided that a bid is substantially responsive, the Employer may request that the Bidder submit the necessary information or documentation, within a reasonable period of time, to rectify nonmaterial nonconformities in the bid related to documentation requirements. Requesting information or documentation on such nonconformities shall not be related to any aspect of the price or substance of the bid. Failure of the Bidder to comply with the request may result in the rejection

of its bid.

- 30.3 Provided that a bid is substantially responsive, the Employer shall rectify quantifiable nonmaterial nonconformities related to the Bid Price. To this effect, the Bid Price shall be adjusted, for comparison purposes only, to reflect the price of a missing or non-conforming item or component in the manner specified **in the BDS**.

Evaluation of Technical Parts of Bids

31. Evaluation of Technical Parts of Bids

- 31.1 The Employer shall use the criteria and methodologies listed in this ITB and Section III, Evaluation and Qualification criteria. No other evaluation criteria or methodologies shall be permitted.
- 31.2 **Technical Evaluation.** The Employer will carry out a detailed technical evaluation of the Bids not previously rejected to determine whether the technical aspects are in compliance with the bidding document. The Bid that does not meet minimum acceptable standards of completeness, consistency and detail, and the specified minimum (or maximum, as the case may be) requirements for specified functional guarantees, will be rejected for non-responsiveness. In order to reach its determination, the Employer will examine and compare the technical aspects of the Bids on the basis of the information supplied by the Bidders, taking into account the following:
- (a) overall completeness and compliance with the Employer's Requirements; conformity of the Plant and Installation Services offered with specified performance criteria, including conformity with the specified minimum (or maximum, as the case may be) requirement corresponding to each functional guarantee, as indicated in the Specification and in Section III, Evaluation and Qualification Criteria; suitability of the Plant and Installation Services offered in relation to the environmental and climatic conditions prevailing at the site; and quality, function and operation of any process control concept included in the Bid;
 - (b) type, quantity and long-term availability of mandatory and recommended spare parts and maintenance services; and
 - (c) other relevant factors, if any, listed in Section III, Evaluation and Qualification Criteria.

31.1 Where alternative technical solutions have been allowed in accordance with ITB 13, and offered by the Bidder, the Employer will make a similar evaluation of the alternatives. Where alternatives have not been allowed but have been offered, they shall be ignored.

32. Determination of Responsiveness

32.1 The Employer's determination of a bid's responsiveness is to be based on the contents of the bid itself, as defined in ITB11.

32.2 A substantially responsive bid is one that meets the requirements of the Bidding Document without material deviation, reservation, or omission. A material deviation, reservation, or omission is one that,

(a) if accepted, would:

(i) affect in any substantial way the scope, quality, or performance of the Plant and Installation Services specified in the Contract; or

(ii) limit in any substantial way, inconsistent with the Bidding Document, the Employer's rights or the Bidder's obligations under the proposed Contract; or

(b) if rectified, would unfairly affect the competitive position of other Bidders presenting substantially responsive bids.

32.3 The Employer shall examine the technical aspects of the bid in particular, to confirm that all requirements of Section VI, Employer's Requirements have been met without any material deviation, reservation, or omission.

32.4 If a bid is not substantially responsive to the requirements of the Bidding Document, it shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.

33. Qualification of the Bidders

33.1 The Employer shall determine to its satisfaction whether the Bidder that is selected as having submitted the lowest evaluated and substantially responsive bid is eligible and meets the qualifying criteria specified in Section III, Evaluation and Qualification Criteria.

33.2 The determination shall be based upon an examination of the documentary evidence of the Bidder's qualifications submitted by the Bidder, pursuant to ITB 15. The determination shall not take into consideration the

qualifications of other firms such as the Bidder's subsidiaries, parent entities, affiliates, subcontractors (other than Specialized Subcontractors if permitted in the bidding document), or any other firm different from the Bidder.

- 33.3 The capabilities of the manufacturers and subcontractors proposed by the Bidders for identified major items of supply or services will also be evaluated for acceptability in accordance with Section III, Evaluation and Qualification Criteria. Their participation should be confirmed with a letter of intent between the parties, as needed.
- 33.4 If a Bidder does not meet the qualifying criteria specified in Section III, Evaluation and Qualification Criteria, its Bid shall be rejected by the Employer and may not subsequently be made responsive by correction of the material deviation, reservation, or omission.
- 33.5 Only Bids that are both substantially responsive to the bidding document, and meet all Qualification Criteria shall have the Financial Parts of their Bids opened at the second public opening.

Public Opening of Financial Parts of Bids

34. Public Opening of Financial Parts

- 34.1 Following the completion of the evaluation of the Technical Parts of the Bids, and the Bank has issued its no objection (if applicable), the Employer shall notify in writing those Bidders whose Bids were considered non-responsive to the bidding document or failed to meet the Qualification Criteria, advising them of the following information:
 - (a) the grounds on which their Technical Part of Bid failed to meet the requirements of the bidding document;
 - (b) their Financial Part of Bid shall not be opened; and
 - (c) notify them of the date, time, and place of the second public opening of Financial Parts of the Bids.
- 34.2 The Employer shall, simultaneously, notify in writing those Bidders whose Technical Part have been evaluated as substantially responsive to the bidding document and met all Qualifying Criteria, advising them of the following information:
 - (a) their Bid has been evaluated as substantially responsive to the bidding document and met the Qualification Criteria;

- (b) their Financial Part of Bid will be opened at the public opening of the Financial Parts; and
- (c) notify them of the date, time, and place of the second public opening of the Financial Parts of the Bids, as **specified in the BDS.**

34.3 The opening date should allow Bidders sufficient time to make arrangements for attending the opening. The Financial Part of the Bids shall be opened publicly in the presence of Bidders' designated representatives and anyone who chooses to attend, and this could also be viewed by the bidders online. The bidder's names, the Bid prices, the total amount of each bid, per lot (contract) if applicable, including any discounts, and such other details as the Employer may consider appropriate, will be notified online by the Employer at the time of bid opening.

In the event of the specified date of bid opening being declared a holiday for the Employer, the bids will be opened at the appointed time and location on the next working day.

34.4 The electronic summary of the bid opening will be generated and uploaded online. The Employer will also prepare minutes of the Bid opening, including the information disclosed and upload the same for viewing online. Only Financial Parts of Bids and discounts that are opened at Bid opening shall be considered further for evaluation.

Evaluation of Financial Parts of Bids

35. Evaluation of Financial Parts

35.1 To evaluate the Financial Part, the Employer shall consider the following:

- (a) the Bid price, excluding Provisional Sums and the provision, if any, for contingencies in the Price Schedules;
- (b) price adjustment for correction of arithmetic errors in accordance with ITB 36.1;
- (c) price adjustment due to discounts offered in accordance with ITB 17.10 and ITB 17.11;
- (d) price adjustment due to quantifiable nonmaterial nonconformities in accordance with ITB 30.3;
- (e) not used; and
- (f) the evaluation factors specified in Section III, Evaluation

and Qualification Criteria.

35.2 If price adjustment is allowed in accordance with ITB 17.7, the estimated effect of the price adjustment provisions of the Conditions of Contract, applied over the period of execution of the Contract, shall not be taken into account in Bid evaluation.

35.3 If this bidding document allows Bidders to quote separate prices for different lots (contracts), and the award to a single Bidder of multiple lots (contracts), the methodology to determine the lowest evaluated cost of the lot (contract) combinations, including any discounts offered in the Letter of Bid – Financial Part, is specified in Section III, Evaluation and Qualification Criteria

**36. Correction of
Arithmetical
Errors**

36.1 Provided that the bid is substantially responsive, the Employer shall correct arithmetical errors on the following basis:

- (a) where there are errors between the total of the amounts given under the column for the price breakdown and the amount given under the Total Price, the former shall prevail and the latter will be corrected accordingly;
- (b) where there are errors between the total of the amounts of Schedule Nos. 1 to 4 and the amount given in Schedule No. 5 (Grand Summary), the former shall prevail and the latter will be corrected accordingly; and
- (c) if there is a discrepancy between words and figures, the amount in words shall prevail, unless the amount expressed in words is related to an arithmetic error, in which case the amount in figures shall prevail subject to (a) and (b) above.

36.2 Bidders shall be requested to accept correction of arithmetical errors. Failure to accept the correction in accordance with ITB 36.1, shall result in the rejection of the Bid, and the Bid Security may be forfeited in accordance with ITB Sub-Clause 20.7.

**37. Conversion to
Single Currency**

37.1 Deleted.

**38. Margin of
Preference**

38.1 Deleted.

**39. Comparison
Financial Parts**

39.1 The Employer shall compare all substantially responsive bids in accordance with ITB 35.1 to determine the lowest

evaluated bid.

- 40. Unbalanced or Front Loaded Bids**
- 40.1 If the bid, which results in the lowest Evaluated Bid Price, is seriously unbalanced or front loaded in the opinion of the Employer, the Employer may require the Bidder to produce detailed price analyses for any or all items of the Price Schedules, to demonstrate the internal consistency of those prices with the methods and time schedule proposed. After evaluation of the price analyses, taking into consideration the terms of payments, the Employer may require that the amount of the performance security be increased at the expense of the Bidder to a level sufficient to protect the Employer against financial loss in the event of default of the successful Bidder under the Contract.
- 41. Employer's Right to Accept Any Bid, and to Reject Any or All Bids**
- 41.1 The Employer reserves the right to accept or reject any bid, and to annul the bidding process and reject all bids at any time prior to contract award, without thereby incurring any liability to Bidders. In case of annulment, all documents submitted and specifically, bid securities, shall be promptly returned to the Bidders.

Award of Contract

- 42. Award Criteria**
- 42.1 Subject to ITB 41.1, the Employer shall award the Contract to the Bidder whose offer has been determined to be the lowest evaluated bid and is substantially responsive to the Bidding Document, provided further that the Bidder is determined to be eligible and qualified to perform the Contract satisfactorily.
- 43. Notification of Award**
- 43.1 Prior to the expiration of the period of bid validity, the Employer shall notify the successful Bidder, in writing, that its bid has been accepted. The notification letter (hereinafter and in the Conditions of Contract and Contract Forms called the "Letter of Acceptance") shall specify the sum that the Employer will pay the Contractor in consideration of the execution and completion of the Plant and Installation Services (hereinafter and in the Conditions of Contract and Contract Forms called "the Contract Price").
- 43.2 At the same time, the Employer shall also publish the results and notify all other Bidders of the results of the bidding on the Employer's website, on the National website (<http://tenders.gov.in>) or on GoI Central Public Procurement Portal (<https://eprocure.gov.in/cppp/>) or in the official gazette, and on e-procurement system, identifying the bid and lot numbers and the following information: (i) name of each Bidder who submitted a Bid; (ii) bid prices as read out at Bid

Opening; (iii) name and evaluated prices of each Bid that was evaluated; (iv) name of bidders whose bids were rejected and the reasons for their rejection; and (v) name of the winning Bidder, and the Price it offered, as well as the duration and summary scope of the contract awarded.

43.3 Until a formal contract is prepared and executed, the notification of award shall constitute a binding Contract.

43.4 The Employer shall promptly respond in writing to any unsuccessful Bidder who, after notification of award in accordance with ITB 43.2, requests in writing the grounds on which its bid was not selected.

44. Signing of Contract

44.1 Promptly upon notification, the Employer shall prepare the Contract Agreement, and keep it ready in the office of the Employer for the signature of the Employer and the successful Bidder, within 28 days following the date of Letter of Acceptance. The Contract Agreement shall incorporate all agreements between the Employer and the successful Bidder.

44.2 Within twenty-eight (28) days of receipt of the Letter of Acceptance, the successful Bidder shall (a) furnish the performance security in accordance with ITB Clause 45 and if required, the Environmental, Social, Health and Safety (ESHS) Performance Security; (b) if the successful bidder is a JV, it shall also furnish the JV agreement duly signed by all the partners, if it had submitted only a letter of intent to execute the JV agreement along with the bid; and (c) shall sign, date, and return the Agreement to the Employer along with the documents stated at (a) and (b) above.

44.3 Deleted.

45. Performance Security

45.1 Within twenty-eight (28) days of the receipt of notification of award from the Employer, the successful Bidder shall furnish the performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security in accordance with the General Conditions, subject to ITB 40, using for that purpose the Performance Security and ESHS Performance Security Forms included in Section IX, Contract Forms, or another form acceptable to the Employer.

45.2 Failure of the successful Bidder to submit the above-mentioned Performance Security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security or sign the Contract shall constitute

sufficient grounds for the annulment of the award and forfeiture of the bid security. In that event the Employer may award the Contract to the next lowest evaluated Bidder whose offer is substantially responsive and is determined by the Employer to be qualified to perform the Contract satisfactorily.

- 45.3 Upon the successful Bidder's signing the Agreement and furnishing of the Performance Security and if required the Environmental, Social, Health and Safety (ESHS) Performance Security pursuant to ITB Clause 45.1, the Employer shall promptly notify the name of the winning bidder to each unsuccessful bidder and shall discharge the Bid Securities of the bidders pursuant to ITB Clause 20.5 and 20.6.

46. Adjudicator

- 46.1 The Employer proposes the person **named in the BDS** to be appointed as Adjudicator under the Contract, at the daily fee **specified in the BDS**, plus reimbursable expenses (actual boarding, lodging, travel and other incidental expenses). If the Bidder disagrees with this proposal, the Bidder should so state in his Bid. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the Particular Conditions of Contract (PC) pursuant to Clause 46.1 of the General Conditions of Contract (GC), to appoint the Adjudicator.

Section II. Bid Data Sheet

The following specific data for the Facilities to be procured shall complement, supplement, or amend the provisions in the Instructions to Bidders (ITB). Whenever there is a conflict, the provisions herein shall prevail over those in ITB.

Introduction	
ITB 1.1	The number of the Invitation for Bids is : IN-RRD-MAH-113949-CW-RFB
ITB 1.1	The Employer is: State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department: Government of Maharashtra.
ITB 1.1	<p>The name of the NCB is: System integrator for Design, Supply, Installation, Commissioning and Services for Early Warning Dissemination System for the Coastal Districts of Maharashtra for Last Mile Connectivity</p> <p>The identification number of the NCB is: IN-RRD-MAH-113949-CW-RFB</p> <p>The number and identification of lots (contracts) comprising this NCB is: <i>the work is not divided into lots.</i></p>
ITB 2.1	<p>The Borrower is Government of India.</p> <p>The Sub-Borrower is State Government of Maharashtra</p>
ITB 2.1	The name of the Project is: National Cyclone Risk Mitigation Project (NCRMP II), Maharashtra
ITB 4.1	Bids from Joint ventures are permitted.
ITB 4.2	Applicable edition of Procurement Guidelines is: Procurement of Goods, Works and Non-Consulting Services under IBRD Loans and IDA Credits & Grants by World Bank Borrowers, January 2011 Revised July 2014.
ITB 4.4	The electronic address of firms and individuals debarred by the Bank is: http://www.worldbank.org/debarr .
Bidding Document	
ITB 7.1	<p>Electronic –Procurement System</p> <p>Tender Forms can be downloaded from the e-tendering portal www.mahatenders.gov.in of Government of Maharashtra</p>

	<p>For any assistance on the use of Electronic Tendering System, the Users may call the below:</p> <p>State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com</p> <p>The bidders manual link for bidders support is available at -</p> <p>https://mahatenders.gov.in/nicgep/app?page=BiddersManualKit&service=page</p> <p>In brief the process are Bidder must register themselves on https://mahatenders.gov.in portal by clicking “Online Bidder Enrollment” and then map Digital Signature Certificate.</p> <p>Bidder then login to the site giving User id / Password chosen during registration.</p> <p>The bidders start applying the Bid as per the process given on https://mahatenders.gov.in/nicgep/app?page=BiddersManualKit&service=page</p> <p>The DSC e-token that is registered should be used by the bidder and Should not be misused by others.</p> <p>Request for clarification regarding online submission should be received by the Employer no later than 10 days prior to deadline for submission of bids.</p>
ITB 7.4	<p>A Pre-Bid meeting <i>shall</i> take place. Pre-Bid meeting will be at the following date, time and place:-</p> <p>Date:- 28/06/2019</p> <p>Time: 13.00 Hours</p> <p>State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Email:pmncrmpmaha@gmail.com</p> <p>Any queries received after 28/06/2019 at 17:00 will not be entertained.</p> <p>A site visit will not be organized by the Employer.</p>
ITB 7.6	<p>Minutes of pre-bid meeting and Addendum to Bidding Documents will also be hosted on the Employer’s website specified in BDS ITB 7.1.</p>

ITB 8.1	The addendum will appear on the e-procurement system under www.mahatenders.gov.in
ITB 8.3	Notification regarding extension of deadline for submission of Bids will also be hosted on the Employer's website specified in BDS ITB 7.1.
Preparation of Bids	
ITB 11.2 (j)	<p>The Bidder shall submit with its bid the following additional documents:</p> <p>(i) Code of Conduct (ESHS)</p> <p>The Bidder shall submit its Code of Conduct (as per Subsection-E of Section-VI (Employer's Requirements) the bid document) that will apply to its employees and subcontractors, to ensure compliance with its Environmental, Social, Health and Safety (ESHS) obligations under the contract.</p> <p>In addition, the Bidder shall detail how this Code of Conduct will be implemented. This will include: how it will be introduced into conditions of employment/engagement, what training will be provided, how it will be monitored and how the Contractor proposes to deal with any breaches.</p> <p>The Contractor shall be required to implement the agreed Code of Conduct upon contract award.</p> <p>(ii) Management Strategies and Implementation Plans (MSIP) to manage the (ESHS) risks</p> <p>The Bidder shall submit Management Strategies and Implementation Plans (MSIP) (as per Subsection-E of Section-VI (Employer's Requirements) the bid document) to manage the following key Environmental, Social, Health and Safety (ESHS) risks.</p> <ul style="list-style-type: none"> • Traffic Management Plan to ensure safety of local communities from construction traffic; • Strategy for obtaining Consents/Permits prior to the start of relevant works such as opening a quarry or borrow pit; • Gender based violence and sexual exploitation and abuse (GBV/SEA) prevention and response action plan. • Tower foundation which includes excavation and Tower erection works to ensure Safety. • Trenching works for laying of Cables at Tower location sites and at EOC offices if any • Site Safety plan at Tower site to restrict the Public entering the site <p>The Contractor shall be required to submit for approval, and subsequently implement, the Contractor's Environment and Social Management Plan (C-ESMP), in accordance with the Particular Conditions Sub-Clause 8.3, that includes the agreed Management</p>

	<p>Strategies and Implementation Plans described here.</p> <p>(iii) Checklist for Compliance to ESHS requirements</p> <p>The Bidder shall submit the checklist for compliance to ESHS requirements as per Subsection-E of Section-VI (Employer's Requirements) the bid document.</p> <p>(iv) Contractor Registration certificate (as per IFB);</p> <p>(v) Manufacturer's authorization for major items of supply under the contract, that the Bidder did not manufacture or otherwise produce;</p> <p>(vi) Subcontractor Agreement or a letter of intent between the parties to enter into a Subcontractor Agreement for major items of services under the contract, that the Bidder did not otherwise provide.</p> <p>(vii) A certified copy of the JV agreement, signed by all partners of the JV, Valid Memorandum of Understanding (MOU)/Agreement</p>				
ITB 13.1	Alternative bids are not permitted.				
ITB 13.2	Alternatives to the Time Schedule shall not be permitted.				
ITB 13.4	Alternative technical solutions not permitted.				
ITB 17.5 (d)	Named places of final destination are: Mumbai, Palghar, Raigad, Ratnagiri, Sindhudurg & Thane districts of Maharashtra.				
ITB 17.7	The prices quoted by the Bidder <i>shall not</i> be subject to adjustment during the performance of the Contract.				
ITB 19.1	The bid validity period shall be: 90 days.				
ITB 19.3	The Bid price shall be adjusted by the factor: 8% per annum or State Bank of India's prime lending rate, whichever is lower				
ITB 20.1	A bid security , valid for forty-five days (45) beyond the original validity period of the bid, or beyond any period of extension if requested under ITB 19.2, is required; the amount of the bid security shall be INR 1.06 Crores (In words - One Crore Six Lac only) in the form of a Demand Draft on any scheduled bank in India payable at Mumbai drawn in favor of P S (R and R) Maharashtra NCRMP				
ITB 20.3 (d)	<p>Other types of acceptable securities are:</p> <p>A Bank guarantee from any schedule bank in India in the specified format in favor of State Project Director, State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra.</p> <p>Bidders are required to upload a scan of the Bank Guarantee and submit original BG along with the other original documents.</p> <p>The beneficiary bank details are as follows:</p> <table border="1"> <tr> <td>Name of Bank: -</td><td>AXIS BANK LTD.</td></tr> <tr> <td>Branch: -</td><td>NARIMAN POINT, MUMBAI (MH)</td></tr> </table>	Name of Bank: -	AXIS BANK LTD.	Branch: -	NARIMAN POINT, MUMBAI (MH)
Name of Bank: -	AXIS BANK LTD.				
Branch: -	NARIMAN POINT, MUMBAI (MH)				

	SWIFT Code: -	AXISINBB173
	IFSC Code: -	UTIB0000173
	MICR Code: -	400211020
	Savings A/c No.:-	909010039575512
ITB 21.1	Class of DSC required is: II or III others (check from www.mahatenders.gov.in)	
	Only the originals of Power of Attorney, Original Affidavit vouching for the correctness of the information furnished and Original Bid security shall be delivered before the bid submission deadline in person / by post / courier to the address of Purchaser/Employer's representative – Address: State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com	
ITB 21.2 and 21.3	The written confirmation of authorization to sign on behalf of the Bidder shall consist of (a) Legally valid Power of Attorney is required to demonstrate the authority of the signatory to sign the Bid; and (b) In the case of Bids submitted by an existing or intended JV, the authorization shall be evidenced by a Power of Attorney signed by legally authorized signatories of all the partners.	
Online Submission and Opening of Bids		
ITB 22.1	The documents comprising the Bid shall be digitally signed by the person duly authorized to sign on behalf of the Bidder. The documents comprising the Bid shall then be uploaded on the e-procurement portal. After electronic on line bid submission, the system generates a unique bid identification number which is time stamped as per server time. This shall be treated as acknowledgement of bid submission.	
ITB 23.1	The deadline for uploading of bids is: Date: 15/07/2019, Time: 15.00 Hours	
ITB 25.1	Bidders may modify their bids by uploading their request for modification before the deadline for submission of bids on the e-procurement portal given in BDS ITB 7.1. For this the bidder need not make any additional payment towards the cost of tender document. For bid modification and consequential re-submission, the bidder is not required to withdraw his bid submitted earlier. The last modified bid submitted by the bidder within the bid submission time shall be considered as the bid. For this purpose, modification/withdrawal by other means will not be accepted. In on line system of bid submission, the modification and consequential re-submission of bids is allowed any number of times. However, if the bid is withdrawn, the re-submission of the bid is	

	not allowed.
Public Opening of Technical Parts of Bid	
ITB 26.1	<p>The online bid opening of Technical Parts of Bids shall take place at:</p> <p>Address: State Project Director, State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com</p> <p>Bid (technical part) will be publicly opened on 15-Jul-19 at 15.30 Hrs., in the presence of the bidders designated representatives who wish to attend. If the office happens to be closed on the date of opening of the bids as specified, the bids will be opened on the next working day at the same time and venue. The bid will be opened in presence of bidders designated representatives, through the website https://mahatenders.gov.in and online processes will be followed to open the bid.</p> <p>The particulars such as name of the Bidders, details of original Bid Security, if applicable Alternative Bids – Technical Part and any other details as considered appropriate will be read out to all bidders.</p> <p>Technical part of valid bids will be downloaded and checked for completeness in front of the bidders' designated representatives.</p>
Evaluation of Bids – General Provisions	
ITB 30.3	<p>The adjustment shall be based on the highest price of the item or component as quoted in other substantially responsive Bids, subject to a maximum of the estimated price of the item. If the price of the item or component cannot be derived from the price of other substantially responsive Bids, the Employer shall use its best estimate.</p>
Public Opening of Financial Parts of Bids	
ITB 34.2 (c)	<p>Following the completion of the evaluation of the Technical Parts of the Bids, the Employer will notify all Bidders of the date, time, and place of the public opening of Financial Parts. The Financial Parts of the bids shall not be opened earlier than seven (7) days from the communication of Technical evaluation results to the bidders</p> <p>The online bid opening of Financial Parts of Bids shall take place on:</p> <p>Place address :- State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project II, Revenue & Forest Department (Relief & Rehabilitation) Government of Maharashtra. 1st floor Main Mantralaya (Near Control Room), Mumbai – 4000 32 Maharashtra , India Facsimile: Tel: +91 022-22026712/22794230 E-mail : pmncrmpmaha@gmail.com</p>

	In addition to the above the Employer shall publish a notice of the public opening of the Financial Parts of the Bid on its website:- https://mahatenders.gov.in
Award of Contract	
ITB 45.1	(a) The successful Bidder shall be required to submit a Performance Security of 8% of contract value as specified in in Section-VIII (Particular Conditions). (b) The successful Bidder shall be required to submit an Environmental, Social, Health and Safety (ESHS) Performance Security of 2% of contract value as specified in Section-VIII (Particular Conditions).
ITB 46	The Adjudicator will Dr. JAGDISH PATIL. (His Curriculum vitae is attached as an Annexure A to BDS)

Annexure A to BDS - Curriculum vitae of Adjudicator

Dr. Jagdish Devidas Patil, IAS

Date of Birth : **2nd May 1959.**

Summary of Qualifications : Marathwada Agricultural University, Parbhani
 B.Sc.in Agriculture, 1978
 M.Sc. in Horticulture, 1980
 Ph.D. in Horticulture, 1984
 C-DAC, Pune
 Diploma in Information Technology.
 Post Graduate Programme in Public Policy & Management, IIM Bangalore 2008
 Successfully completed Mid-Career Training Programmes at:
 2006 - Syracuse University, New York, USA
 2007 - Duke University, USA
 2010 - Australian National University, Canberra, Australia

Professional experience : June-July, 1982. State Bank of India
Agricultural Officer
 Aug, 1982 – Sep, 1983. Yashwant College, Nanded.
Senior College Lecturer.
 Oct, 1983- Dec, 1983. Directorate of Horticulture, Pune.
Horticulture Officer
 Jan, 1984- Dec, 1984. Bank of Maharashtra.
Agricultural Finance Officer.
 June, 1985 – Oct, 1995. Worked as Dy. Collector/ SDM/ ADM at various places in the State.
PS to Minister Higher and Technical Education.
 (Promoted to the post of Additional Collector in April, 1999 and continued on the same post.)
 Oct, 1999 to Nov, 1999
General Manager, Maharashtra Industrial Development Corporation, Mumbai.
 Nov, 1999 to May 2004

PS to Minister for Finance & Planning, Maharashtra State. April-May 2004

Jt. M.D. MTDC & Director Tourism, Maharashtra State, Mumbai June 2004-May 2006

C.E.O. , Z.P. , Satara June 2006 to May 2007

I.I .M. Bangalore June 2007 to September 2007

Commissioner, Women and Child Dev. Maharashtra State Pune 03/10/2007 to 30.08.2011

Collector & District Magistrate Solapur
02.09.2011 to 30.8.2014

Managing Director, Maharashtra Tourism Development Corporation Ltd. , Mumbai
06/01/2015 to 05/05/2017

General Manager, The Brihan-Mumbai Electric Supply & Transport Undertaking
08/05/2017 to 06/06/2017

Commissioner for Cooperation Registrar of Cooperative Societies W.e.f. 07/06/2017

Divisional Commissioner, Konkan Division

Achievements

- : Unblemished & Outstanding service record.
- : Relief & Coordination work was highly appreciated during Mowad flood calamity (SDM Nagpur)
- : Served as SDM for 4 years in Naxal infested area of Chandrapur.
- : Received President of India medal for remarkable performance in Census 1991.
- : Honoured by Govt. of Maharashtra for outstanding work in rescue and rehabilitation operations in Killari Earthquake.
- : Honoured by Govt. of Maharashtra for outstanding work in Adult Education.
- : Received advance increment from Govt. on the basis of outstanding Confidential Reports
- : Travelled extensively to market Maharashtra tourism and for other Government assignments in Europe, USA, Canada, Japan and Australia.
- : Published research papers in Indian and International Journals.
- : Commendable work of total sanitation (Nirmal Gram Yojana) in Satara District. Commendable work on rehabilitation of Pardhi Community (still labelled as criminal tribe) in Solapur District and other nomadic tribes.
- : National Child Labour Project in the district ranked 1st in India because of special efforts.

Section III. Evaluation and Qualification Criteria

This Section contains all the criteria that the Employer shall use to evaluate bids and qualify Bidders. No other factors, methods or criteria shall be used. The Bidder shall provide all the information requested in the forms included in Section IV, Bidding Forms.

Section III. Evaluation and Qualification Criteria

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1. Evaluation Technical Part

1.1 Technical Evaluation

The Employer will carry out a detailed technical evaluation of the bids not previously rejected to determine whether the technical aspects are in compliance with the Bidding Document. The bid that does not meet minimum acceptable standards of completeness, consistency and detail, and the specified minimum (or maximum, as the case may be) requirements for specified functional guarantees, will be rejected for non-responsiveness.

Where alternative technical solutions have been allowed in accordance with ITB 13, and offered by the Bidder, the Employer will make a similar evaluation of the alternatives. Where alternatives have not been allowed but have been offered, they shall be ignored.

1.2 In addition to the criteria listed in ITB 31.2 (a) – (c) the following factors shall apply: NIL

1.3 The following factors and methods will apply: NIL

1.4 Functional Guarantees of the facilities – technical aspects

The minimum (or maximum) requirements stated in the Specification for functional guarantees required in the Specification are:

Functional Guarantee for project implementation phase	Minimum (or Maximum, as appropriate) Requirement
Technical Compliance of Goods	<p>All goods supplied shall meet their technical specifications provided in this tender document.</p> <p>Minor deviations (if any) may be waived off by the technical evaluation committee.</p>

Functional Guarantee after project implementation phase (upto 7 years)	Minimum (or Maximum, as appropriate) Requirement
Siren Systems	Minimum uptime of 99% every year
DMR Network	Minimum uptime of 99% every year
SEOC, DEOC, TEOC Network	Minimum uptime of 99% every year
NMS	Minimum uptime of 99% every year
Power Backup at SEOC, DEOC & TEOC	Minimum 2 Hours daily
Repair of Faulty equipment's/ parts	Maximum 1 week. Standby equipment's will be provided within 2 hours to restore services
Replacement of Faulty equipment's /parts	Maximum 1 month. Standby equipment's will be provided within 2 hours to restore services

Less than minimum is not acceptable. Hence it is recommended that necessary spare parts for equipment to be stored for maintaining the uptime limits.

1.5 Technical alternatives- technical aspects

The acceptability of alternative technical solutions for parts of the facilities, if invited in accordance with ITB 13.4, will be evaluated as follows:

--Not Applicable

1.6 Specific additional criteria

The relevant evaluation method, if any, shall be as follows:

--Not Applicable

2. Qualification

Factor	2.1 Eligibility					
Sub-Factor	Requirement	Criteria				Documentation Required
		Single Entity	Bidder			
			Joint Venture where permitted			
			All partners combined	Each partner	At least one partner	
2.1.1 Nationality	Nationality in accordance with ITB 4.2.	Must meet requirement	NA	NA	Lead Partner Must meet requirement	Form ELI –1.1 and 1.2, with attachments
2.1.2 Conflict of Interest	No- conflicts of interests as described in ITB 4.3.	Must meet requirement	NA	Must meet requirements	NA	Letter of Bid
2.1.3 Bank Ineligibility	Not having been declared ineligible by the Bank as described in ITB 4.4.	Must meet requirement	NA	Must meet requirements	NA	Letter of Bid
2.1.4 Government Owned Entity	Compliance with conditions of ITB 4.5	Must meet requirement	Must meet requirements	NA	NA	Form ELI –1.1 and 1.2, with attachments
2.1.5 Ineligibility based on a United Nations resolution or Borrower’s country law	Not having been excluded as a result of the Borrower’s country laws or official regulations, or by an act of compliance with UN Security Council resolution, in accordance with ITB 4.8	Must meet requirement	NA	Must meet requirements	NA	Letter of Bid

Factor	2.2 Historical Contract Non-Performance					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
	All partners combined		Each partner	At least one partner		
(i) History of non-performing contracts	Non-performance ⁷ of a contract did not occur within the last five (5) years prior to the deadline for application submission, based on all information on fully settled disputes or litigation. A fully settled dispute or litigation is one that has been resolved in accordance with the Dispute Resolution Mechanism under the respective contract, and where all appeal instances available to the bidder have been exhausted.	Must meet the requirement	NA	Must meet requirements	NA	Form CON - 2
(ii) Pending Litigation	All pending litigation shall in total not represent more than Twenty percent (20%) of the Bidder’s net worth and shall be treated as resolved against the Bidder.	Must meet the requirement	NA	Must meet requirements	NA	Form CON – 2

⁷Nonperformance, as decided by the Employer, shall include all contracts where (a) nonperformance was not challenged by the contractor, including through referral to the dispute resolution mechanism under the respective contract, and (b) contracts that were so challenged but fully settled against the contractor. Nonperformance shall not include contracts where Employers decision was overruled by the dispute resolution mechanism. Nonperformance must be based on all information on fully settled disputes or litigation, i.e. dispute or litigation that has been resolved in accordance with the dispute resolution mechanism under the respective contract and where all appeal instances available to the Bidder have been exhausted.

Factor	2.2 Historical Contract Non-Performance					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
(iii) Declaration: Environmental, Social, Health, and Safety (ESHS) past performance	Declare any contracts that have been suspended or terminated and/or performance security called by an employer for reasons related to the non-compliance of any environmental, or social, (including sexual exploitation and abuse (SEA) and gender based violence (GBV)), or health or safety requirements or safeguard in the past five years8.	Must make the declaration. Where there are Specialized Sub-contractor/s, the Specialized Sub-contractor/s must also make the declaration.	Must meet requirements (Lead Bidder)	NA	NA	Form CON-3 ESHS Performance Declaration (Appendix-20 to Technical Part)

⁸The Employer may use this information to seek further information or clarifications in carrying out its due diligence

Factor	2.3 Financial Situation					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
2.3.1 Historical Financial Performance	Submission of audited balance sheets or if not required by the law of the bidder’s country, other financial statements acceptable to the Employer, for the last three [3] years to demonstrate the current soundness of the bidders’ financial position and its prospective long term profitability. (a) Positive net worth for each of the last three financial years ending March 2018. Certificate from CA to be submitted. (b) Bidder is not Insolvent and Bankrupt.	Must meet requirement	NA	All partners must meet requirement.	NA	Form FIN – 3.1 with attachments
2.3.2 Average Annual Turnover ⁹	Minimum average annual turnover in IT and Telecom infrastructure services and System Integration of INR Seventy (70) crores, calculated as total certified payments received for contracts in progress or completed, within the last Three (3)financial years. CA Certificate to be submitted.	Must meet requirement	All partners must meet requirement	Each Partner must meet 25% of the requirement	Lead partner must meet 50% of the requirement	Form FIN – 3.2
Note: Financial turnover of previous years shall be given weightage @5% per year based on rupee value to bring them to the price level of the financial year in which bids are received.						

⁹ Refer to the 'Sample Qualification Requirements –EWDS s' at the end of Section III for any specific changes, if considered necessary.

Factor	2.3 Financial Situation					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
2.3.3 Financial Resources	<p>The Bidder must demonstrate access to, or availability of, financial resources such as liquid assets, unencumbered real assets, lines of credit, and other financial means, other than any contractual advance payments to meet:</p> <p>(i) the cash-flow requirement of Rs. 14 Cr. (Rupees Fourteen crores only) for the subject contract(s) net of the bidder’s other commitments</p> <p>(ii) the overall cash flow requirements for this contract and its current commitments.</p> <p>(iii) The bidder should be a firm with positive net worth in last three years. Certificate from the Chartered Accountant confirming the same shall be enclosed</p>	Must meet requirement	All partners must meet requirement	Each Partner must meet 25% of the requirement	Lead partner must meet 50% of the requirement	Form FIN – 3.3

Factor	2.4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
2.4.1 General Experience	<p>Experience in implementing heterogeneous IT/Telecom services/ System Integration projects in the role of contractor, subcontractor, or management contractor for at least the last five [5] years prior to the applications submission deadline, and with activity in at least nine (9) months in each year.</p> <p>Successful completion certificate /purchase order with delivery or shipping document or payment as a proof from competent authority required to be submitted.</p> <p>Should possess ISO 9001:2008 or later certification. Valid ISO 9001:2008 or later certificate/s required to be submitted</p>	Must meet requirement	Must meet requirements	NA	NA	Form EXP-2.4.1
2.4.2 Specific Experience	<p>(a) Participation as contractor, joint venture partner¹⁰, management contractor, or sub contractor within last 3 years , in at least one (1) contract with a value of at least 50 Crores or Two (2) contracts¹¹ each with a value of at least 25 Crores (25 Cr) within the last five (5)</p>	This requirement may be met by the bidder alone or with experiences of its declared subcontractors for	Must meet requirements	NA	NA	Form EXP 2.4.2(a)

¹⁰For contracts under which the Bidder participated as a joint venture partner or sub-contractor, only the Bidder's share, by value, shall be considered to meet this requirement

¹²Substantial completion shall be based on 80% or more EWDS and installation completed under the contract.

Factor	2.4 Experience					
Sub-Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
	years, that have been successfully and substantially ¹² completed and that are similar to the proposed Early Warning Dissemination System (EWDS). The similarity shall be based on the physical size, complexity, methods/technology or other characteristics as described in Section VI, Employer’s Requirements.	this project.				
2.4.2 Specific Experience	(b) For the above or other contracts executed during the period stipulated in 2.4.2(a) above, a minimum experience in the following key activities: i) Should have implemented at least one (1) project related to Setting up of a data centre and/or Emergency Operation Centre and/or Network Operation Centre with LAN, WAN, Internet Services with over 30 Nodes. Successful completion certificate and P.O. from competent authority required to be submitted	Bidder/Subcontractor/ OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	Form EXP-2.4.2(b)

¹²Substantial completion shall be based on 80% or more EWDS and installation completed under the contract.

Factor	2.4 Experience					
Sub Factor	Criteria					Documentation Required
	Requirement	Bidder				
		Single Entity	Joint Venture			
			All partners combined	Each partner	At least one partner	
	ii) Should have implemented at least two (2) Alert Siren System projects at minimum in total 50 locations for mass notification in last five years. Successful completion certificate and P.O from competent authority required to be submitted.	Bidder/Subcontractor / OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	
	iii) Should have erected at least twenty (20) angular towers and at least fifty (50) monopoles of height of at least 10 meter in the last five years. Successful completion certificate and P.O from competent authority needs to be submitted.	Bidder/Subcontractor / OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	

2.4.2 Specific Experience	iv) Should have supplied and maintained at least twenty (20) nos. of DMR/TETRA repeaters in last five years. Successful completion certificate and P.O. from competent authority required to be submitted.	Bidder/Subcontractor / OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	Form EXP-2.4.2(b)
	v) Should have supplied and maintained at least twenty (20) nos. of Satellite Phones in last five years. Successful completion certificate and P.O. from competent authority required to be submitted.	Bidder/Subcontractor / OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	
	vi) Should have supplied and maintained at least twenty (20) nos. of UPS along with battery in last five years. Successful completion certificate and P.O. from competent authority required to be submitted. Note: Technical Experience can be individually or combined with the Subcontractor/ OEM/ OEM Authorized partners provided they have mutual agreement specific for their scope of work in this project.	Bidder/Subcontractor / OEM/ OEM Authorized Partners must meet the requirements	Must meet requirements	NA	NA	

2.5 Personnel

The Bidder must demonstrate by means of submitting CVs, that it will have the personnel for the key positions that meet the following requirements:

No.	Position	No.	Minimum Qualification	Total Work Experience (years)	Similar Works Experience (years)
1	Project Manager	1	BE/B.Tech in IT/ECE/CS	Minimum 10 years	Minimum 5 years
2	IT & Networking Expert	2	BE/B.Tech in IT/ECE/CS	Minimum 5 Years	Minimum 2 years
3	Telecom Expert	2	BE/B.Tech in IT/ECE/CS	Minimum 5 Years	Minimum 2 years
4	Environmental Expert	1	Graduate in Environmental Sciences	Minimum 5 Years	Minimum 2 years
5	Health and Safety Expert	1	Graduate in Rural Health Sciences	Minimum 5 Years	Minimum 2 years
6	Social Expert	1	Graduate in Social Sciences	Minimum 5 Years	Minimum 2 years

The Bidder must not have in his employment:

- [i] the near relations (defined as first blood relations, and their spouses, of the bidder or the bidder's spouse) of persons of the following Government Departments:
 - Ministry of Revenue
 - Relief & Rehabilitation Department
 - Disaster Management Department
 - NDMA (National Disaster Management Authority)
 - SDMA (State disaster management authority), Maharashtra
- [ii] without Government permission, any person who retired as gazetted officer within the last two years.

The Bidder shall provide details of the proposed personnel and their experience records in the relevant Forms included in Section IV, Bidding Forms.

2.6 Equipment

The Bidder must demonstrate that it will have access to the key Contractor's equipment listed hereafter:

No.	Equipment Type and Characteristics	Minimum Number required
1	Heavy duty cranes for lifting poles/towers	5
2	Tools & testing equipment's for Network	2
3	Tools & Testing equipment's for Sirens	2
4	Tools & Testing equipment's for DMR	2

The Bidder shall provide further details of proposed items of equipment using the relevant Form in Section IV.

2.7 Subcontractors

Subcontractors/manufacturers for the following major items of supply or services ('Specialized Subcontractors') must meet the following minimum criteria, herein listed for that item:

Item No.	Description of Item	Minimum Criteria to be met ¹³
1	Data center/ LAN/ WAN	Certificate/PO as per clause no 2.4.2 (b) (i)
2	Siren system	Certificate/PO as per clause no 2.4.2 (b) (ii)
3	Poles / Towers	Certificate/PO as per clause no 2.4.2 (b) (iii)
4	DMR system	Certificate/PO as per clause no 2.4.2 (b) (iv)
5	Satellite Phones	Certificate/PO as per clause no 2.4.2 (b) (v)
6	Power system	Certificate/PO as per clause no 2.4.2 (b) (vi)

Failure to comply with this requirement will result in rejection of the subcontractor. In the case of a Bidder who offers to supply and install major items of supply under the contract that the Bidder did not manufacture or otherwise produce, the Bidder shall provide the manufacturer's authorization, using the form provided in Section IV, showing that the Bidder has been duly authorized by the manufacturer or producer of the related plant and equipment or component to supply and install that item in the Employer's country. The Bidder is responsible for ensuring that the manufacturer or producer complies with the requirements of ITB 4 and 5 and meets the minimum criteria listed above for that item.

¹³ Specify keeping in view the qualifying criteria specified for the Bidder or authorized representative of a manufacturer

3. Evaluation – Financial Part

3.1 The following factors and methods will apply:

(a) Time Schedule

Time to complete the design, supply, installation and commissioning of Early Warning Dissemination System (EWDS) from the effective date specified in Article 3 of the Contract Agreement for determining time for completion of pre-commissioning activities is: 12 months. No credit will be given for earlier completion.

(b) Operating and Maintenance Costs

Reference to the methodology specified in the Specification or elsewhere in the Bidding Document.

The price of recommended spare parts shall not be considered for evaluation.

(c) Functional Guarantees of the Facilities – financial aspects

The minimum (or maximum) requirements stated in the Specification for functional guarantees required in the Specification are:

- No guarantee required as there is provision for PBG already.

(d) Work, services, facilities etc., to be provided by the Employer

Where bids include the undertaking of work or the provision of services or facilities by the Employer in excess of the provisions allowed for in the Bidding document, the Employer shall assess the costs of such additional work, services and/or facilities during the duration of the contract. Such costs shall be added to the Bid price for evaluation.

- Necessary permissions & access to sites of installation

(e) Specific additional criteria

--Not applicable

(f) Technical Alternative – financial aspects

Technical alternatives, if invited in accordance with ITB 13.4, will be evaluated as follows:

--Not Applicable

(g) Multiple Contracts (ITB 35.3)

--Not applicable

Section IV. Bidding Forms

Letter of Bid – Technical Part

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid – Technical Part on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

Date: _____

NCB No.: _____

Invitation for Bid No.: _____

Alternative No¹⁴.: _____

To: _____

We, the undersigned, hereby submit our Bid, in two parts, namely:

- (a) the Technical Part, and
- (b) the Financial Part

In submitting our Bid, we make the following declarations:

- (a) We have examined and have no reservations to the Bidding Document, including Addenda issued in accordance with Instructions to Bidders (ITB)8 _____;
- (b) We offer to _____, in conformity with the Bidding Document, the following Plant and Installation Services: _____
- (c) Our bid shall be valid for a period of _____ days from the date fixed for the bid submission deadline in accordance with the Bidding Document, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;
- (d) If our bid is accepted, we commit to obtain a performance security [*and an Environmental, Social, Health and Safety (ESHS) Performance Security*], in accordance with the Bidding Document;
- (e) We, including any subcontractors or manufacturers for any part of the contract, have or will have nationalities from eligible countries, in accordance with ITB-4.2;
- (f) We, including any subcontractors or manufacturers for any part of the contract, do not have any conflict of interest in accordance with ITB-4.3;

¹⁴insert identification No. if this is a Bid for an alternative

- (g) We are not submitting more than one bid in this bidding process as a Bidder, either individually or as a partner in a joint venture, in accordance with ITB-4.3, except for alternative offers permitted under ITB Clause 13;
- (h) We, along with any of our subcontractors, suppliers, consultants, manufacturers, or service providers for any part of the contract, are not subject to, and not controlled by any entity or individual that is subject to, a temporary suspension or a debarment imposed by a member of the World Bank Group or a debarment imposed by the World Bank Group in accordance with the Agreement for Mutual Enforcement of Debarment Decisions between the World Bank and other development banks. Further, we are not ineligible under the Employer's country laws or official regulations or pursuant to a decision of the United Nations Security Council;
- (i) We are not a government owned entity/ We are a government owned entity but meet the requirements of ITB-4.5;¹⁵
- (j) We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed;
- (k) We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive;
- (l) We hereby certify that we have taken steps to ensure that no person acting for us or on our behalf engages in any type of Fraud and Corruption; and
- (m) **Adjudicator:** We accept the appointment of [insert name proposed in Bid Data Sheet] as the Adjudicator.

[or]

We do not accept the appointment of [insert name proposed in Bid Data Sheet] as the Adjudicator, and propose instead that [insert name] be appointed¹⁶ as Adjudicator, whose daily fees and biographical data are attached.

Name _____ In the capacity of _____

Signed _____

Duly authorized to sign** the bid for and on behalf of _____

¹⁵*Bidder to use as appropriate*

¹⁶ In case appointment of Adjudicator was proposed from the list provided by an Institution in ITB 43, the replacement should also be proposed from the list of same institution.

Dated on _____ day of _____, _____

**: Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid. In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder.

Appendix-1to Technical Part

Technical Proposal

The bidder shall submit a Technical Proposal along with following information:

- Site Organization
- Method Statement
- Mobilization Schedule
- Construction Schedule
- Code of Conduct (ESHS)
- ESHS Management Strategies and Implementation Plans
- Check list for compliance to ESHS Requirements
- Contractor's Equipment
- Functional Guarantees
- Proposed Personnel
- Proposed Subcontractors for Major Items of Plant and Installation Services
- Country of Origin Declaration Form
- Others

Appendix-2 to Technical Part

Site Organization

[Insert Site Organization Information]

Appendix-3 to Technical Part

Method Statement

[insert Method Statement - A detailed note should be submitted outlining bidders proposed methodology and program for the provision of Plant and Installation Services, backed with equipment, materials and manpower planning and deployment, and quality control procedures proposed to be adopted, justifying Bidder's capability for execution and timely completion of the work as per technical specifications, and achieve Commissioning and Acceptance of the Facilities within the specified Times for Completion].

Appendix-4 to Technical Part

Mobilization Schedule

[Insert Mobilization Schedule]

Appendix-5 to Technical Part

Construction Schedule

The Bidder **must prepare a Preliminary Project Plan and Implementation Schedule** describing, among other things, the methods and human and material resources that the Bidder proposes to employ in the design, management, coordination, and execution of all its responsibilities, if awarded the Contract, as well as the estimated duration and completion date for each major activity. Bidder is required to complete the installation and commissioning of the entire system within 12 months from the date of the award of work order.

Item category	S No	Item	Site Code	(Bidder to specify in the Preliminary Project Plan)	Installation (weeks from Effective Date)	Acceptance (weeks from Effective Date)	Liquidated Damages Milestone
Design Finalization	1	Discussions & design finalization among SPIU, TCIL, PMC & Implementing agency	SPIU				
DMR Radio	2	DMR Handheld terminal including WPC license, Software	SEOC, DEOC, TEOC				
	3	DMR Base Stations including WPC license, Software	DEOC				
	4	Supply & Installation of Towers for DMR Repeaters	RPTR				
Satellite comm.	5	Satellite handsets & WPC license	SEOC, DEOC, TEOC				
Siren system	6	Horn speakers with mounting arrangement	MPCS, TL				
	7	Remote Terminal Unit (RTU) along with SIM Cards	MPCS, TL				
	8	Siren Management Systems with hardware & software	SEOC, DEOC				
	9	Supply & Installation of Poles	MPCS, TL				

Power	10	Supply and installation of UPS & DG Set in SEOC	SEOC				
	11	Supply and installation of UPS & DG Set in DEOCs	DEOC				
	12	Supply and installation of UPS & DG-set in TEOCs	TEOC				
	13	Supply and installation of UPS & DG-set at Siren locations	TL				
	14	Supply and installation of UPS & DG-set at DMR Repeater Locations	RPTR				
EOC setup	15	Civil Works (flooring, ceiling, painting etc)	SEOC, DEOC, TEOC				
	16	Supply & Installation of Air Conditioners	SEOC, DEOC, TEOC				
	17	Supply & Installation of office automation equipments (Laptops, Printers, Fax Machines, LED/Plasma TV etc.)	SEOC, DEOC, TEOC				
	18	Supply of Furniture (Table, Chair, Almirah)	SEOC, DEOC, TEOC				
Networking	19	Supply and installation Patch Panels & Switches	SEOC, DEOC, TEOC				
	20	Supply and installation of LAN Cables	SEOC, DEOC, TEOC				
	21	Supply and installation of Network & Server Racks	SEOC, DEOC, TEOC				
	22	Supply & Installation of Universal Gateways	SEOC, DEOC				
	23	Supply & Installation of NMS	SEOC				
	24	Supply & Installation of Video Wall	SEOC				

Appendix-6 to Technical Part

Code of Conduct: Environmental, Social, Health and Safety (ESHS)

The Bidder shall submit the Code of Conduct that will apply to the Contractor's employees and subcontractors as required by ITB 11.2 (j) of the Bid Data Sheet and Sub-section-E of Section-VI (Employer's Requirements). The Code of Conduct shall ensure compliance with the ESHS provisions of the contract, including those as may be more fully described in the Employer's Requirements described in Section VI.

In addition, the Bidder shall submit an outline of how this Code of Conduct will be implemented. This will include: how it will be introduced into conditions of employment/engagement, what training will be provided, how it will be monitored and how the Contractor proposes to deal with any breaches.

Appendix-7 to Technical Part

ESHS Management Strategies and Implementation Plans

(ESHS-MSIP)

The Bidder shall submit comprehensive and concise Environmental, Social, Health and Safety Management Strategies and Implementation Plans (ESHS-MSIP) as required by ITB 11.2 (j) of the Bid Data Sheet and Sub-section-E of Section-VI (Employer's Requirements). These strategies and plans shall describe in detail the actions, materials, equipment, management processes etc. that will be implemented by the Contractor, and its subcontractors.

In developing these strategies and plans, the Bidder shall have regard to the ESHS provisions of the contract including those as may be more fully described in the Employer's Requirements described in Section VI.

Appendix-8 to Technical Part

Checklist for Compliance of ESHS Requirements

The bidder shall submit the checklist for compliance to ESHS requirements as required by ITB 11.2 (j) of the Bid Data Sheet and Sub-section-E of Section-VI (Employer's Requirements).

Appendix to Technical Part

EWDS

Appendix-9 to Technical Part

Contractor's Equipment

Form EQU

The Bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key Contractor's equipment listed in Section III, Evaluation and Qualification Criteria. A separate Form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the Bidder.

Item of equipment		
Equipment information	Name of manufacturer	Model and power rating
	Capacity	Year of manufacture
Current status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

Omit the following information for equipment owned by the Bidder.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

Appendix-10 to Technical Part

Functional Guarantees

Form FUNC

The Bidder shall copy in the left column of the table below, the identification of each functional guarantee required in the Specification and stated by the Employer in para. 1.2 (c) of Section III. Evaluation and Qualification Criteria, and in the right column, provide the corresponding value for each functional guarantee of the proposed plant and equipment.

Required Functional Guarantee	Value of Functional Guarantee of the Proposed Plant and Equipment
1.	
2.	
3.	
...	

Appendix-11 to Technical Part

Personnel

Form PER -1

Proposed Personnel

Bidders should provide the names of suitably qualified personnel to meet the specified requirements stated in Section III. The data on their experience should be supplied using the Form below for each candidate.

1.	Title of position*
	Name
2.	Title of position* <i>[Environmental Specialist]</i>
	Name
3.	Title of position* <i>[Health and Safety Specialist]</i>
	Name
4.	Title of position* <i>[Social Specialist]</i>
	Name
5.	
6.	

*As listed in Section III.

Appendix-12 to Technical Part

Form PER-2

Resume of Proposed Personnel

Name of Bidder

Position		
Personnel information	Name	Date of birth
	Professional qualifications	
Present employment	Name of employer	
	Address of employer	
	Telephone	Contact (manager / personnel officer)
	Fax	E-mail
	Job title	Years with present employer

Summarize professional experience over the last 20 years, in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

From	To	Company / Project / Position / Relevant technical and management experience

Appendix-13 to Technical Part

Proposed Subcontractors for Major Items of Plant and Installation Services

A list of major items of EWDS and Installation Services is provided below.

The following Subcontractors and/or manufacturers are proposed for carrying out the item of the facilities indicated. Bidders are free to propose more than one for each item

Major Items of EWDS and Installation Services	Proposed Subcontractors/Manufacturers	Nationality
DMR Systems		
Siren Systems		
Satellite Phones		
Networking		
Power Systems		
Pole & Tower Installation		
Civil works & Air conditioning at SEOC, DEOCs & TEOCs		

[Note: Reference ITB 11, (a) Manufacturer's Authorization; and (b) Subcontractor Agreement or a letter of intent between the parties to enter into a Subcontractor Agreement are to be submitted along with the bid for major items of supply and services]

Appendix-14 to Technical Part

Country of Origin Declaration Form

Item	Description	Country Code	Country

[Note: Bidders shall enter the full name or code representing the country of origin of all imported plant, equipment, and spares]

Appendix-15 to Technical Part

Others - Time Schedule

(to be used by Bidder when alternative Time for **Completion** is invited in ITB 13.2)

Not Applicable

Appendix to Technical Part

Bidders Qualification

To establish its qualifications to perform the contract in accordance with Section III, Evaluation and Qualification Criteria the Bidder shall provide the information requested in the corresponding Information Sheets included hereunder.

Appendix-16 to Technical Part

Form ELI 1.1

Bidder Information Sheet

Date: _____

NCB No.: _____

Invitation for Bid No.: _____

Page _____ of _____ pages

1. Bidder's Legal Name
2. In case of JV, legal name of each party:
3. Bidder's actual or intended Country of Registration:
4. Bidder's Year of Registration:
5. Bidder's Legal Address in Country of Registration:
6. Bidder's Authorized Representative Information Name: Address: Telephone/Fax numbers: Email Address:
7. Attached are copies of original documents of: <input type="checkbox"/> Articles of Incorporation or Registration of firm named in 1, above, in accordance with ITB Sub-Clauses 4.1 and 4.2. <input type="checkbox"/> In case of JV, letter of intent to form JV including a draft agreement, or JV agreement, in accordance with ITB Sub-Clauses 4.1 and 11.2(h). <input type="checkbox"/> In case of government owned entity from the Employer's country, documents establishing legal and financial autonomy and compliance with the principles of commercial law, in accordance with ITB Sub-Clause 4.5.

Please note that a written authorization needs to be attached to this sheet as required by ITB 21.2.

Appendix-17 to Technical Part

Form ELI 1.2

Party to JV Information Sheet

(Where permitted as per BDS ITB 4.1)

Each partner of a JV must fill in this form

Date: _____
 NCB No.: _____
 Invitation for Bid No.: _____
 Page _____ of _____ pages

1. Bidder's Legal Name:
2. JV's Party legal name:
3. JV's Party Country of Registration:
4. JV's Party Year of Registration:
5. JV's Party Legal Address in Country of Registration:
6. JV's Party Authorized Representative Information Name: Address: Telephone/Fax numbers: Email Address:
7. Attached are copies of original documents of: <input type="checkbox"/> Articles of Incorporation or Registration of firm named in 1, above, in accordance with ITB Sub-Clauses 4.1 and 4.2. <input type="checkbox"/> In case of government owned entity from the Purchaser's country, documents establishing legal and financial autonomy and compliance with the principles of commercial law, in accordance with ITB Sub-Clause 4.5.

Appendix-18 to Technical Part
Details of Participation in the
Joint Venture (where permitted)

PARTICIPATION DETAILS	FIRM 'A' (Lead Member)	FIRM 'B'	FIRM 'C'
Financial			
Name of the Banker(s)			
Planning			
Construction Equipment			
Key Personnel			
Execution of Work (Give details on contribution of each)			

The Joint Venture should indicate the details of participation as above.

Appendix-19 to Technical Part

Form CON – 2

Historical Contract Non-Performance

[The following table shall be filled in for the Bidder and if JV, for each partner of the Joint Venture]

Bidder's Legal Name: _____

Date: _____

JV Partner Legal Name: _____

NCB No.: _____

Page _____ of _____ pages

Non-Performing Contracts in accordance with Section III, Evaluation Criteria			
<input type="checkbox"/> Contract non-performance did not occur during the stipulated period, in accordance with Sub-Factor 2.2.1 of Section III, Evaluation Criteria <input type="checkbox"/> Contract(s) not performed since 1 st January <i>[insert year]</i> specified in Section III, Evaluation and Qualification Criteria, requirement 2.2.1			
Year	Non- performed portion of contract	Contract Identification	Total Contract Amount (Rs. million)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for nonperformance: <i>[indicate main reason(s)]</i>	<i>[insert amount]</i>
Pending Litigation, in accordance with Section III, Evaluation Criteria			
<input type="checkbox"/> No pending litigation in accordance with Sub-Factor 2.2.2 of Section III, Evaluation Criteria <input type="checkbox"/> Pending litigation in accordance with Sub-Factor 2.2.2 of Section III, Evaluation Criteria, as indicated below			
Year	Amount in Dispute (Rs. million)	Contract Identification	Total Contract Amount (Rs. million)

_____	_____	Contract Identification: Name of Employer: Address of Employer: Matter in dispute: Party who initiated the dispute: ____ Status of dispute: _____	_____
_____	_____	Contract Identification: Name of Employer: Address of Employer: Matter in dispute: Party who initiated the dispute: ____ Status of dispute: _____	_____

Appendix-20 to Technical Part

Form CON – 3: Environmental, Social, Health, and Safety Performance Declaration

[The following table shall be filled in for the Bidder, each partner of a Joint Venture and each Specialized Subcontractor]

Bidder's Name: *[insert full name]*

Date: *[insert day, month, year]*

Joint Venture Partner's or Specialized Subcontractor's Name: *[insert full name]*

NCB No. and title: *[insert ICB number and title]*

Page *[insert page number]* of *[insert total number]* pages

Environmental, Social, Health, and Safety Performance Declaration in accordance with Section III, Qualification Criteria, and Requirements			
<input type="checkbox"/> No suspension or termination of contract: An employer has not suspended or terminated a contract and/or called the performance security for a contract for reasons related to Environmental, Social, Health, or Safety (ESHS) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.2.3.			
<input type="checkbox"/> Declaration of suspension or termination of contract: The following contract(s) has/have been suspended or terminated and/or Performance Security called by an employer(s) for reasons related to Environmental, Social, Health, or Safety (ESHS) performance since the date specified in Section III, Qualification Criteria, and Requirements, Sub-Factor 2.2.3. Details are described below:			
Year	Suspended or terminated portion of contract	Contract Identification	Total Contract Amount (current value, in Rs. million)
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s) e.g. for GBV/ SEA breaches]</i>	<i>[insert amount]</i>
<i>[insert year]</i>	<i>[insert amount and percentage]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i>	<i>[insert amount]</i>

		Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for suspension or termination: <i>[indicate main reason(s)]</i>	
...	...	<i>[list all applicable contracts]</i>	...
Performance Security called by an employer(s) for reasons related to ESHS performance			
Year	Contract Identification		Total Contract Amount (current value, in Rs. million)
<i>[insert year]</i>	Contract Identification: <i>[indicate complete contract name/ number, and any other identification]</i> Name of Employer: <i>[insert full name]</i> Address of Employer: <i>[insert street/city/country]</i> Reason(s) for calling of performance security: <i>[indicate main reason(s) e.g. for GBV/ SEA breaches]</i>		<i>[insert amount]</i>

Appendix-21 to Technical Part

Form CCC

Current Contract Commitments / Works in Progress

Bidders and each partner to a JV should provide information on their current commitments on all contracts that have been awarded, or for which a letter of intent or acceptance has been received, or for contracts approaching completion, but for which an unqualified, full completion certificate has yet to be issued.

Name of contract	Employer, contact address/tel/fax	Value of outstanding work (Rs. million)	Estimated completion date	Average monthly invoicing over last six months (Rs. million/month)
1.				
2.				
3.				
4.				
5.				
etc.				

Appendix-22 to Technical Part

Form FIN – 3.1

Financial Situation

Historical Financial Performance

Bidder's Legal Name: _____

Date: _____

JV Partner Legal Name: _____

NCB No.: _____

Page _____ of _____ pages

To be completed by the Bidder and, if JV, by each partner

Financial information in Rs.	Historic information for previous _____ (____) years (in Rs. million)						
	Year 1	Year 2	Year 3	Year ...	Year n	Avg.	Avg. Ratio
Information from Balance Sheet							
Total Assets (TA)							
Total Liabilities (TL)							
Net Worth (NW)							
Current Assets (CA)							
Current Liabilities (CL)							
Shareholder's Funds (Net Worth)=(Paid up equity +Reserves)- (revaluation reserves + Miscellaneous expenditure not written off)							

Depreciation							
Information from Income Statement							
Total Revenue (TR)							
Profits Before Taxes (PBT)							
Cash Flow Information							
Cash Flow from Operating Activities							
Net cash accruals= Profit after Tax + depreciation							
This information should be extracted from the Annual Financial Statements/ Balance sheets, which should be enclosed. Year 1 will be the latest year for which audited financial statements are available. Year 2 shall be the year immediately preceding year 1 and year 3 shall be the year immediately preceding Year 2.							

- ☐ Attached are copies of financial statements (balance sheets, including all related notes, and income statements) for the years required above complying with the following conditions:
- (a) Must reflect the financial situation of the Bidder or partner to a JV, and not sister or parent companies
 - (b) Historic financial statements must be audited by a certified accountant
 - (c) Historic financial statements must be complete, including all notes to the financial statements
 - (d) Historic financial statements must correspond to accounting periods already completed and audited (no statements for partial periods shall be requested or accepted)

Appendix-23 to Technical Part

Form FIN – 3.2

Average Annual Turnover

[The following table shall be filled in for the Bidder and if JV, for each partner of the Joint Venture]

Bidder's Legal Name: _____ Date: _____

JV Partner Legal Name: _____ NCB No.: _____

Page _____ of _____ pages

Annual turnover data (construction only)**	
Year	Rs. million
*Average Annual Construction Turnover	

*Average annual turnover calculated as total certified payments received for work in progress or completed, divided by the number of years specified in Section III, Evaluation Criteria, Sub-Factor 2.3.2.

** This should be certified by a Chartered Accountant.

Appendix-24 to Technical Part

Form FIN3.3

Financial Resources

Specify proposed sources of financing, such as liquid assets, unencumbered real assets, lines of credit, and other financial means, net of current commitments, available to meet the total construction cash flow demands of the subject contract or contracts as indicated in Section III, Evaluation and Qualification Criteria

Source of financing	Amount (Rs. million)
1.	
2.	
3.	
4.	

FORMAT FOR EVIDENCE OF ACCESS TO OR AVAILABILITY OF CASH FLOW

[To be given from a Nationalized or Scheduled Bank in India-No substitute other than this will be acceptable)]

Clause 2.3.3(ii) of Section III – Qualification Criteria

(1) AVAILABILITY OF CASH FLOW (WORKING CAPITAL)

This is to certify that M/s. _____ is a reputed company with a good financial standing.

If the contract for the works, namely _____ [funded by the World Bank] is awarded to the above firm, we shall be able to provide overdraft/credit facilities to the extent of Rs. _____ to meet their capital requirements for executing the above contract.

-- Sd. --

Name of Bank Manager

Senior Bank Manager

Address of the Bank

*** Change the text as follows for Joint venture:**

This is to certify that M/s. who has formed a JV with M/s. and M/s. for participating in this bid, is a reputed company with a good financial standing.

If the contract for the work, namely [funded by the World Bank] is awarded to the above Joint Venture, we shall be able to provide overdraft/credit facilities to the extent of Rs. to meet the working capital requirements for executing the above contract.

[This should be given by the JV partners in proportion to their financial participation.]

Appendix-25 to Technical Part

Form EXP 2.4.1

Experience- General Experience

[The following table shall be filled for the Bidder and if JV, for each partner of the Joint Venture. Identify contracts that demonstrate continuous construction work over the past [5¹⁷] years pursuant to Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.1. List contracts chronologically, according to their commencement (starting) dates]

Bidder's Legal Name: _____ Date: _____
 JV Partner Legal Name: _____ NCB No.: _____
 Page _____ of _____ pages

Starting Month / Year	Ending Month / Year	Years *	Contract Identification	Role of Bidder[“ Contractor ” or “Subcontra ctor” or “Contract Manager”]
_____	_____	_____	Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____
_____	_____	_____	Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____
_____	_____	_____	Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____

¹⁷ Modify to the number of years specified in Section III, Qualification Criteria and Requirements, Sub-Factor 2.4.1, if different from 5.

Starting Month / Year	Ending Month / Year	Years *	Contract Identification	Role of Bidder[“ Contractor ” or “Subcontractor” or “Contract Manager”]
_____	_____		Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____
_____	_____		Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____
_____	_____		Contract name: Brief Description of the Works performed by the Bidder: Name of Employer: Amount of Contract Actual Completion Value Address:	_____

*List calendar year for years with contracts with at least nine (9) months activity per year starting with the earliest year

Appendix-26 to Technical Part

Form EXP – 2.4.2(a)

Specific Experience

[The following table shall be filled in for contracts performed by the Bidder, each partner of a Joint Venture where permitted, and specialist sub-contractors]

Bidder's Legal Name: _____ Date: _____

JV Partner Legal Name: _____ NCB No.: _____

Page _____ of _____ pages

Similar Contract Number: ____ of ____ required.	Information		
Contract Identification	_____		
Award date	_____		
Stipulated Completion date	_____		
Actual Completion date (provide explanation in case of delayed completion)	_____		
Role in Contract	<input type="checkbox"/> Contractor	<input type="checkbox"/> Management Contractor	<input type="checkbox"/> Subcontract or
Total contract amount	_____		Rs. million _____
If partner in a JV or subcontractor, specify participation of total contract amount	_____ %	_____	Rs. million _____
Total contract amount on completion (if over original contract amount, provide explanation)			Rs. Million _____
Employer's Name:	_____		

Similar Contract Number: ____ of ____ required.	Information
Address:	<hr/>
	<hr/>
Telephone/fax number:	<hr/>
E-mail:	<hr/>

Appendix to Technical Part

Form EXP – 2.4.2(a) (cont.)

Specific Experience (cont.)

Bidder's Legal Name: _____ Page _____ of _____ pages
 JV Partner Legal Name: _____

Similar Contract No. __[insert specific number] of [total number of contracts] __ required	Information
Description of the similarity in accordance with Sub-Factor 2.4.2(a) of Section III:	
Amount	Rs. million _____
Physical size	_____ _____
Complexity	_____ _____
Methods/Technology	_____ _____
Physical Production Rate	_____ _____

Appendix-27 to Technical Part

Form EXP – 2.4.2(b)

Specific Experience in Key Activities

Bidder's Legal Name: _____ Date: _____

JV Partner Legal Name: _____ NCB No.: _____

Subcontractor's Legal Name: _____ Page _____ of _____ pages

	Information		
Contract Identification	_____		
Award date	_____		
Completion date	_____		
Role in Contract	<input type="checkbox"/> Contractor	<input type="checkbox"/> Management Contractor	<input type="checkbox"/> Subcontract or
Total contract amount	_____		Rs. Million _____
If partner in a JV or subcontractor, specify participation of total contract amount	_____ %	_____	Rs. Million _____
Employer's Name:	_____		
Address:	_____ _____		
Telephone/fax number:	_____		
E-mail:	_____		

Appendix to Technical Part

Form EXP – 2.4.2 (b)(cont.)

Specific Experience in Key Activities (cont.)

Bidder's Legal Name: _____ Page _____ of _____ pages

JV Partner Legal Name: _____

Subcontractor's Legal Name: _____

	Information
Description of the key activities in accordance with Sub-Factor 2.4.2(b) of Section III:	

Appendix-28 to Technical Part

Form of Bid Security (Bank Guarantee)

[Guarantor letterhead or SWIFT identifier code]

Bid Guarantee No.....*[insert guarantee reference number]*

Date.....*[insert date of issue of the guarantee]*

WHEREAS, _____ *[name of Bidder]*¹⁸ (hereinafter called "the Bidder") has submitted his Bid dated _____ *[date]* or will submit his Bid for the execution of _____ *[name of Contract]* (hereinafter called "the Bid") under Invitations for Bids No.....*[insert number]* (hereinafter called "the IFB")

KNOW ALL PEOPLE by these presents that We _____ *[name of bank]* of _____ *[name of country]* having our registered office at _____ (hereinafter called "the Bank") are bound unto _____ *[name of Employer]* (hereinafter called "the Employer") in the sum of _____¹⁹ for which payment well and truly to be made to the said Employer the Bank binds itself, his successors and assigns by these presents.

SEALED with the Common Seal of the said Bank this _____ day of _____ 20____.

THE CONDITIONS of this obligation are:

- (1) If after Bid opening the Bidder (a) withdraws his bid during the period of Bid validity specified in the Letter of Bid; or (b) does not accept the correction of the Bid Price pursuant to ITB 36;

or

- (2) If the Bidder having been notified of the acceptance of his bid by the Employer during the period of Bid validity:
 - (a) fails or refuses to execute the Contract Agreement in accordance with the Instructions to Bidders, if required; or
 - (b) fails or refuses to furnish the Performance Security and the Environmental, Social, Health and Safety (ESHS) Performance Security, in accordance with the Instruction to Bidders.

¹⁸Insert name of the Bidder, which in the case of a joint venture shall be (a) the name of the joint venture that submits the bid if the JV has been constituted into a legally enforceable JV, or (b) the names of all future partners of the JV as named in the letter of intent to execute the JV Agreement submitted by the bidder alongwith its bid.

¹⁹The Bidder should insert the amount of the guarantee in words and figures denominated in Indian Rupees. This figure should be the same as shown in Clause 20.1 of the Instructions to Bidders.

we undertake to pay to the Employer up to the above amount upon receipt of his first written demand, without the Employer having to substantiate his demand, provided that in his demand the Employer will note that the amount claimed by him is due to him owing to the occurrence of one or any of the four conditions, specifying the occurred condition or conditions.

This Guarantee will remain in force up to and including the date _____²⁰ days after the deadline for submission of Bids as such deadline is stated in the Instructions to Bidders or as it may be extended by the Employer, notice of which extension(s) to the Bank is hereby waived. Any demand in respect of this guarantee should reach the Bank not later than the above date.

DATE _____ SIGNATURE OF THE BANK

WITNESS _____ SEAL _____

[signature, name, and address]

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

²⁰45 days after the end of the validity period of the Bid.

Appendix-29 to Technical Part

Manufacturer's Authorization

*[The Bidder shall require the Manufacturer to fill in this Form in accordance with the instructions indicated. This letter of authorization should be on the letterhead of the Manufacturer, should be signed by a person competent and having Power of Attorney to sign documents that are binding on the Manufacturer, and shall be registered or notarized so as to be legally enforceable. The Bidder shall include it in its Bid, if so indicated in the **BDS**.]*

Date: _____
NCB No.: _____

To: _____

WHEREAS

We _____ *[insert: name of Manufacturer]*, who are official manufacturers of _____ *[insert: name and/or description of the plant & equipment]*, having factories at _____ *[insert: address of factory]*, do hereby authorize _____ *[insert: name & address of Bidder]* to submit a bid the purpose of which is to provide the following goods, manufactured by us _____ *[list: items for which the Manufacturer's Authorization applies]*, and to subsequently negotiate and sign the Contract against IFB *[insert: title and reference number of Invitation for Bids]*.

We hereby extend our full guarantee and warranty in accordance with Clause 27 of the General Conditions, with respect to the goods offered by the above firm.

We also hereby declare that we and, *[insert: name of the Bidder]* have entered into a formal relationship in which, during the duration of the Contract (including warranty / defects liability) we, the Manufacturer or Producer, will make our technical and engineering staff fully available to the technical and engineering staff of the successful Bidder to assist that Bidder, on a reasonable and best effort basis, in the performance of all its obligations to the Purchaser under the Contract.

For and on behalf of the Manufacturer

Signed: _____

Name: _____

Title: _____

Duly authorized to sign this Authorization on behalf of:

Dated on _____ day of _____, _____

Appendix-30 to Technical Part

Form.....

(Name of the Project)

(Declaration regarding tax/duty exemption for Plant/ Goods/ Equipment bought for the work)

(Bidder's Name and Address)

To:
(Name of the Employer & address)

Dear Sir:

Re: [Name of Work].....

Certificate for Import/Procurement of Plant/Goods/Equipment

Government Order/Circular Number under which tax/duty Exemption is being sought: ...

1. We confirm that we are solely responsible for obtaining tax/duty waivers which we have considered in our bid and in case of failure to receive such waivers for reasons whatsoever, the Employer will not compensate us.
2. We are furnishing below the information required by the Employer for issue of the necessary certificates in terms of the Government of India's relevant Notifications.
3. The Plant/ Goods/ Equipment for which certificates are required are as under:

Items (modify the list suitably for each specific work)*	Make/ Brand Name	Capacity [where applicable]	Quantity	Value	State whether it will be procured locally or imported [if so from which country]	Remarks regarding justification for the quantity and their usage in works.
Plant						
[a] _____						
[b] _____						
[c] _____						
Goods						
Equipment						

4. We agree that no modification to the above list is permitted after bids are opened.
5. We agree that the certificate will be issued only to the extent considered reasonable by the Employer for the work, based on the Employer's Requirements and the construction program and methodology as furnished by us along with the bid.
6. We confirm that the above plant, goods and equipment will be exclusively used for the construction of the above work and the construction equipment will not be sold or otherwise disposed of in any manner for a period of five years from the date of acquisition.

Date: _____
Place: _____

(Signature) _____
(Printed Name) _____
(Designation) _____
(Common Seal) _____

[This certificate will be issued within 60 days of signing of contract and no subsequent changes will be permitted.]

**** Modify the above to suit the requirements given in Government of India's Notifications as current of date of bidding.***

Letter of Bid – Financial Part

INSTRUCTIONS TO BIDDERS: DELETE THIS BOX ONCE YOU HAVE COMPLETED THE DOCUMENT

The Bidder must prepare this Letter of Bid – Financial Part on stationery with its letterhead clearly showing the Bidder's complete name and business address.

Note: All italicized text is to help Bidders in preparing this form.

Date: _____

NCB No.: _____

Invitation for Bid No.: _____

Alternative No²¹.: _____

To: _____

We, the undersigned, hereby submit the second part of our Bid, the Bid Price and Price Schedules. This accompanies the Letter of Bid - Technical Part.

In submitting our Bid, we make the following additional declarations:

(a) Our bid shall be valid for a period of _____ days from the date fixed for the bid submission deadline in accordance with the Bidding Document, and it shall remain binding upon us and may be accepted at any time before the expiration of that period;

(b) The price of our Bid, excluding any discounts offered in item (d) below is the sum of: _____, (_____), **and** _____, (_____)

(c) The discounts offered and the methodology for their application are:

(d) We have paid, or will pay the following commissions, gratuities, or fees with respect to the Bidding process or execution of the Contract: *[insert complete name of each Recipient, its full address, the reason for which each commission or gratuity was paid or will be paid and the amount of each such commission or gratuity]*

Name of Recipient	Address	Reason	Amount

(If none has been paid or is to be paid, indicate "none.")

²¹insert identification No. if this is a Bid for an alternative

Name _____ In the capacity of _____

Signed _____

Duly authorized to sign** the bid for and on behalf of _____

Dated on _____ day of _____, _____

** : Person signing the Bid shall have the power of attorney given by the Bidder to be attached with the Bid. In the case of the Bid submitted by joint venture specify the name of the Joint Venture as Bidder.

Appendix-1 to Financial Part

Schedules of Rates and Prices

Schedule No. 1. Plant and Mandatory Spare Parts Supplied from Abroad

Appendix-2 to Financial Part

Schedule No. 2. Plant and Mandatory Spare Parts Supplied from Within the Employer's Country

S No	Item	Qty (1)	At site unit Price (2)	Sales and other taxes payable per line item if Contract is awarded (in accordance with ITB 17.5 (b) (ii) (3)	At site Total price (1)X (2)
1.	DMR handheld terminals with licenses, software & accessories	86			
2.	DMR Base Stations with licenses, software & accessories	25			
3.	DMR Repeaters with licenses, software & accessories	19			
4.	DMR Monitoring & Control Systems with necessary licenses, software & accessories	6			
5.	20 m angular towers with installation accessories and other necessary items like Earthing, lighting arrester etc	19			
6.	Satellite phones with licenses & accessories	25			
7.	Speakers Sets for Sirens with mounting arrangement	142			
8.	Remote Terminal Units (RTU) with SIM Cards	142			
9.	Siren Management System with PC, speakers, mic, GIS based software & digitized pre-recorded voice messages	7			
10.	Hardware based (Software embedded) alert activation having display for alert siren triggering remotely	19			
11.	5 m monopoles with installation accessories and other necessary items like Earthing, lighting arrester etc	9			
12.	10 m monopoles with installation accessories and other necessary items like Earthing, lighting arrester etc	40			
13.	15 m monopoles with installation accessories and other necessary items like Earthing, lighting arrester etc	93			
14.	NMS with hardware, software at SEOC	1+1			

S No	Item	Qty (1)	At site unit Price (2)	Sales and other taxes payable per line item if Contract is awarded (in accordance with ITB 17.5 (b) (ii) (3)	At site Total price (1)X (2)
15.	24 Port Switches at SEOC with necessary cable & connectors	2			
16.	24 Port Patch panels at SEOC with necessary cable & connectors	2			
17.	24 Port Switches at DEOCs with necessary cable & connectors	5			
18.	24 Port Patch panels with necessary cable & connectors	5			
19.	12 Port Switches at TEOCs with necessary cable & connectors	19			
20.	12 Port Patch panels with necessary cable & connectors	19			
21.	LAN cables with all necessary materials	25			
22.	42U, 19" Server Racks	2			
23.	9U, 19" Server Racks	5			
24.	6U, 19" Network Racks	19			
25.	Universal Gateways (UCI)	6			
26.	10 KVA UPS with batteries and all necessary cables, connectors & accessories	1			
27.	5 KVA UPS with batteries and all necessary cables, connectors & accessories	5			
28.	3 KVA UPS with batteries and all necessary cables, connectors & accessories	19			
29.	1 KVA UPS with batteries and all necessary cables, connectors & accessories	161			
30.	15 KVA DG-sets with all necessary cables, connectors & accessories	1			
31.	5 KVA DG-sets with all necessary cables, connectors & accessories	5			
32.	3 KVA DG-sets with all necessary cables, connectors & accessories	19			
33.	1 KVA DG-sets with all necessary cables, connectors & accessories	161			
34.	50" Video Wall with all necessary cables, connectors & accessories	1			
35.	50" Smart TV Display Screen with all necessary cables, connectors & accessories	5			
36.	42" LED/Plasma TV with set top boxes with all	25			

S No	Item	Qty (1)	At site unit Price (2)	Sales and other taxes payable per line item if Contract is awarded (in accordance with ITB 17.5 (b) (ii) (3)	At site Total price (1)X (2)
	necessary cables, connectors & accessories				
37.	Laptops with OS, Antivirus & MS Office	25			
38.	All-in-one Printers	25			
39.	Fax Machines	25			
40.	5 ton Precision Air Conditioners with all necessary cables, connectors & accessories	2			
41.	2 ton Split Air Conditioners with all necessary cables, connectors & accessories	12			
42.	1.5 ton Split Air Conditioners with all necessary cables, connectors & accessories	38			
43.	Computer Tables	143			
44.	Chairs	143			
45.	Almirah	25			
TOTAL (to Schedule No. 6. Grand Summary)					

	Name of Bidder	_____
	Signature of Bidder	_____
¹ All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.		

Notes:

1. This is an indicative BoQ. The bidder must add any other required to complete the solution(s).
2. All items have been put in schedule-2. However, the bidder should move, the items being procured from abroad, to schedule-1 and quote accordingly.
3. The bids will be evaluated on basic rate.

Appendix-3 to Financial Part

Schedule No. 3. Design Services

Item	Description	Qty. (1)	Unit Price ¹ (2)	Total Price ¹ (1) x (2)
	Detailed survey & finalization of Architecture, Design, BOQ & Specifications			
1.	DMR Systems			
2.	Satellite Phones			
3.	Siren Systems			
4.	Networking Systems			
5.	Office automation systems			
6.	Power Systems			
7.	Civil & Air Conditioning Works for SEOC			
8.	Civil & Air Conditioning Works for DEOCs			
9.	Civil & Air Conditioning Works for TEOCs			
TOTAL (to Schedule No. 6. Grand Summary)				
		Name of Bidder _____		
		Signature of Bidder _____		

¹All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.

Appendix-4 to Financial Part

Schedule No. 4. Installation, Commissioning, Acceptance testing, Training and other Services

S No	Item	Qty (1)	Unit Price (2)	Total price (1)X (2)
1.	20m ground based angular towers	19		
2.	DMR repeaters	6		
3.	DMR Management & Control systems	6		
4.	5m roof top poles	9		
5.	10m roof top poles	40		
6.	15m ground based poles	93		
7.	Sirens speakers & RTUs	142		
8.	Sirens management systems	7		
9.	Hardware based (Software embedded) alert activation	19		
10.	NMS with hardware, software at SEOC	1+1		
11.	24 Port Switches at SEOC	2		
12.	24 Port Patch Panels at SEOC	2		
13.	24 Port Switches at DEOCs	5		
14.	24 Port Patch Panels at DEOCs	5		
15.	12 Port Switches at TEOCs	19		
16.	12 Port patch panels at TEOCs	19		
17.	Universal Gateways (UCI)	6		
18.	LAN cables with all necessary materials	25		
19.	42U, 19" Server Racks	2		
20.	9U, 19" Server Racks	5		
21.	6U, 19" Network Racks	25		
22.	10 KVA UPS with batteries & any other necessary item required	1		
23.	5 KVA UPS with batteries & any other necessary item required	5		
24.	3 KVA UPS with batteries & any other necessary item required	19		
25.	1 KVA UPS with batteries & any other necessary item required	161		
26.	10 KVA DG-set with any other necessary item required	1		
27.	5 KVA DG-set with any other necessary item required	5		
28.	3 KVA DG-set with any other necessary item required	19		
29.	1 KVA DG-set with any other necessary item required	161		
30.	50" Video Wall	1		
31.	50" Display Screen	5		
32.	42" TV with set top boxes	25		
33.	Laptops	25		

S No	Item	Qty (1)	Unit Price (2)	Total price (1)X (2)
34.	All-in-one Printers	25		
35.	Fax Machines	25		
36.	5 ton Precision Air Conditioners	2		
37.	2 ton Split Air Conditioners	12		
38.	1.5 ton Split Air Conditioners	38		
39.	Operation & Maintenance Training to Employer Staff			
40.	User Level Training to Employer Staff			
TOTAL (to Schedule No. 6. Grand Summary)				

	Name of Bidder	_____
	Signature of Bidder	_____
¹ All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.		

Appendix-5 to Financial Part

Schedule No. 5. O&M including mandatory spare parts

Item	Description	Qty. (1)	Unit Price ¹ (2)	Total Price ¹ (1) x (2)
A	Year 1 after commissioning			
1.	DMR Systems			
2.	Satellite Phones			
3.	Siren Systems			
4.	Networking Systems			
5.	Office automation systems			
6.	Power Systems			
B	Year 2 after commissioning			
1.	DMR Systems			
2.	Satellite Phones			
3.	Siren Systems			
4.	Networking Systems			
5.	Office automation systems			
6.	Power Systems			
TOTAL (to Schedule No. 6. Grand Summary)				
		Name of Bidder _____		
		Signature of Bidder _____		
¹ All rates and prices shall be specified in Rs. only in accordance with ITB 18.1.				

Appendix-6 to Financial Part

Schedule No. 6. Grand Summary

Item	Description	Total Price ¹
	Total Schedule No. 1. Plant, and Mandatory Spare Parts Supplied from Abroad	
	Total Schedule No. 2. Plant, and Mandatory Spare Parts Supplied from Within the Employer's Country	
	Total Schedule No. 3. Design Services	
	Total Schedule No. 4. Installation, Commissioning, Acceptance Testing, Training and Other Services	
	Total Schedule No. 5. Operation & Services	
	Grand Summary	
TOTAL (to Letter of Bid)		

<div style="text-align: right; padding-right: 20px;"> Name of Bidder _____ Signature of Bidder _____ </div>	
	¹ All rates and prices shall be specified in Rs. only in accordance with ITB 18.1

Section V. Eligible Countries

Eligibility for the Provision of Goods, Works and Non Consulting Services in Bank-Financed Procurement

In reference to ITB 4.8 and 5.1, for the information of the Bidders, at the present time firms, goods and services from the following countries are excluded from this Bidding process:

Under ITB 4.8 (a) and 5.1: *none*

Under ITB 4.8 (b) and 5.1: *none*

PART 2 –Employer’s Requirements

Section VI. Employer's Requirements

A. INTRODUCTION

1. Business Requirements to Be Met by the System

The overall objective of this component is to reduce the vulnerability of coastal communities by addressing the existing gap in dissemination of warning to the communities. In turn the component will support:

- A. **Installation and operation of EWDS** allowing the state and/or district/sub district level control center to send communication directly to the villages using various technologies like: Global System for Mobile Communications (GSM) or Code Division Multiple Access (CDMA) based technology or any other appropriate technology that is feasible. The component also envisages setting up/ strengthening emergency operation centers (EOC) to channelize the warning through different communication modes.

In addition, the component should also provide for redundancy in communication using satellite phones or any other similar technology that could be provided to key officials for communicating the warnings in the villages along with suitable backup systems. The aim to establish a fool proof Early Warning Dissemination System; and

B. **Strengthening capacity:**

- i. in operating, maintaining and regular use of the EWDS equipment by officials and village representatives, and
- ii. of communities in disaster preparedness and response by preparing disaster management plans and arranging mock drills etc.

2. Functional Performance Requirements of the System

Under the project the purpose of this component is to develop a framework for dissemination of hydro-meteorological warnings and advisories from the authorities to the community and to receive feedback in the event of a disaster. Essentially, this will be a two-way communication system to reduce the vulnerability of coastal communities by addressing the critical gaps of early warning dissemination in a timely, reliable and efficient manner and providing an opportunity to the community to communicate with the authorities in the event of cyclone emergencies. The component will support:

- The installation of infrastructure allowing the State and/or District/Sub district level control centers to send communication directly to the community level and to obtain feedback from the community.
- Strengthening of community capacity in maintaining and operating the early warning communication infrastructure as well as in community mobilization during an emergency.

The proposed early warning dissemination systems (EWDS) shall receive cyclone related alerts/warnings from IMD and disseminate to coastal communities and other stakeholders. The following sections identify the types/formats in which alerts can come (as input), the methods by which they can be disseminated (as output) and proposed flow of these alerts to various stakeholders.

2.1. Types/Formats of Alerts

An alert can have the following formats:

1. Phone
2. Fax
3. Email
4. SMS
5. Audio
6. Video

2.2. Methods of Dissemination of Alerts

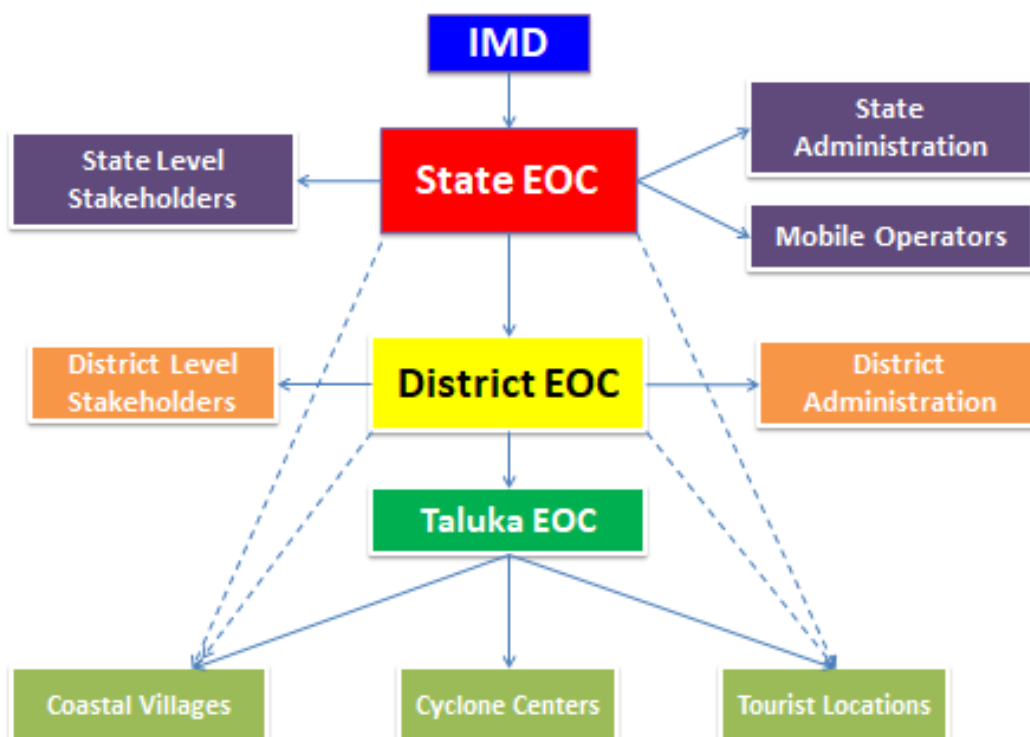
The above mentioned alerts can be disseminated via:

1. Phone
2. Fax
3. Bulk Emails
4. Bulk Messaging (Location based)
5. Announce via AM / FM Radio
6. Announce via Television
7. Publish in Newspapers
8. Publish on Social Networking Sites
9. Alert via Siren Systems

In order to implement above methods of alert dissemination, availability of a telecommunication network is mandatory. There are multiple communication technologies available in sections like State HQ to District HQ and from District HQ to Taluka HQ. We need to choose best possible options.

2.3. Flow of Alerts

The flow of alerts at different levels will be as follows:



1. The alerts will be received in SEOC from IMD.
2. From SEOC, the alerts will be disseminated to state administration, level stakeholders and mobile operators. It will also be forwarded to DEOCs.
3. From DEOCs, the alerts will be disseminated to district administration and district level stakeholders. It will also be forwarded to TEOCs.
4. From TEOCs, the alerts will be disseminated to coastal villages, tourist locations, cyclone centers and fishermen in the sea.
5. In special cases, alerts can also be disseminated from SEOC or DEOC directly to coastal communities and fishermen in sea.

2.4. Receipt of Alerts from IMD

The cyclonic alerts from IMD shall be received in SEOC in any or all of the formats mentioned in section 4.1. The alerts will be received by phone, fax or emails only.

2.5. Dissemination of Alerts

After receipt, the alerts shall be disseminated to from SEOC to DEOC and further from DEOC to TEOCs utilizing existing MPLS and mobile network. It will also be disseminated to various stakeholders. The dissemination shall be done via phone, fax, email and alert siren system.

i. Telephone /Fax /Email

Telephone, Fax & Email are basic means of communication and are currently in use in all the districts and talukas. In case of a cyclonic disaster, the concerned stakeholder should be alerted immediately over phone & fax.

ii. Siren Systems

In order to alert people sleeping at night or too busy in their work in day time, a need of siren system is felt. The siren should sound at defined intervals to alert the people about the imminent disaster.

2.6. Networking

The following connectivities will be required for dissemination of alerts to coastal communities:

- from state to district
- from district to taluka and
- from taluka to villages

The existing infrastructure shall be studied and utilized. Wherever, adequate infrastructure is not available, new infrastructure will be proposed.

2.7. Setup/Upgradation of EOCs

The following Emergency Operation Centers (EOCs) will be required to host various systems to be procured in this project:

- SEOC (State Emergency Operation Center)
- DEOCs (District Emergency Operation Centers)
- TEOCs (Taluka Emergency Operation Centers)

2.8. Vulnerable Villages

The lists of villages in each district were prepared along with their population details. There are 5,922 villages & towns in 5 coastal districts with a total population of 1.72 Crores as mentioned below:

SN	District	Total Villages & Towns		
		Talukas	Villages	Population
1.	Palghar	8	916	29,88,876
2.	Raigad	15	1,899	26,34,200
3.	Ratnagiri	9	1,544	16,24,498
4.	Sindhudurg	8	724	8,32,291
5.	Thane	7	839	92,09,346
	Total	47	5,922	1,72,89,211

Out of these 47 talukas, 21 are coastal and 26 non-coastal talukas. The villages were categorized in three categories namely “High”, “Medium” and “Low” based on their distance from sea coast or creek.

The criteria of short listing are as follows:

- The vulnerability of villages at a distance of 0 to 5 KM from the sea was marked as “**High**”. The villages on the banks of near major creeks were also considered.
- The vulnerability of villages at a distance of 5 to 10 KM from the sea was marked as “**Medium**”
- The vulnerability of villages at a distance of more than 10 KM from the sea was marked as “**Low**”

The outcome of above categorization is mentioned below:

Coastal Villages & Towns:

SN	District	Coastal Villages & Towns					
		Talukas	Villages	Population	High	Medium	Low
1	Sindhudurg	3	319	3,19,545	167	63	89
2	Ratnagiri	4	735	7,96,309	276	141	318
3	Raigad	8	869	15,99,927	460	198	211
4	Palghar	4	484	24,49,241	114	90	280
5	Thane	2	245	60,69,044	14	6	225
	Total	21	2,652	1,12,34,066	1,031	498	1,123

Non-Coastal Villages & Towns:

SN	District	Non Coastal Villages & Towns					
		Talukas	Villages	Population	High	Medium	Low
1	Sindhudurg	5	405	5,12,746	5	6	394
2	Ratnagiri	5	809	8,28,189	-	86	723
3	Raigad	7	1,030	10,34,273	-	36	994
4	Palghar	4	432	5,39,635	-	5	427
5	Thane	5	594	31,40,302	-	-	594
	Total	26	3,270	60,55,145	5	133	3,132

2.9. Stakeholders of the Project

The stakeholders of this project (other than SPIU, World Bank, NDMA and district authorities) are:

1. AAI (Airport Authority of India)
2. AIR (All India Radio)
3. DGLL (Directorate General of Light houses & Light ships)
4. DG Shipping
5. Directorate of IT
6. Fisheries
7. IMD (India Meteorological Department)
8. Indian Coast Guard
9. Indian Navy
10. JNPT (Jawaharlal Nehru Port Trust)
11. KIDC (Konkan Irrigation Development Corporation)
12. KLDC (Kharland Development Corporation)
13. Konkan Railways
14. Maharashtra Fire Service
15. MCGM (Municipal Corporation of Greater Mumbai)
16. MMB (Maharashtra Maritime Board)
17. MMRDA (Mumbai Metropolitan Region Development Authority)
18. MSEDCL (Maharashtra State Electricity Distribution Corp)
19. MTDC (Maharashtra Tourism Development Corporation)
20. Mumbai Port Trust
21. Mumbai Police
22. NDRF
23. NPCIL (Nuclear Power Corporation Limited)
24. PWD (Public Works Department)
25. Telecom Service Providers (TSPs)

The alerts need to be disseminated to these stakeholders from SEOC.

3. Systems/Solutions to be implemented

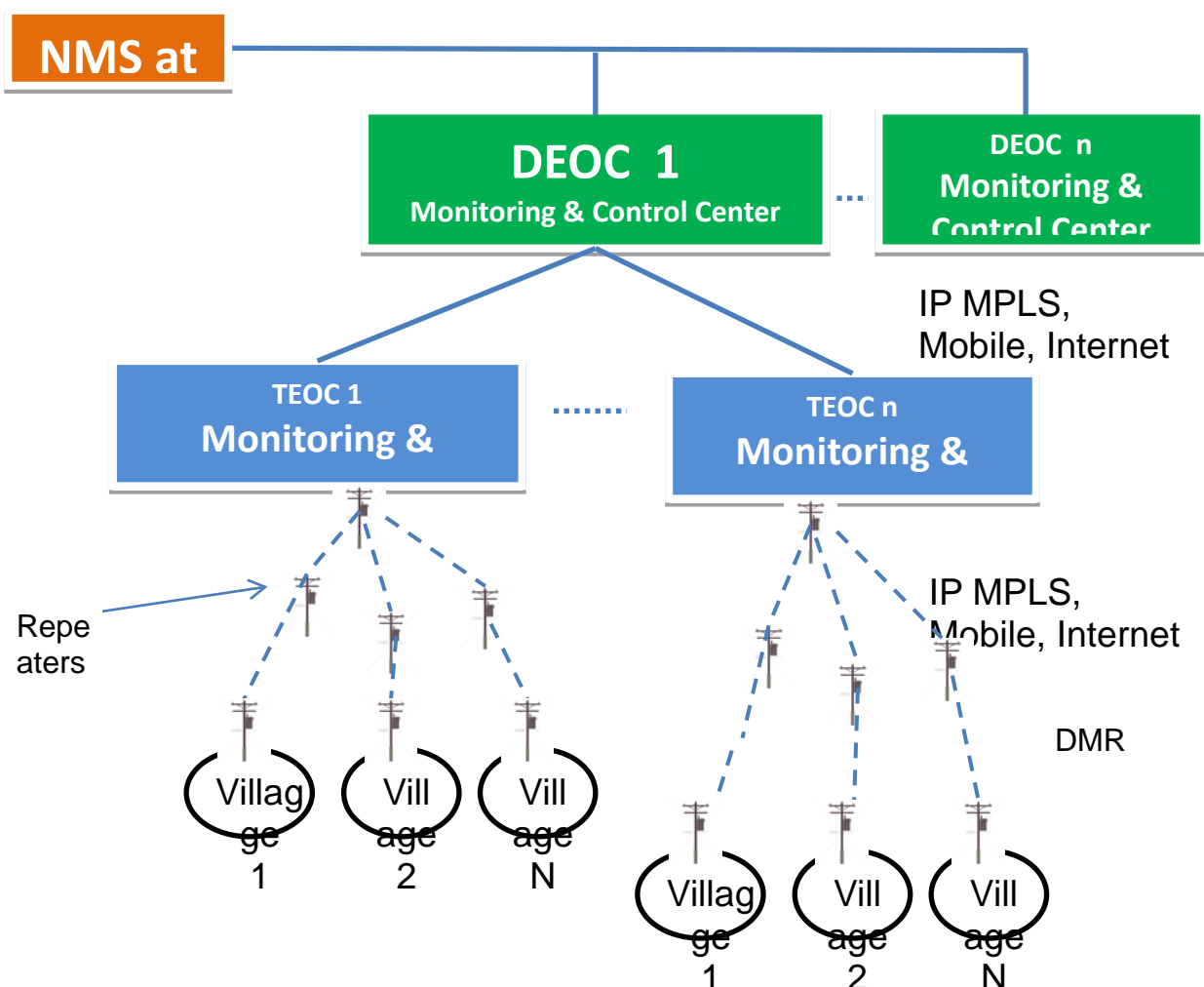
3.1. DMR Network

3.1.1. Introduction

It is proposed to implement Digital Mobile Radio (DMR) from Taluka HQ to Village section. It is a digital two-way radio standard offered by ETSI for global use. It is an open standard designed primarily to replace the aging analogue standards with double the channel capacity, providing cost optimized, secure and reliable communications for professional mobile users. DMR covers the RF range 30 MHz to 1 GHz.

3.1.2. Proposed Architecture

The proposed connectivity is as follows:



As shown in the diagram above:

1. Repeaters will be installed at each THQ to cover the coastal villages of that Taluka.
2. All the repeaters will be connected to DHQ (DEOC) through IP/MPLS network.
3. All DHQ will be connected to SHQ (SEOC) through IP/MPLS network.
4. Monitoring and control systems will be installed in DEOCs and SEOC.
5. The NMS in SEOC will also manage the DMR network.

3.1.3. DMR Repeaters Sites

The list of sites/locations selected for installation of repeaters is as follows:

1. Palghar DHQ
2. Dahanu THQ
3. Vasai THQ
4. Alibag DHQ
5. Panvel THQ
6. Uran THQ
7. Pen THQ
8. Murud THQ
9. Tala THQ
10. Shrivardhan THQ
11. Ratnagiri DHQ
12. Rajapur THQ
13. Guhagar THQ
14. Dapoli THQ
15. Devgad THQ
16. Malvan THQ
17. Vengurla THQ
18. Thane DHQ
19. Bhiwandi THQ

Each repeater shall be able to cover a range of 25 KM radius.

3.1.4. Base Stations

DMR base stations are used by rescue or monitoring teams moving in a vehicle. The base stations can connect radio handsets upto 20-25 KM. It is proposed to provide 5 base stations in each district for teams on the move.

3.1.5. DMR Handsets

DMR handsets are required by the rescue teams working in disaster affected areas. The handsets have a range of 3-4 KM. It is proposed to provide handsets to following:

SN	Location	SEOC	Palghar	Raigad	Ratnagiri	Sindhudurg	Thane	Total
1	Handsets for District Officers	0	1	1	1	1	1	5
2	Taluka Officers (1 per taluka)	0	4	8	4	3	2	21
3	Fisheries Officers (2 per district)	0	2	2	2	2	2	10
4	Rescue Team (10 per district)	0	10	10	10	10	10	50
	Total	0	17	21	17	16	15	86

3.1.6. Command & Control Centers

It is proposed to setup command and control centers in each district headquarter. It will be used for sending commands to field team. It will also be used to connect calls which require inter-taluka conversations.

The command and control center will be equipped with following:

1. Radio Servers
2. Dispatcher Software

3.1.7. Bill of Quantities

The no. of DMR proposed are as follows:

System	Proposed Qty
Base stations	5 in each DHQ
DMR handsets	1 each for District Officers 1 each for Taluka officers 2 per district for fisheries officers 10 per district for relief & rescue teams
DMR Repeaters	1 per THQ
DMR Management (Radio server & dispatcher software)	1 in SEOC & 1 in each DHQ

The bill of quantities for DMR solution in all talukas is as follows:

SN	Description	SEOC	Palghar	Raigad	Ratnagiri	Sindhudurg	Thane	Total
1.	DMR Handsets		17	21	17	16	15	86
2.	DMR Repeaters with towers		3	7	4	3	2	19
3.	DMR Base Stations		5	5	5	5	5	25
4.	Radio Server & Dispatcher software	1	1	1	1	1	1	6

3.2. Satellite Network

3.2.1. Satellite Phones

There are four levels of connectivities already existing between Districts and Talukas as mentioned above. A **satellite phone based service is being proposed** as an additional layer of connectivity.

As part of this service, satellite phones will be provided to officers in SEOC and DEOCs. The no. of phones proposed is as follows:

Location	Qty
SEOC	1
DEOCs	1 at each DEOC
TEOCs	1 at each TEOC

3.2.2. Proposed Architecture

The proposed architecture is as follows:



As depicted above, SEOC, DEOC & TEOC officers will be able to communicate seamlessly.

3.2.3. Bill of Quantities

It is proposed to provide satellite phones as follows:

S.No	Description	SEOC	Sindhudurg	Ratnagiri	Raigad	Palghar	Thane
1.	SEOC	1	-	-	-	-	-
2.	District officers (1 per district)	-	1	1	1	1	1
3.	Taluka Officers (1 per taluka)	-	3	4	7	3	2
	Total	1	4	5	8	4	3

Total = 25

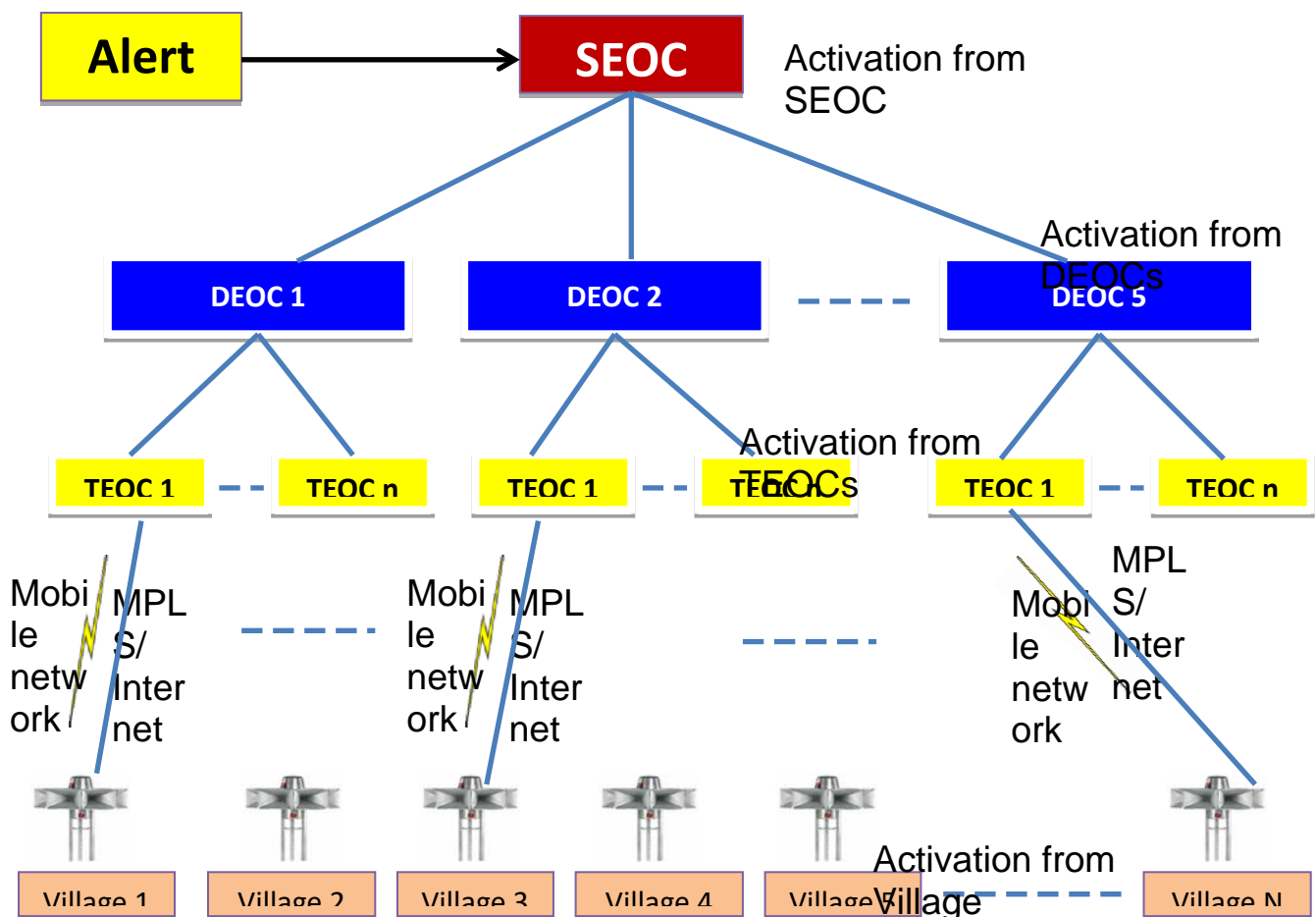
3.3. Siren Systems

It is proposed to install **electronic sirens along the coastline at highly vulnerable villages**. It should work on single phase power supply and should be able to get activated remotely using IP connectivity, GSM and Radio connectivity.

Poles are required for installation of siren speakers. The wind speed in Maharashtra has been taken as 220 Km/hour for the poles being proposed.

3.3.1. Proposed Architecture

The proposed system architecture is as system:



Kinds / Forms of Alert sounds:

An alert can be in the form of:

- Siren sound
- Prerecorded announcement
- Live announcement

The sirens shall support all of the above forms of alerts.

Siren Locations:

As depicted above, the sirens (along with Remote Terminal Unit - RTU) will be installed in coastal villages. The details are provided in next section.

Operation & Management:

The sirens will be operated and managed from SEOC, respective DEOCs & respective TEOCs. It will be possible to remotely activate these sirens from SEOC, respective DEOCs & TEOCs.

The SEOC will control all the sirens of the state, DEOC will be able to control sirens in the same district and TEOC will be able to control all sirens in the same taluka. Selective control shall be possible i.e. state, district & taluka officers will be able to select one or more sirens to be activated or deactivated.

The sirens will be connected to SEOC, DEOCs and TEOCs via IP and mobile networks as per availability of these networks. It will also be connected via DMR network wherever line of sight is available. Hence, remote activation will be possible through IP, mobile and DMR network as per availability of any or all of these networks.

Operation and control in SEOC and DEOCs will be through GUI base software system in hot-standby mode so that in the event of one computer being non-operational the other computer can be used.

In TEOCs operation and control will be through hardware base solution and all the activities will be stored with time stamp at SEOC. That means, the control system will be an appliance in which buttons shall be programmed for each siren for activation/deactivation.

IP network between the EOCs will be made available by the state.

3.3.2. Siren Locations**Palghar District**

In Palghar district, it is proposed to install siren in following villages:

Palghar Taluka	Dahanu Taluka	Vasai Taluka
Palghar THQ	Dahanu THQ	Vasai THQ
Pophran	Bordi	Arnala
Tarapur	Gholvad	Panju
Akkarpatti	Narpad	Rajodi (Kadav)
Uchheli	Pokharan	
Alewadi	Varor	
Murbe	Chinchani	
Satpati		
Shirgoan		
Mahim		
Kelwa		
Usarni		
Mathane		
Datiware		
Paam		
Dongre		
Kurgaon		

Total =28

The above locations on google map are provided in **Annexure-Palghar.5**.

Raigad District

In Raigad district, it is proposed to install siren in following villages:

Alibag Taluka	Panvel Taluka	Uran Taluka	Pen Taluka	Murud Taluka	Tala Taluka	Shrivardhan Taluka
Alibag THQ	Panvel THQ	Uran THQ	Vadhav	Murud THQ	Tala THQ	Srivardhan THQ
Kihim	Sai Nagar	Kegaon	Dadar	Salav	Rahatad	Dighi
Thal	Vaghivali	Aware	Sonkhar	Borli	Mandad	Dive agar
Aakshi	Owle	Chanje	Bhal	Barashiv	Washi Haveli	Shekadi
Nagaon		Panje		Chikani (Kashid)	Waral	Khargaon
Revdanda				Nandgaon		Haihareshwar
				MurudJanjira (More)		Bagmandala
				Rajpuri		
				Aagardanda		
				Sawali		

Total = 42

The above locations on google map are provided in **Annexure-Raigad.5**.

Ratnagiri District

In Ratnagiri district, it is proposed to install siren in following villages:

Ratnagiri Taluka	Rajapur Taluka	Guhagar Taluka	Dapoli Taluka
Ratnagiri THQ	Katali	Guhagar THQ	Dapoli THQ
Marathwada	Sagwe	Anjanwel GP	Kelshi GP
Kharviwada	Madban	Aare GP	PajPandri GP
Malgund	Jaitapur	Aasgoli GP	Harnai GP
Kajirbhati	Ambolgad	Palshet GP	Ladghar GP
Kaserveli		Kondkarul GP	Burondi GP
Jakimirya		Velneshwar GP	Dabhol GP
Bhatye		Sakhari Agar GP	
Kasop		Hedavi GP	
Bhandarwadi		Naravan GP	
Are		Tavasal GP	
		Katale GP	

Total = 35

The above locations on google map are provided in **Annexure-Ratnagiri.5**.

Sindhudurg District

In Sindhudurg district, it is proposed to install siren in following villages:

Devgad Taluka	Malvan Taluka	Vengurla Taluka
Devgad THQ	Malvan THQ	Vengurla THQ
Vijaydurg GP	Achara Market	Parule GP
Kunkeshwar GP	Achra GP	Bhogwe GP
Mithbav GP	Tondavali GP	Kochare GP
	Sarjekot	Medha GP
	Revandi	Mhapan GP
	Kolamb GP	Kelus GP
	Tarkarli GP	Wayangani GP
	Masure GP	Dabholi GP
		Muth
		Mochamad GP
		Shiroda GP
		Redi GP

Total = 26

The above locations on google map are provided in **Annexure-Sindhudurg.5**.

Thane District

In Palghar district, it is proposed to install siren in following villages:

Thane Taluka	Bhiwandi Taluka
Thane DHQ	Kharbav
Mira Bhayander	Payegaon
Uttan	Kalher
Balkum	Dive Anjur
Mumbra	
<u>Navi Mumbai MC</u> <u>(Konkan Bhawan)</u>	
Kopri	

Total =11

Grand Total	142
--------------------	------------

3.3.3. Poles Required

The details of poles required for installation of sirens is given below.

Palghar District

SN	Taluka	Sirens	5 m poles	10 m poles	15 m poles
1	Palghar	17	0	0	17
2	Dahanu	7	1	1	5
3	Vasai	4	1	0	3
		28	2	1	25

Raigad District

SN	Taluka	Siren	5 m poles	10 m poles	15 m poles
1	Alibag	6	1	0	5
2	Panvel	4	1	1	2
3	Uran	5	1	0	4
4	Pen	5	0	1	4
5	Murud	10	0	1	9
6	Tala	5	0	0	5
7	Srivardhan	7	0	0	7
		42	3	3	36

Ratnagiri District

SN	Taluka	Sirens	5 m poles	10 m poles	15 m poles
1	Ratnangiri DHQ	0	0	0	0
2	Ratnangiri	11	1	8	2
3	Rajapur	5	0	4	1
4	Guhagar	12	0	6	6
5	Dapoli	7	0	4	3
		35	1	22	12

Sindhudurg District

SN	Taluka	Sirens	5 m poles	10 m poles	15 m poles
1	Devgad	4	0	1	3
2	Malvan	9	0	5	4
3	Vengurla	13	0	7	6
		26	0	13	13

Thane District

SN	Taluka	Sirens	5m poles	10 m poles	15 m poles
1	Thane	7	2	1	4
2	Bhiwandi	4	1	0	3
		11	3	1	7

Total Poles

Sirens	5m poles	10 m poles	15 m poles
142	9	40	93

3.3.4. Bill of Quantities

The bill of quantities for siren systems is as follows:

Poles & Accessories

SN	Description	Qty
1	5 m Poles (Roof Top)	9
2	10 m Poles (Roof Top)	40
3	15 m Poles (Ground Based)	93

Speakers & RTU

SN	Description	Qty
1	Speaker for 360 deg coverage	142
2	RTU with IP & GSM Cards for remote activation	142

Management & Control Systems at SEOC & DEOCs

SN	Description	Qty
1	PC+ MIC+ Speak ers	6
2	GIS Based Monitor & Control Software	6
3	Pre-recorded voice and messages	6
4	Display screen (50")	6

Management & Control Systems at TEOCs

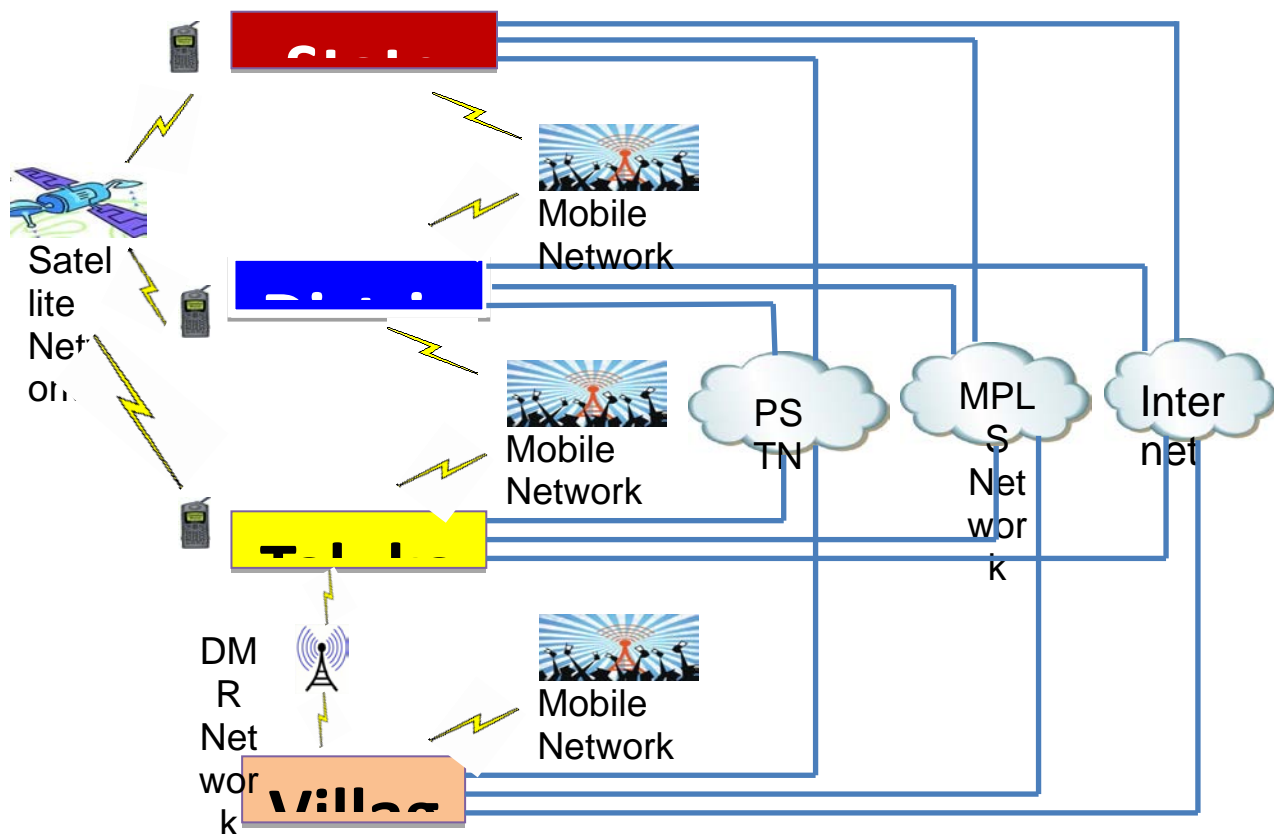
SN	Description	Qty
1	Appliance based (HW+SW+Display) Alert Activation	19

3.4. Networking

In order to interconnect various systems in SEOC, DEOCs and TEOCs, switches will be required. It is proposed to install L3 switches at all these locations. However, the configurations of switches will vary as per site condition.

3.4.1. Architecture

As described in chapters 9, 10 & 11, the overall network connectivity from State to District, from District to Taluka & from Taluka to Villages will be as follows:



As depicted above, the State HQ/SEOC will be connected to District HQ/ DEOC by:

1. PSTN Network
2. MPLS Network
3. Mobile Network
4. Internet and
5. Satellite Network

Similarly, the District HQ/SEOC will be connected to Taluka HQ/DEOC by:

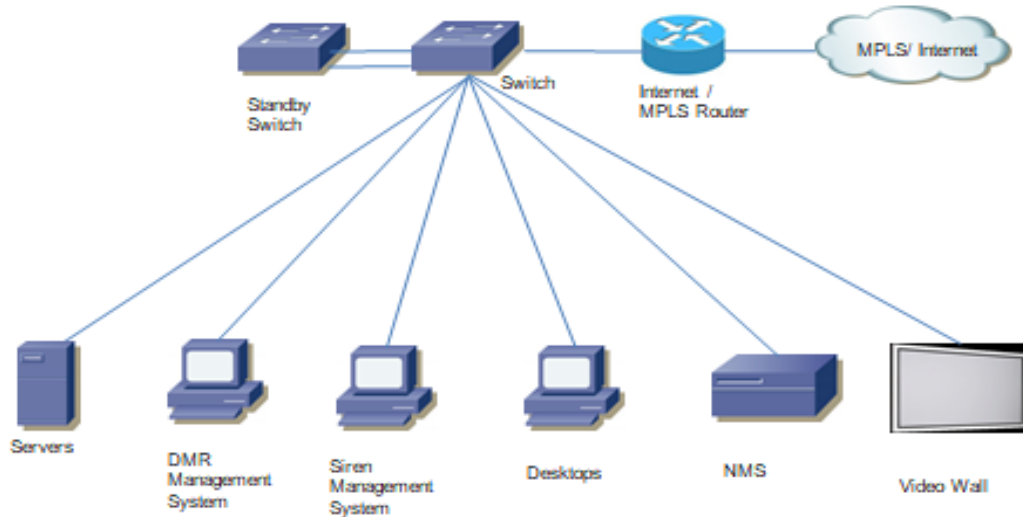
1. PSTN Network
2. MPLS Network
3. Mobile Network
4. Internet and
5. Satellite Network

The Taluka HQ/SEOC will be connected to Villages by:

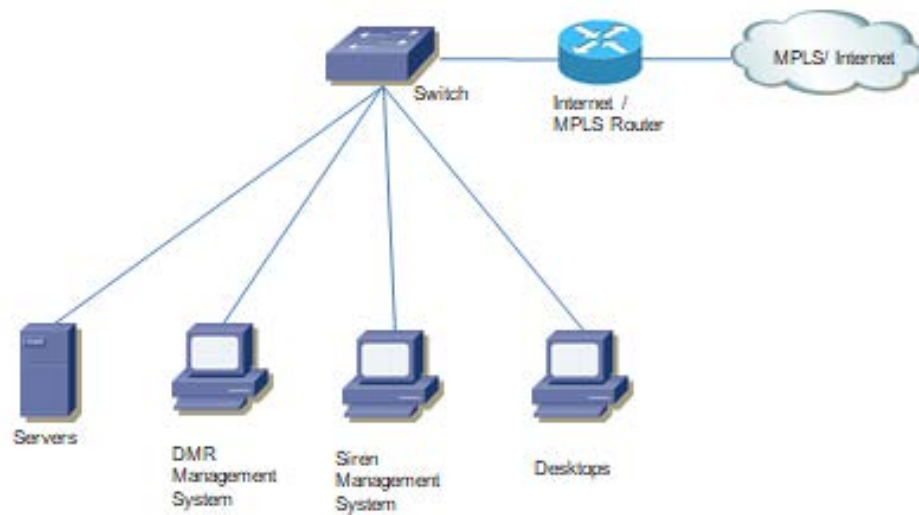
1. PSTN Network
2. MPLS Network
3. Mobile Network
4. Internet and
5. DMR Network

The PSTN network, MPLS network, Mobile network and internet connectivities will be utilized as they are as present. However, for MPLS & Internet connectivities, additional bandwidths (details provided in BOQ in next section) will be leased for running various applications of this project.

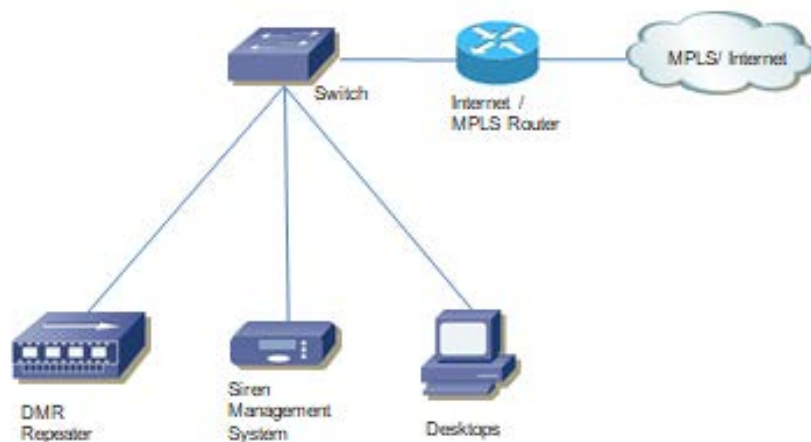
The connectivity within SEOC will be as follows:



The connectivity within DEOC will be as follows:



The connectivity within DEOC will be as follows:



3.4.2. Bill of Quantities

For the above connectivities, switches, patch panels and LAN cables and connectors will be required. The details are provided in BoQ in next section. The bill of quantities required for networking components is as follows:

SN	Description	Qty
1	Switch at SEOC	2
2	Patch Panel at SEOC	2
3	Switches at DEOCs	5
4	Patch Panels at DEOCs	5
5	Switches at TEOCs	19
6	Patch Panels at TEOCs	19
7	Cables, Connectors & Accessories	25
8	42U Server Rack for SEOC	2
9	9U network racks for DEOCs	5
10	6U network racks for TEOCs	25

Further, for leasing of MPLS/Internet bandwidth, the charges will be as follows:

SN	Description	Qty
1	8 Mbps link at SEOC	1
2	4 Mbps Links at DEOCs	5
3	2 Mbps Links at TEOCs	19
4	1 Mbps Links at Villages	142
	Total	

3.5. Interfacing with Existing Systems

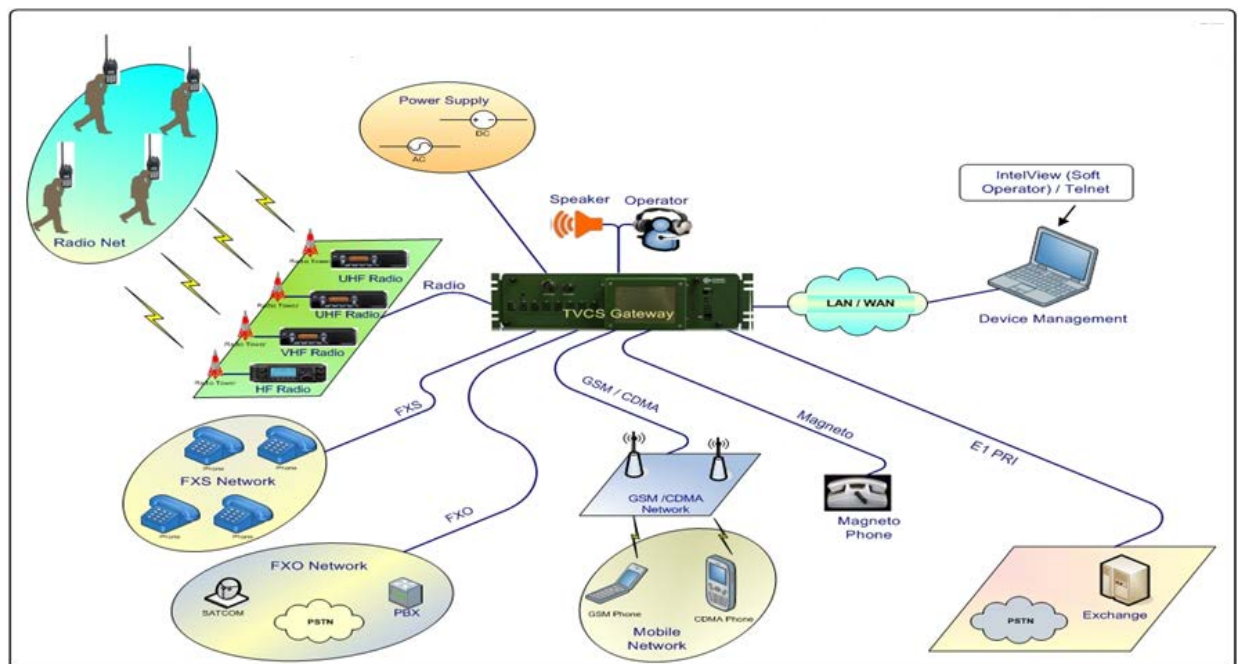
In order to interconnect existing systems with proposed systems, Universal Communication Interface (UCI) / media gateways equipment will be required.

3.5.1. Introduction

The Universal Communication Interface/Gateway system utilizes the key concepts of interoperability, reliability, scalability and portability to ensure that personnel from different disciplines, jurisdictions, organizations and agencies are able to communicate with each other instantly. For example, communication between first responders such as police, disaster rescue forces, fire brigades that use wireless communication systems mostly equipment operating in Very High Frequency ("VHF") band and administrators on Cellular network or terrestrial satellite based Very Small Aperture Terminals ("VSAT"), should be possible with Voice over Internet Protocol ("VoIP") based Universal communication system.

3.5.2. General Requirements

The system should include universal communication interface/gateway based on common (e.g. VoIP) switching for voice call connectivity across various technology based equipments such as VHF radio, VSAT, VoIP calls on IP-VPN etc. The system should provide facility to interface communication technologies mentioned above for mainly voice intercommunication. The bidder's system should include Interface conversion cards / software systems to bring different systems on a single VoIP platform and VoIP gateway.



3.5.3. Bill of Quantities

The number of gateways and cost estimates (CAPEX) are as follows:

SN	Description	Qty
1	Universal Gateway at SEOC	1
2	Universal Gateways at DEOCs	5

3.6. Network Management System (NMS)

3.6.1. General Requirements

The proposed NMS will monitor & manage the following:

1. Siren systems
2. Satellite phones
3. DMR Systems
4. Routers
5. Switches
6. All the services

The NMS shall be installed in SEOC and will be connected to various equipments/devices through IP/Mobile/Radio networks.

3.6.2. Bill of Quantities

The bill of quantities for NMS is as follows:

SN	Description	Qty
1	NMS Servers	2
2	NMS Software	1

3.7. SEOC Setup

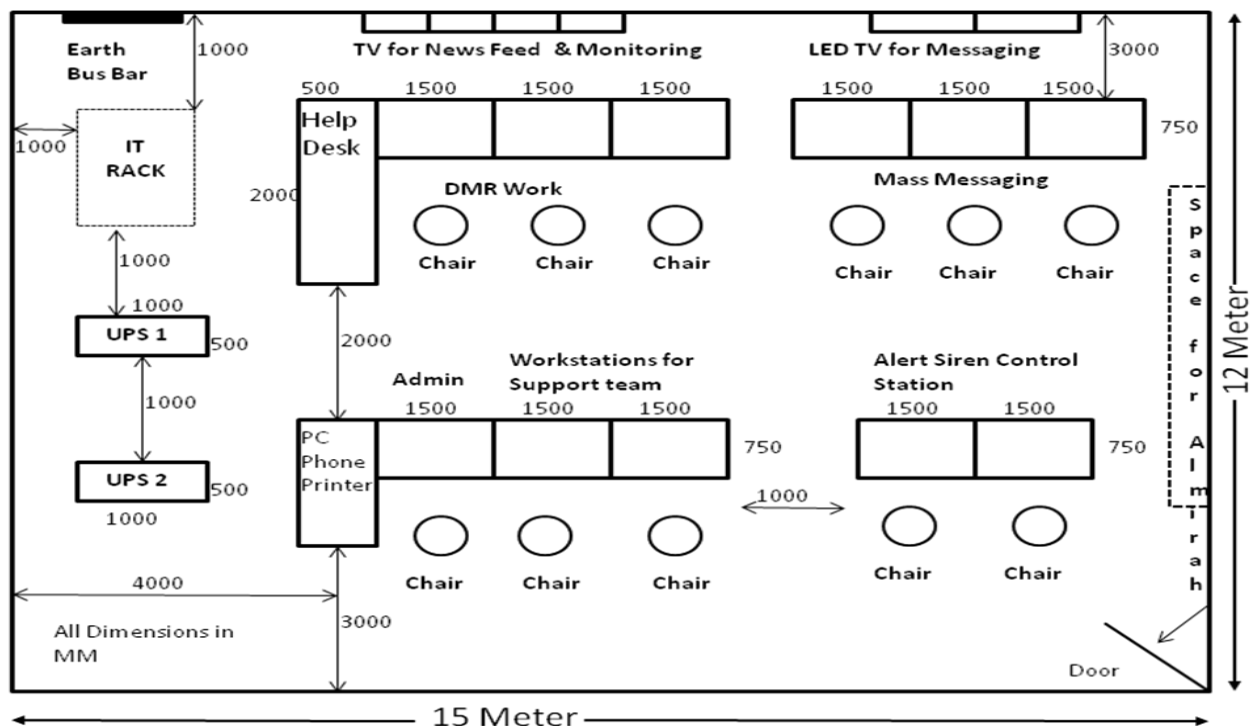
3.7.1. Systems to be installed

The following systems will be installed in SEOC:

SN	Description	Qty
1.	Mass Messaging Solution (MMS)	1 set
2.	Siren activation system	1 set
3.	Radio Servers & Dispatcher software for DMR	1 set
4.	Media Gateway	2
5.	Network Management System (NMS)	1 set
6.	Video Wall	1
7.	Systems for Power Backup	1 set
8.	Office automation systems (Printer/Fax/Scanner, Laptop, Tables, Chairs)	1 set

3.7.2. Layout

A space of 15m x 12m will be required for setup of SEOC. The proposed layout for systems is as follows:



3.7.3. Civil Works

This civil works shall consist of the following for the room size of 15m x 12m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

3.7.4. Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of two 5 ton precision ACs
2. Necessary piping & cabling works

3.7.5. Power Backup

A power backup of 2 hours along with a DG set is proposed for SEOC. The exact calculations for power requirements will be submitted by the implementing agency to SPIU & PMC, as per hardware proposed, for review and approval. Tentatively a 10 KVA UPS and 10 KVA DG set is proposed for SEOC. The BoQ& technical specifications are provided in Section-3.10 (Power Systems).

3.7.6. Office Automation

The following items will be required in SEOC:

SN	Description
1.	All-in-One Printer (Print, Copy & Scan)
2.	Fax Machine
3.	Laptop
4.	Computer Tables
5.	Chairs
6.	Almirah

The BoQ& technical specifications are provided in Section-3.11 (Office Automation).

3.7.7. Bill of Quantities

The bill of quantities for SEOC setup is as follows:

SN	Description	Qty
1	Civil Works	1
2	Precision ACs	2

3.8. DEOC Setup

DEOC (District Emergency Operation Center) will be setup at following locations:

1. Palghar DHQ
2. Raigad DHQ (Alibag)
3. Ratnagiri DHQ
4. Sindhudurg DHQ (Oros)
5. Thane DHQ

At present, the control rooms in these districts are equipped with telephones (hotlines) and fax. The control room in Ratnagiri is renovated and fully air conditioned.

3.8.1. Systems Required

The following systems will be required at DEOC:

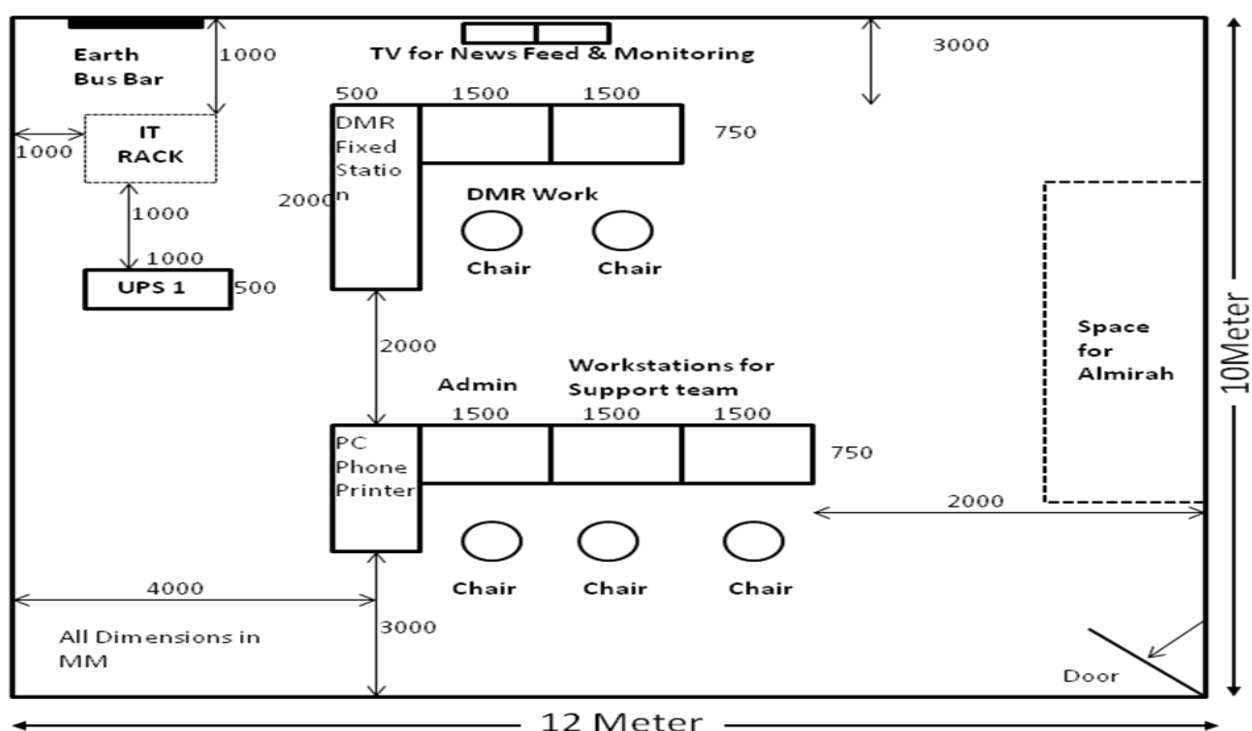
SN	Description	Qty
1.	Siren activation system	1 set at each DEOC
2.	Radio Servers & Dispatcher Software for DMR	1 set at each DEOC
3.	DMR Repeaters	1 set at each DEOC
4.	Universal Gateways	1 set at each DEOC
5.	Systems for Power Backup	1 set at each DEOC

The following systems will kept to be used in emergency situations:

SN	Description	Qty
1.	DMR Base Stations	5 at each DEOC
2.	DMR Handsets	11 at each DEOC
3.	Satellite Phones	1 at each DEOC

3.8.2. Layout

A space of 12m x 10m will be required for setup of DEOC. The proposed layout for systems is as follows:



3.8.3. Civil Works

This civil works shall consist of the following for the room size of 12m x 10m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

3.8.4. Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of Two 2.0 ton split air conditioners with 5 star rating for each DEOC
2. Necessary piping & cabling works

3.8.5. Power Backup

A 5 KVA UPS with batteries for 2 hours of backup and a 5 KVA DG set are proposed for each DEOC. The exact calculations for power requirements will be submitted by the implementing agency to SPIU & PMC, as per hardware proposed, for review and approval. The BoQ& technical specifications are provided in Section-3.10 (Power Systems).

3.8.6. Office Automation

The following items will be required in each DEOC:

SN	Description
1.	50" smart TV display screen
2.	All-in-One Printer (Print, Copy & Scan)
3.	Laptop
4.	Fax
5.	Set-top Box
6.	Tables
7.	Chairs
8.	Almirah

The BoQ and technical specifications are provided in Section-3.11 (Office Automation).

3.8.7. Bill of Quantities

The bill of quantities for each DEOC is as follows:

SN	Description	Qty
1	Civil Works	1
2	2.0 ton Split ACs	2

3.9. TEOC Setup

The TEOC (Taluka Emergency Operation Center) will be setup at following locations:

1. Palghar THQ
2. Dahanu THQ
3. Vasai THQ
4. Alibag THQ
5. Panvel THQ
6. Uran THQ
7. Pen THQ
8. Murud THQ
9. Tala THQ
10. Shrivardhan THQ
11. Ratnagiri THQ
12. Rajapur THQ
13. Guhagar THQ
14. Dapoli THQ
15. Devgad THQ
16. Malvan THQ
17. Vengurla THQ
18. Thane THQ
19. Bhiwandi THQ

3.9.1. Systems to be installed

The following systems will be required at each TEOC:

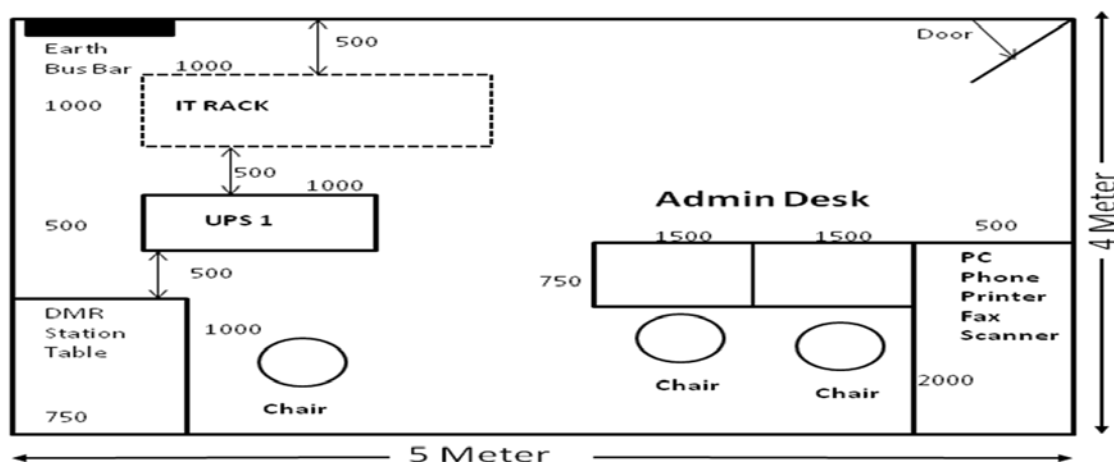
SN	Description	Qty
1.	Repeaters for DMR	1 at each TEOC
2.	Switch	1 at each TEOC
3.	Systems for Power Backup	1 set at each TEOC

The following systems will kept to be used in emergency situations:

SN	Description	Qty
1.	DMR Handsets	1 at each TEOC
2.	Satellite Phones	1 at each TEOC

3.9.2. Proposed Layout

A space of 5m x 4m will be required for setup of each TEOC. The proposed layout for systems is as follows:



3.9.3. Civil Works

This civil works shall consist of the following for the room size of 5m x 4m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

3.9.4. Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of Two 1.5 ton split air conditioners with 5 star rating for each TEOC
2. Necessary piping & cabling works

3.9.5. Power Backup

A 3 KVA UPS with batteries for 2 hours of backup and a 3 KVA DG set are proposed for each TEOC. The exact calculations for power requirements will be submitted by the implementing agency to SPIU & PMC, as per hardware proposed, for review and approval. The BoQ& technical specifications are provided in Section-3.10 (Power Systems).

3.9.6. Office Automation

The following items will be required in TEOC:

SN	Description
1.	42" LED/Plasma TV for news feed
2.	All-in-One Printer (Print, Copy & Scan)
3.	Fax
4.	Laptop
5.	Set top box
6.	Tables
7.	Chairs (1 for each table)
8.	Almirah

The BoQ and technical specifications are provided in Section-3.11 (Office Automation).

3.9.7. Bill of Quantities

The bill of quantities for each DEOC is as follows:

SN	Description	Qty
1	Civil Works	1
2	1.5 ton Split ACs	2

3.10. Power Systems

The following power systems will be required in SEOC, DEOCs & TEOCs:

3.10.1. Individual Requirements

The following power systems will be required at various sites:

For SEOC

SN	Description	Qty
1	10 KVA UPS with Batteries	1
2	10 KVA DG Sets	1

For each DEOC

SN	Description	Qty
1	5 KVA UPS with Batteries	1
2	5 KVA DG Sets	1

For each TEOC

SN	Description	Qty
1	3 KVA UPS with Batteries	1
2	3 KVA DG Sets	1

For each DMR Repeaters

SN	Description	Qty
1	1 KVA UPS with batteries	1
2	1 KVA DG Sets	1

For each Sirens Repeaters

SN	Description	Qty
1	1 KVA UPS with batteries	1
2	1 KVA DG Sets	1

3.10.2. Consolidated Bill of Quantities

The following bill of quantities for power systems will be required at various sites:

SN	Description	Qty
1	10 KVA UPS with Batteries	1
2	5 KVA UPS with Batteries	5
3	3 KVA UPS with Batteries	19
4	1 KVA UPS with Batteries	161
5	10 KVA DG Sets	1
6	5 KVA DG Sets	5
7	3 KVA DG Sets	19
8	1 KVA DG Sets	161

3.11. Office Automation

The following items are proposed at SEOC, DEOC & TEOC to support the proposed systems:

3.11.1. Individual Requirements

For SEOC

SN	Description	Qty
1.	50" Video wall	1
2.	All-in-one Printer	1
3.	Fax Machine	1
4.	42" TV (for news feed & monitoring)	1
5.	Set top Box	1
6.	Laptop for configurations	1
7.	Computer Tables (for Siren & DMR monitoring stations, printer & fax)	13
8.	Chairs (1 for each table)	13
9.	Almirah	1

For each DEOC

SN	Description	Qty
1.	All-in-one Printer	1
2.	Fax Machine	1
3.	42" TV (for news feed & monitoring)	1
4.	Set top Box	1
5.	Laptop for configurations	1
6.	Computer Tables (for Siren & DMR monitoring stations, printer & fax)	7
7.	Chairs (1 for each table)	7
8.	Almirah	1

For each TEOC

SN	Description	Qty
1.	All-in-one Printer	1
2.	Fax Machine	1
3.	42" TV (for news feed & monitoring)	1
4.	Set top Box	1
5.	Laptop for configurations	1
6.	Computer Tables (for Siren & DMR monitoring stations, printer & fax)	5
7.	Chairs (1 for each table)	5
8.	Almirah	1

3.11.2. Consolidated Bill of Quantities

The bill of quantities for office automation is as follows:

SN	Description	Qty
1	Video Wall	1
2	Laptops	25
3	All-in-one printer	25
4	Fax Machine	25
5	42" LED / Plasma TV	25
6	Set top Box	25
7	Computer Tables	143
8	Chairs	143
9	Almirah	25

B. TECHNICAL SPECIFICATIONS

1. General Technical Requirements

1.1. Product Type:

The Products/equipment must be brand new, industry-grade of state-of-the art technology and the service should guard against technology obsolescence for at least 5yrs after the complete contract period. The Products /equipment supplied under this contract shall conform to the Technical Specifications given in this tender.

1.2. Electrical Power:

All active (powered) equipment must operate on, e.g., 220v+/-20v, 50Hz+/-2Hz]. All active equipment must include power plugs standard in India.

1.3. Environmental:

1.3.1. Specifications for Environmental Conditions

The purpose of this section is to describe the minimum general equipment characteristics and specifications for environmental conditions, source power conditioning and backup, equipment construction, and installation. The section also highlights the Electro Magnetic Compatibility (EMC) guidelines for equipment that will be operated under the Electro Magnetic Interference (EMI) and Electro Static Discharge (ESD) conditions expected in High Voltage (EHV) power system environment.

1.3.2. Environmental Conditions

Equipment and their components provided under this specification shall operate reliably under the following environmental conditions.

1.3.3. Temperature and Humidity

Most of the equipment will not be installed in environmentally controlled shelters. Therefore, equipment shall operate in accordance with the limits shown below:

Temperature Range: To Specification Operation without damage Shipping/storage	(Un Controlled Environment) 0 to +45°C -10 to+55°C -40 to+60°C
Relative Humidity, non-condensing	Up to 95%
Elevation: Operating Non-Operating	to 3,500m to 10,000m

For each location, the Contractor is required to assess the environmental conditions for the equipment to be installed under this specification. The Contractor is responsible for all necessary enclosure, rack or equipment upgrades to ensure the proper operation of the installed equipment. Equipments and infrastructure should be designed to work in such condition.

1.3.4. Tropicalization

Communications equipment will often be stored and operated in uncontrolled environment areas and will be subject to mould, growth of fungus, corrosion and oxidation. The equipment and components shall be suitably tropicalized during manufacture through commissioning, as necessary.

1.3.5. Contaminants

Communications equipment may be located in areas of poor air quality with the main contaminant being dust. Cabinets shall be tight fitting utilizing filtered ventilation openings only.

1.4. Safety

1.4.1. Safety Precautions

All personnel needs to be issued necessary PPE (Personnel Protection Equipment) and supposed to wear all times on site. Special PPE is proposed to be issued to personnel in the field as necessary in hazardous locations following site instructions.

It is proposed that all staff in the field must wear following safety equipments at the minimum in field area-

- Safety helmet
- Eye protection
- Protective clothing
- Safety Shoes

It is proposed that all personnel will be made aware of the client's site safety rules regarding safety, accident reporting, and site emergency procedures. These safety precautions need to be followed in strict compliance by personnel in the field area.

Warning and restricted area notices (e.g.-“Deep Excavation,” “Exit”, “Restricted area” etc.) will be positioned at all the working points in the field. All routes will be designated for the entry and exit points and emergency exits will be clearly shown.

Unless otherwise specified, all equipment must operate at noise levels no greater than 55 decibels.

1.4.2. EMI and Electrostatic Interference

At each location, the Contractor shall assess the need for shielding against radiated emissions and shall provide recommended solutions for any EMI problem found at each location. All electronic equipment that emits electromagnetic energy must be certified as meeting EN 55022 and EN 50082-1 or equivalent, emission standards.

2. Hardware Specifications

2.1 DMR Network

2.1.1 Handsets (VHF, Tier-II)

A typical handheld radio terminal will comprise of the following broadly described features such as:

- a. Push to Talk Button (PTT)
- b. Antenna to work in VHF band
- c. Display & Full keypad for sending text message
- d. GPS Antenna
- e. The handheld radio terminal should be ruggedized enough to work in harsh environments
- f. Hands free operation if required can be enabled via headsets.

DMR handset should comply with all the ETSI standards. Below given some of the technical specifications:

General

S/N	PARAMET	SPECIFICATION
i.	Frequency Range	136 to 174 MHz (full band)
ii.	TDMA	2– Slot
iii.	Channel Capacity	255 or more (for display) 16 Channels (non-display)
iv.	Channel spacing	12.5KHz
v.	Operating Battery capacity & Voltage	2000 mAh or more capacity & 7.4V (rated) with Lithium –rechargeable battery with Battery Capacity 2000 mAh or more.
vi.	Average battery life for 5/5/90 duty cycles	Average battery life for 5/5/90 duty cycles Digital: 16 hrs or more & Analog: 10 hrs or more
vii.	Frequency stability	+ 0.5PPM or better
viii.	Antenna Impedance	50 Ω
ix.	Weight	Less than 400 gm with battery
x.	Charger	Single Unit Charger and adopter to be provided with all radio
xi.	Battery	One Li-Ion spare battery of 2000 mAh or more capacity to be provided with all radio

Transmitter

S/N	PARAMETER	SPECIFICATION
i.	RF Power output	1 to 5 Watts (programmable)
ii.	Modulation	11KOF3E
iii.	Digital Modulation	4 FSK
iv.	Modulation Limiting	+2.5KHz
v.	FM Hum Noise	-40 db or better
vi.	Adjacent Channel power	-60 db or better
vii.	Audio Response	+1, -3db
viii.	Digital Vocoder	AMBE+2

Receiver

S/N	PARAMETER	SPECIFICATION
i.	Sensitivity (Analog)	0.30 μ V (12 db SINAD) or better
ii.	Sensitivity(digital)	0.30 μ V at 5% BER or better
iii.	Adjacent Channel Selectivity	60dborbetter
iv.	Inter-modulation	70dborbetter
v.	Audio output	Minimum500 mW
vi.	Audio Distortion	3% or better

ENVIRONMENTAL SPECIFICATION

S/N O	PARAMETER	SPECIFICATION
i.	Operating Temperature	-30°Cto+55°C
ii.	Storage Temperature	-30°Cto+60°C
iii.	Humidity	95% non-condensing (30 to 60 deg C)
iv.	Vibration	MIL-STD-810F/G
v.	Shock	MIL-STD-810F/G
vi.	Dust	MIL-STD-810F/G
vii.	Water intrusion	IP 57
viii.	Salt	MIL-STD-810F/G
ix.	Rain	MIL-STD-810F/G

Feature wise Configuration:

1. Simple press to talk
2. Low battery alert
3. Continuous Tone Coded Squelch System (CTCSS)
4. Operation–analog and digital capability
5. DTMF signaling
6. Busy Channel Lockout
7. Selective call Decode
8. Capable to kill/un-kill
9. Capable of VOX hand free operation
10. Chanel Scanning with call quieting facility
11. Emergency SOS/SIREN
12. Talk around Mode
13. Automatic Number Identification (ANI)
14. Text messages and predefined message
15. Should have built-in GPS feature with following specifications:
 - i. Time to First Fix (TTFF) cold start : <2minutes
 - ii. Time to First Fix (TTFF) hot start : <20 seconds
 - iii. Horizontal accuracy : <10meters
16. Programming through inbuilt Bluetooth as no provision for programming cables
17. IP 57 or better for water submersibility protection

2.1.2 Base Stations (VHF, Tier-II)

The DMR fixed station or base station will meet the following specifications:

General

S/NO	PARAMETER	SPECIFICATION
i.	Frequency Range	136 to 174 MHz (full band)
ii.	TDMA	2–Slot
iii.	Channel Capacity	255 or higher
iv.	Channel spacing	12.5KHz
v.	Operating Voltage	10.8to15.6V DC
vi.	Frequency stability	+0.5PPMorbetter
vii.	Antenna Impedance	50 Ω
viii.	Communication interface	Ethernet/USB
ix.	Weight	Less than 2kg
x.	Display	Alphanumeric
xi.	VSWR	Better than 1.5
xii.	Protection	Reverse polarity and High VSWR
xiii.	DTMF	2 Tone/5 tone

Transmitter

S/NO	PARAMETER	SPECIFICATION
i.	RF Power output	5 to 25 Watts
ii.	Modulation	11KOF3E
iii.	Digital Modulation	4FSK
iv.	Modulation Limiting	+2.5KHz
v.	FM Hum & Noise	-40 db or better
vi.	Adjacent Channel Power	-60 db or better
vii.	Audio Response	+1,-3db
viii.	Digital Vocoder	AMBE+2

Receiver

S/NO	PARAMETER	SPECIFICATION
i.	Sensitivity (Analog)	0.30 μ V (12 db SINAD) or better
ii.	Sensitivity (digital)	0.30 μ V at 5% BER or better
iii.	Adjacent Channel Selectivity	60 db or better
iv.	Inter-modulation	70 db or better
v.	Audio output	Minimum 3 Watts
vi.	Audio Distortion	3% or better

Environmental Specifications

S/NO	PARAMETER	SPECIFICATION
i.	Operating Temperature	-30° C to +55° C
ii.	Storage Temperature	-30 ° C to +60 °C
iii.	Humidity	95% non-condensing (30 to 60 deg
iv.	Shock	MIL-STD-810F/G
v.	Vibration	MIL-STD-810F/G
vi.	Dust	MIL-STD-810F/G
vii.	Water intrusion	IP 54
viii.	Salt	MIL-STD-810F/G
ix.	Rain	MIL-STD-810F/G

Feature wise Configuration:

1. Simple press to talk
2. Continuous Tone Coded Squelch System (CTCSS)
3. Timeout Timer (TOT)
4. All features of configuration VHF
5. DTMF signaling
6. Busy Channel Lockout
7. Selective call decode
8. Capable to kill/un-killed
9. Chanel Scanning with call quieting facility
10. Emergency SOS/SIREN
11. Automatic Number Identification (ANI)
12. Talk around Mode
13. Should have built-in GPS feature with following specifications:
 - i. Time to First Fix (TTFF) cold start: <2minutes
 - ii. Time to First Fix (TTFF) hot start:<20 Seconds
 - iii. Horizontally accuracy :< 10 meters

2.1.3 DMR Repeaters

S/NO	PARAMETER	SPECIFICATION
1	General	
	i) Frequency Range	136to174 MHz (split or full band)
	ii) TDMA	2–Slot simultaneous communication
	iii) Operating Mode	Dual standard(digital analog)
	iv) Operating Selectio	Fully automatic for analog&digital
	v) Channel Capacity	16 or more
	vi) Channel spacing	12.5KHz
	vii) Operating Voltage	12VDC (10.8to15.6V DC)230 VAC+10 %,50+1% Hz with float charger. Automatic switch over from AC to DC during mains failure.
	viii) Frequency stability	+0.5PPM or better
	ix) Interface	Ethernet port for IP connectivity
	x) Antenna Impedance	50 Ω
	xi) Duty Cycle	100%
	xii) Weight	Less than15Kg
	xiii) Display	Indicator for Transmit & Receive for each slot
	xiv) VSWR	Better than 1.5
	xv) Protection	Reverse polarity
2	Transmitter	
	i) RF Power output	25 to 50 Watts (programmable
	ii) FM Modulatio	11KOF3E
	iii) Modulation Sensitivity	1 to10 mVat 1KHzatmic input for+1.5KHz standard deviation

	iv) Digital Modulation	4FSK
	v) Modulation Limiting	+2.5KHz
	vi) FM Hum &Noise	-40 db or better
	vii) Adjacent Channel Power	-60 db or better
	viii) Audio Response	+1, -3db
	ix) Digital Vocoder	AMBE+2
3	Receiver	
	i) Sensitivity (Analog)	0.30 μ V (12 db SINAD) or better
	ii) Sensitivity (digital)	0.30 μ V at 5% BER or better
	iii) Image Rejection	65 db or better
	iv) Adjacent Channel Selectivity	60 db or better
	v) Inter-modulation	70 db or better
3	Environmental Specifications	
	i) Operating Temperature	-30 degC to +55 degC
	ii) Storage Temperature	-30 degC to +60 degC
	iii) Humidity	95 % non-condensing (-20 degC to +60 degC)

2.1.4 Radio Servers

Operator Console minimal configuration	CPU i5, RAM 8MB, HDD 500Gb, 21" TFT, Windows 10.
Server minimal configuration	Windows server with CPU i5, RAM 8MB, HDD 1TB, 18.5" TFT, Windows 10.
Work Station at SEOC	Latest Firmware. The same firmware must be applied for all radios and repeaters in the system Processor Intel, RAM 8 GB, HDD 1TB, 21" TFT, Windows 10.

2.1.5 Dispatcher Software

Client-Server	Radio server shall be able to handle multiple different radio networks simultaneously.
Direct connection IP to DMR repeaters	Direct IP connection is applicable for all functionality including voice calls. 2-way direct IP connection for IP interface Systems
Control Stations	Voice functionality through the control stations should be available. Analog (non-DMR) control stations are to be connected.
Analog Mode	Analog channels should be supported for Open channel voice calls

Radio Operator	All type of calls (Private, Group and All call) Calling Subscriber Identification Remote Monitoring Emergency Calls Voice Calls between operators (Intercom) Voice Recording Radio Check Call Alert Radio Kill Flexible sound control Customizable Subscriber Call windows Subscriber categorization Configurable profiles to manage access of operators to base radios, groups, services, DMR IP based Site Connect slots Black list for automatic blocking of unauthorized radios in the DMR network Restriction of monitoring of private calls between subscribers
GPS Tracking, AVL	Real-time subscriber location monitoring Subscriber location logging Track animation for specified period of time Subscriber track details Geo fencing Route control Subscriber stop control Subscriber's movement start control Monitoring loss and appearance of location signals from subscriber Display on map all subscribers in selected category Automatic location request Location request by event Manual location request Integration with Google Earth and Google Maps (export locations to KML) Color formatting for subscribers with actual location
Telephone Interconnect	Telephone calls from the operator consoles either from District Hqrs & State Hqrs Incoming Telephone / Mobile calls from State & District Hqrs to radio subscribers Personal and group calls Multiple concurrent channels to telephone network Possibility to limit list of authorized radio subscribers Voice calls recording in MP3 format
Data Transmission, Data and Voice Logging	Text Messaging Service Subscriber Status control Telemetry Commands control Event Logging of ALL activity in radio network Voice Recording of ALL calls in radio network (even private calls between radio subscribers) Additional Information about the repeater used for the subscriber activity (calls, text messages, etc) Subscriber Custom Properties Rules and Alerts for events GPS Rules Report Wizard Subscriber Activity Report Database back-up and restore

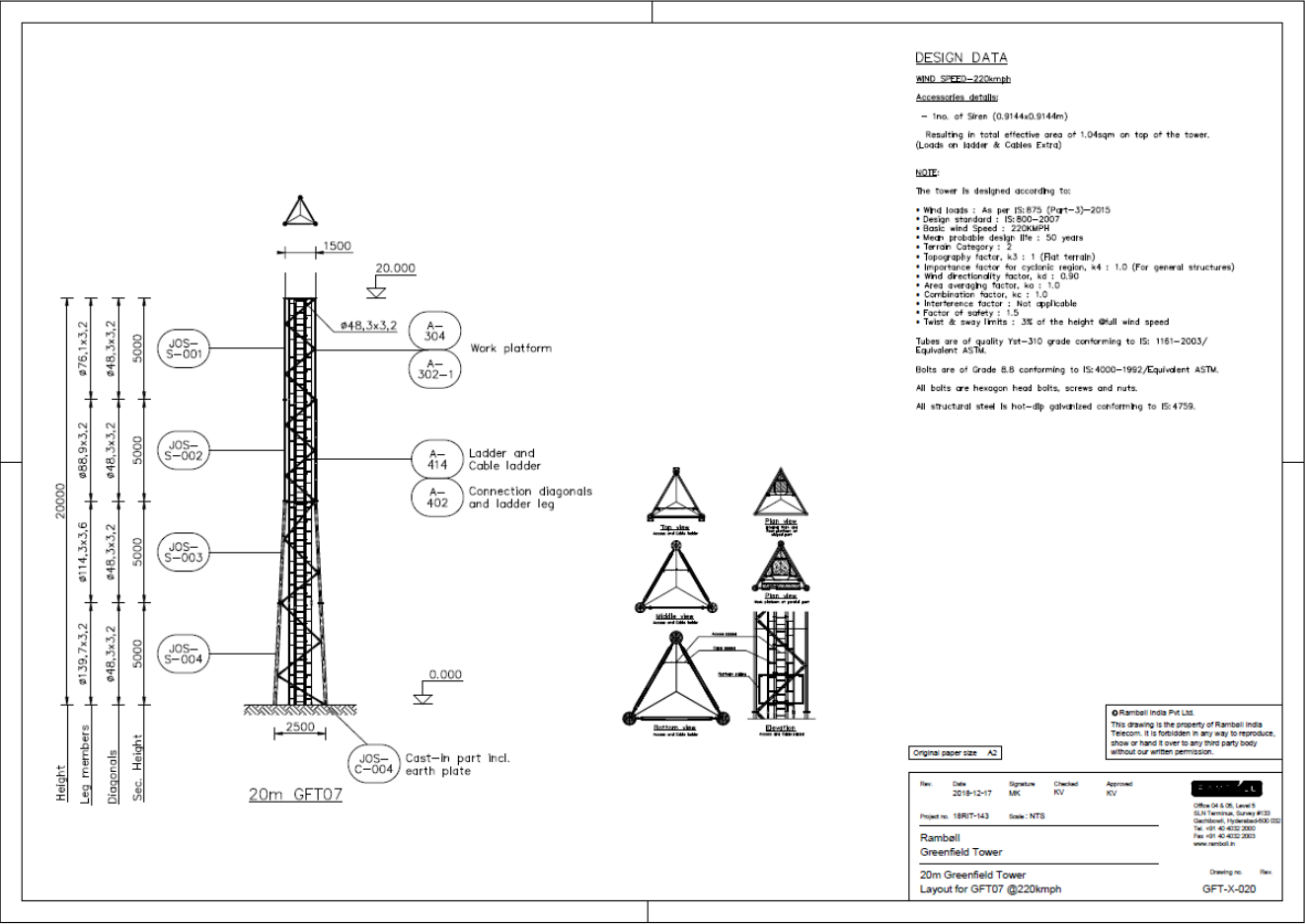
	Voice and event logging at both Operator Consoles and Servers
Real Time Monitoring	Graphical representation of voice and data activity Graphical representation of radio network scheme Information about current state of connected DMR repeaters Remote repeater administration in IP based Site Connect systems (channel change, power level settings, turning off and on) Hardware failures logging Graphical representation of the collected monitoring data Detailed reports based on collected monitoring data and filtered by number of criteria
Radio Network Bridging for IP based Site Connect installed in various District hqrs through State Hqrs Operator Consoles	Routing of all calls from one network to another Routing of group calls for specified talk groups Routing of private calls for specified subscribers Support for analogue and digital channels

2.1.6 Towers for DMR Repeater

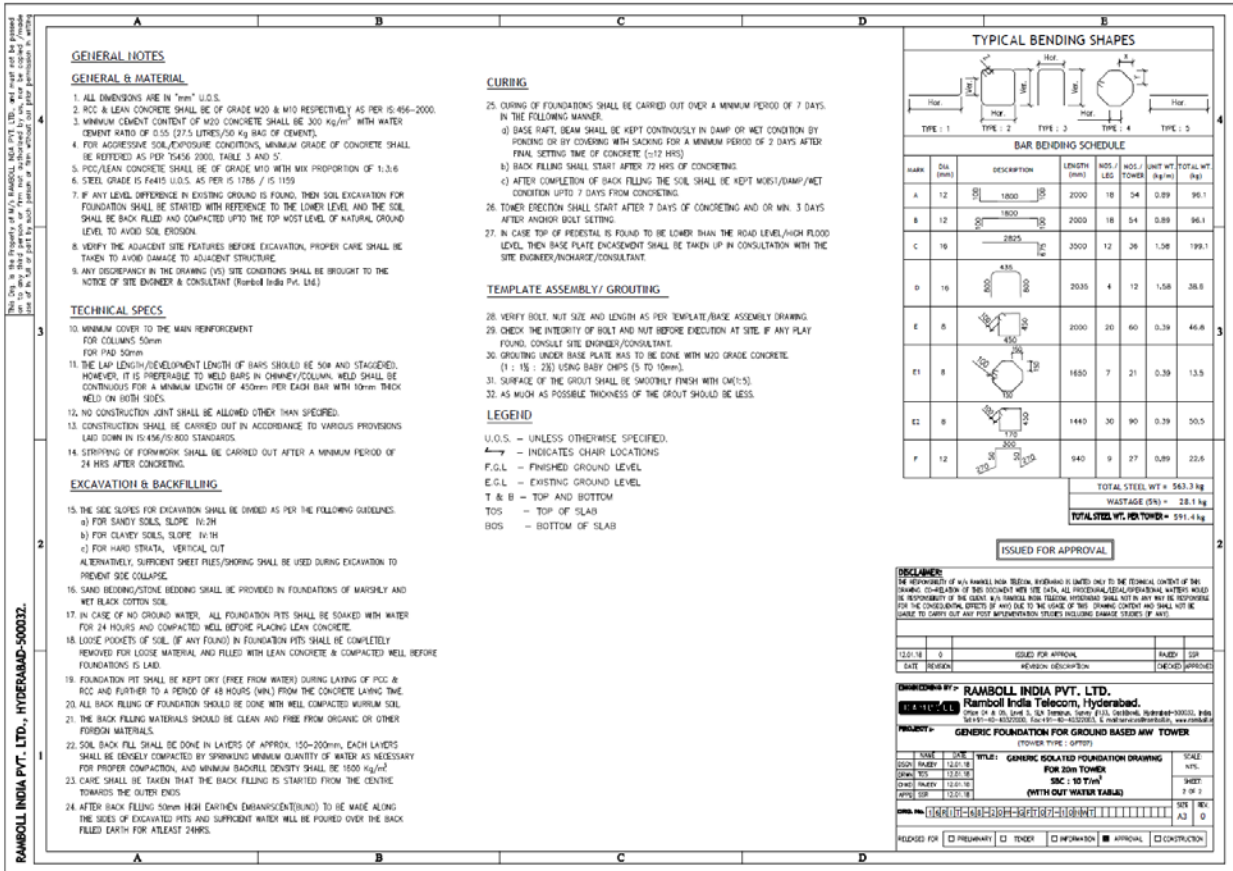
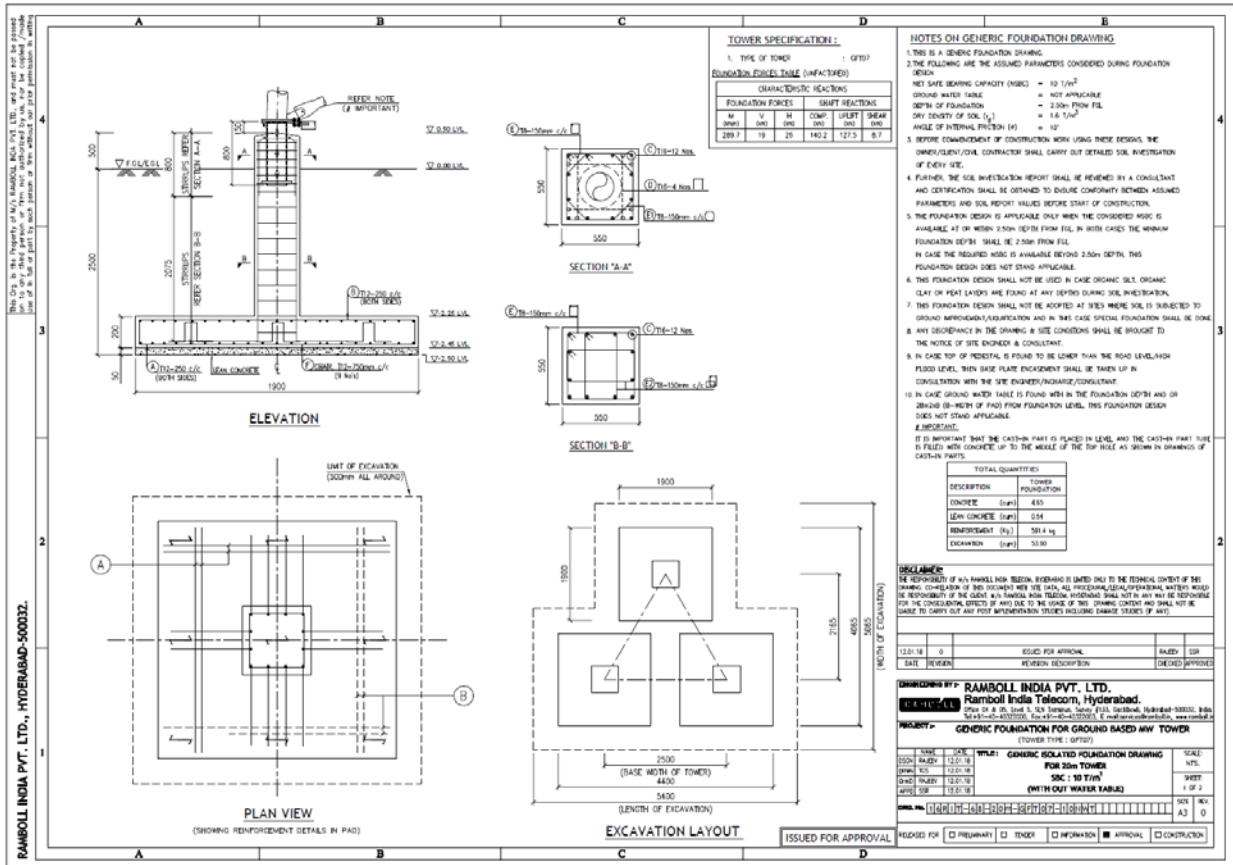
The repeaters will be mounted on angular towers. These towers will meet the following criteria:

1. The height of the tower would be 20m from the ground.
2. Multiple repeater antennas will be installed for 360 deg coverage. The weight of all the repeater antennas may be taken as 50 Kg.
3. The tower should be strong enough to withstand a cyclonic wind load of 220Km/hour with mounted antennas. The material and weight of the tower will be designed accordingly.
4. The tower will be fitted with will have lighting arrester and aviation lights.
5. There will be provision for a ladder for installation and maintenance of antennas.
6. The life span of the tower shall be minimum 20 years.
7. The bidder shall work out detailed design of the foundation for the tower from all aspects, keeping in view the effects of local metrological conditions like wind velocity, seismicity, temperature, saline weather etc. to ensure the safety of the tower. The tower, the angles, the brackets, nut bolts should be galvanized or rust proof.
8. The bidder shall get the design (both tower & its foundation) approved by an IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.

9. A tentative design is given below for reference only:



10. Tentative foundation design is as follows:



2.2 Satellite Network

2.2.1 Satellite Phones

The satellite phone should meet the following technical specifications:

1. Talk time upto 8 Hrs, Standby time upto 100 Hrs
2. Telephony Services to be supported:
 - Voice and circuit switch data
 - Short Messages Services (SMS-PP)
 - Voice mail Services
 - SMS-to-Email
 - GPS Location Data
 - Multiple Language support
3. Integrated fold-out GMR2+ antenna, internal GPS and Bluetooth antenna.
4. Bluetooth support for wireless hands free voice operation (headset and hands free profiles)
5. 2.5 mm pole audio connector for wired hands free voice operation.
6. Micro USB Port for data/Fax services and battery charging.
7. GPS Tracking
8. Incoming call alerts
9. Weight less than 350 g (including Battery)
10. High resolution color LCD display of more than 2 inch
11. Removable Long Life Battery

A typical diagram of a satellite phone is given below for reference only.

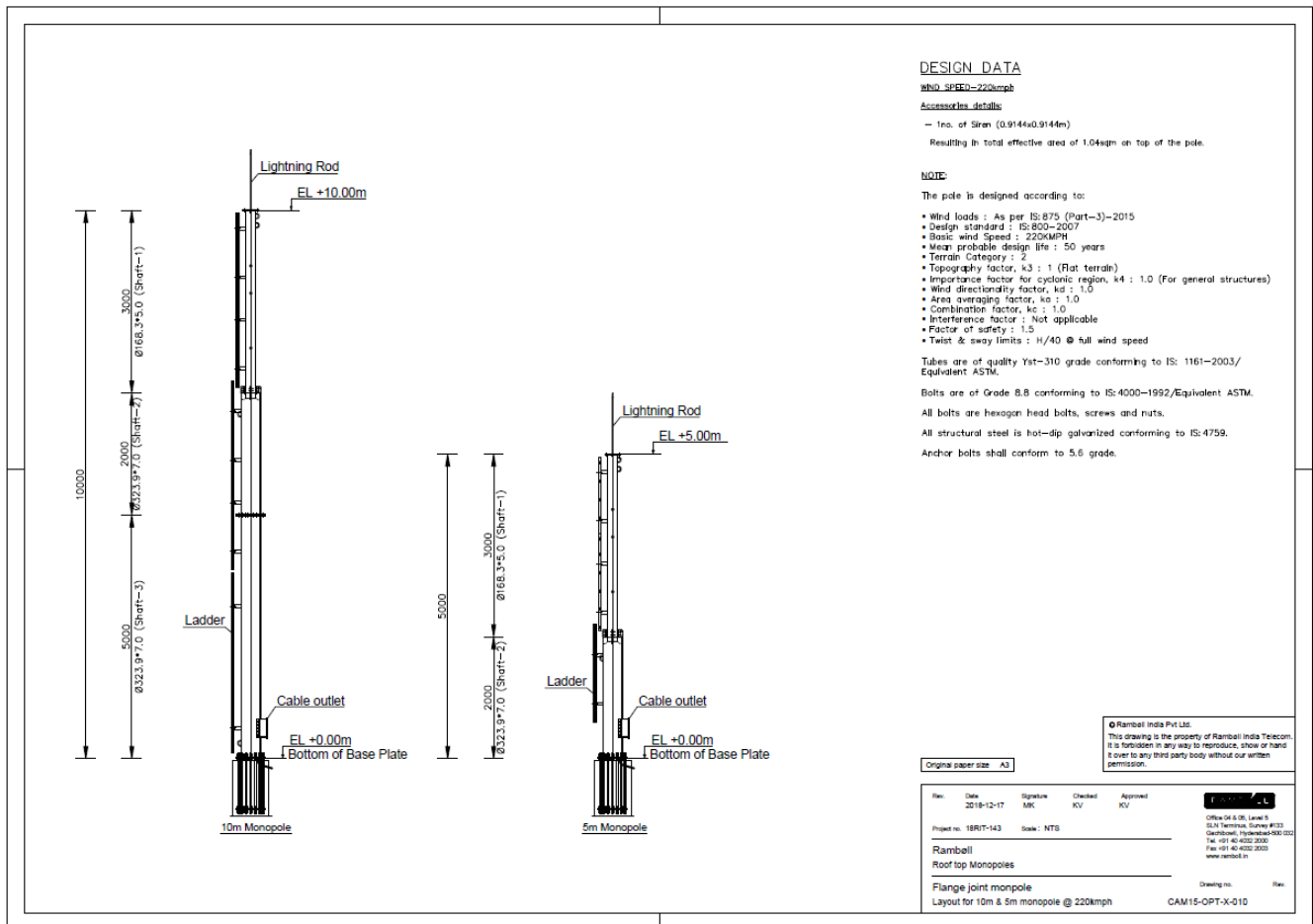


2.3 Siren Systems

2.3.1 5 m Roof Top Poles

The 5 m roof top pole shall comply to following specifications:

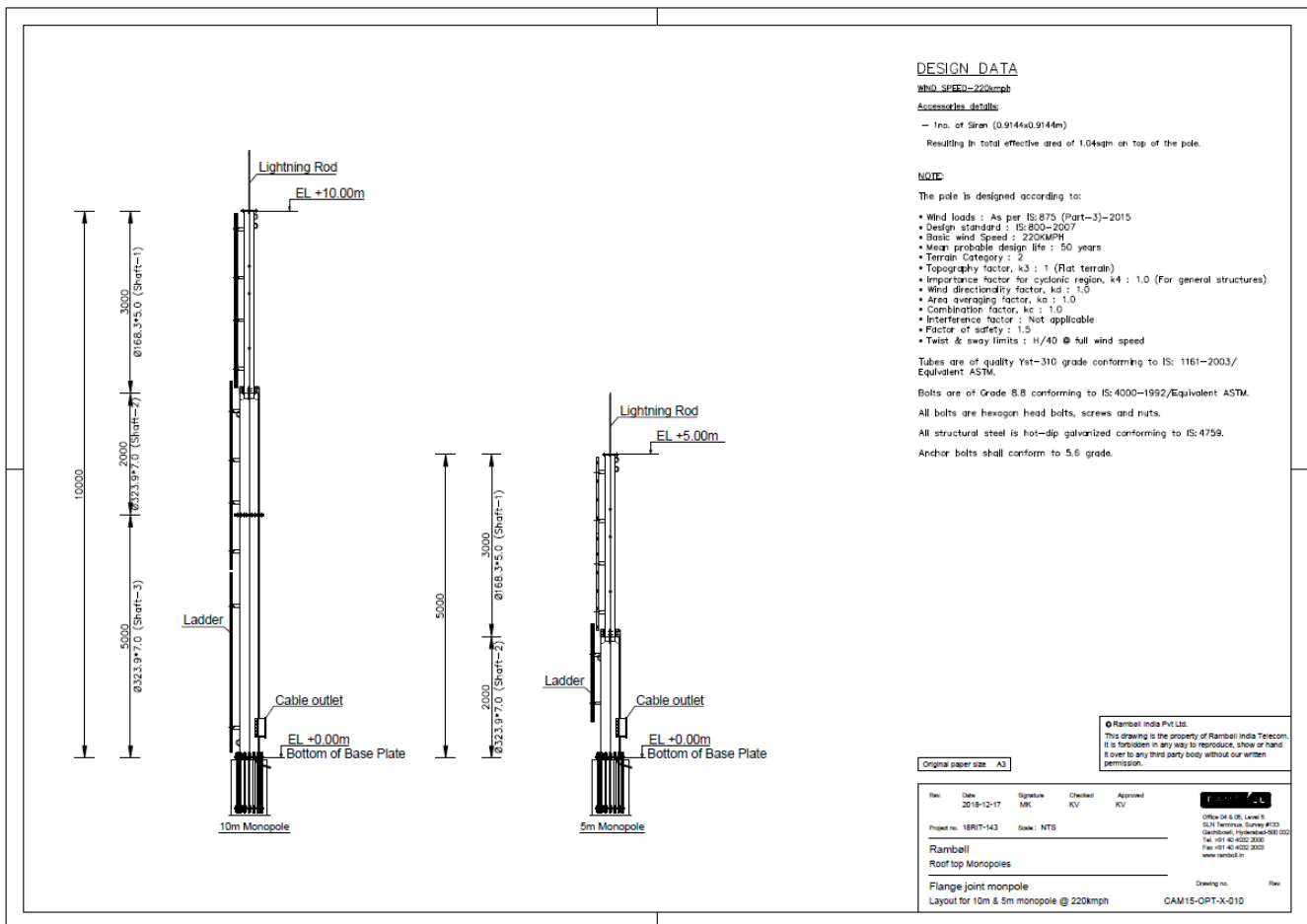
1. Length of the pole should be minimum 5(five) meters
2. It would be supported by guide wires (rust proof)
3. It would be strong enough to withstand cyclonic wind speed of 220 Km/hour with siren speaker load of 200 Kg
4. The life of the pole would be minimum 20 years
5. The bidder shall work out detailed design of the pole and its civil foundation, from all aspects, keeping in view the effects of local metrological conditions like wind velocity, seismicity, temperature, saline weather etc. to ensure the safety of the pole.
6. The design shall be got approved by an IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.
7. A tentative design for the pole is given below for reference only.



2.3.2 10 m Roof Top Poles

The 5 m roof top pole shall comply to following specifications:

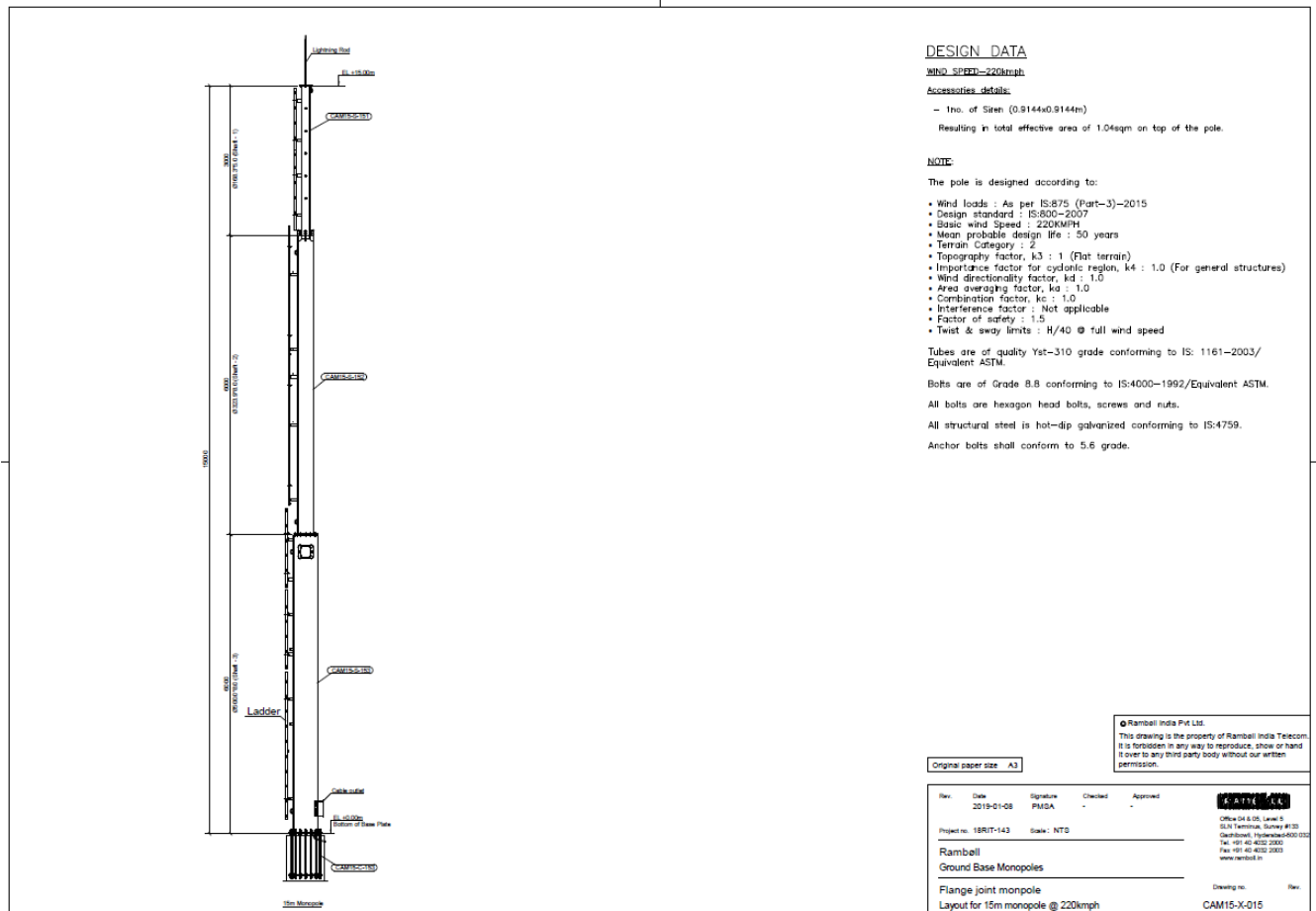
1. Length of the pole should be minimum 10 (ten) meters
2. It would be supported by guide wires (rust proof)
3. It would be strong enough to withstand cyclonic wind speed of 220 Km/hour with siren speaker load of 200 Kg
4. The life of the pole would be minimum 20 years
5. The bidder shall work out detailed design of the pole and its civil foundation, from all aspects, keeping in view the effects of local metrological conditions like wind velocity, seismicity, temperature, saline weather etc. to ensure the safety of the pole.
6. The design shall be got approved by an IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.
7. A tentative design for the pole is given below for reference only.



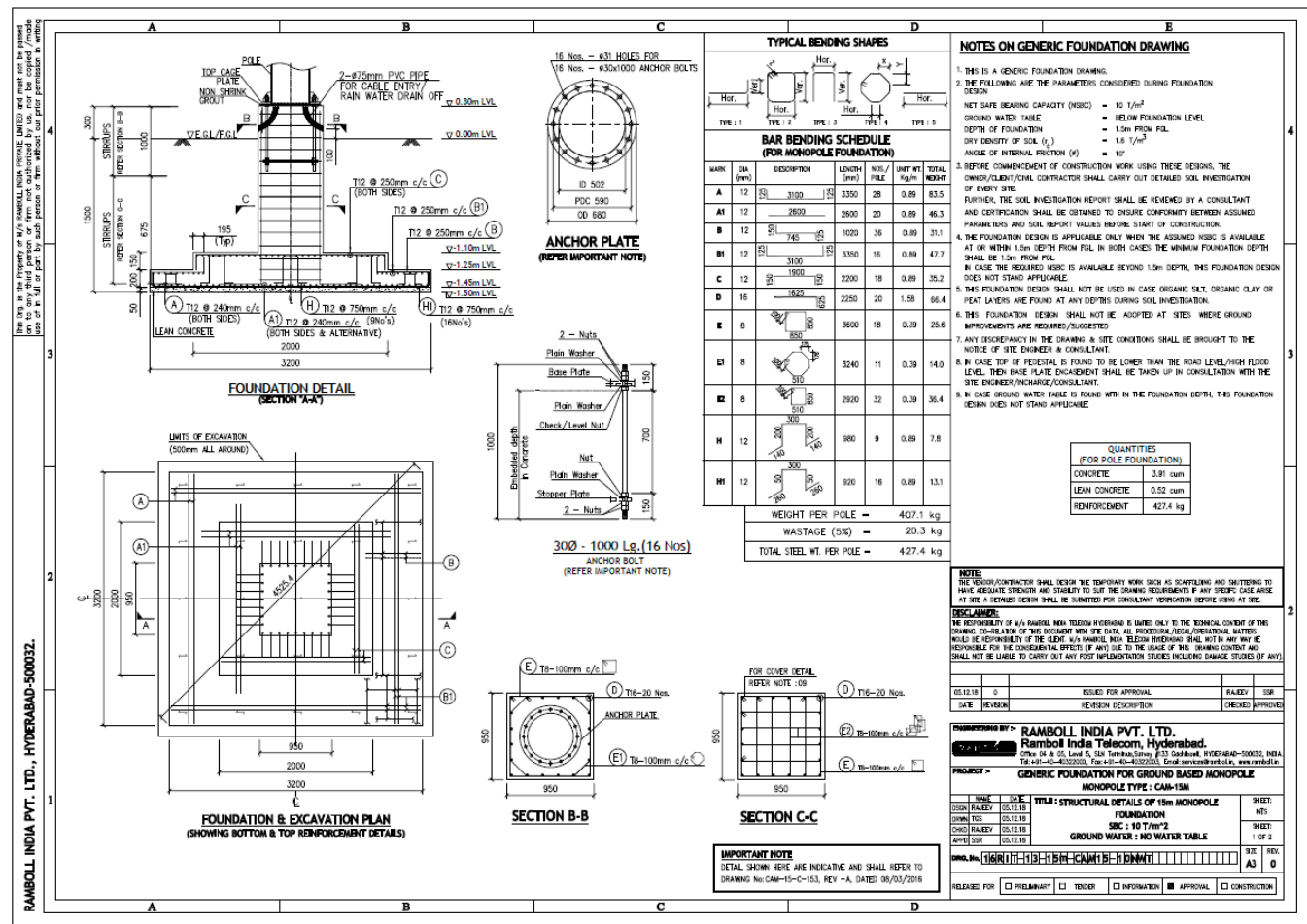
2.3.3 15 m Ground based Poles

The 5 m roof top pole shall comply with following specifications:

1. Length of the pole should be minimum 10 (ten) meters
2. It would be supported by guide wires (rust proof)
3. It would be strong enough to withstand cyclonic wind speed of 220 Km/hour with siren speaker load of 200 Kg
4. The life of the pole would be minimum 20 years
5. The pole, the brackets, nut bolts should be galvanized or rust proof.
6. There should be weather cap on top of the pole to avoid rain water being collected inside the pole.
7. The bidder shall work out detailed design of the pole and its civil foundation, from all aspects, keeping in view the effects of local metrological conditions like wind velocity, seismicity, temperature, saline weather etc. to ensure the safety of the pole.
8. The design shall be got approved by an IIT (Indian Institute of Technology). Bidder shall submit the detailed specifications along with the approved certificate by IIT.
9. A tentative design for the pole is given below for reference only.



10. A tentative foundation design for 15 m pole is given below for reference only.



A	B	C	D	E																																			
<p>GENERAL NOTES</p> <p>1. GENERAL & MATERIAL</p> <p>1.1 ALL DIMENSIONS ARE IN 'mm' U. O. S.</p> <p>1.2 RCC & LEAN CONCRETE SHALL BE 'NOMINAL MIX CONCRETE' OF GRADE M20 & M10 RESPECTIVELY.</p> <p>1.3 STEEL GRADE IS F415 U.O.S. AS PER IS 1786 / IS 1159</p> <p>1.4 FOR AGGRESSIVE SOIL/EXPOSURE CONDITIONS, MINIMUM GRADE OF CONCRETE SHALL BE REFERRED AS PER IS456 2000, TABLE 3 AND 5.</p> <p>1.5 IF ANY LEVEL DIFFERENCE IN EXISTING GROUND IS FOUND, THEN SOIL EXCAVATION FOR FOUNDATION SHALL BE STARTED WITH REFERENCE TO THE LOWER LEVEL AND THE SOIL SHALL BE BACK FILLED AND COMPACTED UP TO THE TOP MOST LEVEL OF NATURAL GROUND LEVEL TO AVOID SOIL EROSION.</p> <p>1.6 VERIFY THE ADJACENT SITE FEATURES BEFORE EXCAVATION, PROPER CARE SHALL BE TAKEN TO AVOID DAMAGE TO ADJACENT STRUCTURE.</p> <p>1.7 ANY DISCREPANCY IN THE DRAWING (VS) SITE CONDITIONS SHALL BE BROUGHT TO THE NOTICE OF SITE ENGINEER & CONSULTANT (RAMBOLL INDIA PVT. LTD.)</p> <p>2. TECHNICAL SPECIFICATIONS</p> <p>2.1 MIN. COVER TO THE MAIN REINFORCEMENT FROM DURABILITY FOR COLUMNS 50mm FOR PAD 50mm.</p> <p>2.2 THE LAP LENGTH/DEVELOPMENT LENGTH OF BARS SHOULD BE 50W AND STAGGERED. HOWEVER, IT IS PREFERABLE TO WELD BARS IN CHIMNEY/COLUMN, WELD SHALL BE CONTINUOUS FOR A MINIMUM LENGTH OF 450mm PER EACH BAR WITH 10mm THICK WELD ON BOTH SIDES.</p> <p>2.3 NO HONEYCOMB SHALL BE PERMITTED WHILE CONCRETING.</p> <p>2.4 NO CONSTRUCTION JOINT SHALL BE ALLOWED OTHER THAN SPECIFIED.</p> <p>2.5 CONSTRUCTION SHALL BE CARRIED OUT IN ACCORDANCE TO VARIOUS PROVISIONS LAID DOWN IN IS:456/IS:800 STANDARDS.</p> <p>2.6 STRIPPING OF FORMWORK SHALL BE CARRIED OUT AFTER A MINIMUM PERIOD OF 24 HRS AFTER CONCRETING.</p> <p>2.7 ALL REINFORCEMENT SHALL BE PLACED AND MAINTAINED IN THE POSITION SHOWN IN THE DRAWINGS BY PROVIDING PROPER COVER BLOCKS, SPACERS SUPPORTING BARS ETC.</p> <p>3. EXCAVATION & BACKFILLING</p> <p>3.1 THE SIDE SLOPES FOR EXCAVATION SHALL BE DIVIDED AS PER THE FOLLOWING GUIDELINES. a) FOR SANDY SOILS, SLOPE 1V:2H b) FOR CLAYEY SOILS, SLOPE 1V:1H c) FOR HARD STRATA, VERTICAL CUT ALTERNATIVELY, SUFFICIENT SHEET PILES/SHORING SHALL BE USED DURING EXCAVATION TO PREVENT SIDE COLLAPSE.</p> <p>3.2 SAND BEDDING/STONE BEDDING SHALL BE PROVIDED IN FOUNDATIONS OF MARSHY AND WET BLACK COTTON FOUNDATIONS.</p> <p>3.3 IN CASE OF NO GROUND WATER, ALL FOUNDATION PITS SHALL BE SOAKED WITH WATER FOR 24 HOURS AND COMPACT WELL BEFORE PLACING LEAN CONCRETE.</p> <p>3.4 LOOSE POCKETS OF SOIL (IF ANY) IN FOUNDATION PITS SHALL BE COMPLETELY REMOVED & FILLED WITH LEAN CONCRETE & COMPACTED WELL BEFORE FOUNDATIONS ARE LAID.</p> <p>3.5 FOUNDATION PIT SHALL BE KEPT DRY (FREE FROM WATER) DURING LAYING OF LEAN CONCRETE & RCC AND FURTHER TO A PERIOD OF 48 HOURS (MIN.) FROM THE CONCRETE LAYING TIME.</p> <p>3.6 ALL BACK FILLING OF FOUNDATION SHOULD BE DONE WITH WELL COMPACTED MURUM SOIL.</p> <p>3.7 THE BACK FILLING MATERIALS SHOULD BE CLEAN AND FREE FROM ORGANIC OR OTHER FOREIGN MATERIALS.</p> <p>3.8 SOIL BACK FILL SHALL BE DONE IN LAYERS OF APPROX. 150-200mm, EACH LAYERS SHALL BE DENSELY COMPACTED BY SPRINKLING MIN. QUANTITY OF WATER AS NECESSARY FOR PROPER COMPACTION.</p> <p>3.9 CARE SHALL BE TAKEN THAT THE BACK FILLING IS STARTED FROM THE CENTRE TOWARDS THE OUTER ENDS</p> <p>3.10 AFTER BACK FILLING 50mm HIGH EARTHEN EMBANKMENT(BUND) WILL BE MADE ALONG THE SIDES OF EXCAVATED PITS AND SUFFICIENT WATER WILL BE POURED OVER THE BACK FILLED EARTH FOR ATLEAST 24HRS.</p> <p>4. CURING</p> <p>4.1 CURING OF FOUNDATIONS SHALL BE CARRIED OUT OVER A MIN. PERIOD OF 7 DAYS. a) BASE RAFT, BEAM SHALL BE KEPT CONTINUOUSLY IN DAMP OR WET CONDITION BY PONDING OR BY COVERING WITH SACKING FOR A MINIMUM PERIOD OF 2 DAYS AFTER FINAL SETTING TIME OF CONCRETE (±12 HRS) b) BACK FILLING SHALL START AFTER 72 HRS OF CONCRETING. c) AFTER COMPLETION OF BACK FILLING THE SOIL SHALL BE KEPT MOIST/DAMP/WET CONDITION UNTIL 7 DAYS FROM CONCRETING.</p> <p>4.2 MONOPOLE ERECTION SHALL START AFTER 7 DAYS OF CONCRETING.</p> <p>LEGEND</p> <p>U.O.S. - UNLESS OTHERWISE SPECIFIED. F.G.L. - FINISHED GROUND LEVEL T & B - TOP & BOTTOM ←→ - INDICATE CHAIR LOCATIONS</p>																																							
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">05.12.18</td> <td style="width: 10%;">0</td> <td style="width: 50%;">ISSUED FOR APPROVAL</td> <td style="width: 10%;">RAJEEV</td> <td style="width: 10%;">SSR</td> </tr> <tr> <td>DATE</td> <td>REV.</td> <td>REVISION DESCRIPTION</td> <td>CHECKED</td> <td>APPRO.</td> </tr> </table> <p>DESIGNED BY ~ RAMBOLL INDIA PVT. LTD. Ramboll India Telecom, Hyderabad. <small>Office: 34 & 35, Level 5, 34A Taramani Survey #13 Sakinaka, HYDRABAD-500035, INDIA. Tel: +91-40-40202200, Fax: +91-40-40202500, Email: ramboll@ramboll.in, www.ramboll.in</small></p> <p>PROJECT ~ GENERIC FOUNDATION FOR GROUND BASED MONOPOLE</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 10%;">TITLE</td> <td style="width: 10%;">DATE</td> <td style="width: 50%;">TITLE: STRUCTURAL DETAILS OF 15m MONOPOLE</td> <td style="width: 10%;">SCALE</td> <td style="width: 10%;">NES</td> </tr> <tr> <td>ISSN</td> <td>RAJEEV</td> <td>05.12.18</td> <td></td> <td></td> </tr> <tr> <td>ISSN</td> <td>SSR</td> <td>05.12.18</td> <td></td> <td></td> </tr> <tr> <td>CHKD</td> <td>RAJEEV</td> <td>05.12.18</td> <td></td> <td></td> </tr> <tr> <td>APPR</td> <td>SSR</td> <td>05.12.18</td> <td></td> <td></td> </tr> </table> <p>MONOPOLE TYPE : CAM-15M</p> <p>FOUNDATION SBC : 10 T/m² GROUND WATER : NO WATER TABLE</p> <p>DRG. No. 16R0117-19-15M-CAM-15-10DNMT</p> <p>SITE NO. A3 REV. 0</p> <p>RELEASED FOR <input type="checkbox"/> PRELIMINARY <input type="checkbox"/> TENDER <input type="checkbox"/> INFORMATION <input checked="" type="checkbox"/> APPROVAL <input type="checkbox"/> CONSTRUCTION</p>					05.12.18	0	ISSUED FOR APPROVAL	RAJEEV	SSR	DATE	REV.	REVISION DESCRIPTION	CHECKED	APPRO.	TITLE	DATE	TITLE: STRUCTURAL DETAILS OF 15m MONOPOLE	SCALE	NES	ISSN	RAJEEV	05.12.18			ISSN	SSR	05.12.18			CHKD	RAJEEV	05.12.18			APPR	SSR	05.12.18		
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2.3.4 Speaker Systems

The siren speakers shall meet the following requirements/ specifications:

- a) System audio output must be at least 5 db above ambient noise at a distance of 1.5 Km. Assuming 60 dB ambient noise, the system audio output must be higher than 65 dB at 1.5 KM (radius) or 3 KM diameter Omni directional.
- b) The audio output power should be 300 watts RMS continuous per amplifier (or 600 Watts per Siren) or more.
- c) Speakers or horns (directional or Omni type) shall be mountable on poles & towers with the help of mounting fixtures provided by the vendor.
- d) All Speaker cable must be inside the pole or covered by metal structure.
- e) Direct-Single-Horn-Replacement must be possible any time.
- f) The horns should be light weight, rugged, weather proof and compact. Should be moulded in aluminium for longer lasting finish. Total weight of horn assembly should not exceed 150 kgs. Should withstand harsh and saline weather condition and should be rustproof.
- g) It shall be compliant to **IP65 standard** for protection against foreign particles such as dust and jets of water, ideal for outdoor use.
- h) The Loudspeaker cable should be double insulated to connect with the speaker amplifier. All Speaker cable must be inside the pole or covered by metal structure.
- i) The installation must follow best practice and should be away from any potential source of interference such as mains cable, data cable & telecom cable.
- j) The horn must also have a “netted” cover to protect against flying insects entering the horn and thereby damaging the horn/ driver.

2.3.5 Remote Terminal Unit (RTU)

- a) The RTU should receive and decode all the 3 types of warning instructions sent from SEOC, DEOC or TEOC which are the cellular Network (GSM/GPRS), IP Network & Radio Network.
- b) On receipt of a valid warning signal the RTU shall play the alert signal over a high powered speaker for a pre- determined duration. Duration of playing warning message should be controllable.
- c) The communication terminal in the RTU must be tropicalized and should be able to operate under all kinds of weather conditions.
- d) The RTU should be able to run on grid power supply and battery. Battery dimension should be calculated so that each RTU can run on battery for minimum 2 hours continuously having siren in on condition.
- e) Charging of battery will be through grid power supply.
- f) Provision of GPRS and IP interface along with power amplifier should be available in the RTUs.
- g) The unit should send encoded information on available communication channel (GSM/GPRS, IP) regarding health of equipment. Provision for checking quality of performance remotely of the system at the SEOC should be made.
- h) Health Status Check for Alert Sirens shall include low volume and silent testing at regular interval. The configurable parameters of the encoder /decoder programming must be possible through an external port (e.g RS -232).
- i) RTUs should be maintenance-free and be robust enough to work under high temperature (upto 60 degree C), high humidity (> 95%) and salty environment.
- j) Optional local control should be available for manual operation of warning broadcast for

local community.

- k) The Standby mode current consumption should be less than 200 mA/ 230 V.
- l) RTU should have high powered audio amplifier compatible to work with the Speaker Horn Array.
- m) All the electronic unit ie RTU, Communication Interface Card, SIM card, batteries and other supporting electronics should be housed in a IP 65 Stainless Steel Outdoor Cabinet with lock & key arrangement.

2.3.6 GIS Based Monitoring & Control Software

The specifications of the monitoring & control software are given below:

1. The Control Station shall provide a centralized location for activating, controlling, and monitoring all system equipment. The Control Station should have the ability to broadcast live public address, pre-recorded messages and siren tones.
2. The Control station should have Microsoft windows OS based software user friendly, graphical user interface for overall control, monitoring and to display the map & colour coded icons for status of RTU/AWT locations/sirens.
3. It should also support the ability to display an unlimited number of different map views.
4. The operator from Control Station should be able to alert/ announce any RTU within the state by using GUI. The Control station software should have graphical representation of entire RTUs of the state.
5. The software should have complete storage mechanism of all RTU status and alarm data.
6. The software system is required to be in hot-standby mode at SEOC so that in the event of one computer being non-operational, the other computer can be used.
7. Computer with 22 inch monitor shall be provided for ease of operation by the Controller. The controller must support the ability to initiate concurrent or sequential activations including the ability to simultaneously send activations to different targets.
8. The system should be password protected and have adequate security to avoid any unauthorized access to the system.
9. Microphone, speaker and associated hardware as needed to full fill the functionality shall be provided.
10. The control software must be capable reconfiguring alarm records of the Sirens remotely over available communication path without requiring onsite visit. It must support the ability to report faults or trouble alarms via email and SMS text to maintenance personnel.
11. Provision for remote health check of Siren system (RTU) through silent mode operation is mandatory.
12. All type of cost of the operation in terms of software license fee (no. of RTUs, no. of login etc) shall be paid one time which shall include the user license usage for all TRUs.
13. The facility to record the live voice messages and to broad cast the recorded voice messages as well as to broad cast real time voice messages shall be available at SEOC.
14. The SEOC can initiate an alert on an individual siren automatically or override the automatic activation in the event malfunctions occur or cancel an activated message to all the RTUs in all the Talukas or all the RTUs of the state. The SEOC controls all the TEOCs and all the RTUs.

2.3.7 PC for monitoring & control software

The PC to host monitoring & control software shall have following minimum configurations:

CPU	Intel® Core™ i5 or higher with 9 MB cache, 6 cores or higher
RAM	8 GB or higher
Hard Disk	1 TB or higher
Graphics Card	Intel UHD
Display	22"
Optical Drive	1 DVD Writer
Interfaces	2 USB, 1 LAN, 1 Audio
Operating System	Windows 10
Form Factor	All-in-One
Web Cam	2 MP full HD or higher
Keyboard & Mouse	HP Wireless Business Slim Keyboard and Mouse Combo
Other Software	MS Office & Anti-virus software

2.3.8 Appliance based Alert Activation

The specifications of the appliance based alert activation system are given below:

1. The Control station at TEOC will be hardware driven. Embedded software driven by hardware solution shall be menu driven so that tower selections within the Taluka can be made.
2. Interface kits like speaker, microphone necessary data cable should be supplied with solution.
3. Triggering alarm from TEOC shall be possible through GSM network and Ethernet.
4. The facility to record the live voice messages and to broad cast the recorded voice messages as well as to broad cast real time voice messages shall be available at TEOC.
5. All activity logs generated at TEOC level should be captured and stored at SEOC server/control station.

2.3.9 Pre-recorded voice and messages

1. A set of pre-recorded voice and messages will be supplied by the successful bidder.

2.3.10 Display screen (50")

1. 50" LED display screens will be supplied by the successful bidder.

2.4 Networking

2.4.1 Switches at SEOC

Feature	Details
Interfaces	24 Ethernet 10/100/1000 ports and 2 fixed Ethernet 10G uplink ports
Switching Capacity	Should Support minimum 20 Gbps switching fabric
Forwarding Rate	Should support Forwarding rate minimum 10 Mpps
DRAM	Should have minimum 64 MB DRAM However, the Bidder to ensure the completeness of the functionality requirements.
Flash Memory	Should have minimum 32 MB Flash memory. However, the Bidder to ensure the completeness of the functionality requirements.
MAC Addresses	Should be Configurable up to 8000 MAC addresses
Power Supply	The switch should have external/ internal RPS- Deleted
VLAN	Should Support minimum to 255 VLANs per switch and more than 2000 VLAN ID's.
VLAN Trunking	Capability of creating VLAN trunks on any port using standards-based IEEE 802.1q tagging.
Flow Control	IEEE 802.3x Flow Control
Snooping	IGMP snooping
Spanning Tree	IEEE 802.1D Spanning Tree Protocol, Should support IEEE 802.1w Rapid Spanning Tree Protocol, Should support IEEE 802.1s Multiple Spanning Tree Protocol, Support for Spanning Tree Route
Link Aggregation	IEEE 802.3ad Link Aggregation
	IEEE 802.1 ab
Port Mirroring	Port mirroring-(one-to-one)
MAC Filtering	MAC Address-based filtering
QoS	IEEE 802.1p support Number of Queues per Port-4
CoS	CoS Based on Switch Port
Authentication	TACACS+ / RADIUS based authentication services.
IPv6 Support	IPv6 to be supported
Broadcast, Multicast	Protection against Broadcast, multicast, and unicast storm
Access Control	IEEE 802.1x Port-based Access Control
Management	CLI, Web based Management, Secure Shell (SSH) Protocol
Remote Management	SNMP V1,V2 and V3 support Console and Telnet support 4 Groups of RMON supported

2.4.2 Switches at DEOCs

Feature	Details
Interfaces	24 Ethernet 10/100/1000 ports and 2 fixed Ethernet 1G uplink ports
Switching Capacity	Should Support minimum 10 Gbps switching fabric
Forwarding Rate	Should support Forwarding rate minimum 6 Mpps
DRAM	Should have minimum 64 MB DRAM However, the Bidder to ensure the completeness of the functionality requirements.
Flash Memory	Should have minimum 32 MB Flash memory. However, the Bidder to ensure the completeness of the functionality requirements.
MAC Addresses	Should be Configurable up to 8000 MAC addresses
Power Supply	The switch should have external/ internal RPS- Deleted
VLAN	Should Support minimum 255 VLANs per switch and more than 2000 VLAN ID's.
VLAN Trunking	Capability of creating VLAN trunks on any port using standards-based IEEE 802.1q tagging.
Flow Control	IEEE 802.3x Flow Control
Snooping	IGMP snooping
Spanning Tree	IEEE 802.1D Spanning Tree Protocol, Should support IEEE 802.1w Rapid Spanning Tree Protocol, Should support IEEE 802.1s Multiple Spanning Tree Protocol, Support for Spanning Tree Route
Link Aggregation	IEEE 802.3ad Link Aggregation
	IEEE 802.1 ab
Port Mirroring	Port mirroring-(one-to-one)
MAC Filtering	MAC Address-based filtering
QoS	IEEE 802.1p support Number of Queues per Port-4
CoS	CoS Based on Switch Port
Authentication	TACACS+ / RADIUS based authentication services.
IPv6 Support	IPv6 to be supported
Broadcast, Multicast	Protection against Broadcast, multicast, and unicast storm
Access Control	IEEE 802.1x Port-based Access Control
Management	CLI, Web based Management, Secure Shell (SSH) Protocol
Remote Management	SNMP V1,V2 and V3 support Console and Telnet support 4 Groups of RMON supported

2.4.3 Switches at TEOCs

Feature	Details
Interfaces	12 Ethernet 10/100/1000 ports and 2 fixed Ethernet 1G uplink ports
Switching Capacity	Should Support minimum 8 Gbps switching fabric
Forwarding Rate	Should support Forwarding rate minimum 5 Mpps
DRAM	Should have minimum 32 MB DRAM However, the Bidder to ensure the completeness of the functionality requirements.
Flash Memory	Should have minimum 16 MB Flash memory. However, the Bidder to ensure the completeness of the functionality requirements.
MAC Addresses	Should be Configurable up to 4000 MAC addresses
Power Supply	The switch should have external/ internal RPS- Deleted
VLAN	Should Support Up to 255 VLANs per switch and more than 1000 VLAN
VLAN Trunking	Capability of creating VLAN trunks on any port using standards-based IEEE 802.1q tagging.
Flow Control	IEEE 802.3x Flow Control
Snooping	IGMP snooping
Spanning Tree	IEEE 802.1D Spanning Tree Protocol, Should support IEEE 802.1w Rapid Spanning Tree Protocol, Should support IEEE 802.1s Multiple Spanning Tree Protocol, Support for Spanning Tree Route
Link Aggregation	IEEE 802.3ad Link Aggregation
	IEEE 802.1 ab
Port Mirroring	Port mirroring-(one-to-one)
MAC Filtering	MAC Address-based filtering
QoS	IEEE 802.1p support Number of Queues per Port-4
CoS	CoS Based on Switch Port
Authentication	TACACS+ / RADIUS based authentication services.
IPv6 Support	IPv6 to be supported
Broadcast, Multicast	Protection against Broadcast, multicast, and unicast storm
Access Control	IEEE 802.1x Port-based Access Control
Management	CLI, Web based Management, Secure Shell (SSH) Protocol
Remote Management	SNMP V1,V2 and V3 support Console and Telnet support 4 Groups of RMON supported

2.4.4 Patch Panel at SEOC

S. No	Specification
1.	24 ports fitting on 19" Rack with cable manager
2.	It shall be a loaded Patch Panel.
3.	It shall be ETL certified to meet TIA/EIA 568-B.2-1 Category 6 specifications.
4.	Patch Panel must have a Rear cable manager and this shall occupy the same area as the panel.
5.	Patch Panel must be supplied with paper labels for station identification and ID tabs for individual port identification.
6.	It shall be fitted with RJ 45 jacks.

2.4.5 Patch Panel at DEOC

S. No	Specification
1.	24 ports fitting on 19" Rack with cable manager
2.	It shall be a loaded Patch Panel.
3.	It shall be ETL certified to meet TIA/EIA 568-B.2-1 Category 6 specifications.
4.	Patch Panel must have a Rear cable manager and this shall occupy the same area as the panel.
5.	Patch Panel must be supplied with paper labels for station identification and ID tabs for individual port identification.
6.	It shall be fitted with RJ 45 jacks.

2.4.6 Patch Panel at TEOC

S. No	Specification
1.	12 ports fitting on 19" Rack with cable manager
2.	It shall be a loaded Patch Panel.
3.	It shall be ETL certified to meet TIA/EIA 568-B.2-1 Category 6 specifications.
4.	Patch Panel must have a Rear cable manager and this shall occupy the same area as the panel.
5.	Patch Panel must be supplied with paper labels for station identification and ID tabs for individual port identification.
6.	It shall be fitted with RJ 45 jacks.

2.4.7 LAN Cables & Connectors

Cat 6 LAN cable with appropriate connectors/patch chords shall be supplied by the successful bidder.

2.4.8 42U Server Racks

The network racks will meet the following specifications:

- 42U, 19" RACK to Servers
- Glass Door
- Cable Entry From:- Back and Bottom Of The Rack
- Both Front And Rear Doors Can Be Locked.
- Vented, Fan Housing
- Window Moulding, 2u Cable Storage
- Mounting To Floor With Nuts And Bolts
- Shelf/Cable Guide
- Two Power bar 230V 2 KW 12 ways with Indian Plug

2.4.9 9U Network Racks

The network racks will meet the following specifications:

- 9U, 19" RACK to house IT Router/Switch/Patch Panel
- Glass Door Glass
- Cable Entry From:- Top And Bottom Of The Rack
- Both Front And Rear Doors Can Be Locked.
- Shelf/Cable Guide
- Easy wall mount provision with accessories
- Two Power bar 230V 2 KW 12 ways with Indian Plug

2.4.10 6U Network Racks

The network racks will meet the following specifications:

- 6U, 19" RACK 19 to house IT Router/Switch/Patch Panel
- Glass Door Glass
- Cable Entry From:- Top And Bottom Of The Rack
- Both Front And Rear Doors Can Be Locked.
- Shelf/Cable Guide
- Easy wall mount provision with accessories
- Two Power bar 230V 2 KW 12 ways with Indian Plug

2.5 Interfacing with Existing Systems

2.5.1 Universal Gateway / Communication Interface (UCI)

The technical specifications of universal communication interface/gateway are given below.

1. The radio Inter-portability system should interface with any combination of 2-way radios (HF, VHF and UHF), cellular/landline telephones, SATCOM, SIP/VoIP phones and RoIP users over LAN/WAN networks while allowing multiple simultaneous two-way conversations or conference calls between the above.
2. The system must have 4 universal slots minimum capable of supporting different connections. The system should support E1/PRI interface and VOIP Interface for networking with other systems.
3. The system should be capable of interconnecting the above radio networks to achieve Local area Interoperability at the level of a Unit/Formation HQ.
4. The system should allow interfacing with remote radios over various transmission media such that leased line, LANs, WANs or the internet.
5. The system should be capable of deployment as a tactical reaction package, a mobile Interoperability platform or a fixed station dispatch solution
6. The system should be capable of interconnecting with almost any type of radio through a multitude of specially designed radio interface cables for radio sets.
7. The system should support Radio over IP (RoIP) in addition to SIP-based VoIP capability to allow use of WAN of above stated radios by transporting digitized audio along with control signals (PTT/COR/DTMF). The RoIP capability shall be optimized for radio performance, and be capable of operating transparently over an IP network.
8. The system should support Radio over IP (RoIP) in addition to SIP-based VoIP capability to allow use of WAN of above stated radios by transporting digitized audio along with control signals (PTT/COR/DTMF). The RoIP capability shall be optimized for radio performance, and be capable of operating transparently over an IP network
9. The System must allow users to interface a wide array of radios of all types by selecting appropriate cables from an interface cable cache defined during the contingency planning portion of a site survey. The system must be designed to allow interconnection and operation of a newly arrived radio (with appropriate cable available in the cache) in less than fifteen (15) minutes.
10. The Interoperability system should be capable of cross-connecting any or all of the interfaced radios to public telephone network (PSTN), to private access to branch exchanges (PABX), to SATCOM terminals, and to Cellular (GSM/CDMA) circuits.
11. The system should cross-connect an encrypted radio network together seamlessly.
12. The Interoperability system should be capable of interconnecting minimum 8 (8 nets supporting 128 cross connections) communications systems in each chassis. The unit should also support unrestricted number of conference calls involving any and all connected phones/ radios/ terminals/ users.

13. Should be a compact ruggedized unit suitable for tactical use in both static and vehicular role.
14. The system should support 64 SIP/VoIP phones for local and remote commanders and users. The VoIP support must reside on the CPU/controller card
15. The systems must allow configuration of custom dialing plan.
16. The systems must allow support for speed dialing
17. It must be possible to interconnect multiple CNR Gateway units at different zones over IP WAN network to form a large interoperability domain made up of multiple zones. A user from one zone should be able to call another user in the same zone and a remote zone seamlessly.
18. The Universal Communication Interface should be supplied with the following interfaces:-
 - 2 ports for Radio connections.
 - 2ports for FXO/FXS. The system must be able to configure any of the four ports as FXS or FXO.
 - 2 ports for GSM/CDMA network connectivity with one on the GSM network and one on the CDMA network.
 - 2 E1 PRI ports for connection to ULSB and/or PABX type equipment.
 - 64 VOIP connections for supporting VOIP phones and connect other Universal Communication Interface to this Universal Communication Interface
 - One Operator VOIP Console software license must be bundles with each
 - Universal Communication Interface unit. This Operator Console VOIP phone must have a graphical Human Machine Interface (HMI) that supports physical switch or Finger-on-Glass PTT methods and shows status of all connected interfaces, shows all connections in progress and displays speed dial numbers to reach various interfaces with minimum touches or clicks.
 - The Unit must support one copper 10/100 BT Ethernet port as the IP network connectivity port.
 - 4 radio interface cables for customer specified radios with the length of 5m must be provided with each unit.
19. The system should have controller software to provide full system status and control from a PC, locally or remotely over an Ethernet network.
20. The system should perform either as an unmanned gateway or as a manned gateway while providing Interoperability over multiple radios. The system should allow users to form multi-party conferences in the unmanned mode as well as in the manned mode. It should allow remote DTMF control of to add additional users / phones / radio nets / terminals into the conversation to form an ad-hoc multi-party conference without any operator assistance.
21. The system should have public telephone interface feature should be designed to permit commanders to participate in problem management of an Interoperability solution when not on scene.
22. The system should allow remote DTMF control of cross-connect over RF links, over phone circuits, over PBX circuits, over SATCOM paths and over cellular channels to establish two-party or multi-party sessions.

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23. The system should use a software control package compatible with LINUX and latest Windows operating system to provide a user-friendly GUI (Graphical User Interface) depicting system operation and allowing programming of features.
 24. This Windows-based software control software package shall allow connecting and disconnecting of an Interoperability channel with any other Interoperability channel.
 25. The Interoperability system should also include an Ethernet remote control interface allowing the required computer control software to operate from multiple dispatch locations simultaneously.
 26. The System must be equipped with networking capability to allow control of multiple Universal Communication Interface from multiple computers simultaneously in a wide area Interoperability format.
 27. The system should allow the interconnection of multiple radios at one site with multiple radios at another site by simultaneously networking together two or more Universal Communication Interface units.
 28. The system should permit programming of all radio interface features, as well as phone interface features and SATCOM interface features. This software should keep an historic log of all Interoperability transactions referenced to time of day, shall permit password protection of all critical features, and shall allow priority access and rejection relative to individual passwords.
 29. Equipment should operate on mains 230V AC / 50 Hz Power input but can be supplied to support either 12 VDC or 24 VDC supply input. The system must be protected against reverse voltage.

2.6 Network Management System (NMS)

2.6.1 NMS Software

The technical specifications for NMS software are as follows:

Network Management System (NMS)	
Performance Monitoring	Single dashboards and reports for visibility across all project applications and component level details such as Memory/RAM, Disk, Utilization and CPU/Processor etc.
Network Analysis	Traffic
	Detailed visibility of ongoing network traffic and activity to see which users, applications and protocols are consuming bandwidth.
	Must analyze and show graphically, how and by which application or device or network or by whom the network bandwidth is being consumed.
	Notification if a device doesn't respond to a ping, availability and response time trends on devices polled etc.
Multiple Hardware Management	Undertake basic network troubleshooting like RDP, Ping, Browser, Telnet and Traceroute etc from dashboard. Dashboard shall offer a centralized view of network traffic data with built-in reports.
	Using SNMP and MIB's, Monitor, fault, status and error, availability etc of every connected systems, devices and equipment, including the UPS and the batteries over VPN.
	Out-of-the-box hardware monitoring of connected UPS, Printer, Batteries, Power Supply, Temperature etc.
Multi-Network Support	Integrated database format for trend data and complete system inventory reporting of hardware, software, firmware, and patches.
	Network performance monitoring of the entire wired and wireless project infrastructure.
	Fully integrated wireless network mapping and monitoring for 3rd party wireless points and controllers with auto-mapping, bandwidth utilization and alerts on rogue access points.
Configuration Management	Discover, map, monitor and manage every virtual and physical resources-from a single web console.
	Offered system shall have an in-built tool to automate the configuration and patch management, schedule backups, restore configuration on demand, and alert on changes in system configurations etc.
Multi-Vendor SIP/VoIP Support	Support multi-vendor networking devices, 3rd party systems, and client applications such as SIP/VoIP etc.
	Assure the network supports and maintains acceptable call quality, latency and jitter etc for Voice over IP.
Endpoint Awareness & Reporting	Automatically recognize project devices and servers or ancillary devices like firewalls, application delivery controllers and load balancers etc connecting and unplugged.
	Extensive asset/inventory reporting such as serial number, OS version, patches and warranty info.
	Syslog reporting, analysis and notification with ACL integration from a central console.
Licenses	NMS should have licenses to support minimum 300 siren systems, 200 satellite phones, 300 DMR handsets, 200 Switches, 50 routers
Hypervisor Support	NMS that can run as virtual appliance or having KVM or VMWare Hypervisor support is preferred. However, appliance or hardware based NMS devices are not accepted.
Other Feature	Support for over 300 routers, switches and servers all exporting NetFlow or IPFIX.

Support	Ability to collect over 100,000 flows per second in a mixed sFlow, NetFlow and IPFIX environment
	Ability to correlate IP addresses with Usernames via AD, AAA, LDAP or RADIUS, and 802.1x, or MAC based authentication.
	Network maps which reflect latency, jitter, echo etc on connections and not just utilization.
	Distributed NetFlow collection, Service Desk Workflow, Technician Scheduling, Service Assurance etc
	Full support to Layer 2 and Layer 3 Network discovery and topology mapping with asset/inventory reporting with Geographical Map views.
	Leverage Layer 2/3 technologies including ARP, SNMP, SSH, ICMP, IP range scan to discover all network assets and their interconnections
	Must be able to define policies to rate-limit bandwidth, throttle the rate of new networks, Layer 2 or 3 QoS prioritization, apply packet tags, isolate a particular port or VLAN, and/or trigger pre-defined actions.
	Must support the ability to download firmware to single or multiple devices and/or remotely boot PROM images to single or multiple devices simultaneously.
	Exporting of Reporting data to user-friendly formats like: email, Excel, PDF, CSV etc.
	Must provide the capabilities to modify, filter, and create your own flexible views of the network.
	Must provide a system wide deployment of VLAN configuration and monitoring capabilities.
	Must be able to monitor services so that the compliance to service level agreements (SLAs) could be monitored /checked.

2.6.2 NMS Servers

The appropriate configuration of servers shall be supplied by the implementing agency to run the NMS smoothly. The same shall be reviewed and approved by PMC before supply & delivery.

1. The bidder shall provide two Unix-based servers (or two sets, in case of separate NMS systems). The server shall be fault tolerant and configured with disk mirroring.
2. The offered NMS software shall have dual server configuration functionality for redundancy. If the NMS software does not have this feature built-in, the bidder shall quote for the same (software license or additional software feature).
3. The offered NMS server shall operate on *NIX operating system.
4. The appropriate configuration of servers shall be supplied by the implementing agency to run the NMS smoothly. The same shall be reviewed and approved by PMC before supply & delivery. However, proposed servers shall meet the following minimum requirements:
 1. The Product shall be of standard Server Family based on proven technologies, design and compatibility to high-end and low-end future technologies.
 2. Minimum eight core processors of at least 3.6 GHZ
 3. Shall be equipped with minimum 64 GB of RAM
 4. Capability to upgrade the memory capacity up to 128GB RAM by adding memory modules.

5. Shall have at least 3 TB HD storage capacity. The offered server shall be supplied with RAID5 configured HDD.
6. The hardware RAID Controller (at least 2 sets) shall be able to do automatic controller fail-over in case of controller failure in order to continue the operation.
7. The offered server shall be provided with the standard DVD R/W drive and a tape drive.
8. The offered server shall be with at least 17" LCD display for managing and monitoring.
9. The offered server shall be equipped with at least two 10/100/1000Mbps Ethernet Network Interface cards.

2.7 SEOC Setup

2.7.1 Civil Works

This civil works shall consist of the following for the room size of 15m x 12m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

2.7.2 Precision Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of two 5 ton precision ACs
2. Necessary piping & cabling works

2.8 DEOC Setup

2.8.1 Civil Works

This civil works shall consist of the following for the room size of 12m x 10m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

2.8.2 Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of Two 2.0 ton split air conditioners with 5 star rating for each DEOC
2. Necessary piping & cabling works

2.9 TEOC Setup

2.9.1 Civil Works

This civil works shall consist of the following for the room size of 5m x 4m:

1. Flooring with tiles
2. False ceiling with lighting
3. Painting of walls
4. Power cabling
5. Network cabling

2.9.2 Air Conditioning

This air conditioning works shall consist of the following:

1. Supply, installation & testing of Two 1.5 ton split air conditioners with 5 star rating for each TEOC
2. Necessary piping & cabling works

2.10 Power Systems

2.10.1 10 KVA UPS

Online 10 KVA UPS is required to run the equipments in SEOC, except AC.

Sl.	Description	Specification
1	O/P Power Capacity	10 KVA
2	Nominal Output Voltage	230V AC
3	Output Frequency	50 Hz \pm 3 Hz
4	Output Wave form	Sine wave
5	Output Voltage Distortion	< 3 %
6	Crest Factor	3:01
7	Efficiency at full load	\geq 92%
8	Nominal output	220, 230 or 240 V (should be configurable)
9	Nominal Input Voltage	230 V AC per phase
10	Input voltage range	160V to 220 V
11	Input Frequency	50 Hz \pm 5 Hz
12	Battery	External Battery for 2 Hrs backup
13	Audible alarm	Alarm when On battery, Low Battery, Over loaded
14	LED indication	Working on Battery Over load, Bypass mode, Battery
15	Communication	System should have the facility to interface the
16	Operating Environment	0 to 50°C
17	Operating Relative	0 -95 %
18	Should confirm to	CE, EN 50091-1, EN 50091-2, EN 55022 Class A
19	Power factor	0.8 or more

2.10.2 5 KVA UPS

Online 5 KVA UPS is required to run the equipments in SEOC, except AC.

Sl.	Description	Specification
1	O/P Power Capacity	5 KVA
2	Nominal Output Voltage	230V AC
3	Output Frequency	50 Hz \pm 3 Hz
4	Output Wave form	Sine wave
5	Output Voltage Distortion	< 3 %
6	Crest Factor	3:01
7	Efficiency at full load	\geq 92%
8	Nominal output	220, 230 or 240 V (should be configurable)
9	Nominal Input Voltage	230 V AC per phase
10	Input voltage range	160V to 220 V
11	Input Frequency	50 Hz \pm 5 Hz
12	Battery	External Battery for 2 Hrs backup
13	Audible alarm	Alarm when On battery, Low Battery, Over loaded
14	LED indication	Working on Battery Over load, Bypass mode, Battery
15	Communication	System should have the facility to interface the
16	Operating Environment	0 to 50°C
17	Operating Relative	0 -95 %
18	Should conform to	CE, EN 50091-1, EN 50091-2, EN 55022 Class A
19	Power factor	0.8 or more

2.10.3 3 KVA UPS

Online 3 KVA UPS is required to run the equipments in SEOC, except AC.

Sl.	Description	Specification
1	O/P Power Capacity	3 KVA
2	Nominal Output Voltage	230V AC
3	Output Frequency	50 Hz \pm 3 Hz
4	Output Wave form	Sine wave
5	Output Voltage Distortion	< 3 %
6	Crest Factor	3:01
7	Efficiency at full load	\geq 92%
8	Nominal output	220, 230 or 240 V (should be configurable)
9	Nominal Input Voltage	230 V AC per phase
10	Input voltage range	160V to 220 V
11	Input Frequency	50 Hz \pm 5 Hz
12	Battery	External Battery for 2 Hrs backup
13	Audible alarm	Alarm when On battery, Low Battery, Over loaded
14	LED indication	Working on Battery Over load, Bypass mode, Battery
15	Communication	System should have the facility to interface the
16	Operating Environment	0 to 50°C
17	Operating Relative	0 -95 %
18	Should conform to following	CE, EN 50091-1, EN 50091-2, EN 55022 Class A
19	Power factor	0.8 or more

2.10.4 1 KVA UPS

Online 1 KVA UPS is required to run the equipments in SEOC, except AC.

Sl.	Description	Specification
1	O/P Power Capacity	1 KVA
2	Nominal Output Voltage	230V AC
3	Output Frequency	50 Hz \pm 3 Hz
4	Output Wave form	Sine wave
5	Output Voltage Distortion	< 3 %
6	Crest Factor	3:01
7	Efficiency at full load	\geq 92%
8	Nominal output	220, 230 or 240 V (should be configurable)
9	Nominal Input Voltage	230 V AC per phase
10	Input voltage range	160V to 220 V
11	Input Frequency	50 Hz \pm 5 Hz
12	Battery	External Battery for 2 Hrs backup
13	Audible alarm	Alarm when On battery, Low Battery, Over loaded
14	LED indication	Working on Battery Over load, Bypass mode, Battery
15	Communication	System should have the facility to interface the
16	Operating Environment	0 to 50°C
17	Operating Relative	0 -95 %
18	Solar Charging	Solar panels and controllers and necessary cables & connectors for solar charging shall be supplied by the
19	Power factor	0.8 or more

2.10.5 Battery Banks

1. Required no. of battery sets for minimum 2 hours of backup will be supplied by the bidder for 10 KVA, 5 KVA, 3 KVA and 1 KVA UPSs at their respective locations.
2. The Batteries should be of SMF VRLA type. Battery must be installed in two strings for the above specified power back for the equipments at 100% load.
3. UPS should offer protection for battery from deep discharge and overcharging of the batteries.
4. The battery bank should have the Fuse/Circuit Breaker for the protection from high/low voltage.
5. The UPS system shall be adequately protected against Lightning and Surge Protection shall be provided at the distribution panel for the UPS system.

6. High/Low Voltage Disconnect:

- a. High/ Low Voltage disconnect must be provided at the input of UPS to protect from continuous high/low voltages to protect the UPS system.
- b. High/ Low voltage disconnect will have voltage monitoring to sense the single/ three phases of the incoming AC mains
- c. High/ Low voltage disconnect will disconnect the mains supply to UPS when:
 - High Voltage Disconnection at 250V
 - Higher side Re-connection at 220V
 - Low Voltage Disconnection at 160V
 - Lower side Re-connection at 170V
 - Disconnection time of AC contactor :100 ms
 - Reconnection time delay: 20–30 sec.
 - Input MCB must be provided at the input.
- d. High/Low voltage disconnect must have manual-bypass facility in case of failure.

Note:Capacity of contactor will be calculated for at least 30% higher load than UPS capacity.

7. Class B Lightning Protection

- To be installed at the input of High/ Low Voltage disconnect with 63A inductor coil
- Line to Neutral 50KA@10/350 μ s.
- Neutral to Ground 100KA@10/350 μ s.
- Response Time: ≤ 25 ns
- Protection level at I_{imp} :<1.5KV
- One/ Single pole MCB must be provided along with Class B protector to trip-off in case of failure of Class B protector.

8. Class C surge protection

- To be installed after AC contactor inside the High/Low Voltage disconnect
- Line to Neutral 20KA 8/20 μ s.
- Neutral to Ground 20KA @ 8/20 μ s.
- Response Time: ≤ 25 ns
- Protection level at I_{imp} : < 1.6 KV.
- Alarm: Potential free contact must be provided.
- One MCB must be provided along with Class C protector to trip-off, in case of failure of Class C protector.

9. AC Distribution Panel

An AC Distribution Panel system shall be provided to distribute the incoming Mains for UPS Power and UPS Output to various equipments. AC Distribution Panel consists of:

- MCB/MCCB for UPS
- MCB for UPS Output Distribution
- The rating of the above are to be calculated as per the actual load requirements.

2.10.6 10 KVA DG Set

The 15 KVA DG shall comply to following minimum specifications:

SN	Specification	Specification
1	Capacity	10 KVA
2	Engine Type	Engine shall be vertical multi cylinder 4 stroke type
3	Method of starting	Electric start 12 V DC
4	Type of cooling	Water cooled /Air cooled
5	Type of governor	Mechanical/Electronic
6	Type of fuel	Diesel
7	Rating	Continuous
8	Output	Suitable HP rated to match the alternator
9	Rated speed	1500 RPM
10	Over load capacity	10% overload–minimum 1 hour, 50% overload–minimum 1
11	Emission & Noise compliance	Shall be compliant with the latest CPCB emission & noise norms (less than 75db at 1meter distance).
12	Flywheel	Flywheel to suitable diameter and fuel injection equipment
13	Cleaner	Air cleaner
14	Oil cooler	Lubricating oil cooler
15	Electric motor starting equipment	Electric motor starting equipment like motor, battery, charging generator with voltage regulator etc.
16	Radiator	Heavy duty radiator with fan
17	Silencer	Residential type silencer with exhaust piping with vibration
18	Fuel tank	Fuel tank suitable for 8 Hrs of continuous running with necessary piping and fuel gauge, drain valve, inlet and outlet
19	Mounting pads	Anti-vibration mounting pads (Dunlop)
20	Speed governor	Speed controlling governor
21	Coupling system	Suitable coupling system to the Alternator
22	Tachometer	Tachometer
23	Oil pressure gauge	Lubricating oil pressure gauge

2.10.7 5 KVA DG Set

The 5 KVA DG shall comply to following minimum specifications:

SN	Specification	Specification
1	Capacity	5 KVA
2	Engine Type	Engine shall be vertical multi cylinder 4 stroke type
3	Method of starting	Electric start 12 V DC
4	Type of cooling	Water cooled /Air cooled
5	Type of governor	Mechanical/Electronic
6	Type of fuel	Diesel
7	Rating	Continuous
8	Output	Suitable HP rated to match the alternator
9	Rated speed	1500 RPM
10	Over load capacity	10% overload–minimum 1 hour, 50% overload–minimum 1
11	Emission & Noise compliance	Shall be compliant with the latest CPCB emission & noise norms (less than 75db at 1meter distance).
12	Flywheel	Flywheel to suitable diameter and fuel injection equipment
13	Cleaner	Air cleaner
14	Oil cooler	Lubricating oil cooler
15	Electric motor starting equipment	Electric motor starting equipment like motor, battery, charging generator with voltage regulator etc.
16	Radiator	Heavy duty radiator with fan
17	Silencer	Residential type silencer with exhaust piping with vibration
18	Fuel tank	Fuel tank suitable for 8 Hrs of continuous running with necessary piping and fuel gauge, drain valve, inlet and outlet
19	Mounting pads	Anti-vibration mounting pads (Dunlop)
20	Speed governor	Speed controlling governor
21	Coupling system	Suitable coupling system to the Alternator
22	Tachometer	Tachometer
23	Oil pressure gauge	Lubricating oil pressure gauge

2.10.8 3 KVA DG Set

The 3 KVA DG shall comply to following minimum specifications:

SN	Specification	Specification
1	Capacity	3 KVA
2	Engine Type	Engine shall be vertical multi cylinder 4 stroke type
3	Method of starting	Electric start 12 V DC
4	Type of cooling	Water cooled /Air cooled
5	Type of governor	Mechanical/Electronic
6	Type of fuel	Diesel
7	Rating	Continuous
8	Output	Suitable HP rated to match the alternator
9	Rated speed	1500 RPM
10	Over load capacity	10% overload–minimum 1 hour, 50% overload–minimum 1
11	Emission & Noise compliance	Shall be compliant with the latest CPCB emission & noise norms (less than 75db at 1meter distance).
12	Flywheel	Flywheel to suitable diameter and fuel injection equipment
13	Cleaner	Air cleaner
14	Oil cooler	Lubricating oil cooler
15	Electric motor starting equipment	Electric motor starting equipment like motor, battery, charging generator with voltage regulator etc.
16	Radiator	Heavy duty radiator with fan
17	Silencer	Residential type silencer with exhaust piping with vibration
18	Fuel tank	Fuel tank suitable for 8 Hrs of continuous running with necessary piping and fuel gauge, drain valve, inlet and outlet
19	Mounting pads	Anti-vibration mounting pads (Dunlop)
20	Speed governor	Speed controlling governor
21	Coupling system	Suitable coupling system to the Alternator
22	Tachometer	Tachometer
23	Oil pressure gauge	Lubricating oil pressure gauge

2.10.9 1 KVA DG Set

The 1 KVA DG shall comply to following minimum specifications:

SN	Specification	Specification
1	Capacity	1 KVA
2	Engine Type	Engine shall be vertical multi cylinder 4 stroke type
3	Method of starting	Electric start 12 V DC
4	Type of cooling	Water cooled /Air cooled
5	Type of governor	Mechanical/Electronic
6	Type of fuel	Diesel
7	Rating	Continuous
8	Output	Suitable HP rated to match the alternator
9	Rated speed	1500 RPM
10	Over load capacity	10% overload–minimum 1 hour, 50% overload–minimum 1
11	Emission & Noise compliance	Shall be compliant with the latest CPCB emission & noise norms (less than 75db at 1meter distance).
12	Flywheel	Flywheel to suitable diameter and fuel injection equipment
13	Cleaner	Air cleaner
14	Oil cooler	Lubricating oil cooler
15	Electric motor starting equipment	Electric motor starting equipment like motor, battery, charging generator with voltage regulator etc.
16	Radiator	Heavy duty radiator with fan
17	Silencer	Residential type silencer with exhaust piping with vibration
18	Fuel tank	Fuel tank suitable for 8 Hrs of continuous running with necessary piping and fuel gauge, drain valve, inlet and outlet
19	Mounting pads	Anti-vibration mounting pads (Dunlop)
20	Speed governor	Speed controlling governor
21	Coupling system	Suitable coupling system to the Alternator
22	Tachometer	Tachometer
23	Oil pressure gauge	Lubricating oil pressure gauge

2.11 Office Automation

2.11.1 Video Wall

The video wall shall comply with following specifications:

- 50" Full HD rear access LED based DLP video wall- 2x2
- ADPM -Controller with 4 DVI out and 4 Universal Input
- Video Management Software
- Cables to connect controller with LED DLP Video wall and Mounting kits

Native Resolution	Full HD, 1920 x 1080			
Description	LED Light Source Full HD Cube			
Display Technology	DLP® Single Chip			
Brightness	1,000 Lumen			
Dynamic Contrast	1,500,000 : 1			
White Point	3200K, 6500K and 9300K			
Uniformity	up to 96%			
Screen type	CSI / XPS / High Gain			
Screen Gap	Rear Access: Adjustable up to 0.2 mm			
	Front Access : Adjustable up to 0.75 mm			
Colour Stability	Self-calibrating with colour sensor			
Light Source	LED Cluster- Redundant			
Estimated Lamp Life	Eco mode:	90,000	hours	
	Normal mode:	70,000	hours	
	Bright mode: 50,000 hours			
Standard inputs	1x Digital DVI-I			
	1x Digital HDMI			
	1x Analog D-sub 15pin			
	1x Analog 5BNC (RGBHV or YPbPr)			
Optional Board- I *	Inputs: 1x Digital DVI-D			
	1x Digital HDMI			
	1x Display port			
	1x Analog 5BNC (RGBHV or YPbPr)			
	1x Analog S-video			
Optional Board- II *	Inputs: 1x Digital DVI-D			
	1x HD-baseT			
	1x Display port			
	1x Analog 5BNC (RGBHV or YPbPr)			
	1x Analog S-video			
Standard Outputs	1x Digital DVI-D			
Control	1x	RS-232		Dsub9
	1x	RS 422		RJ45
	1x	IP Ethernet		RJ45
	1x IR Receiver			
Input voltage	AC 90 - 240V@50/60Hz			
Optional Backup Power	Dual power supply unit			
Operating Temperature	10°C - 40°C (50°F - 104°F)			
Humidity	10% - 90%, non-condensing			

2.11.2 Laptops

Component	Specification
Processor	Intel processor Corei5 or better
RAM	4 GB RAM
Hard Disk	1 TB HDD
Video	DVD Writer
Monitor	14" wide view flat monitor
Ports	2 or more USB ports
Audio	Microphone, Headphone
Mouse	USB
Network ports	1 RJ-45, 10/100/1000 Gigabit onboard Ethernet
Operating system	Pre-loaded Microsoft Windows 10
Restore / recovery	Software in Pen Drive

2.11.3 All-in-One Printers

The proposed printer will have the functionalities to Print, Copy and Scan.

General specification	
Functions	Print, copy, scan
Duty cycle (monthly)	Up to 15,000 pages
Print technology	Laser
Print Speed Black	Normal : up to 20 ppm
Printing quality Black	Up to 1200 x 1200 dpi
Monthly page volume	500 to 2000
Display	3.0" touchscreen, LCD (color graphics)
PRINT	
Duplex printing	Automatic (standard)
Print speed black (normal, letter)	Up to 22 ppm
Print speed black (normal, A4)	Up to 25 ppm
Processor speed	600 MHz
Connectivity	HP e print capability
Mobile printing capability	Wireless direct printing, Mobile Apps
Wireless capability	built-in WiFi 802.11b/g/n
USB	Hi-Speed USB 2.0 port (host/device)
Ethernet	built-in Fast Ethernet 10/100Base-TX network port
Phone line port	Phone line port (in/out)
Network ready	Standard (built-in Ethernet, WiFi 802.11b/g/n)
SCAN	
Scanner type	Flatbed, ADF
Scan resolution, optical	Up to 1200 dpi
Scanner file format	Windows Scan Software supports file format: JPG, RAW(BMP), PDF, TIFF, PNG Mac Scan Software supports file format: TIFF, PNG, JPEG, JPEG-2000, PDF, PDF-Searchable, RTF, TXT
Scan size (flatbed), maximum	216 x 297 mm
Color scanning	Yes
Scan technology	Contact Image Sensor (CIS)

General specification	
Bit depth	24-bit
Levels of grayscale	256
Scan input modes	Windows: Front control panel

2.11.4 Fax Machines

G3 Fax with Fax Modem Speed of 33.6 Kpbs/ V.34 Standard is required.

2.11.5 42" LED/Plasma TV

SN	Specification	Specification
1	Size	42" wall mountable
1	Resolution	Full HD
2	Colour System	PAL, SECAM, NTSC 3.58, NTSC 4.43
3	Video Signal	1080/24p (HDMI only), 1080/60i, 1080/60p (HDMI / Component), 1080/50i, 1080/50p (HDMI / Component), 480/60i, 480/60p, 576/50i, 576/50p, 720/60p, 576/50p, 1080/30p (HDMI only), 720/30p (HDMI only), 720/24p (HDMI only)
4	Black Lit Module	LED
5	Video processing	X-Reality™ PRO Picture or equivalent for the best ever picture quality
6	Audio	Clear audio, Dolby Digital etc
7	Speakers	2 Channel full range
8	USB Ports	2 or more, USB PLAY with different formats
9	HDMI connections	2 or more
10	Composite Video inputs	2
11	Analog Audio Input(s)	2 rear
12	Digital Audio Output(s)	1 (Rear)
13	Audio Out	1 (Side/Hybrid w/Audio Out)
14	Other features	Other standard built in features

2.11.6 Set top Box

Bidder shall provide the HD-Set Top Box from any of the TV operators for News feed.

2.11.7 Computer Tables

The computer tables shall comply with following specifications:

- Size will be 1.5 m (L) x 0.75 m (W)x 0.75 m (H)
- Should have Brown Color Laminated Top
- Inbuilt CPU Stand with foot rest
- Inbuilt space for small UPS
- Should have minimum two drawer with lock & key facility
- Should be fitted with Electrical Power point
- Should have one revolving office Chair (with adjustable height)

2.11.8 Chairs

The chairs shall comply with following minimum specifications:

- It will be revolving type with wheels
- Height of chair will be adjustable
- It will be having arms rest

2.11.9 Almira

The almira shall comply with following minimum specifications:

- It will a good quality steel almira
- It will be of full height
- It will have multiple dividers for storage
- It will have anti-rusting coating & painting

2.12 Manpower Requirement:

The complete system including sirens, DMR systems, satellite phones, NMS, media gateways, video wall etc. will be operated and maintained by the implementing agency for 2 years after commissioning.

The implementing agency shall deploy following minimum number of experts for operation and maintenance of the project:

Expert	No.	Skills Required	Remarks
Project Manager	1	B Tech with minimum 10 yrs of work experience	1 at SEOC Mumbai
DMR Expert	1	B Tech with minimum 5 yrs of relevant work experience	1 at SEOC
Siren Expert	1	B Tech with minimum 5 yrs of relevant work experience	1 at SEOC
Networking Expert	1	B Tech with minimum 5 yrs of relevant work experience	1 at SEOC Mumbai
Network Engineer	5	B Tech with minimum 3 yrs of relevant work experience	1 at each DEOC

2.13 Training Requirement:

The following trainings are envisaged in this project:

1. Operation & Maintenance Training
2. User Level Training

2.13.1 Operation & Maintenance Training

The operation and maintenance training will be imparted to people who are appointed for administration, operation and maintenance of various systems being deployed. Though the operation & maintenance would be the responsibilities of the implementing agency for 2 years, these officials / participants will be there for supervision and monitoring purposes. After completion of O&M period, they will take over the responsibilities.

For this purpose, it is proposed to train 2 persons at SEOC, 2 at each DEOC and 2 at each TEOC. So, the total number of participants would be 50 as follows:

Participants	No of officers	No. of Sites	Total
SEOC Officers	2	1	1
DEOC Officers	2	5	10
TEOC Officers	2	19	38
Total			50

The names of such people may be finalized after implementation of the project. TCIL will support SPIU in identification and finalization of the Trainee list for all types of trainings.

The proposed duration of training is as follows:

Training	Duration
Siren Systems	1 day
DMR Systems	2 days
Satellite Phones	1 day
NMS	2 Days
Power Systems	1 Day
Networking	1 Day
Total	8 Days

The training may be imparted in Mumbai and the above participants may be called to Mumbai for two weeks. Two batches may be planned having 25 participants each.

It will be responsibility of the implementing agency to provide detailed training plan and course contents. The same will be reviewed and approved by SPIU. TCIL will support SPIU in finalizing the Course content, structure and the Training plan.

The space/venue for training will be provided by SPIU. The equipments, course materials and other related expenses will be borne by the implementing agency.

2.13.2 User Level Training

The user training will be imparted to people who will use the system. For this purpose, it is proposed to train 5 persons at SEOC, 5 at each DEOC, 5 at each TEOC and 2 persons at each village having sirens.

So, the total number of participants would be 87 as follows:

Participants	No of officers	No. of Sites	Total
SEOC Officers	5	1	5
DEOC Officers	5	5	25
TEOC Officers	3	19	57
Total			87

The names of such people may be finalized after implementation of the project.

The training may be imparted in Mumbai and in the five districts. All taluka and village level participants may be called to their respective district headquarters for training.

The proposed duration of training in SEOC is as follows:

Training	Duration
Siren Systems	1 day
DMR Systems	1 day
Satellite Phones	1 day
Power Systems	1 Day
Total	4 Days

The proposed duration of training in each DEOC is as follows:

Training	Duration
Siren Systems	1 day
DMR Systems	1 day
Power Systems	1 Day
Total	3 Days

It will be responsibility of the implementing agency to provide detailed training plan and course contents. The same will be reviewed and approved by SPIU.

The space/venue for training will be provided by SEOC/DEOC/TEOC officers. The equipments, course materials and other related expenses will be borne by the implementing agency.

2.14 Documentation Requirements

Documents as detailed below are to be submitted in two set hard copy as well as two set soft copy (CD/Pen drive) to Purchaser within 30days of commissioning /acceptance testing of the systems and facilities:

- a) Technical write up of the network design and functioning, System and Network architecture diagram, Active components configuration details, Security implementation
- b) Manufacturer's technical documentation on all devices used in the system including user manuals for configuring of switches, routers, etc
- c) Site Layout Diagram
- d) Site Connection Diagram
- e) Site Detailed Inventory of all the equipments and systems installed with make, model, SI No etc. Complete cabling and electrical system layout (as Installed), including cable routing, telecommunication closets and telecommunication outlet/ connector designations. The layout shall detail locations of all equipment and indicate all wiring pathways
- f) Site certification and Acceptance test reports, performance test reports of networking components, servers and other components, scanning test report.
- g) Original manuals of software packages supplied
- h) Software Delivery Report
- i) IP addressing policy and scheme
- j) Changes in configuration, maintenance logs, patches carried out etc. original manuals of all hardware supplied
- k) As built network configuration details with IP address, subnet, VLAN, port description, etc for all active components
- l) Detailed manual on Facility management for each and every facility (including security, operations, monitoring, configuring, Availability and service continuity management, capacity management, incident and problem management, SLA management, preventive maintenance plan, corrective maintenance plan, role of each management personnel etc)
- m) All reports on Manpower Deployment schedules.

2.15 Spares

The implementing agency shall keep minimum 10% spares for each system to ensure required uptime of the systems. If any hardware goes faulty, the same shall be replaced by these spares and the faulty part shall get repaired /replaced within stipulated time.

For some systems, it could be spare cards and for other systems, it could be spare modules or additional system itself. The total value of spares for each solution should not be less than 10% of total value of that solution. The exact details of spares required shall be provided by the bidder. The same shall be vetted and approved by SPIU/PMC.

Some of the spares should be kept at SEOC and remaining in respective DEOCs.

C. TESTING AND QUALITY ASSURANCE REQUIREMENTS

1 Inspections

1. Factory Inspections: Factory Inspection shall be carried out by the purchaser prior to the shipment of the major items like DMR, Alert Tower Siren System, Tower etc to check the specifications as per the Technical requirements of the Tender. It is made obligatory that Factory Inspection Test reports shall be a part of the documents for release of payments. The expenditure i.e. TA/DA etc of four officers towards inspection of the items shall be borne by the successful bidder. The factory inspection shall be at clients discretion.
2. Inspections following delivery: The Bidder shall carryout Post Delivery inspection of goods at Site in consultation with the Purchaser.
3. Bidder is to submit for DMR, system Lab Test Certificate on the parameter mentioned in technical specifications, from the NABL /ILAC approved Lab. The Certificate must be enclosed with technical bid. In case offer is received without Lab Certificate same will be rejected.
4. The purchaser also reserves the right to carry out the lab test of the samples submitted by the Bidder at any stage.
5. Tender Sample should have cards affixed on it duly signed and stamped by the firm indicating the following:-
 - a) Name and address of the firm.
 - b) Tender No. with date of opening
 - c) Name of item, item No & size
6. Tender sample should have card affixed duly signed and stamped by concerned lab issued the Lab Test Report of the intended tender sample otherwise the offer is liable for rejection.
7. Samples Sent on Basis "Freight to Pay" Will not be Accepted: Samples submitted by the bidder whose offers are not accepted may be collected by their authorized representatives on requisition or hearing from this Date. In case samples are not collected within given time, the samples will be disposed of.
8. Dispatch Instructions and Documentation: The seller shall intimate to the purchaser, by Mail, telex or fax and speed post seven working days in advance, the mode of transport and probable date of delivery of consignment.

2 Pre-commissioning Tests

1. In addition to the Supplier's standard check-out and set-up tests, the Supplier (with the assistance of the Purchaser) must perform the following tests on the System and its Subsystems before Installation will be deemed to have occurred and the Purchaser will issue the Installation Certificate(s) (pursuant to GCC Clause 26 and related SCC clauses).
2. Functioning of DMR handset and Fixed station at two geographical location
3. Alert Siren activation for 2sites from Control Center at SEOC and one TEOC

3 Operational Acceptance Tests

1. The Purchaser (with the assistance of the Supplier) will perform the following tests on the System and its Subsystems following Installation to determine whether the System and the Subsystems meet all the requirements mandated for Operational Acceptance.
2. After installation and configuration of each and every sub system, integrating various systems and providing various services, tests shall be conducted for system performance as a whole. Commissioning shall mean end-to-end commissioning of the network with applications running to the fullest capability. Test parameters, commitments etc shall be submitted along with the bid, which shall be acceptable to the Purchaser. In the event, the test parameters, commitments are not submitted or not accepted explicitly in writing/minutes by the bidder, the Test parameters, commitments etc as decided by the bidder will be final and binding. Following is a general guideline to be followed in the case of testing and certification.

3. Upon Self-testing, Commissioning and two weeks of continuous trouble-free operation, the system shall be offered for inspection by the bidder. The successful Bidder, along with Purchaser, shall prepare an inspection and acceptance schedule with details of each activity.
4. A detailed acceptance testing as per approved acceptance testing plan (“ATP”) will be carried out before commissioning of the EWDS System. Bidder shall submit the Acceptance Test Procedures and get it approved by Purchaser.

4 Third Party Audit

1. The Third Party Auditor shall be appointed by State Government. The Third Party Auditor or officials as nominated by State Government shall be responsible for acceptance testing of the EWDS System supplied, installed, commissioned and during operation.
2. Parameters for acceptance testing shall be prepared by bidder, finalized by the Consultant while taking approval of the competent authority. The Consultant before approval will also oversee the acceptance testing procedures as prepared by the Bidder so that there should not be in any gaps.
3. Cost of The Third Party Auditor shall be borne by NCRMP, NDMA.
4. The Bidder will provide full support to the client for the smooth execution of the Third Party Acceptance testing. The Third Party Auditor shall scrutinize and submit the testing reports/SLA parameters on monthly or as desired.

D. SITE TABLE(S)

1 SEOC Location/Site

SEOC (State Emergency Operation Center) will be setup in Mantralaya building, Nariman point, Mumbai.

2 DEOC Locations/Sites

DEOC (District Emergency Operation Center) will be setup at following locations:

1. Palghar DHQ
2. Raigad DHQ (Alibag)
3. Ratnagiri DHQ
4. Sindhudurg DHQ (Oros)
5. Thane DHQ

3 TEOC Locations/Sites

The TEOC (Taluka Emergency Operation Center) will be setup at following locations:

1. Palghar THQ
2. Dahanu THQ
3. Vasai THQ
4. Alibag THQ
5. Panvel THQ
6. Uran THQ
7. Pen THQ
8. Murud THQ
9. Tala THQ
10. Shrivardhan THQ
11. Ratnagiri THQ
12. Rajapur THQ
13. Guhagar THQ
14. Dapoli THQ
15. Devgad THQ
16. Malvan THQ
17. Vengurla THQ
18. Thane THQ
19. Bhiwandi THQ

4 DMR Repeater Locations/Sites

The DMR repeaters will installed at following locations/sites:

1. Palghar DHQ
2. Dahanu THQ
3. Vasai THQ
4. Alibag DHQ
5. Panvel THQ
6. Uran THQ
7. Pen THQ
8. Murud THQ
9. Tala THQ
10. Shrivardhan THQ
11. Ratnagiri DHQ
12. Rajapur THQ
13. Guhagar THQ
14. Dapoli THQ
15. Devgad THQ
16. Malvan THQ
17. Vengurla THQ
18. Thane DHQ
19. Bhiwandi THQ

5 Siren Locations/ Sites

The sirens will be installed at following sites/locations:

5.1 Palghar District

In Palghar district, it is proposed to install siren in following villages:

Palghar Taluka

1. Palghar THQ
2. Pophran
3. Tarapur
4. Akkarpatti
5. Uchheli
6. Alewadi
7. Murbe
8. Satpati
9. Shirgoan
10. Mahim
11. Kelwa
12. Usarni
13. Mathane
14. Datiware

15. Paam
16. Dongre
17. Kurgaon

Dahanu Taluka

1. Dahanu THQ
2. Bordi
3. Gholvad
4. Narpad
5. Pokharan
6. Varor
7. Chinchani

Vasai Taluka

1. Vasai THQ
2. Arnala
3. Panju
4. Rajodi (Kadav)

5.2 Raigad District

In Raigad district, it is proposed to install siren in following villages:

Alibag Taluka

1. Alibag THQ
2. Kihim
3. Thal
4. Aakshi
5. Nagaon
6. Revdanda

Panvel Taluka

1. Panvel THQ
2. Sai Nagar
3. Vaghivali
4. Owle

Uran Taluka

1. Uran THQ
2. Kegaon
3. Aware
4. Chanje
5. Panje

Pen Taluka

1. Vadhav
2. Dadar
3. Sonkhar
4. Bhal

Murud Taluka

1. Murud THQ
2. Salav
3. Borli
4. Barashiv
5. Chikani (Kashid)
6. Nandgaon
7. MurudJanjira (More)
8. Rajpuri
9. Aagardanda
10. Sawali

Tala Taluka

1. Tala THQ
2. Rahatad
3. Mandad
4. Washi Haveli
5. Waral

Shrivardhan Taluka

1. Srivardhan THQ
2. Dighi
3. Dive agar
4. Shekadi
5. Khargaon
6. Haihareshwar
7. Bagmandala

5.3 Ratnagiri District

In Ratnagiri district, it is proposed to install siren in following villages:

Ratnagiri Taluka

1. Ratnagiri THQ
2. Marathwada
3. Kharviwada
4. Malgund
5. Kajirbhati
6. Kaserveli
7. Jakimiryra
8. Bhatye
9. Kasop
10. Bhandarwadi
11. Are

Rajapur Taluka

1. Katali
2. Sagwe
3. Madban
4. Jaitapur
5. Ambolgad

Guhagar Taluka

1. Guhagar THQ
2. Anjanwel GP
3. Aare GP
4. Aasgoli GP
5. Palshet GP
6. Kondkarul GP
7. Velneshwar GP
8. Sakhari Agar GP
9. Hedavi GP
10. Naravan GP
11. Tavasal GP
12. Katale GP

Dapoli Taluka

1. Dapoli THQ
2. Kelshi GP
3. PajPandri GP
4. Harnai GP
5. Ladghar GP
6. Burondi GP

7. Dabhol GP

5.4 Sindhudurg District

In Sindhudurg district, it is proposed to install siren in following villages:

Devgad Taluka

1. Devgad THQ
2. Vijaydurg GP
3. Kunkeshwar GP
4. Mithbav GP

Malvan Taluka

1. Malvan THQ
2. Achara Market
3. Achra GP
4. Tondavali GP
5. Sarjekot
6. Revandi
7. Kolamb GP
8. Tarkarli GP
9. Masure GP

Vengurla Taluka

1. Vengurla THQ
2. Parule GP
3. Bhogwe GP
4. Kochare GP
5. Medha GP
6. Mhapan GP
7. Kelus GP
8. Wayangani GP
9. Dabholi GP
10. Muth
11. Mochemad GP
12. Shiroda GP
13. Redi GP

5.5 Thane District

In Thane district, it is proposed to install siren in following villages:

Thane Taluka

1. Thane DHQ
2. Mira Bhayander
3. Uttan
4. Balkum
5. Mumbra
6. Navi Mumbai MC (Konkan Bhawan)
7. Kopri

Bhiwandi Taluka

1. Kharbav
2. Payegaon
3. Kalher
4. Dive Anjur

E. ENVIRONMENTAL, SOCIAL, HEALTH AND SAFETY (ESHS) REQUIREMENTS

The Employer should use the services of a suitably qualified environmental, social, health and safety specialist/s to prepare the specifications for ESHS working with a procurement specialist/s.

The Employer should attach or refer to the Employer's environmental, social, health and safety policies that will apply to the project. If these are not available, the Employer should use the following guidance in drafting an appropriate policy for the Plant Supply & Installation.

1. MINIMUM CONTENT OF ESHS REQUIREMENTS

In preparing detailed specifications for ESHS requirements, the specialists should refer to and consider:

- project reports e.g. ESIA/ESMP
- consent/permit conditions
- required standards including World Bank Group EHS Guidelines
- relevant international conventions or treaties etc., national legal and/or regulatory requirements and standards (where these represent higher standards than the WBG EHS Guidelines)
- relevant international standards e.g. WHO Guidelines for Safe Use of Pesticides
- relevant sector standards e.g. EU Council Directive 91/271/EEC Concerning Urban Waste Water Treatment
- grievance redress mechanisms.
- grievance redress mechanism including types of grievances to be recorded and how to protect confidentiality e.g. of those reporting allegations of GBV/SEA
- GBV/SEA prevention and management

The detail specification for ESHS should, to the extent possible, describe the intended outcome rather than the method of working.

The ESHS requirements should be prepared in manner that does not conflict with the relevant General Conditions and Particular Conditions, and in particular Conditions related to:

General Conditions

Law and Language

Subcontracting

Other Contractors

Contractor's Personnel and Equipment

Contractor's Risks

Contractor to Construct the Works

Safety and Protection of environment

Payments

The System Integrator will abide by the Environmental, Health and Safety (EHS) measures listed in the Environment Social Health and Safety Plan (ESHSP) given in table below. The System Integrator shall include the ESHSP requirements in the Programme of Works and the Bill of Quantities. The requirements stated in the ESHSP should therefore be studied properly and the bid should be priced accordingly. All items shall be deemed incidental to work unless otherwise provided for in the price schedule.

The System Integrator is free to adopt any similar or superior environment, health and safety management practices with the caveat that the process should meet the basic minimum requirements as stated in the ESHSP provided in this section. Adverse impact/s on the environment caused due to non-adherence of legal and/or ESHSP requirements during pre-construction stage and/or during execution of civil and electrical works shall be made good at System Integrator's own expenses. The Environment and Social Management Framework for the project (NCRMP II) from which these conditions have been derived/listed is available with the State Project Implementation Unit for reference and the same can also be downloaded from the website.

Performance review of the System Integrator with regard to ESHS compliance in accordance with requirements in GCC will be conducted by the employer or his agent specifically appointed for this purpose.

2. SUGGESTED CONTENT FOR AN ENVIRONMENTAL AND SOCIAL POLICY (STATEMENT)

The Works' policy goal, as a minimum, should be stated to integrate environmental protection, occupational and community health and safety, gender, equality, child protection, vulnerable people (including those with disabilities), sexual harassment, gender-based violence (GBV), sexual exploitation and abuse (SEA), HIV/AIDS awareness and prevention and wide stakeholder engagement in the planning processes, programs, and activities of the parties involved in the execution of the Works. The Employer is advised to consult with the World Bank to agree the issues to be included which may also address: climate adaptation, land acquisition and resettlement, indigenous people, etc. The policy should set the frame for monitoring, continuously improving processes and activities and for reporting on the compliance with the policy.

The policy shall include a statement that, for the purpose of the policy and/or code of conduct, the term “child” / “children” means any person(s) under the age of 18 years.

The policy should, as far as possible, be brief but specific and explicit, and measurable, to enable reporting of compliance with the policy in accordance with the Particular Conditions of the Contract Sub-Clause 18.3 and Appendix A to the General Condition.

As a minimum, the policy is set out to the commitments to:

1. apply good international industry practice to protect and conserve the natural environment and to minimize unavoidable impacts;
2. provide and maintain a healthy and safe work environment and safe systems of work;
3. protect the health and safety of local communities and users, with particular concern for those who are disabled, elderly, or otherwise vulnerable;
4. ensure that terms of employment and working conditions of all workers engaged in the Works meet the requirements of the ILO labor conventions to which the host country is a signatory;
5. be intolerant of, and enforce disciplinary measures for illegal activities. To be intolerant of, and enforce disciplinary measures for GBV, inhumane treatment, sexual activity with children, and sexual harassment;
6. incorporate a gender perspective and provide an enabling environment where women and men have equal opportunity to participate in, and benefit from, planning and development of the Works;
7. work co-operatively, including with end users of the Works, relevant authorities, contractors and local communities;
8. engage with and listen to affected persons and organisations and be responsive to their concerns, with special regard for vulnerable, disabled, and elderly people;
9. provide an environment that fosters the exchange of information, views, and ideas that is free of any fear of retaliation and protects whistleblowers;
10. minimise the risk of HIV transmission and to mitigate the effects of HIV/AIDS associated with the execution of the Works;

The policy should be signed by the senior manager of the Employer. This is to signal the intent that it will be applied rigorously.

The MSIP shall include the following activity wise ESHS requirements:

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
1	<i>Work Plan for ESHSP implementation</i>	The System Integrator's Project Manager shall be responsible for implementation of ESHSP provisions and will coordinate the over-all implementation of the said plan. Along with the Work Programme, the System Integrator shall submit a plan including method statement and timeline about specific actions that will be taken by him to implement the provisions laid out in the ESHSP.
2	<i>Regulatory Permissions and Consents</i>	<p>The System Integrator shall obtain all requisite statutory clearances prior to commencement of civil and or electrical works, which includes obtaining permissions/consents for setting-up construction camp; plants and equipment; borrow areas if necessary. The System Integrator shall abide by all conditions laid out in the said clearances. This includes:</p> <ul style="list-style-type: none"> ▪ Aviation Authority for erection of Lightning Mast which comes in the vicinity of flying zone. (Where necessary) ▪ Consents for establishment and operation of plants (electrical power generator sets) from State Pollution Control Board (If necessary) ▪ PUC certification for all vehicles/equipment used for transportation (of personnel and materials) and construction. ▪ Permission/consent of the District Administration/Mining Department/other agencies for quarrying and/or borrowing operations for materials like sand and earth
3	<i>Consultation and Community Consent</i>	<p>The System Integrator shall consult and obtain written consents of landowners (individual/panchayat/govt. agency) for temporary use of land for all construction related activities including:</p> <ul style="list-style-type: none"> ▪ Erection of towers ▪ Setting-up and operation of construction and labour camps; ▪ Borrow areas and ▪ Disposal of debris and other waste material.
4	<i>Construction/ Labour Camp</i>	<ul style="list-style-type: none"> ▪ Location: The location of camp and plant site/s shall be as per the environmental siting criteria given in the ESHSP. Consent of the land owner/local authorities shall be required and needs to be submitted to the Employer for approval. Construction camp sites (including plant sites, and material stock yards) shall be located

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<p>(preferably in the downwind direction) at least 500 mts. from the nearest settlement and at least 1000 mts. away from designated/protected natural habitats (such as National Parks, Sanctuaries, Biosphere Reserves, Reserve Forests and Ramsar Sites).</p> <ul style="list-style-type: none"> ▪ Accommodation and Basic Amenities: All weather shelter with the required tenement size and toilets shall be provided, as per provisions of Labour Laws. Separate toilet facilities shall be provided for women workers. If a common mess is not provided/operated, additional space for cooking shall be provided. The System Integrator shall ensure that hygienic conditions are maintained during the operation of such camps/facilities. ▪ Fuel for Cooking: The System Integrator shall ensure that fuel wood is not used as a cooking medium in the construction/labour camp. ▪ Potable water supply: Drinking water supply of at least 40 LPCD with the required supply points shall be provided. ▪ Fire Safety: Adequate fire safety precautions shall be taken and the required fire safety equipment (such as fire extinguishers) shall be provided by the System Integrator.
5	<i>Site Clearance</i>	<ul style="list-style-type: none"> ▪ All required precautions/measures to prevent damage to tower side vegetation outside the formation cutting and filling areas shall be taken. No tree cutting is to be carried out without the written instruction from the Employer, who in turn will ensure that relevant regulatory permission/s ▪ The non-timber grade trees are to be stacked and possession is to be given to Employer/concerned Govt. Department. ▪ The System Integrator shall strip, store, preserve and reinstate top soil in work fronts.
6	<i>Protection of Properties and Resources</i>	<p>The System Integrator shall take due care to protect and prevent damages to the following resources during preparatory and construction work:</p> <ul style="list-style-type: none"> ▪ Water supply lines ▪ Irrigation canals ▪ Cart, cattle and/or foot trail/tracks

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<ul style="list-style-type: none"> ▪ Cultural properties and sites/structures of religious importance ▪ Houses, Farmlands, Pastures, Orchards and/or Trees <p>In case of damage due to construction activity, the restoration/repairs shall be carried out by the System Integrator at his own cost.</p>
7	<i>Slope Stability</i>	The System Integrator shall ensure that civil work and related activities such as clearing and grubbing, stacking of materials and debris disposal are carried out in a manner that minimizes slope instabilities of near-by water bodies.
8	<i>Quarry Establishment and/or Operations</i>	<p>a) The System Integrator shall procure material from quarries that have been approved/ licensed by the State Govt. A copy of such an approval shall be submitted to the employer prior to procuring material.</p> <p>b) All requirements for ensuring health and safety of workers, including use of effective dust suppression arrangements at crusher sites and on haul roads; safety precautions prior to blasting operations; provision and enforcement of Personal Protective Equipment use and proper storage of blasting and</p> <p>c) Other inflammable materials shall be followed by the System Integrator. (c) First-aid and Emergency Response Arrangements: First-aid facility and emergency response arrangements shall be maintained at the quarry and</p> <p>d) In the event of System Integrator opening a new quarry for the project, the following additional conditions shall apply –</p> <ul style="list-style-type: none"> ▪ Location: Location of quarry site shall be at least 1000 mts. from the nearest settlement; archeologically/culturally protected/locally important sites; designated/ protected natural habitats (such as National Parks, Sanctuaries, Biosphere Reserves, Reserved Forests and Ramsar Sites); other ecologically rich sites with Forest and/or areas with Wildlife presence and; water supply sources. Other location selection criteria as defined under Govt. regulations/rules will also apply. ▪ Site preparation. Area shall be demarcated as approved in the permit and shall be reconfirmed by the employer. Site clearance

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<p>shall take place only within the demarcated area. Top soil, if any, shall be stripped, stacked and preserved for re-use.</p> <ul style="list-style-type: none"> ▪ Operation: The quarry shall be operated by adopting/implementing environmental, health and safety measures as specified in the permit conditions. ▪ Closing and restoration. Proper drainage provisions shall be provided prior to closure of the quarry. Restoration shall be done as per the permit conditions
9	<i>Borrow Areas</i>	<p>a) Borrow areas for the project will be selected by the System Integrator following the stipulations given below. The finalization of all such locations shall be dependent on the approval of the employer on technical and environmental grounds. This includes on-site verification to cross-check the accuracy of details provided by the System Integrator. Only after receipt of the written approval from the employer, the System Integrator shall enter into a formal agreement with landowner.</p> <p>b) The System Integrator shall not procure any kind of construction material (such as aggregates, sand and earth) from ecologically protected areas.</p> <p>c) <u>Identification and Selection</u></p> <ul style="list-style-type: none"> ▪ The borrow area should not be located in agriculture field/s unless unavoidable i.e. barren land is not available. In case borrowing needs to be done on an agricultural land, top-soil stripping, stacking and preservation is a must. Damage to productive and fertile areas has to be minimal and this includes appropriate planning of haul roads. ▪ Borrow pits shall not be located within a distance of 100 mts. from any NH, SH or other roads. ▪ Borrow pits shall be preferably located 500 mts. away from settlements/ habitations. ▪ No borrow pits shall be located within 500 mts. from schools, colleges, playgrounds, religious structures and health centers. ▪ No borrow area shall be opened within 500 mts. from a reserved or protected forest area, protected sites, wildlife movement zone and cultural heritage site.

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<ul style="list-style-type: none"> ▪ No tree cutting shall be undertaken. ▪ Borrow area near any surface water body will be at least 100mts. away. <p>d) <u>Operation</u></p> <ul style="list-style-type: none"> ▪ Area up to which material will be extracted shall be clearly demarcated on ground. ▪ A 15 cm topsoil layer will be stripped and preserved in stockpiles. ▪ Borrowing of earth should be preferably limited to a depth of 1.5 mtr from the existing ground level. ▪ Slope at the edges will be maintained not steeper <p>e) <u>Rehabilitation of Borrow Areas</u></p> <ul style="list-style-type: none"> ▪ Rehabilitation shall be satisfactorily undertaken immediately after the use has ceased and at least three weeks prior to monsoon. ▪ Preserved top soil has be spread uniformly over land (except in cases where borrow area is developed as a water body) used as a borrow area
10	<i>Water Extraction/ Use</i>	<p>Water for construction and for use at construction camps (including labour camps) is to be extracted with prior written permission of</p> <ul style="list-style-type: none"> ▪ The individual owner, in case the source is private well/tube well; ▪ Gram Panchayat in case the source belongs to community; and ▪ Irrigation Department in case the source is an river canal etc.
11	<i>Traffic Safety and Management</i>	<ul style="list-style-type: none"> ▪ In areas where traffic is to be diverted during construction, the traffic detour shall be planned and publicized to the local people. Necessary information signage shall be erected to inform the road users. ▪ Traffic safety arrangements (including provision of warning signage, barricades and delineation) shall be made by the System Integrator to ensure safety of road users, local people and workers. ▪ Material shall be covered during transportation to prevent spillage, accidents and pollution.
12	<i>Worker's Safety</i>	<ul style="list-style-type: none"> ▪ All measures required for ensuring safety and health of the workers shall be taken up by the System Integrator. This includes provision and enforcement of appropriate personal protective equipment; first aid facilities at camp, plant sites and work zones; emergency

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<p>response arrangements; proper storage of hazardous/toxic and polluting materials and; measures for ensuring fire, electrical and mechanical safety arrangements in camps and in work fronts.</p> <ul style="list-style-type: none"> ▪ Material safety data sheet record of fuel and other inflammable chemicals shall be maintained at the site.
13	<i>Air Pollution</i>	<ul style="list-style-type: none"> ▪ Wind barriers or screens shall be provided in the downwind direction at air pollution causing sources like plant sites and fine material storage stock yards. ▪ Fugitive dust emissions have to be eliminated or at least reduced by providing dust suppression/control measures, based on activity and site conditions. ▪ All plants and equipment shall comply with pollution control norms. ▪ Water shall be sprinkled at least twice during dry day on haulage roads passing through or near settlements (including at least 100 m before and after the settlement).
14	<i>Water pollution</i>	<ul style="list-style-type: none"> ▪ All measures (including provision of temporary silt fencing to control sediment run-off) required for avoiding adverse impacts to water bodies (such as ponds, streams, canals and rivers), water sources (such as hand pumps and wells) and adjacent farmland shall be undertaken by the System Integrator. ▪ Storage of materials like fuel, chemicals, cement and bitumen shall be done in a manner (with impervious layer on bottom and a covered shed on top) that does not contaminate land and ground/surface water.
15	<i>Noise Pollution</i>	<ul style="list-style-type: none"> ▪ All noise causing activities within 1km radius of settlements shall be stopped during night time (9:00 PM to 6:00 AM). ▪ Ear plugs shall be provided to the labour facing risk from high noise pollution (such as plant sites, blasting zones and those working near generators, heavy equipment/machinery) in construction zone.
16	<i>Disposal of Debris and Wastes</i>	<p>All debris and spoils generated during construction works are to be reused to the extent feasible (technically and economically). Residual debris and spoils, if any, shall be disposed in locations preapproved by the employer in a manner that it does not contaminate the environment.</p> <ul style="list-style-type: none"> ▪ Location of Debris Disposal Sites: Debris disposal sites shall be located preferably away from farmlands, water sources and water

Sl. No	Activity	Measures to be Implemented by the System Integrator of Siren Towers
		<p>bodies. In no case, debris shall be disposed within 500 mts. of ecologically sensitive areas, including forests, wetlands and protected natural habitats.</p> <ul style="list-style-type: none"> ▪ Site preparation and disposal method: Site will be prepared by stripping and storage of top-soil. The disposal shall be carried out as per the employer's approval. ▪ Closure: Disposal sites shall be properly dressed up with top-soil re-laid on site surface; drainage provision to divert run-off water away from the site and; vegetation (grass/shrub) plantation, based on site conditions.
17	<i>Restoration and Rehabilitation of Sites</i>	<ul style="list-style-type: none"> ▪ All work sites and areas under temporary use (including construction and labour camps, plant sites, haul roads and borrow areas) shall be restored/ rehabilitated to a better condition (if not at least to its original condition) and to the satisfaction of the employer and land owner upon completion of construction work by the System Integrator. ▪ Completion of work will also include completion of rehabilitation and clean-up of the work sites including camps, plants, in and along road and structure construction sites; disposal of debris/construction wastes at pre-approved locations and; restoration of borrow areas and other sites/locations used for material sourcing.
18	<i>Liabilities</i>	Any liability arising out of System Integrator's agreement with landowners/ local people/gram panchayat (including those related to temporary use of land, water extraction and disposal of debris) shall be settled by the System Integrator.

3. MINIMUM REQUIREMENTS FOR THE BIDDER'S CODE OF CONDUCT

A minimum requirement for the Code of Conduct should be set out, by the Employer taking into consideration the issues, impacts, and mitigation measures identified for example in :

- project reports e.g. ESIA/ESMP
- consent/permit conditions (regulatory authority conditions attached to any permits or approvals for the project)
- required standards including World Bank Group EHS Guidelines
- relevant international conventions, standards or treaties, etc., national legal and/or regulatory requirements and standards (where these represent higher standards than the WBG EHS Guidelines)
- relevant standards e.g. Workers' Accommodation: Process and Standards (IFC and EBRD)
- relevant sector standards e.g. workers accommodation
- grievance redress mechanisms.

The types of issues identified could include. risks associated with: labor influx, spread of communicable diseases, sexual harassment, gender based violence, illicit behavior and crime, and maintaining a safe environment etc.

A satisfactory code of conduct will contain obligations on all Contractor's personnel (including sub-contractors and day workers) that are suitable to address the following issues, as a minimum. Additional obligations may be added to respond to particular concerns of the region, the location and the project sector or to specific project requirements. The code of conduct shall contain a statement that the term "child" / "children" means any person(s) under the age of 18 years.

The issues to be addressed include:

1. Compliance with applicable laws, rules, and regulations
2. Compliance with applicable health and safety requirements to protect the local community (including vulnerable and disadvantaged groups), the Employer's and Project Manager's personnel, and the Contractor's personnel, including sub-contractors and day workers, (including wearing prescribed personal protective equipment, preventing avoidable accidents and a duty to report conditions or practices that pose a safety hazard or threaten the environment)
3. The use of illegal substances
4. Non-Discrimination in dealing with the local community (including vulnerable and disadvantaged groups), the Employer's and Project Manager's personnel, and the Contractor's personnel, including sub-contractors and day workers (for example on the basis of family status, ethnicity, race, gender, religion, language, marital status,

- age, disability (physical and mental), sexual orientation, gender identity, political conviction or social, civic, or health status)
5. Interactions with the local community(ies), members of the local community (ies), and any affected person(s) (for example to convey an attitude of respect, including to their culture and traditions)
 6. Sexual harassment (for example to prohibit use of language or behavior, in particular towards women and/or children, that is inappropriate, harassing, abusive, sexually provocative, demeaning or culturally inappropriate)
 7. Violence including sexual and/or gender based violence (for example acts that inflict physical, mental or sexual harm or suffering, threats of such acts, coercion, and deprivation of liberty)
 8. Exploitation including sexual exploitation and abuse (for example the prohibition of the exchange of money, employment, goods, or services for sex, including sexual favors or other forms of humiliating, degrading behavior, exploitative behavior or abuse of power)
 9. Protection of children (including prohibitions against sexual activity or abuse, or otherwise unacceptable behavior towards children, limiting interactions with children, and ensuring their safety in project areas)
 10. Sanitation requirements (for example, to ensure workers use specified sanitary facilities provided by their employer and not open areas)
 11. Avoidance of conflicts of interest (such that benefits, contracts, or employment, or any sort of preferential treatment or favors, are not provided to any person with whom there is a financial, family, or personal connection)
 12. Respecting reasonable work instructions (including regarding environmental and social norms)
 13. Protection and proper use of property (for example, to prohibit theft, carelessness or waste)
 14. Duty to report violations of this Code
 15. Non retaliation against workers who report violations of the Code, if that report is made in good faith.

The Code of Conduct should be written in plain language and signed by each worker to indicate that they have:

- received a copy of the code;
- had the code explained to them;
- acknowledged that adherence to this Code of Conduct is a condition of employment;
- and
- understood that violations of the Code can result in serious consequences, up to and including dismissal, or referral to legal authorities.

A copy of the code shall be displayed in a location easily accessible to the community and project affected people. It shall be provided in languages comprehensible to the local

community, Contractor's personnel (including sub-contractors and day workers), Employer's and Project Manager's personnel, and affected persons.

The Code of Conduct shall cover the following requirements:

Basic Safety Compliance

- All measures required for ensuring safety and health of the workers shall be taken up by the System Integrator. This includes provision and enforcement of appropriate personal protective equipment; first aid facilities at camp, plant site and work zones; emergency response arrangements; proper storage of hazardous/ toxic and/or polluting materials; measures for ensuring electrical, fire and mechanical safety arrangements.
- Proper barricading of the construction site with traffic management plans. All operational areas shall be access controlled with fixed entry and exit points. Watch and ward facilities at all times will be provided by the System Integrator. The separate entry and exit to the construction zone shall be maintained properly at all times. No Entry without PPE, including Helmet, Safety Jacket, Safety glasses or face shields, Safety Shoes in the construction site.
- Trained Supervisor/safety staff & guards should be deployed for close supervision of construction site
- First Aid Box with list of medicines & purpose of medicine along with signboard indicators for easy access. Expiry date of the medicines to be checked frequently. Trained first aid personal will be available at the construction site. Including Emergency numbers will be displayed prominently at camp and construction site and availability of suitable transport at all times to take injured or sick person(s) to the nearest hospital in case of Emergency. Designated vehicle, which can be used as ambulance, will be available at construction site. No cost shall be recovered from them on this account.
- Smoking should be prohibited in inflammable areas like dumping of diesel, petrol kerosene, gas cylinder etc.
- Licensed & appropriate trained driver should be allowed to operate the vehicle like Crane, Derricks & Forklift etc
- Health Checkup camps for staff & labour and follow-up for preventive action/referral services in convergence with CHC/PHC.
- Preventive measure for soil contamination during storage of Diesel/Petrol/Oil/Greece/Other oil/Inflammable items.
- Preventive measure for fire safety equipment's.
- Provide garbage bins in construction site and it will be ensured that these are regularly emptied and waste is disposed-of in a hygienic manner as per the Solid Waste (Handling and Management) Rules, 2016.

- Ensure that civil work and related activities such as clearing and grubbing, stacking of materials and debris disposal are carried out in a manner that avoids water logging.

Labour Law Compliances:

- All workforces on the construction site shall be provided with identity cards.
- Obtain Labour License from the Labour Commissioner's Office and required insurance as specified in the contract conditions.
- No Child labour/employee under 14 years old is allowed to enter in the construction site.
- Labour presence and payment register to be maintained at site
- Display of minimum wage details, emergency contact nos of key person at site, emergency contact no of local health centre, Police, Ambulance.
- Workman Compensation Policy to be available at site
- Labour License to be available at site. Labour license should be taken of peak labour strength available at site
- Separate Toilets for Male & Female marked in local language with arrangements of septic tank, hand washing material, toilet cleaning material. Open defecation not allowed in nearby surrounding area.
- Proper Drinking water facility for all staff & labour along with uncontaminated clean water is available cooking, bathing and washing if residing in labour camp.
- Consult and obtain written consent/s of landowners (individual/panchayat/govt. agency) for temporary use of land for all construction related activities.
- The System Integrator preferably will use unskilled/semi-skilled labour from the surrounding area to give the maximum benefit to the local community whenever this is possible.

During construction & erection of Siren Towers, poles, antennas:

Eye and Face Protection

- Safety glasses or face shields are worn anytime work operations can cause foreign objects getting into the eye such as during welding, cutting, grinding, nailing (or when working with concrete and/or harmful chemicals or when exposed to flying particles).
- Welding glass for protection of radiation during welding.
- Eye and face protectors are selected based on anticipated hazards.
- Safety glasses or face shields are worn when exposed to any electrical hazards including work on energized electrical systems.

Foot Protection

- Construction workers should wear work shoes or boots with slip-resistant and puncture-resistant soles.
- Safety-toed footwear is worn to prevent crushed toes when working around heavy equipment or falling objects.

Hand Protection

- Gloves should fit snugly.
- Workers wear the right gloves for the job (for example, heavy-duty rubber gloves for concrete work, welding gloves for welding, insulated gloves and sleeves when exposed to electrical hazards).

Head Protection

- Workers shall wear hard hats where there is a potential for objects falling from above, bumps to their heads from fixed objects, or of accidental head contact with electrical hazards.
- Hard hats are routinely inspected for dents, cracks or deterioration & expiry date.
- Hard hats are replaced after a heavy blow or electrical shock.
- Hard hats are maintained in good condition.

Scaffolding and Ladders

- Scaffolds / Ladders should be set on sound footing.
- Damaged parts that affect the strength of the scaffold or ladder are taken out of service.
- Scaffolds are not altered.
- All scaffolds should be fully planked.
- Scaffolds / ladders are not moved horizontally while workers are on them unless they are designed to be mobile and workers have been trained in the proper procedures.
- Employees are not permitted to work on scaffolds or ladders when covered with oil, grease or other slippery materials.
- Scaffolds / ladders are not erected or moved within 10 feet of power lines.
- Employees are not permitted to work on scaffolds / ladders in bad weather or high winds unless a competent person has determined that it is safe to do so.
- Ladders, boxes, barrels, buckets or other makeshift platforms are not used to raise work height.
- Extra material is not allowed to build up on scaffold platforms.
- Scaffolds should not be loaded with more weight than they were designed to support.

Electrical Safety

- Work on new and existing energized (hot) electrical circuits is prohibited until all power is shut off and grounds are attached.
- An effective Lockout/Tagout system is in place.
- Frayed, damaged or worn electrical cords or cables are promptly replaced.
- All extension cords have grounding prongs.

- Protect flexible cords and cables from damage. Sharp corners and projections should be avoided.
- Use extension cord sets used with portable electric tools and appliances that are the three-wire type and designed for hard or extra-hard service. (Take precaution imprinted on the casing of item)
- All electrical tools and equipment are maintained in safe condition and checked regularly for defects and taken out of service if a defect is found.
- Do not bypass any protective system or device designed to protect employees from contact with electrical energy.
- Overhead electrical power lines are located and identified.
- Ensure that ladders, scaffolds, equipment or materials never come within 10 feet of electrical power lines.
- All electrical tools must be properly grounded unless they are of the double insulated type.
- Multiple plug adapters are prohibited.

Floor and Wall Openings

- Floor openings (12 inches or more) are guarded by a secured cover, a guardrail or equivalent on all sides (except at entrances to stairways).
- Toe boards are installed around the edges of permanent floor openings (where persons may pass below the opening).

Elevated Surfaces

- Signs are posted, when appropriate, showing the elevated surface load capacity.
- Surfaces elevated more than 48 inches above the floor or ground have standard guardrails.
- All elevated surfaces (beneath which people or machinery could be exposed to falling objects) have standard 4-inch toe boards.
- A permanent means of entry and exit with handrails is provided to elevated storage and work surfaces.
- Material is piled, stacked or racked in a way that prevents it from tipping, falling, collapsing, rolling or spreading.

Hazard Communication

- A list of hazardous substances used in the workplace is maintained and readily available at the worksite.
- There is a written hazard communication program addressing Material Safety Data Sheets (MSDS), labeling and employee training.
- Each container of a hazardous substance (vats, bottles, storage tanks) is labeled with product identity and a hazard warning(s) (communicating the specific health hazards and physical hazards).
- Material Safety Data Sheets are readily available at all times for each hazardous substance used.
- There is an effective employee training program for hazardous substances.

Crane Safety

- Cranes and derricks are restricted from operating within 10 feet of any electrical power line.
- The upper rotating structure supporting the boom and materials being handled is provided with an electrical ground while working near energized transmitter towers.
- Rated load capacities, operating speed and instructions are posted and visible to the operator.
- Cranes are equipped with a load chart.
- The operator understands and uses the load chart.
- The operator can determine the angle and length of the crane boom at all times.
- Crane machinery and other rigging equipment is inspected daily prior to use to make sure that it is in good condition.
- Accessible areas within the crane's swing radius are barricaded.
- Tag lines are used to prevent dangerous swing or spin of materials when raised or lowered by a crane or derrick.
- Illustrations of hand signals to crane and derrick operators are posted on the job site.
- The signal person uses correct signals for the crane operator to follow.
- Crane outriggers are extended when required.
- Crane platforms and walkways have antiskid surfaces.
- Broken, worn or damaged wire rope is removed from service.
- Guardrails, hand holds and steps are provided for safe and easy access to and from all areas of the crane.
- Load testing reports/certifications are available.
- Tower crane mast bolts are properly torqued to the manufacturer's specifications.
- Overload limits are tested and correctly set.
- The maximum acceptable load and the last test results are posted on the crane.
- Initial and annual inspections of all hoisting and rigging equipment are performed and reports are maintained.
- Only properly trained and qualified operators are allowed to work with hoisting and rigging equipment.
- Back gear Horn and Back Light should be properly work during operation of Crane or Derricks in reverse gear.

Forklifts

- Forklift truck operators are competent to operate these vehicles safely as demonstrated by their successful completion of training and evaluation.
- No employee under 18 years old is allowed to operate a forklift.
- Forklifts are inspected daily for proper condition of brakes, horns, steering, forks and tires.
- Powered industrial trucks (forklifts) meet the design and construction requirements.
- Written approval from the truck manufacturer is obtained for any modification or additions which affect capacity and safe operation of the vehicle.
- Capacity, operation and maintenance instruction plates, tags or decals are changed to indicate any modifications or additions to the vehicle.
- Battery charging is conducted in areas specifically designated for that purpose.

- Material handling equipment is provided for handling batteries, including conveyors, overhead hoists or equivalent devices.
- Reinstalled batteries are properly positioned and secured in the truck.
- Smoking is prohibited in battery charging areas.
- Precautions are taken to prevent open flames, sparks or electric arcs in battery charging areas.
- Refresher training is provided and an evaluation is conducted whenever a forklift operator has been observed operating the vehicle in an unsafe manner and when an operator is assigned to drive a different type of truck.
- Load and forks are fully lowered, controls neutralized, power shut off and brakes set when a powered industrial truck is left unattended.
- There is sufficient headroom for the forklift and operator under overhead installations, lights, pipes, sprinkler systems, etc.
- Overhead guards are in place to protect the operator against falling objects.
- Trucks are operated at a safe speed.
- All loads are kept stable, safely arranged and fit within the rated capacity of the truck.
- Unsafe and defective trucks are removed from service.

Requirement of First Aid Box:

SN	Item	Qty
1	A sufficient number of eye wash bottles filled with distilled water or suitable liquid clearly Indicated by a distinctive sign which shall be visible at all times.	1
2	4% xylocaine eye drops, and A sufficient number of eye wash bottles filled with distilled water or suitable liquid clearly Indicated by a distinctive sign which shall be visible at all times, boric acid eye drops and soda by carbonate eye drops.	1
3	Small sterilized dressings.	24
4	Medium size sterilized dressings.	12
5	Large size sterilized dressings	12
6	Large size sterilized burn dressings	12
7	Sterilized cotton wool. (15 cm.)	12
8	(Two hundred ml.) bottle of cetrimide solution (1%) or suitable antiseptic solution	1
9	(Two hundred ml.) bottle of mercurochrome (2%) solution in water.	1
10	(One hundred twenty ml.) bottle of Sal-volatile having the doses and mode of administration indicated on the label.	1
11	Pair of scissors.	1
12	Roll of adhesive plaster (six cm. x one mtr)	1
13	Rolls of adhesive plaster (two cms. x one mtr.)	2
14	Sterilized eye pads in separate sealed packets.	12
15	Bottle containing hundred tablets (each of three hundred twenty-five mg) of aspirin or any other analgesic	1
16	Roller bandages ten cms. Wide	12

17	Roller bandages five cms. Wide	12
18	Tourniquet	1
19	Splints	1
20	Safety pins	3 Packet
21	Kidney tray	1
22	Snake bite lancet	1
23	(Thirty ml.) bottle containing potassium permanganate crystals.	1
24	First-aid leaflet issued by the Directorate General.	1
25	Triangular bandages	6
26	Pairs of suitable, sterilized, latex hand gloves.	2

4. CHECKLIST FOR COMPLIANCE OF ESHS REQUIREMENTS

The bidder shall submit the following checklist completed with feedback & remarks columns for compliance of ESHS requirements:

4.1 During construction of foundations for towers/poles:

4.1.1 Excavation

S. N.	Description of Activity	Feed back	Remarks
1.	Dumping of Excavated soil. (Minimum 1.5 Mts. or half the depth of the pit whichever is more)	Yes / No.	
2.	Whether angle of repose of soil as per design in the foundation is maintained or not.	Yes / No.	
3.	De-watering arrangement is available (If necessary)	Yes / No.	
4.	Working area has been protected properly to avoid against fall of passerby or animal in the excavated pit.	Yes / No.	
5	Shoring & Shuttering to protect the loose rock / soil against fall exists.	Yes / No.	
6	Arrangement of illumination at construction site is available. (if required)	Yes / No.	
7	Check proper/adequate arrangement is made for extension of electric supply. (Proper size of cable, Use of fuse, No loose connection for De-watering Pumps/ Illumination / Electric compressors etc. if applicable).	Yes / No.	
8	Check for damage / Uneven settlement of foundation.	Yes / No.	

S. N.	Description of Activity	Feed back	Remarks
9	Ensure Life saver arrangements have been made during construction of well foundation in river bed. (Where necessary)	Yes / No.	
10	Check that the adequate arrangement is made for the storage of blasting material at safe place. (if required)	Yes / No.	
11	Check that the blasting materials is handled with due care at site. (If required)	Yes / No.	
12	Check that during blasting operation, Labour / Workmen / Passerby are at safe places and arrangement is made to inform public by caution markings (Red Flag) / Public Notices.	Yes / No	
13	Check that the length of the fuse wire used during blasting operation is adequate.	Yes / No.	
14	Ensure Laying of temporary cable used for operation of Machines used during construction should not cause any danger for electrocution of	Yes / No.	
15	Check that PPEs i.e. Safety helmets, Safety Shoes, is used by blaster and their gang members during blasting.	Yes / No.	
16	Ensure that Shuttering and timbering has been made as detailed in I:S: 3764.	Yes / No.	
17	The positions of underground installations such as sewers, water pipes and electrical cables have been verified and in case of their existence, they must be isolated.	Yes / No.	
18	Arrangement shall be made to prevent external vibrations due to rail / road traffic (If required).	Yes / No.	
19	Safety is ensured during the construction of Tr. Lines for buildings, structures etc. which are coming in the vicinity of the excavated area from collapse. (If required)	Yes / No.	
20	Check that sufficient strong ladder of suitable length is available for ingress / outgress of persons in the pit	Yes / No.	
21	Lone worker should not be allowed to work in the excavated area beyond shoulder level.	Yes / No.	
22	Check for any possibility of seepage of water from nearby pond / river should be estimated and taken care of.	Yes / No.	
23	After excavation the work has been completed speedily and back filling done at the earliest.	Yes / No.	

4.1.2 Casting of foundation / concreting

1	Check construction materials are stacked at safe place and also does not cause any danger. (Away from pit by 1.5 Mtrs. Or half the depth of pit, whichever is more.)	Yes / No.	
2	Check arrangement of illumination at Construction Site. (If required).	Yes / No.	
3	Ensure life saver arrangements have been made during construction of Well foundation in River Bed.	Yes / No.	
4	Check that the Concreting Mixer machine is placed at a safe place. (Not very near to pit.)	Yes / No.	
5	Check proper / adequate arrangement is made for extension of electric supply. (Proper size of cable, Use of fuse, No loose connection for De-watering Pumps / Illumination / Electric compressors etc. if applicable).	Yes / No.	
6	Check that laying of temporary cables used during construction activities should not cause any danger for electrocution to workmen.	Yes / No.	
7	Inspection of excavations shall be made by a Competent Person every day. In case, possible cave in or slide is apparent, all working in the excavation shall be seized until the necessary precautions have been taken to safeguard the possible cave in or slide.	Yes / No.	
8	Jacks and vertical supports shall be positioned in such a manner that the vertical loads are distributed equally and do not exceed the capacity of the jacks and the jacks are placed away from pit edge etc.	Yes / No.	
9	Proper Jacking arrangement is made to take the entire load of template.	Yes / No.	
10	In case of long template in stub setting, more jacks have been provided and check that the Jacks are placed on levelled and hard surface to avoid the unbalancing and fallen.	Yes / No.	
11	Wire mesh rolls shall be secured in order to prevent dangerous recoiling action.	Yes / No.	
12	Lone worker should not be allowed to work in the excavated area.	Yes / No.	
13	Check that sufficient strong ladder of suitable length is available for ingress / outgress of persons in the pit	Yes / No.	

4.2 During erection/installation of towers, poles & antennas:

S. N.	Description of Activity	Feed back	Remarks
1	Check proper communication facility is available at site during Tower erection.	Yes/No.	
2.	Check damages or uneven settlement of foundation.	Yes/No.	
3.	Ensure the derrick used before tower erection has been checked for adequate strength/size. Ensure for copy of test certificate for all the lifting machines and tackles.	Yes/No.	
4.	Ensure that the pulleys used before tower erection has been checked for adequate strength/ proper size (diameter). Also, in case of open type pulleys proper locking arrangements like providing of Safety Pin is made. Ensure for copy of test certificate for all the lifting machines and tackles.	Yes / No.	
5.	Ensure that the ropes used before tower erection has been checked for adequate strength/ physical condition (Free from break of strands and knots etc.	Yes/No.	
6.	Check that the lifting tools and tackles i.e. Winch Machine, Chain Pulley Block, Trifor, D-Shackle etc. are in healthy condition and has been tested periodically. (Attach copy of test certificate).	Yes/No.	
7.	Ensure that permission has been obtained from Aviation Authority for erection of special towers. (Where necessary).	Yes/No.	
8.	Ensure that permission has been obtained from Aviation Authority for erection of towers which comes in the vicinity of flying zone. (Where necessary)	Yes/No.	
9.	Check that the safety measures have been taken before undertaking for the Road/ Rail/ River Xing jobs involving likewise stretches.	Yes/No.	
10.	For rail or road crossing check whether written working plan is available at site with specific reference to safety e.g. local earthing, skilled & experienced manpower, proper T&P, strength and height of scaffolding to maintain the required clearance etc.	Yes/No.	
11.	Ensure that all the members and proper size of Nuts and Bolts of lower section are fitted properly before erection of the upper section of tower is taken up.	Yes/No.	
12.	Check that the anti-climbing devices are provided in the tower after erection job.	Yes/No.	
13.	Check that the danger plates have been provided.	Yes/No.	
14.	Check that only erection team members are allowed to stand near the tower while erection is in process and should wear the safety helmet/ Safety Shoes.	Yes/No.	

S. N.	Description of Activity	Feed back	Remarks
15.	Working area of the tower has been demarcated during erection.	Yes/No.	
16	Check that proper guying arrangement has been made. And also to see that proper size of the crow bars has been used which has been fixed at hard surface in case of sandy soil or loose soil.	Yes/No.	
17	Check that proper arrangement is made while lifting the tower members and fixing the mat height i.e. Proper size and strength of the hook used for lifting the tower members.	Yes/No.	
18	Check sufficient numbers of guys are made while lifting the assembled cross arm and also avoiding use of single sheeve pulleys while lifting the assembled cross arm/ heavy load.	Yes/No.	

4.3 During installation of power systems (batteries, conductors etc.)

S. N.	Description of Activity	Feed back	Remarks
1.	All drivers and plant operators are holding the valid driving license.	Yes/No.	
2.	Check that the permit has been obtained from the Competent Authority (Where necessary)	Yes/No.	
3.	Check that required painting has been made on tower falling in the vicinity of aviation zones. (Where necessary.)	Yes/No.	
4.	Check that all safety measures have been taken during power supply lines (Earthing of existing lines etc.)	Yes/No.	
5.	Ensure that proper size of Nuts and Bolts is rigidly tightened and punching/ tacking/ tack welding is done in towers.	Yes/No.	
6.	Ensure that proper scaffolding arrangements made during erection of towers & power supply of siren.	Yes/No.	
7.	Check that the backfilling of the foundation has been done as per specification.	Yes/No.	
8.	Ensure that the pulleys used before conductor stringing has been checked for adequate strength/ proper size (diameter), also in case of open type pulleys proper locking arrangements like providing of Safety Pin is made Ensure for copy of test certificate for all the lifting machines and tackles.	Yes/No.	
9.	Ensure the ropes used for power supply connection has been checked for adequate strength/ physical condition (Free from break of strands and knots etc.	Yes/No.	
10.	Whether the tower has been permanently earthed.	Yes/No.	

S. N.	Description of Activity	Feed back	Remarks
11.	Check that Sag Board is provided at the locations.	Yes/No.	
12.	Check that the Sag Board arrangement is made by the experienced/ Trained persons.	Yes/No.	
13.	Check approved Sag tension chart is available and followed at site.	Yes/No.	
14.	While clamping of conductor/ EW to be done, check for earthing.	Yes/No.	
15.	During erection of towers starts check that the villagers do not come underneath the job of the concerned section.	Yes / No.	
16.	Only nylon or polypropylene ropes should be used during power supply in vicinity of live overhead lines.	Yes/No.	
17	Check whether the System Integrator had procured required quantity of PPEs considering maximum numbers of erection gangs deployed at one time.	Yes/No.	
18	Supervisors/ Work men have been provided with required healthy PPEs, like Safety helmet/ Safety Belts/ Safety Shoes/ Gum Boot etc. as applicable.	Yes/No.	
19	Availability of First Aid Box with required medicines at site.	Yes/No.	
20	Instruction register is available at site.	Yes/No.	
21	All driver and plant operators are holding valid driving license.	Yes/No.	
22	Check the vehicle for rescue is available at site.	Yes/No.	
23	Ensure engaged labours are aware of the job.	Yes/No.	
24	Check that the unskilled labourers are not engaged in skilled job.	Yes/No.	
25	Ensure that supervisor/ workmen engaged in the field are aware of First Aid Techniques (Such as in case of Electric Shock, Fall from the height, Snake bite and the person rescued from buried under the debris etc.	Yes/No.	
26	Check for nearby Hospital / Doctor in case of emergencies arises.	Yes/No.	
27	While transporting heavy consignment to site by the use of Cranes, Truck, and Tractor. The safety aspect for construction and failure of brake system of moving machinery is to be checked.	Yes/No.	
28	Ensure that supervisor/workmen engaged in the field are aware of First Aid Techniques (Such as in case of Electric Shock, Fall from the height, Snake bite and the person rescued from buried under the debris etc.	Yes/No.	
29	Check the competence (Qualification/ Experience) of supervisor /gang leader of System Integrator.	Yes/No.	

S. N.	Description of Activity	Feed back	Remarks
30	Check permission has been obtained from Aviation Authority for erection of Lightning Mast which comes in the vicinity of flying zone. (Where necessary)	Yes/No.	
31	Storing of equipments is to be made properly to avoid any accident during handling.	Yes/No.	
32	Check those who have sufficient knowledge of steel structural job have been employed in steel structural works only.	Yes/No.	
33	Check necessary instruction has been communicated by supervisor before start of the day's works to workmen under his control.	Yes/No.	
34	Check loose materials which are not required for use shall not be placed or left so as dangerously to obstruct work places or passageways.	Yes/No.	
35	Check all projected nails has been removed or bent over to prevent injury.	Yes/No.	
36	Check scrap, waste and rubbish has not been allowed to accommodate on the site or the scrap materials has been stored at the isolated place.	Yes/No.	
37	Check that the worker while working at height scaffold materials, waste materials and tools are not being thrown by them to cause injury to any person.	Yes/No.	
38	Check the worker is under constant surveillance by the other person while working at height.	Yes/No.	
39	Check construction site has been barricaded for unauthorized persons/ animals.	Yes/No.	
40	Check portable ladders shall not exceed 9 Mts. In length, otherwise may cause danger while climbing of person and back legs shall be equally braced.	Yes/No.	
41	Check no metallic measuring tapes are being used during expansion of charged bays.	Yes/No.	
42	Check metal ladders are not being used in the vicinity of exposed live electrical equipment.	Yes/No.	
43	Check one bore well is available for water supply in case Gram Panchayat/ Municipal Construction supply is not available	Yes/No.	
44	Check ladders/ lengthy articles/ lengthy equipments etc. should always be carried in horizontal position.	Yes/No.	
45	Check insurance by System Integrator for the labour to provide adequate coverage for any accident etc.	Yes/No.	

5. PAYMENT FOR ESHS REQUIREMENTS

There are no separate payment terms for ESHS. The payment for various activities will be made as per payment milestones after ensuring that the ESHS requirements for those activities have been met.

F. FORMS AND PROCEDURES

Form-1
Completion Certificate

Date: _____
Loan/Credit N^o: _____
IFB N^o: _____

To: _____

Dear Ladies and/or Gentlemen,

Pursuant to GC Clause 24 (Completion of the Facilities) of the General Conditions of the Contract entered into between yourselves and the Employer dated _____, relating to the _____, we hereby notify you that the following part(s) of the Facilities was (were) complete on the date specified below, and that, in accordance with the terms of the Contract, the Employer hereby takes over the said part(s) of the Facilities, together with the responsibility for care and custody and the risk of loss thereof on the date mentioned below.

1. Description of the Facilities or part thereof: _____
2. Date of Completion: _____

However, you are required to complete the outstanding items listed in the attachment hereto as soon as practicable.

This letter does not relieve you of your obligation to complete the execution of the Facilities in accordance with the Contract nor of your obligations during the Defect Liability Period.

Very truly yours,

Title
(Project Manager)

Form-2
Operational Acceptance Certificate

Date: _____
Loan/Credit N°: _____
IFB N°: _____

To: _____

Dear Ladies and/or Gentlemen,

Pursuant to GC Sub-Clause 25.3 (Operational Acceptance) of the General Conditions of the Contract entered into between yourselves and the Employer dated _____, relating to the _____, we hereby notify you that the Functional Guarantees of the following part(s) of the Facilities were satisfactorily attained on the date specified below.

1. Description of the Facilities or part thereof: _____
2. Date of Operational Acceptance: _____

This letter does not relieve you of your obligation to complete the execution of the Facilities in accordance with the Contract nor of your obligations during the Defect Liability Period.

Very truly yours,

Title
(Project Manager)

Form-3

Change Order Procedure and Forms

Date: _____
Loan/Credit N°: _____
IFB N°: _____

CONTENTS

1. General
2. Change Order Log
3. References for Changes

ANNEXES

- Annex 1 Request for Change Proposal
- Annex 2 Estimate for Change Proposal
- Annex 3 Acceptance of Estimate
- Annex 4 Change Proposal
- Annex 5 Change Order
- Annex 6 Pending Agreement Change Order
- Annex 7 Application for Change Proposal
- Annex 8 Sample clauses [*delete if not used*]

Form-3

Change Order Procedure

1. General

This section provides samples of procedures and forms for implementing changes in the Facilities during the performance of the Contract in accordance with GC Clause 39 (Change in the Facilities) of the General Conditions.

2. Change Order Log

The Contractor shall keep an up-to-date Change Order Log to show the current status of Requests for Change and Changes authorized or pending, as Annex 8. Entries of the Changes in the Change Order Log shall be made to ensure that the log is up-to-date. The Contractor shall attach a copy of the current Change Order Log in the monthly progress report to be submitted to the Employer.

3. References for Changes

- (1) Request for Change as referred to in GC Clause 39 shall be serially numbered CR-X-nnn.
- (2) Estimate for Change Proposal as referred to in GC Clause 39 shall be serially numbered CN-X-nnn.
- (3) Acceptance of Estimate as referred to in GC Clause 39 shall be serially numbered CA-X-nnn.
- (4) Change Proposal as referred to in GC Clause 39 shall be serially numbered CP-X-nnn.
- (5) Change Order as referred to in GC Clause 39 shall be serially numbered CO-X-nnn.

Note: (a) Requests for Change issued from the Employer's Home Office and the Site representatives of the Employer shall have the following respective references:

Home Office	CR-H-nnn
Site	CR-S-nnn

- (b) The above number "nnn" is the same for Request for Change, Estimate for Change Proposal, Acceptance of Estimate, Change Proposal and Change Order.

Annex 1 - Request for Change Proposal

(Employer's Letterhead)

To: ____/[Contractor's name and address]

Date: _____

Attention: _____/[Name and title]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

With reference to the captioned Contract, you are requested to prepare and submit a Change Proposal for the Change noted below in accordance with the following instructions within _____ days of the date of this letter_____.

1. Title of Change: _____
2. Change Request No. _____
3. Originator of Change: Employer: _____
Contractor (by Application for Change Proposal No. _____²²:
4. Brief Description of Change: _____
5. Facilities and/or Item No. of equipment related to the requested Change: _____
6. Reference drawings and/or technical documents for the request of Change:

<u>Drawing No./Document No.</u>	<u>Description</u>
---------------------------------	--------------------
7. Detailed conditions or special requirements on the requested Change: _____
8. General Terms and Conditions:
 - (a) Please submit your estimate to us showing what effect the requested Change will have on the Contract Price.
 - (b) Your estimate shall include your claim for the additional time, if any, for completion of the requested Change.

²²Refer to Annex 7

- (c) If you have any opinion negative to the adoption of the requested Change in connection with the conformability to the other provisions of the Contract or the safety of the Plant or Facilities, please inform us of your opinion in your proposal of revised provisions.
- (d) Any increase or decrease in the work of the Contractor relating to the services of its personnel shall be calculated.
- (e) You shall not proceed with the execution of the work for the requested Change until we have accepted and confirmed the amount and nature in writing.

(Employer's Name)

(Signature)

(Name of signatory)

(Title of signatory)

Annex 2 - Estimate for Change Proposal

(Contractor's Letterhead)

To: _____ *[Employer's name and address]*

Date: _____

Attention: _____ *[Name and title]*

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

With reference to your Request for Change Proposal, we are pleased to notify you of the approximate cost of preparing the below-referenced Change Proposal in accordance with GC Sub-Clause 39.2.1 of the General Conditions. We acknowledge that your agreement to the cost of preparing the Change Proposal, in accordance with GC Sub-Clause 39.2.2, is required before estimating the cost for change work.

1. Title of Change: _____
2. Change Request No./Rev.: _____
3. Brief Description of Change: _____
4. Scheduled Impact of Change: _____
5. Cost for Preparation of Change Proposal: _____²³

(a)	Engineering	(Amount)
	(i) Engineer	_____ hrs x _____ rate/hr = _____
	(ii) Draftsperson	_____ hrs x _____ rate/hr = _____
	Sub-total	_____ hrs _____
	Total Engineering Cost	_____
(b)	Other Cost	_____
	Total Cost (a) + (b)	_____

²³ Costs shall be in Rs.

(Contractor's Name)

(Signature)

(Name of signatory)

(Title of signatory)

Annex 3 - Acceptance of Estimate

(Employer's Letterhead)

To: _____[Contractor's name and address]

Date: _____

Attention: _____[Name and title]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

We hereby accept your Estimate for Change Proposal and agree that you should proceed with the preparation of the Change Proposal.

1. Title of Change: _____
2. Change Request No./Rev.: _____
3. Estimate for Change Proposal No./Rev.: _____
4. Acceptance of Estimate No./Rev.: _____
5. Brief Description of Change: _____
6. Other Terms and Conditions: In the event that we decide not to order the Change accepted, you shall be entitled to compensation for the cost of preparation of Change Proposal described in your Estimate for Change Proposal mentioned in para. 3 above in accordance with GC Clause 39 of the General Conditions.

(Employer's Name)

(Signature)

(Name and Title of signatory)

Annex 4 - Change Proposal

(Contractor's Letterhead)

To: _____[Employer's name and address]

Date: _____

Attention: _____[Name and title]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

In response to your Request for Change Proposal No. _____, we hereby submit our proposal as follows:

1. Title of Change: _____
2. Change Proposal No./Rev.: _____
3. Originator of Change: Employer: _____
Contractor: _____
4. Brief Description of Change: _____
5. Reasons for Change: _____
6. Facilities and/or Item No. of Equipment related to the requested Change:

7. Reference drawings and/or technical documents for the requested Change:

<u>Drawing/Document No.</u>	<u>Description</u>
-----------------------------	--------------------

8. Estimate of increase/decrease to the Contract Price resulting from Change Proposal:²⁴

(Amount)

(a) Direct material	_____
---------------------	-------

(b) Major construction equipment	_____
----------------------------------	-------

²⁴ Costs shall be in Rs.

- (c) Direct field labor (Total _____ hrs) _____
- (d) Subcontracts _____
- (e) Indirect material and labor _____
- (f) Site supervision _____
- (g) Head office technical staff salaries

Process engineer	_____ hrs @ _____	rate/hr	_____
Project engineer	_____ hrs @ _____	rate/hr	_____
Equipment engineer	_____ hrs @ _____	rate/hr	_____
Procurement	_____ hrs @ _____	rate/hr	_____
Draftsperson	_____ hrs @ _____	rate/hr	_____
Total	_____ hrs		_____

- (h) Extraordinary costs (computer, travel, etc.) _____
- (i) Fee for general administration, _____ % of Items _____
- (j) Taxes and customs duties _____

Total lump sum cost of Change Proposal _____
(Sum of items (a) to (j))

Cost to prepare Estimate for Change Proposal _____
(Amount payable if Change is not accepted)

9. Additional time for Completion required due to Change Proposal
10. Effect on the Functional Guarantees
11. Effect on the other terms and conditions of the Contract
12. Validity of this Proposal: within [Number] days after receipt of this Proposal by the Employer
13. Other terms and conditions of this Change Proposal:
 - (a) You are requested to notify us of your acceptance, comments or rejection of this detailed Change Proposal within _____ days from your receipt of this Proposal.
 - (b) The amount of any increase and/or decrease shall be taken into account in the adjustment of the Contract Price.

(c) Contractor's cost for preparation of this Change Proposal:²

[Note: This cost shall be reimbursed by the Employer in case of Employer's withdrawal or rejection of this Change Proposal without default of the Contractor in accordance with GC Clause 39 of the General Conditions of Contract.]

(Contractor's Name)

(Signature)

(Name of signatory)

(Title of signatory)

² Specify where necessary.

Annex 5 - Change Order

(Employer's Letterhead)

To: _____ [*Contractor's name and address*]

Date: _____

Attention: _____ [*Name and title*]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

We approve the Change Order for the work specified in the Change Proposal (No. _____), and agree to adjust the Contract Price, Time for Completion and/or other conditions of the Contract in accordance with GC Clause 39 of the General Conditions.

1. Title of Change: _____

2. Change Request No./Rev.: _____

3. Change Order No./Rev.: _____

4. Originator of Change: Employer: _____
Contractor: _____

5. Authorized Price:

Ref. No.: _____
Rs. _____

Date: _____

6. Adjustment of Time for Completion

None Increase _____ days Decrease _____ days

7. Other effects, if any

Authorized by: _____
(Employer)

Date: _____

Accepted by: _____
(Contractor)

Date: _____

Annex 6 - Pending Agreement Change Order

(Employer's Letterhead)

To: _____[Contractor's name and address]

Date: _____

Attention: _____[Name and title]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

We instruct you to carry out the work in the Change Order detailed below in accordance with GC Clause 39 of the General Conditions.

1. Title of Change: _____
2. Employer's Request for Change Proposal No./Rev.:
_____dated: _____
3. Contractor's Change Proposal No./Rev.: _____ dated:

4. Brief Description of Change: _____
5. Facilities and/or Item No. of equipment related to the requested Change:

6. Reference Drawings and/or technical documents for the requested Change:

<u>Drawing/Document No.</u>	<u>Description</u>
-----------------------------	--------------------
7. Adjustment of Time for Completion:
8. Other change in the Contract terms:
9. Other terms and conditions:

(Employer's Name)

(Signature)

(Name of signatory)

(Title of signatory)

Annex 7 - Application for Change Proposal

(Contractor's Letterhead)

To: _____[*Employer's name and address*]

Date: _____

Attention: _____[*Name and title*]

Contract Name: _____

Contract Number: _____

Dear Ladies and/or Gentlemen:

We hereby propose that the below-mentioned work be treated as a Change in the Facilities.

1. Title of Change: _____
2. Application for Change Proposal No./Rev.: _____ dated:

3. Brief Description of Change: _____
4. Reasons for Change:
5. Order of Magnitude Estimation (in the currencies of the Contract):
6. Scheduled Impact of Change:
7. Effect on Functional Guarantees, if any:
8. Appendix:

(Contractor's Name)_____
(Signature)_____
(Name of signatory)_____
(Title of signatory)

Annex - 8
SAMPLE²⁵

Sample Formats from a Project for Guidance

[Note: This annex lists some Sample Formats that were used by a Project where Equipment had to be handed over to the contractor for due performance of the Contract. Any of these Formats if required, for a specific contract, should be suitably adapted]

²⁵ These sample clauses, if not required, should be deleted from the final bidding document

SAMPLE Form-1

Form of Trust Receipt for Plant, Equipment and Materials Received

We M/s (*Contractor's Name*).....having
our Principal place of business athaving been awarded a
Contract No..... Dated.....for (*Contract*
Name).....by (*Name of Employer*)
.....

We do hereby acknowledge the receipt of the Plant, Equipment and Materials as are fully described and mentioned under Documents of Title/RR/LR²⁶ etc. and in the schedule annexed hereto, which shall form an integral part of this receipt as "Trustee" of (*Name of Employer*). The aforesaid materials etc. so received by us shall be exclusively used in the successful performance of the aforesaid Contract and for no other purpose whatsoever. We undertake not to create any charge, lien or encumbrance over the aforesaid materials etc. in favour of any other person/institution(s)/ Banks.

For M/s
(Contractor's Name)

Dated:

(AUTHORISED SIGNATORY)

Place:

SEAL OF COMPANY

²⁶ RR – Railway Receipt; LR – Lorry Receipt

SAMPLE

Form-2

Form of Indemnity Bond to be Executed by the Contractor for the Equipment Handed Over by the Employer for Performance of its Contract

(Entire Equipment Consignment in one Lot)
(On non-Judicial stamp paper of appropriate value)

INDEMNITY BOND

THIS INDEMNITY BOND is made this Day of 19 by (*Contractor's Name*) a Company registered under the Companies Act, 1956/Partnership-firm/Proprietary concern having its Registered Office at (Hereinafter called as 'Contractor' or "Obligor" which expression shall include its successors and permitted assigns) in favour of (*Name of Employer*), a Company incorporated under the Companies Act, 1956 having its Registered Office at and its project at (hereinafter called "....." ... {*Abbreviated name of the Employer*} which expression shall include its successors and assigns) :

WHEREAS@..... has awarded to the Contractor a Contract forvide its Notification of Award/Contract No.....dated..... and its Amendment No. and Amendment No. (*Applicable when amendments have been issued*) hereinafter called the Contract) in terms of which@..... is required to hand over various Equipments to the Contractor for execution of the Contract.

And WHEREAS by virtue of Clause No..... of the said Contract, the Contractor is required to execute an Indemnity Bond in favour of@.....for the Equipments handed over to it by@..... for the purpose of performance of the Contract/ Erection portion of the contract (hereinafter called the "Equipments")

AND THEREFORE, This Indemnity Bond witnesseth as follows:

1. That in consideration of various Equipments as mentioned in the Contract, valued at (*Currency and amount in Figures*)..... (*currency and amount in words*)..... handed over to the Contractor for the purpose of performance of the Contract, the Contractor hereby undertakes to indemnify and shall keep@..... indemnified, for the full value of the Equipments. The Contractor hereby acknowledges actual receipt of the Equipment etc. as pre despatch title documents handed over to the Contractor as detailed in the Schedule appended hereto. The

Contractor shall hold such Equipment etc. in trust as a “Trustee” for and on behalf of@.....

2. That the Contractor is obliged and shall remain absolutely responsible for the safe transit/protection and custody of the Equipment at@..... Project site against all risks whatsoever till the equipment are duly used/erected in accordance with the terms of the Contract and the plant/package duly erected and commissioned in accordance with the terms of the Contract is taken over by@..... . The Contractor undertakes to keep@..... harmless against any loss or damage that may be caused to the Equipments.
3. The Contractor undertakes that the Equipments shall be used exclusively for the performance/execution of the Contract strictly in accordance with its terms and conditions and no part of the equipment shall be utilized for any other work of purpose whatsoever. It is clearly understood by the Contractor that non-observance of the obligations under this Indemnity Bond by the Contractor shall inter-alia constitute a criminal breach of trust on the part of the Contractor for all intents and purpose including legal/penal consequences.
4. That@..... is and shall remain the exclusive owner of the Equipments free from all encumbrances, charges or liens of any kind, whatsoever. The Equipments shall at all times be open to inspection and checking by the Project Manager or other employees/agents authorized by him in this regard. Further,@..... shall always be free at all times to take possession of the Equipments in whatever form the Equipments may be, if in its opinion, the Equipments are likely to be endangered, mis-utilized or converted to uses other than those specified in the Contract, by any acts or omission or commission on the part of the Contractor or any other person or on account of any reason whatsoever and the contractor binds himself and undertakes to comply with the directions of demand of@..... to return the Equipments without any demur or reservation.
5. That this Indemnity Bond is irrevocable. If at any time any loss or damage occurs to the Equipments or the same or any part thereof is mis-utilized in any manner whatsoever, than the Contractor hereby agrees that the decision of the project manager of@..... as to assessment of loss or damage to the Equipment shall be final and binding on the Contractor. The Contractor binds itself and undertakes to replace the lost and/or damaged Equipments at its own cost and/or shall pay the amount of loss to@..... without any demur, reservation or protest. This is without prejudice to any other right or remedy that may be available to@..... against the Contractor under the Contract and under this Indemnity Bond.
6. NOW THE CONDITION of this Bond is that if the Contractor shall duly and punctually comply with the terms and conditions of this Bond to the satisfaction of @ then the above shall be void, but otherwise, it shall remain in full force and virtue.

@ *Fill in abbreviated name of the Employer*

IN WITNES WHEREOF, the Contractor has hereunto set its hand through its authorized representative under the common seal of the Company, the day, month and year first above mentioned.

SAMPLE

SCHEDULE 1

Particulars of the Equipments handed over	Quantity	Particulars of Dispatch title Documents		Value of the Equipments	Signature of Attorney in token of receipt
		RR/GR/ Bill of lading No & Date	Carrier		

For and on behalf of

.....
(Contractor's Name)

WITNESS

- | | | | |
|----|----|-----------------|---|
| 1. | 1. | Signature | Signature |
| | 2. | Name | Name |
| | 3. | Address | Designation of
Authorized representative * |
| 2. | 1. | Signature | (Common Seal) |
| | 2. | Name | (In case of Company) |
| | 3. | Address | |

* Indemnity Bond is to be executed by the authorized person and (i) in case of contracting Company under common seal of the Company or (ii) having the Power of Attorney issued under common seal of the Company with authority to execute Indemnity Bond, (iii) in case of (ii), the original Power of Attorney if it is specifically for this Contract or photostat copy of the Power of Attorney if it is General Power of Attorney and such documents should be attached to Indemnity Bond.

SAMPLE
Form-3
Form of Authorization Letter

(NAME OF EMPLOYER)

(PROJECT)

REF. NO. :

DATE :

To,

M/s (*Contractor's Name*)

Ref: Contract No..... Dated.....
 For Awarded by (*Name of Employer*)

Dear Sirs,

Kindly refer to Contract No..... Dated For(*Contract Name*)
 You are hereby authorized on behalf of(*Name of Employer*) having its registered
 office at And its Project at to take physical delivery
 of materials/equipments covered under despatch Document/ Consignment Note
 No..... * Dated and as detailed in the enclosed Schedule for
 the sole purpose of successful performance of the aforesaid contract and for no other
 purposes, whatsoever.

(Signature of Project Authority)

Designation:

Date

ENCL: as above

 * Mention LR/RR No.

SAMPLE**SCHEDULE OF MATERIAL/EQUIPMENT COVERED UNDER
DESPATCH TITLE DOCUMENT (RR NO./LR NO.....)**

Sl. No.	Contract Name	NOA No./ Contract Agreement No.	Description of Materials/ Equipments	Spec. No.	Qty.	Value	Remarks

(SIGNATURE OF THE PROJECT AUTHORITY)

(DESIGNATION)

(DATE)

G. DRAWINGS

H. SUPPLEMENTARY INFORMATION

PART 3 – Conditions of Contract and Contract Forms

Section VII. General Conditions (GC)

General Conditions

Contract and Interpretation

1. Definitions

1.1 The following words and expressions shall have the meanings hereby assigned to them:

“Contract” means the Contract Agreement entered into between the Employer and the Contractor, together with the Contract Documents referred to therein; they shall constitute the Contract, and the term “the Contract” shall in all such documents be construed accordingly.

“Contract Documents” means the documents listed in Article 1.1 (Contract Documents) of the Contract Agreement (including any amendments thereto).

“GC” means the General Conditions hereof.

“PC” means the Particular Conditions.

“day” means calendar day.

“year” means 365 days.

“month” means calendar month.

“Party” means the Employer or the Contractor, as the context requires, and “Parties” means both of them.

“Employer” means the person **named as such in the PC** and includes the legal successors or permitted assigns of the Employer.

“Project Manager” means the person appointed by the Employer in the manner provided in GC Sub-Clause 17.1 (Project Manager) hereof and **named as such in the PC** to perform the duties delegated by the Employer.

“Contractor” means the person(s) whose bid to perform the Contract has been accepted by the Employer and is named as Contractor in the Contract Agreement, and includes the legal successors or permitted assigns of the Contractor.

“Contractor’s Representative” means any person nominated by the Contractor and approved by the Employer in the manner provided in GC Sub-Clause 17.2 (Contractor’s Representative and Construction Manager) hereof to perform the duties delegated by the Contractor.

“Construction Manager” means the person appointed by the Contractor’s Representative in the manner provided in GC Sub-Clause 17.2.4.

“Subcontractor,” including manufacturers, means any person to whom execution of any part of the Facilities, including preparation of any design or supply of any Plant, is sub-contracted directly or indirectly by the Contractor, and includes its legal successors or permitted assigns.

“The Adjudicator” is the person appointed jointly by the Employer and the Contractor to resolve disputes in the first instance, as provided for in GC 46.

“The Bank” means the financing institution **named in the PC**.

“Contract Price” means the sum specified in Article 2.1 (Contract Price) of the Contract Agreement, subject to such additions and adjustments thereto or deductions therefrom, as may be made pursuant to the Contract.

“Facilities” means the Plant to be supplied and installed, as well as all the Installation Services to be carried out by the Contractor under the Contract.

“Plant” means permanent plant, equipment, machinery, apparatus, materials, articles and things of all kinds to be provided and incorporated in the Facilities by the Contractor under the Contract (including the spare parts to be supplied by the Contractor under GC Sub-Clause 7.3 hereof), but does not include Contractor’s Equipment.

“Installation Services” means all those services ancillary to the supply of the Plant for the Facilities, to be provided by the Contractor under the Contract, such as transportation and provision of marine or other similar insurance, inspection, expediting, site preparation works (including the provision and use of Contractor’s Equipment and the supply of all construction materials required), installation, testing, precommissioning, commissioning, operations, maintenance, the provision of operations and maintenance manuals, training, etc... as the case may require.

“Contractor’s Equipment” means all facilities, equipment, machinery, tools, apparatus, appliances or things of every kind required in or for installation, completion and maintenance of Facilities that are to be provided by the Contractor, but does not include Plant, or other things intended to form or forming part of

the Facilities.

“Country of Origin” means the countries and territories eligible under the rules of the Bank as further **elaborated in the PC**.

“Site” means the land and other places upon which the Facilities are to be installed, and such other land or places as may be specified in the Contract as forming part of the Site.

“Effective Date” means the date of fulfillment of all conditions stated in Article 3 (Effective Date) of the Contract Agreement, from which the Time for Completion shall be counted.

“Time for Completion” means the time within which Completion of the Facilities as a whole (or of a part of the Facilities where a separate Time for Completion of such part has been prescribed) is to be attained, as referred to in GC Clause 8 and in accordance with the relevant provisions of the Contract.

“Completion” means that the Facilities (or a specific part thereof where specific parts are specified in the Contract) have been completed operationally and structurally and put in a tight and clean condition, that all work in respect of Pre-commissioning of the Facilities or such specific part thereof has been completed, and that the Facilities or specific part thereof are ready for Commissioning as provided in GC Clause 24 (Completion) hereof.

“Pre-commissioning” means the testing, checking and other requirements specified in the Employer’s Requirements that are to be carried out by the Contractor in preparation for Commissioning as provided in GC Clause 24 (Completion) hereof.

“Commissioning” means operation of the Facilities or any part thereof by the Contractor following Completion, which operation is to be carried out by the Contractor as provided in GC Sub-Clause 25.1 (Commissioning) hereof, for the purpose of carrying out Guarantee Test(s).

“Guarantee Test(s)” means the test(s) specified in the Employer’s Requirements to be carried out to ascertain whether the Facilities or a specified part thereof is able to attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, in accordance with the provisions of GC Sub-Clause 25.2 (Guarantee Test) hereof.

“Operational Acceptance” means the acceptance by the Employer of the Facilities (or any part of the Facilities where the

Contract provides for acceptance of the Facilities in parts), which certifies the Contractor's fulfillment of the Contract in respect of Functional Guarantees of the Facilities (or the relevant part thereof) in accordance with the provisions of GC Clause 28 (Functional Guarantees) hereof and shall include deemed acceptance in accordance with GC Clause 25 (Commissioning and Operational Acceptance) hereof.

"Defect Liability Period" means the period of validity of the warranties given by the Contractor commencing at Completion of the Facilities or a part thereof, during which the Contractor is responsible for defects with respect to the Facilities (or the relevant part thereof) as provided in GC Clause 27 (Defect Liability) hereof.

"ESHS" means environmental, social (including sexual exploitation and abuse (SEA) and gender based violence (GBV), health and safety.

- 2. Contract Documents** 2.1 Subject to Article 1.2 (Order of Precedence) of the Contract Agreement, all documents forming part of the Contract (and all parts thereof) are intended to be correlative, complementary and mutually explanatory. The Contract shall be read as a whole.

- 3. Interpretation** 3.1 In the Contract, except where the context requires otherwise:
- (a) words indicating one gender include all genders;
 - (b) words indicating the singular also include the plural and words indicating the plural also include the singular;
 - (c) provisions including the word "agree," "agreed," or "agreement" require the agreement to be recorded in writing;
 - (d) the word "tender" is synonymous with "bid," "tenderer," with "bidder," and "tender documents" with "bidding documents," and
 - (e) "written" or "in writing" means hand-written, type-written, printed or electronically made, and resulting in a permanent record.

The marginal words and other headings shall not be taken into consideration in the interpretation of these Conditions.

3.2 Incoterms

Unless inconsistent with any provision of the Contract, the meaning of any trade term and the rights and obligations of

Parties thereunder shall be as prescribed by *Incoterms*.

Incoterms means international rules for interpreting trade terms published by the International Chamber of Commerce (latest edition), 38 Cours Albert 1^{er}, 75008 Paris, France.

3.3 Entire Agreement

Subject to GC Sub-Clause 16.4 hereof, the Contract constitutes the entire agreement between the Employer and Contractor with respect to the subject matter of Contract and supersedes all communications, negotiations and agreements (whether written or oral) of Parties with respect thereto made prior to the date of Contract.

3.4 Amendment

No amendment or other variation of the Contract shall be effective unless it is in writing, is dated, expressly refers to the Contract, and is signed by a duly authorized representative of each Party hereto.

3.5 Independent Contractor

The Contractor shall be an independent contractor performing the Contract. The Contract does not create any agency, partnership, joint venture or other joint relationship between the Parties hereto. Subject to the provisions of the Contract, the Contractor shall be solely responsible for the manner in which the Contract is performed. All employees, representatives or Subcontractors engaged by the Contractor in connection with the performance of the Contract shall be under the complete control of the Contractor and shall not be deemed to be employees of the Employer, and nothing contained in the Contract or in any subcontract awarded by the Contractor shall be construed to create any contractual relationship between any such employees, representatives or Subcontractors and the Employer.

3.6 Non-Waiver

3.6.1 Subject to GC Sub-Clause 3.7.2 below, no relaxation, forbearance, delay or indulgence by either Party in enforcing any of the terms and conditions of the Contract or the granting of time by either Party to the other shall prejudice, affect or restrict the rights of that Party under the Contract, nor shall any waiver by either Party of any breach of Contract operate as waiver of any subsequent or continuing breach of Contract.

3.6.2 Any waiver of a Party's rights, powers or remedies under

the Contract must be in writing, must be dated and signed by an authorized representative of the Party granting such waiver, and must specify the right and the extent to which it is being waived.

3.7 Severability

If any provision or condition of the Contract is prohibited or rendered invalid or unenforceable, such prohibition, invalidity or unenforceability shall not affect the validity or enforceability of any other provisions and conditions of the Contract.

3.8 Country of Origin

“Origin” means the place where the plant and component parts thereof are mined, grown, produced or manufactured, and from which the services are provided. Plant components are produced when, through manufacturing, processing, or substantial or major assembling of components, a commercially recognized product results that is substantially in its basic characteristics or in purpose or utility from its components.

4. **Communications**

4.1 Wherever these Conditions provide for the giving or issuing of approvals, certificates, consents, determinations, notices, requests and discharges, these communications shall be:

- (a) in writing and delivered against receipt; and
- (b) delivered, sent or transmitted to the address for the recipient’s communications as stated in the Contract Agreement.

When a certificate is issued to a Party, the certifier shall send a copy to the other Party. When a notice is issued to a Party, by the other Party or the Project Manager, a copy shall be sent to the Project Manager or the other Party, as the case may be.

5. **Law and Language**

5.1 The Contract shall be governed by and interpreted in accordance with laws of the country **specified in the PC**.

5.2 The ruling language of the Contract shall be that **stated in the PC**.

5.3 The language for communications shall be the ruling language unless otherwise **stated in the PC**.

6. **Fraud and Corruption**

6.1 If the Employer determines that the Contractor and/or any of its personnel, or its agents, or its Subcontractors, subconsultants, services providers, suppliers and/or their employees has engaged in corrupt, fraudulent, collusive coercive, or obstructive practices, in competing for or in executing the

Contract, then the Employer may, after giving 14 days' notice to the Contractor, terminate the Contractor's employment under the Contract and expel him from the Site, and the provisions of Clause 42 shall apply as if such expulsion had been made under Sub-Clause 42.2.1 (c).

For the purposes of this Sub-Clause,

- (i) "corrupt practice" is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value to influence improperly the actions of another party²⁷;
- (ii) "fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party to obtain a financial or other benefit or to avoid an obligation²⁸;
- (iii) "collusive practice" is an arrangement between two or more parties²⁹ designed to achieve an improper purpose, including to influence improperly the actions of another party;
- (iv) "coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party³⁰ or the property of the party to influence improperly the actions of a party;
- (v) "obstructive practice" is
 - (aa) deliberately destroying, falsifying, altering or concealing of evidence material to the investigation or making false statements to investigators in order to materially impede a Bank investigation into allegations of a corrupt, fraudulent, coercive or collusive practice; and/or threatening, harassing or intimidating any party to prevent it from disclosing its knowledge of matters relevant to the investigation or from pursuing the investigation, or

²⁷ "Another party" refers to a public official acting in relation to the procurement process or contract execution]. In this context, "public official" includes World Bank staff and employees of other organizations taking or reviewing procurement decisions.

²⁸ "Party" refers to a public official; the terms "benefit" and "obligation" relate to the procurement process or contract execution; and the "act or omission" is intended to influence the procurement process or contract execution.

²⁹ "Parties" refers to participants in the procurement process (including public officials) attempting to establish bid prices at artificial, non competitive levels.

³⁰ "Party" refers to a participant in the procurement process or contract execution.

- (bb) acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under Sub-Clause 9.8.

Subject Matter of Contract

7. Scope of Facilities

- 7.1 Unless otherwise expressly limited in the Employer's Requirements, the Contractor's obligations cover the provision of all Plant and the performance of all Installation Services required for the design, and the manufacture (including procurement, quality assurance, construction, installation, associated civil works, Pre-commissioning and delivery) of the Plant, and the installation, completion and commissioning of the Facilities in accordance with the plans, procedures, specifications, drawings, codes and any other documents as specified in the Section, Employer's Requirements. Such specifications include, but are not limited to, the provision of supervision and engineering services; the supply of labor, materials, equipment, spare parts (as specified in GC Sub-Clause 7.3 below) and accessories; Contractor's Equipment; construction utilities and supplies; temporary materials, structures and facilities; transportation (including, without limitation, unloading and hauling to, from and at the Site); and storage, except for those supplies, works and services that will be provided or performed by the Employer, as set forth in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer.
- 7.2 The Contractor shall, unless specifically excluded in the Contract, perform all such work and/or supply all such items and materials not specifically mentioned in the Contract but that can be reasonably inferred from the Contract as being required for attaining Completion of the Facilities as if such work and/or items and materials were expressly mentioned in the Contract.
- 7.3 In addition to the supply of Mandatory Spare Parts included in the Contract, the Contractor agrees to supply spare parts required for the operation and maintenance of the Facilities for the period **specified in the PC** and the provisions, if any, **specified in the PC**. However, the identity, specifications and quantities of such spare parts and the terms and conditions relating to the supply thereof are to be agreed between the Employer and the Contractor, and the price of such spare parts shall be that given in Price Schedule No. 6, which shall be added to the Contract Price. The price of such spare parts shall include the purchase price therefore and other costs and

expenses (including the Contractor's fees) relating to the supply of spare parts.

8. Time for Commencement and Completion

8.1 The Contractor shall commence work on the Facilities within the period **specified in the PC** and without prejudice to GC Sub-Clause 26.2 hereof, the Contractor shall thereafter proceed with the Facilities in accordance with the time schedule specified in the Appendix to the Contract Agreement titled Time Schedule.

8.2 The Contractor shall attain Completion of the Facilities or of a part where a separate time for Completion of such part is specified in the Contract, within the time **stated in the PC** or within such extended time to which the Contractor shall be entitled under GC Clause 40 hereof.

8.3 The Contractor shall not carry out any work, including mobilization and/or pre-construction activities, unless the Project Manager is satisfied that appropriate measures are in place to address environmental, social, health and safety risks and impacts. At a minimum, the Contractor shall apply the Management Strategies and Implementation Plans and Code of Conduct, submitted as part of the Bid and agreed as part of the Contract. The Contractor shall submit, on a continuing basis, for the Project Manager's prior approval, such supplementary Management Strategies and Implementation Plans as are necessary to manage the ESHS risks and impacts of ongoing works. These Management Strategies and Implementation Plans collectively comprise the Contractor's Environmental and Social Management Plan (C-ESMP). The C-ESMP shall be approved prior to the commencement of construction activities. The approved C-ESMP shall be reviewed, periodically (but not less than every six (6) months), and updated in a timely manner, as required, by the Contractor to ensure that it contains measures appropriate to the Works activities to be undertaken. The updated C-ESMP shall be subject to prior approval by the Project Manager."

9. Contractor's Responsibilities

9.1 The Contractor shall design, manufacture including associated purchases and/or subcontracting, install and complete the Facilities in accordance with the Contract. When completed, the Facilities should be fit for the purposes for which they are intended as defined in the Contract.

9.2 The Contractor confirms that it has entered into this Contract on the basis of a proper examination of the data relating to the Facilities including any data as to boring tests provided by the Employer, and on the basis of information that the Contractor

could have obtained from a visual inspection of the Site if access thereto was available and of other data readily available to it relating to the Facilities as of the date twenty-eight (28) days prior to bid submission. The Contractor acknowledges that any failure to acquaint itself with all such data and information shall not relieve its responsibility for properly estimating the difficulty or cost of successfully performing the Facilities.

- 9.3 The Contractor shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which such authorities or undertakings require the Contractor to obtain in its name and which are necessary for the performance of the Contract, including, without limitation, visas for the Contractor's and Subcontractor's personnel and entry permits for all imported Contractor's Equipment. The Contractor shall acquire all other permits, approvals and/or licenses that are not the responsibility of the Employer under GC Sub-Clause 10.3 hereof and that are necessary for the performance of the Contract.
- 9.4 The Contractor shall comply with all laws in force in the country where the Facilities are to be implemented. The laws will include all local, state, national or other laws that affect the performance of the Contract and bind upon the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any and all liabilities, damages, claims, fines, penalties and expenses of whatever nature arising or resulting from the violation of such laws by the Contractor or its personnel, including the Subcontractors and their personnel, but without prejudice to GC Sub-Clause 10.1 hereof.
- 9.5 Any Plant and Installation Services that will be incorporated in or be required for the Facilities and other supplies shall have their origin as specified under GC Clause 1 (Country of Origin). Any subcontractors retained by the Contractor shall be from a country as specified in GC Clause 1 (Country of Origin).
- 9.6 The Contractor shall permit the Bank to inspect the Contractor's accounts and records relating to the performance of the Contractor and to have them audited by auditors appointed by the Bank, if so required by the Bank.
- 9.7 If the Contractor is a joint venture (JV) of two or more persons, all such persons shall be jointly and severally bound to the Employer for the fulfillment of the provisions of the Contract,

unless otherwise specified in the PC, and shall designate one of such persons to act as a leader with authority to bind the JV. The composition or the constitution of the JV shall not be altered without the prior consent of the Employer.

- 9.8 The Contractor shall permit, and shall cause its Subcontractors and sub consultants to permit, the Bank and/or persons appointed by the Bank to inspect the Site and all accounts and records relating to the performance of the Contract and the submission of the Bid, and to have such accounts and records audited by auditors appointed by the Bank if requested by the Bank. The Contractor's and its Subcontractors' and sub consultants' attention is drawn to Sub-Clause 6.1 [Fraud and Corruption] which provides, inter alia, that acts intended to materially impede the exercise of the Bank's inspection and audit rights provided for under Sub-Clause 9.8 constitute a prohibited practice subject to contract termination (as well as to a determination of ineligibility pursuant to the Bank's prevailing sanctions procedures).
- 9.9 The Contractor shall comply with the specified Environmental, Social, Health, and Safety requirements, including ESHS Code of Conduct that will apply to its employees and subcontractors.

**10. Employer's
Responsibilities**

- 10.1 All information and/or data to be supplied by the Employer as described in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, shall be deemed to be accurate, except when the Employer expressly states otherwise.
- 10.2 The Employer shall be responsible for acquiring and providing legal and physical possession of the Site and access thereto, and for providing possession of and access to all other areas reasonably required for the proper execution of the Contract, including all requisite rights of way, as specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer. The Employer shall give full possession of and accord all rights of access thereto on or before the date(s) specified in that Appendix.
- 10.3 The Employer shall acquire and pay for all permits, approvals and/or licenses from all local, state or national government authorities or public service undertakings in the country where the Site is located which (a) such authorities or undertakings require the Employer to obtain in the Employer's name, (b) are necessary for the execution of the Contract, including those required for the performance by both the Contractor and the Employer of their respective obligations under the Contract,

and (c) are specified in the Appendix (Scope of Works and Supply by the Employer).

- 10.4 If requested by the Contractor, the Employer shall use its best endeavors to assist the Contractor in obtaining in a timely and expeditious manner all permits, approvals and/or licenses necessary for the execution of the Contract from all local, state or national government authorities or public service undertakings that such authorities or undertakings require the Contractor or Subcontractors or the personnel of the Contractor or Subcontractors, as the case may be, to obtain.
- 10.5 Unless otherwise specified in the Contract or agreed upon by the Employer and the Contractor, the Employer shall provide sufficient, properly qualified operating and maintenance personnel; shall supply and make available all raw materials, utilities, lubricants, chemicals, catalysts, other materials and facilities; and shall perform all work and services of whatsoever nature, including those required by the Contractor to properly carry out Pre-commissioning, Commissioning and Guarantee Tests, all in accordance with the provisions of the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, at or before the time specified in the program furnished by the Contractor under GC Sub-Clause 18.2 hereof and in the manner thereupon specified or as otherwise agreed upon by the Employer and the Contractor.
- 10.6 The Employer shall be responsible for the continued operation of the Facilities after Completion, in accordance with GC Sub-Clause 24.8, and shall be responsible for facilitating the Guarantee Test(s) for the Facilities, in accordance with GC Sub-Clause 25.2.
- 10.7 All costs and expenses involved in the performance of the obligations under this GC Clause 10 shall be the responsibility of the Employer, save those to be incurred by the Contractor with respect to the performance of Guarantee Tests, in accordance with GC Sub-Clause 25.2.
- 10.8 In the event that the Employer shall be in breach of any of his obligations under this Clause, the additional cost incurred by the Contractor in consequence thereof shall be determined by the Project Manager and added to the Contract Price.

Payment

- 11. Contract Price** 11.1 The Contract Price shall be as specified in Article 2 (Contract

Price and Terms of Payment) of the Contract Agreement.

11.2 Unless an adjustment clause is **provided for in the PC**, the Contract Price shall be a firm lump sum not subject to any alteration, except in the event of a Change in the Facilities or as otherwise provided in the Contract.

11.3 Subject to GC Sub-Clauses 9.2, 10.1 and 35 hereof, the Contractor shall be deemed to have satisfied itself as to the correctness and sufficiency of the Contract Price, which shall, except as otherwise provided for in the Contract, cover all its obligations under the Contract.

12. Terms of Payment

12.1 The Contract Price shall be paid as specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement and in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, which also outlines the procedures to be followed in making application for and processing payments.

12.2 No payment made by the Employer herein shall be deemed to constitute acceptance by the Employer of the Facilities or any part(s) thereof.

12.3 In the event that the Employer fails to make any payment by its respective due date or within the period set forth in the Contract, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate(s) shown in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, for the period of delay until payment has been made in full, whether before or after judgment or arbitration award.

12.4 The currency or currencies in which payments are made to the Contractor under this Contract shall be specified in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, subject to the general principle that payments will be made in the currency or currencies in which the Contract Price has been stated in the Contractor's bid.

12.5 If the Contractor was, or is, failing to perform any ESHS obligations or work under the Contract, the value of this work or obligation, as determined by the Project Manager, may be withheld until the work or obligation has been performed, and/or the cost of rectification or replacement, as determined by the Project Manager, may be withheld until rectification or replacement has been completed. Failure to perform includes, but is not limited to the following:

- (i) failure to comply with any ESHS obligations or work described in the Employer's Requirements which may include: working outside site boundaries, excessive dust, failure to keep public roads in a safe usable condition, damage to offsite vegetation, pollution of water courses from oils or sedimentation, contamination of land e.g. from oils, human waste, damage to archeology or cultural heritage features, air pollution as a result of unauthorized and/or inefficient combustion;
- (ii) failure to regularly review C-ESMP and/or update it in a timely manner to address emerging ESHS issues, or anticipated risks or impacts;
- (iii) failure to implement the C-ESMP e.g. failure to provide required training or sensitization;
- (iv) failing to have appropriate consents/permits prior to undertaking Works or related activities;
- (v) failure to submit ESHS report/s (as described in Appendix A), or failure to submit such reports in a timely manner;
- (vi) failure to implement remediation as instructed by the Project Manager within the specified timeframe (e.g. remediation addressing non-compliance/s).

13. Securities

13.1 Issuance of Securities

The Contractor shall provide the securities specified below in favor of the Employer at the times, and in the amount, manner and form specified below.

13.2 Advance Payment Security

13.2.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide a security in an amount equal to the advance payment calculated in accordance with the Appendix to the Contract Agreement titled Terms and Procedures of Payment, and in the same currency or currencies.

13.2.2 The security shall be in the form provided in the bidding documents or in another form acceptable to the Employer. The amount of the security shall be reduced in proportion to the value of the Facilities executed by and paid to the Contractor from time to time, and shall

automatically become null and void when the full amount of the advance payment has been recovered by the Employer. The security shall be returned to the Contractor immediately after its expiration.

13.3 Performance Security

13.3.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide security for the due performance of the Contract in the amount **specified in the PC**.

13.3.2 The performance security and if required in the BDS, the Environmental, Social, Health and Safety (ESHS) Performance Security, shall be denominated in the currency or currencies of the Contract, or in a freely convertible currency acceptable to the Employer, and shall be in the forms provided in Section IX, Contract Forms, corresponding to the type of bank guarantee stipulated by the Employer in the PC, or in another form acceptable to the Employer.

13.3.3 Unless otherwise specified in the PC, the security shall be reduced by half on the date of the Operational Acceptance. The Security shall become null and void, or shall be reduced pro rata to the Contract Price of a part of the Facilities for which a separate Time for Completion is provided, five hundred and forty (540) days after Completion of the Facilities or three hundred and sixty five (365) days after Operational Acceptance of the Facilities, whichever occurs first; provided, however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GC Sub-Clause 27.10, is liable for an extended defect liability obligation, the performance security shall be extended for the period specified in the PC pursuant to GC Sub-Clause 27.10 and up to the amount specified in the PC.

13.3.4 The Employer shall not make a claim under the Performance Security, except for amounts to which the Employer is entitled under the Contract. The Employer shall indemnify and hold the Contractor harmless against and from all damages, losses and expenses (including

legal fees and expenses) resulting from a claim under the Performance Security to the extent to which the Employer was not entitled to make the claim.

14. Taxes and Duties

- 14.1 Except as otherwise specifically provided in the Contract, the Contractor shall bear and pay all taxes, duties, levies and charges assessed on the Contractor, its Subcontractors or their employees by all municipal, state or national government authorities in connection with the Facilities in and outside of the country where the Site is located.
- 14.2 Notwithstanding GC Sub-Clause 14.1 above, the Employer shall bear and promptly pay
 - (a) all customs and import duties for the Plant specified in Price Schedule No. 1; and
 - (b) other domestic taxes such as, sales tax and value added tax (VAT) on the Plant specified in Price Schedules No. 1 and No. 2 and that is to be incorporated into the Facilities, and on the finished goods, imposed by the law of the country where the Site is located.
- 14.3 If any tax exemptions, reductions, allowances or privileges may be available to the Contractor in the country where the Site is located, the Employer shall use its best endeavors to enable the Contractor to benefit from any such tax savings to the maximum allowable extent.
- 14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies and charges prevailing at the date twenty-eight (28) days prior to the date of bid submission in the country where the Site is located (hereinafter called "Tax" in this GC Sub-Clause 14.4). If any rates of Tax are increased or decreased, a new Tax is introduced, an existing Tax is abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of Contract, which was or will be assessed on the Contractor, Subcontractors or their employees in connection with performance of the Contract, an equitable adjustment of the Contract Price shall be made to fully take into account any such change by addition to the Contract Price or deduction therefrom, as the case may be, in accordance with GC Clause 36 hereof.

Intellectual Property

15. License/Use of Technical Information

- 15.1 For the operation and maintenance of the Plant, the Contractor hereby grants a non-exclusive and non-transferable license (without the right to sub-license) to the Employer under the patents, utility models or other industrial property rights owned by the Contractor or by a third Party from whom the Contractor has received the right to grant licenses thereunder, and shall also grant to the Employer a non-exclusive and non-transferable right (without the right to sub-license) to use the know-how and other technical information disclosed to the Employer under the Contract. Nothing contained herein shall be construed as transferring ownership of any patent, utility model, trademark, design, copyright, know-how or other intellectual property right from the Contractor or any third Party to the Employer.
- 15.2 The copyright in all drawings, documents and other materials containing data and information furnished to the Employer by the Contractor herein shall remain vested in the Contractor or, if they are furnished to the Employer directly or through the Contractor by any third Party, including suppliers of materials, the copyright in such materials shall remain vested in such third Party.

16. Confidential Information

- 16.1 The Employer and the Contractor shall keep confidential and shall not, without the written consent of the other Party hereto, divulge to any third Party any documents, data or other information furnished directly or indirectly by the other Party hereto in connection with the Contract, whether such information has been furnished prior to, during or following termination of the Contract. Notwithstanding the above, the Contractor may furnish to its Subcontractor(s) such documents, data and other information it receives from the Employer to the extent required for the Subcontractor(s) to perform its work under the Contract, in which event the Contractor shall obtain from such Subcontractor(s) an undertaking of confidentiality similar to that imposed on the Contractor under this GC Clause 16.
- 16.2 The Employer shall not use such documents, data and other information received from the Contractor for any purpose other than the operation and maintenance of the Facilities. Similarly, the Contractor shall not use such documents, data and other information received from the Employer for any purpose other than the design, procurement of Plant, construction or such other work and services as are required for the performance of the Contract.
- 16.3 The obligation of a Party under GC Sub-Clauses 16.1 and 16.2

above, however, shall not apply to that information which

- (a) now or hereafter enters the public domain through no fault of that Party
- (b) can be proven to have been possessed by that Party at the time of disclosure and which was not previously obtained, directly or indirectly, from the other Party hereto
- (c) otherwise lawfully becomes available to that Party from a third Party that has no obligation of confidentiality.

16.4 The above provisions of this GC Clause 16 shall not in any way modify any undertaking of confidentiality given by either of the Parties hereto prior to the date of the Contract in respect of the Facilities or any part thereof.

16.5 The provisions of this GC Clause 16 shall survive termination, for whatever reason, of the Contract.

Execution of the Facilities

17. Representatives 17.1 Project Manager

If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Employer shall appoint and notify the Contractor in writing of the name of the Project Manager. The Employer may from time to time appoint some other person as the Project Manager in place of the person previously so appointed, and shall give a notice of the name of such other person to the Contractor without delay. No such appointment shall be made at such a time or in such a manner as to impede the progress of work on the Facilities. Such appointment shall only take effect upon receipt of such notice by the Contractor. The Project Manager shall represent and act for the Employer at all times during the performance of the Contract. All notices, instructions, orders, certificates, approvals and all other communications under the Contract shall be given by the Project Manager, except as herein otherwise provided.

All notices, instructions, information and other communications given by the Contractor to the Employer under the Contract shall be given to the Project Manager, except as herein otherwise provided.

17.2 Contractor's Representative & Construction Manager

17.2.1 If the Contractor's Representative is not named in the

Contract, then within fourteen (14) days of the Effective Date, the Contractor shall appoint the Contractor's Representative and shall request the Employer in writing to approve the person so appointed. If the Employer makes no objection to the appointment within fourteen (14) days, the Contractor's Representative shall be deemed to have been approved. If the Employer objects to the appointment within fourteen (14) days giving the reason therefore, then the Contractor shall appoint a replacement within fourteen (14) days of such objection, and the foregoing provisions of this GC Sub-Clause 17.2.1 shall apply thereto.

17.2.2 The Contractor's Representative shall represent and act for the Contractor at all times during the performance of the Contract and shall give to the Project Manager all the Contractor's notices, instructions, information and all other communications under the Contract.

All notices, instructions, information and all other communications given by the Employer or the Project Manager to the Contractor under the Contract shall be given to the Contractor's Representative or, in its absence, its deputy, except as herein otherwise provided.

The Contractor shall not revoke the appointment of the Contractor's Representative without the Employer's prior written consent, which shall not be unreasonably withheld. If the Employer consents thereto, the Contractor shall appoint some other person as the Contractor's Representative, pursuant to the procedure set out in GC Sub-Clause 17.2.1.

17.2.3 The Contractor's Representative may, subject to the approval of the Employer which shall not be unreasonably withheld, at any time delegate to any person any of the powers, functions and authorities vested in him or her. Any such delegation may be revoked at any time. Any such delegation or revocation shall be subject to a prior notice signed by the Contractor's Representative, and shall specify the powers, functions and authorities thereby delegated or revoked. No such delegation or revocation shall take effect unless and until a copy thereof has been delivered to the Employer and the Project Manager.

Any act or exercise by any person of powers, functions and authorities so delegated to him or her in accordance with this

GC Sub-Clause 17.2.3 shall be deemed to be an act or exercise by the Contractor's Representative.

17.2.4 From the commencement of installation of the Facilities at the Site until Completion, the Contractor's Representative shall appoint a suitable person as the Construction Manager. The Construction Manager shall supervise all work done at the Site by the Contractor and shall be present at the Site throughout normal working hours except when on leave, sick or absent for reasons connected with the proper performance of the Contract. Whenever the Construction Manager is absent from the Site, a suitable person shall be appointed to act as the Construction Manager's deputy.

17.2.5 The Employer may by notice to the Contractor object to any representative or person employed by the Contractor in the execution of the Contract who, in the reasonable opinion of the Employer, may behave inappropriately, undertakes behavior which breaches the Code of Conduct (ESHS) (e.g. spreading communicable diseases, sexual harassment, gender-based violence (GBV), sexual exploitation or abuse, illicit activity or crime, may be incompetent or negligent, or may commit a serious breach of the Site regulations provided under GC Sub-Clause 22.3. The Employer shall provide evidence of the same, whereupon the Contractor shall remove such person from the Facilities.

17.2.6 If any representative or person employed by the Contractor is removed in accordance with GC Sub-Clause 17.2.5, the Contractor shall, where required, promptly appoint a replacement.

18. Work Program

18.1 Contractor's Organization

The Contractor shall supply to the Employer and the Project Manager a chart showing the proposed organization to be established by the Contractor for carrying out work on the Facilities within twenty-one (21) days of the Effective Date. The chart shall include the identities of the key personnel and the curricula vitae of such key personnel to be employed shall be supplied together with the chart. The Contractor shall promptly inform the Employer and the Project Manager in writing of any revision or alteration of such an organization chart.

18.2 Program of Performance

Within twenty-eight (28) days after the Effective Date, the

Contractor shall submit to the Project Manager a detailed program of performance of the Contract, made in a form acceptable to the Project Manager and showing the sequence in which it proposes to design, manufacture, transport, assemble, install and pre-commission the Facilities, as well as the date by which the Contractor reasonably requires that the Employer shall have fulfilled its obligations under the Contract so as to enable the Contractor to execute the Contract in accordance with the program and to achieve Completion, Commissioning and Acceptance of the Facilities in accordance with the Contract. The program so submitted by the Contractor shall accord with the Time Schedule included in the Appendix to the Contract Agreement titled Time Schedule, and any other dates and periods specified in the Contract. The Contractor shall update and revise the program as and when appropriate or when required by the Project Manager, but without modification in the Times for Completion specified in the PC pursuant to Sub-Clause 8.2 and any extension granted in accordance with GC Clause 40, and shall submit all such revisions to the Project Manager.

18.3 Progress Report

The Contractor shall monitor progress of all the activities specified in the program referred to in GC Sub-Clause 18.2 above, and supply a progress report to the Project Manager every month.

The progress report shall be in a form acceptable to the Project Manager and shall indicate: (a) percentage completion achieved compared with the planned percentage completion for each activity; and (b) where any activity is behind the program, giving comments and likely consequences and stating the corrective action being taken.

In addition to the progress report the Contractor shall also provide a report on the Environmental, Social, Health and Safety (ESHS) metrics set out in Appendix A. In addition to Appendix A reports, the Contractor shall also provide immediate notification to the Project Manager of incidents in the following categories. Full details of such incidents shall be provided to the Project Manager within the timeframe agreed with the Project Manager.

- (a) confirmed or likely violation of any law or international agreement;

- (b) any fatality or serious (lost time) injury;
- (c) significant adverse effects or damage to private property (e.g. vehicle accident, damage from fly rock, working beyond the boundary)
- (d) major pollution of drinking water aquifer or damage or destruction of rare or endangered habitat (including protected areas) or species; or
- (e) any allegation of gender based violence (GBV), sexual exploitation or abuse, sexual harassment or sexual misbehavior, rape, sexual assault, child abuse, or defilement, or other violations involving children.

18.4 Progress of Performance

If at any time the Contractor's actual progress falls behind the program referred to in GC Sub-Clause 18.2, or it becomes apparent that it will so fall behind, the Contractor shall, at the request of the Employer or the Project Manager, prepare and submit to the Project Manager a revised program, taking into account the prevailing circumstances, and shall notify the Project Manager of the steps being taken to expedite progress so as to attain Completion of the Facilities within the Time for Completion under GC Sub-Clause 8.2, any extension thereof entitled under GC Sub-Clause 40.1, or any extended period as may otherwise be agreed upon between the Employer and the Contractor.

18.5 Procedures

The Contract shall be executed in accordance with the Contract Documents including the procedures given in the Forms and Procedures of the Employer's Requirements.

The Contractor may execute the Contract in accordance with its own standard project execution plans and procedures to the extent that they do not conflict with the provisions contained in the Contract.

19. Subcontracting

- 19.1 The Appendix to the Contract Agreement titled List of Major Items of Plant and Installation Services and List of Approved Subcontractors, specifies major items of supply or services and a list of approved Subcontractors against each item, including manufacturers. Insofar as no Subcontractors are listed against any such item, the Contractor shall prepare a list of Subcontractors for such item for inclusion in such list. The Contractor may from time to time propose any addition to or

deletion from any such list. The Contractor shall submit any such list or any modification thereto to the Employer for its approval in sufficient time so as not to impede the progress of work on the Facilities. Such approval by the Employer for any of the Subcontractors shall not relieve the Contractor from any of its obligations, duties or responsibilities under the Contract.

19.2 The Contractor shall select and employ its Subcontractors for such major items from those listed in the lists referred to in GC Sub-Clause 19.1.

19.3 For items or parts of the Facilities not specified in the Appendix to the Contract Agreement titled List of Major Items of Plant and Installation Services and List of Approved Subcontractors, the Contractor may employ such Subcontractors as it may select, at its discretion.

19.4 Each sub-contract shall include provisions which would entitle the Employer to require the sub-contract to be assigned to the Employer under GC 19.5 (if and when applicable), or in event of termination by the Employer under GC 42.2.

19.5 If a sub-contractor's obligations extend beyond the expiry date of the relevant Defects Liability Period and the Project Manager, prior to that date, instructs the Contractor to assign the benefits of such obligations to the Employer, then the Contractor shall do so.

20. Design and Engineering

20.1 Specifications and Drawings

20.1.1 The Contractor shall execute the basic and detailed design and the engineering work in compliance with the provisions of the Contract, or where not so specified, in accordance with good engineering practice.

The Contractor shall be responsible for any discrepancies, errors or omissions in the specifications, drawings and other technical documents that it has prepared, whether such specifications, drawings and other documents have been approved by the Project Manager or not, provided that such discrepancies, errors or omissions are not because of inaccurate information furnished in writing to the Contractor by or on behalf of the Employer.

20.1.2 The Contractor shall be entitled to disclaim responsibility for any design, data, drawing, specification or other document, or any modification thereof provided or designated

by or on behalf of the Employer, by giving a notice of such disclaimer to the Project Manager.

20.2 Codes and Standards

Wherever references are made in the Contract to codes and standards in accordance with which the Contract shall be executed, the edition or the revised version of such codes and standards current at the date twenty-eight (28) days prior to date of bid submission shall apply unless otherwise specified. During Contract execution, any changes in such codes and standards shall be applied subject to approval by the Employer and shall be treated in accordance with GC Clause 39.

20.3 Approval/Review of Technical Documents by Project Manager

20.3.1 The Contractor shall prepare or cause its Subcontractors to prepare, and furnish to the Project Manager the documents listed in the Appendix to the Contract Agreement titled List of Documents for Approval or Review, for its approval or review as specified and in accordance with the requirements of GC Sub-Clause 18.2 (Program of Performance).

Any part of the Facilities covered by or related to the documents to be approved by the Project Manager shall be executed only after the Project Manager's approval thereof.

GC Sub-Clauses 20.3.2 through 20.3.7 shall apply to those documents requiring the Project Manager's approval, but not to those furnished to the Project Manager for its review only.

20.3.2 Within fourteen (14) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GC Sub-Clause 20.3.1, the Project Manager shall either return one copy thereof to the Contractor with its approval endorsed thereon or shall notify the Contractor in writing of its disapproval thereof and the reasons therefore and the modifications that the Project Manager proposes.

If the Project Manager fails to take such action within the said fourteen (14) days, then the said document shall be deemed to have been approved by the Project

Manager.

- 20.3.3 The Project Manager shall not disapprove any document, except on the grounds that the document does not comply with the Contract or that it is contrary to good engineering practice.
- 20.3.4 If the Project Manager disapproves the document, the Contractor shall modify the document and resubmit it for the Project Manager's approval in accordance with GC Sub-Clause 20.3.2. If the Project Manager approves the document subject to modification(s), the Contractor shall make the required modification(s), whereupon the document shall be deemed to have been approved.
- 20.3.5 If any dispute or difference occurs between the Employer and the Contractor in connection with or arising out of the disapproval by the Project Manager of any document and/or any modification(s) thereto that cannot be settled between the Parties within a reasonable period, then such dispute or difference may be referred to an Adjudicator for determination in accordance with GC Sub-Clause 46.3 hereof. If such dispute or difference is referred to an Adjudicator, the Project Manager shall give instructions as to whether and if so, how, performance of the Contract is to proceed.
- 20.3.6 The Project Manager's approval, with or without modification of the document furnished by the Contractor, shall not relieve the Contractor of any responsibility or liability imposed upon it by any provisions of the Contract except to the extent that any subsequent failure results from modifications required by the Project Manager.
- 20.3.7 The Contractor shall not depart from any approved document unless the Contractor has first submitted to the Project Manager and amended document and obtained the Project Manager's approval thereof, pursuant to the provisions of this GC Sub-Clause 20.3.

If the Project Manager requests any change in any already approved document and/or in any document based thereon, the provisions of GC Clause 39 shall apply to such request.

21. Procurement**21.1 Plant**

Subject to GC Sub-Clause 14.2, the Contractor shall procure and transport all Plant in an expeditious and orderly manner to the Site.

21.2 Employer-Supplied Plant

If the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, provides that the Employer shall furnish any specific items to the Contractor, the following provisions shall apply:

21.2.1 The Employer shall, at its own risk and expense, transport each item to the place on or near the Site as agreed upon by the Parties and make such item available to the Contractor at the time specified in the program furnished by the Contractor, pursuant to GC Sub-Clause 18.2, unless otherwise mutually agreed.

21.2.2 Upon receipt of such item, the Contractor shall inspect the same visually and notify the Project Manager of any detected shortage, defect or default. The Employer shall immediately remedy any shortage, defect or default, or the Contractor shall, if practicable and possible, at the request of the Employer, remedy such shortage, defect or default at the Employer's cost and expense. After inspection, such item shall fall under the care, custody and control of the Contractor. The provision of this GC Sub-Clause 21.2.2 shall apply to any item supplied to remedy any such shortage or default or to substitute for any defective item, or shall apply to defective items that have been repaired.

21.2.3 The foregoing responsibilities of the Contractor and its obligations of care, custody and control shall not relieve the Employer of liability for any undetected shortage, defect or default, nor place the Contractor under any liability for any such shortage, defect or default whether under GC Clause 27 or under any other provision of Contract.

21.3 Transportation

21.3.1 The Contractor shall at its own risk and expense transport all the materials and the Contractor's Equipment to the Site by the mode of transport that the Contractor judges most suitable under all the circumstances.

21.3.2 Unless otherwise provided in the Contract, the

Contractor shall be entitled to select any safe mode of transport operated by any person to carry the materials and the Contractor's Equipment.

21.3.3 Upon dispatch of each shipment of materials and the Contractor's Equipment, the Contractor shall notify the Employer by telex, cable, facsimile or electronic means, of the description of the materials and of the Contractor's Equipment, the point and means of dispatch, and the estimated time and point of arrival in the country where the Site is located, if applicable, and at the Site. The Contractor shall furnish the Employer with relevant shipping documents to be agreed upon between the Parties.

21.3.4 The Contractor shall be responsible for obtaining, if necessary, approvals from the authorities for transportation of the materials and the Contractor's Equipment to the Site. The Employer shall use its best endeavors in a timely and expeditious manner to assist the Contractor in obtaining such approvals, if requested by the Contractor. The Contractor shall indemnify and hold harmless the Employer from and against any claim for damage to roads, bridges or any other traffic facilities that may be caused by the transport of the materials and the Contractor's Equipment to the Site.

21.4 Customs Clearance

The Contractor shall, at its own expense, handle all imported materials and Contractor's Equipment at the point(s) of import and shall handle any formalities for customs clearance, subject to the Employer's obligations under GC Sub-Clause 14.2, provided that if applicable laws or regulations require any application or act to be made by or in the name of the Employer, the Employer shall take all necessary steps to comply with such laws or regulations. In the event of delays in customs clearance that are not the fault of the Contractor, the Contractor shall be entitled to an extension in the Time for Completion, pursuant to GC Clause 40.

22. Installation

22.1 Setting Out/Supervision

22.1.1 Bench Mark: The Contractor shall be responsible for the true and proper setting-out of the Facilities in relation to bench marks, reference marks and lines provided to it in writing by or on behalf of the

Employer.

If, at any time during the progress of installation of the Facilities, any error shall appear in the position, level or alignment of the Facilities, the Contractor shall forthwith notify the Project Manager of such error and, at its own expense, immediately rectify such error to the reasonable satisfaction of the Project Manager. If such error is based on incorrect data provided in writing by or on behalf of the Employer, the expense of rectifying the same shall be borne by the Employer.

22.1.2 Contractor's Supervision: The Contractor shall give or provide all necessary superintendence during the installation of the Facilities, and the Construction Manager or its deputy shall be constantly on the Site to provide full-time superintendence of the installation. The Contractor shall provide and employ only technical personnel who are skilled and experienced in their respective callings and supervisory staff who are competent to adequately supervise the work at hand.

22.2 Labor:

22.2.1 Engagement of Staff and Labor

Except as otherwise stated in the Specification, the Contractor shall make arrangements for the engagement of all staff and labor, local or otherwise, and for their payment, housing, feeding and transport.

The Contractor shall provide and employ on the Site in the installation of the Facilities such skilled, semi-skilled and unskilled labor as is necessary for the proper and timely execution of the Contract. The Contractor is encouraged to use local labor that has the necessary skills.

The Contractor shall be responsible for obtaining all necessary permit(s) and/or visa(s) from the appropriate authorities for the entry of all labor and personnel to be employed on the Site into the country where the Site is located. The Employer will, if requested by the Contractor, use his best endeavors in a timely and expeditious manner to assist the Contractor in obtaining any local, state, national or government permission required for bringing in the Contractor's personnel.

The Contractor shall at its own expense provide the means of repatriation to all of its and its Subcontractor's personnel employed on the Contract at the Site to the place where they were recruited or to their domicile. It shall also provide suitable temporary maintenance of all such persons from the cessation of their employment on the Contract to the date programmed for their departure. In the event that the Contractor defaults in providing such means of transportation and temporary maintenance, the Employer may provide the same to such personnel and recover the cost of doing so from the Contractor.

22.2.2 Persons in the Service of Employer

The Contractor shall not recruit, or attempt to recruit, staff and labor from amongst the Employer's Personnel.

22.2.3 Labor Laws

The Contractor shall comply with all the relevant labor Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration and emigration, and shall allow them all their legal rights.

The Contractor shall at all times during the progress of the Contract use its best endeavors to prevent any unlawful, riotous or disorderly conduct or behavior by or amongst its employees and the labor of its Subcontractors.

The Contractor shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labor.

22.2.4 Rates of Wages and Conditions of Labor

The Contractor shall pay rates of wages, and observe conditions of labor, which are not lower than those established for the trade or industry where the work is carried out. If no established rates or conditions are applicable, the Contractor shall pay rates of wages and

observe conditions which are not lower than the general level of wages and conditions observed locally by employers whose trade or industry is similar to that of the Contractor.

The Contractor shall inform the Contractor's Personnel about their liability to pay personal income taxes in the Country in respect of such of their salaries, wages and allowances as are chargeable under the Laws for the time being in force, and the Contractor shall perform such duties in regard to such deductions thereof as may be imposed on him by such Laws.

22.2.5 Working Hours

No work shall be carried out on the Site on locally recognized days of rest, or outside the normal working hours **stated in the PC**, unless:

- (a) otherwise stated in the Contract,
- (b) the Project Manager gives consent, or
- (c) the work is unavoidable, or necessary for the protection of life or property or for the safety of the Works, in which case the Contractor shall immediately advise the Project Manager.

If and when the Contractor considers it necessary to carry out work at night or on public holidays so as to meet the Time for Completion and requests the Project Manager's consent thereto, the Project Manager shall not unreasonably withhold such consent.

This Sub-Clause shall not apply to any work which is customarily carried out by rotary or double-shifts.

22.2.6 Facilities for Staff and Labor

Except as otherwise stated in the Specification, the Contractor shall provide and maintain all necessary accommodation and welfare facilities for the Contractor's Personnel. The Contractor shall also provide facilities for the Employer's Personnel as stated in the Specification.

The Contractor shall not permit any of the Contractor's Personnel to maintain any temporary or permanent living quarters within the structures forming part of the

Permanent Works.

22.2.7 Health and Safety

The Contractor shall at all times take all reasonable precautions to maintain the health and safety of the Contractor's Personnel. In collaboration with local health authorities, the Contractor shall ensure that medical staff, first aid facilities, sick bay and ambulance service are available at all times at the Site and at any accommodation for Contractor's and Employer's Personnel, and that suitable arrangements are made for all necessary welfare and hygiene requirements and for the prevention of epidemics.

The Contractor shall appoint an accident prevention officer at the Site, responsible for maintaining safety and protection against accidents. This person shall be qualified for this responsibility, and shall have the authority to issue instructions and take protective measures to prevent accidents. Throughout the performance of the Contract, the Contractor shall provide whatever is required by this person to exercise this responsibility and authority.

The Contractor shall send to the Project Manager, details of any accident as soon as practicable after its occurrence. The Contractor shall maintain records and make reports concerning health, safety and welfare of persons, and damage to property, as the Project Manager may reasonably require.

The Contractor shall throughout the contract (including the Defects Notification Period): (i) conduct Information, Education and Consultation Communication (IEC) campaigns, at least every other month, addressed to all the Site staff and labor (including all the Contractor's employees, all Sub-Contractors and Employer's and Project Manager's employees, and all truck drivers and crew making deliveries to Site for construction activities) and to the immediate local communities, concerning the risks, dangers and impact, and appropriate avoidance behavior with respect to of Sexually Transmitted Diseases (STD)—or Sexually Transmitted Infections (STI) in general and HIV/AIDS in particular; (ii) provide male or female condoms for all Site staff and labor as appropriate; and (iii) provide for STI and HIV/AIDS

screening, diagnosis, counseling and referral to a dedicated national STI and HIV/AIDS program, (unless otherwise agreed) of all Site staff and labor.

The Contractor shall include in the program to be submitted for the execution of the Facilities under Sub-Clause 18.2 an alleviation program for Site staff and labor and their families in respect of Sexually Transmitted Infections (STI) and Sexually Transmitted Diseases (STD) including HIV/AIDS. The STI, STD and HIV/AIDS alleviation program shall indicate when, how and at what cost the Contractor plans to satisfy the requirements of this Sub-Clause and the related specification. For each component, the program shall detail the resources to be provided or utilized and any related sub-contracting proposed. The program shall also include provision of a detailed cost estimate with supporting documentation. Payment to the Contractor for preparation and implementation this program shall not exceed the Provisional Sum dedicated for this purpose.

22.2.8 Funeral Arrangements

In the event of the death of any of the Contractor's personnel or accompanying members of their families, the Contractor shall be responsible for making the appropriate arrangements for their return or burial, unless otherwise **specified in the PC**.

22.2.9 Records of Contractor's Personnel

The Contractor shall keep accurate records of the Contractor's personnel, including the number of each class of Contractor's Personnel on the Site and the names, ages, genders, hours worked and wages paid to all workers. These records shall be summarized on a monthly basis in a form approved by the Project Manager and shall be available for inspection by the Project Manager until the Contractor has completed all work.

22.2.10 Supply of Foodstuffs

The Contractor shall arrange for the provision of a sufficient supply of suitable food as may be stated in the Specification at reasonable prices for the Contractor's Personnel for the purposes of or in connection with the Contract.

22.2.11 Supply of Water

The Contractor shall, having regard to local conditions, provide on the Site an adequate supply of drinking and other water for the use of the Contractor's Personnel.

22.2.12 Measures against Insect and Pest Nuisance

The Contractor shall at all times take the necessary precautions to protect the Contractor's Personnel employed on the Site from insect and pest nuisance, and to reduce their danger to health. The Contractor shall comply with all the regulations of the local health authorities, including use of appropriate insecticide.

22.2.13 Alcoholic Liquor or Drugs

The Contractor shall not, otherwise than in accordance with the Laws of the Country, import, sell, give barter or otherwise dispose of any alcoholic liquor or drugs, or permit or allow importation, sale, gift barter or disposal by Contractor's Personnel.

22.2.14 Arms and Ammunition

The Contractor shall not give, barter, or otherwise dispose of, to any person, any arms or ammunition of any kind, or allow Contractor's Personnel to do so.

22.2.15 Prohibition of All Forms of Forced or Compulsory Labor

The contractor shall not employ "forced or compulsory labor" in any form. "Forced or compulsory labor" consists of all work or service, not voluntarily performed, that is extracted from an individual under threat of force or penalty.

22.2.16 Prohibition of Harmful Child Labor

The Contractor shall not employ any child to perform any work that is economically exploitative, or is likely to be hazardous to, or to interfere with, the child's education, or to be harmful to the child's health or physical, mental, spiritual, moral, or social development.

22.3 Contractor's Equipment

22.3.1 All Contractor's Equipment brought by the Contractor onto the Site shall be deemed to be intended to be used exclusively for the execution of the Contract. The Contractor shall not remove the same from the Site without the Project Manager's consent that such Contractor's Equipment is no longer required for the execution of the Contract.

22.3.2 Unless otherwise specified in the Contract, upon completion of the Facilities, the Contractor shall remove from the Site all Equipment brought by the Contractor onto the Site and any surplus materials remaining thereon.

22.3.3 The Employer will, if requested, use its best endeavors to assist the Contractor in obtaining any local, state or national government permission required by the Contractor for the export of the Contractor's Equipment imported by the Contractor for use in the execution of the Contract that is no longer required for the execution of the Contract.

22.4 Site Regulations and Safety

The Employer and the Contractor shall establish Site regulations setting out the rules to be observed in the execution of the Contract at the Site and shall comply therewith. The Contractor shall prepare and submit to the Employer, with a copy to the Project Manager, proposed Site regulations for the Employer's approval, which approval shall not be unreasonably withheld.

Such Site regulations shall include, but shall not be limited to, rules in respect of security, safety of the Facilities, gate control, sanitation, medical care, and fire prevention.

22.5 Opportunities for Other Contractors

22.5.1 The Contractor shall, upon written request from the Employer or the Project Manager, give all reasonable opportunities for carrying out the work to any other contractors employed by the Employer on or near the Site.

22.5.2 If the Contractor, upon written request from the Employer or the Project Manager, makes available to other contractors any roads or ways the maintenance for which the Contractor is responsible, permits the use by such other contractors of the Contractor's

Equipment, or provides any other service of whatsoever nature for such other contractors, the Employer shall fully compensate the Contractor for any loss or damage caused or occasioned by such other contractors in respect of any such use or service, and shall pay to the Contractor reasonable remuneration for the use of such equipment or the provision of such services.

22.5.3 The Contractor shall also so arrange to perform its work as to minimize, to the extent possible, interference with the work of other contractors. The Project Manager shall determine the resolution of any difference or conflict that may arise between the Contractor and other contractors and the workers of the Employer in regard to their work.

22.5.4 The Contractor shall notify the Project Manager promptly of any defects in the other contractors' work that come to its notice, and that could affect the Contractor's work. The Project Manager shall determine the corrective measures, if any, required to rectify the situation after inspection of the Facilities. Decisions made by the Project Manager shall be binding on the Contractor.

22.6 Emergency Work

If, by reason of an emergency arising in connection with and during the execution of the Contract, any protective or remedial work is necessary as a matter of urgency to prevent damage to the Facilities, the Contractor shall immediately carry out such work.

If the Contractor is unable or unwilling to do such work immediately, the Employer may do or cause such work to be done as the Employer may determine is necessary in order to prevent damage to the Facilities. In such event the Employer shall, as soon as practicable after the occurrence of any such emergency, notify the Contractor in writing of such emergency, the work done and the reasons therefor. If the work done or caused to be done by the Employer is work that the Contractor was liable to do at its own expense under the Contract, the reasonable costs incurred by the Employer in connection therewith shall be paid by the Contractor to the Employer. Otherwise, the cost of such remedial work shall be borne by the Employer.

22.7 Site Clearance

22.7.1 Site Clearance in Course of Performance: In the course of carrying out the Contract, the Contractor shall keep the Site reasonably free from all unnecessary obstruction, store or remove any surplus materials, clear away any wreckage, rubbish or temporary works from the Site, and remove any Contractor's Equipment no longer required for execution of the Contract.

22.7.2 Clearance of Site after Completion: After Completion of all parts of the Facilities, the Contractor shall clear away and remove all wreckage, rubbish and debris of any kind from the Site, and shall leave the Site and Facilities in a clean and safe condition.

22.8 Watching and Lighting

The Contractor shall provide and maintain at its own expense all lighting, fencing, and watching when and where necessary for the proper execution and the protection of the Facilities, or for the safety of the owners and occupiers of adjacent property and for the safety of the public.

23. Test and Inspection

23.1 The Contractor shall at its own expense carry out at the place of manufacture and/or on the Site all such tests and/or inspections of the Plant and any part of the Facilities as are specified in the Contract.

23.2 The Employer and the Project Manager or their designated representatives shall be entitled to attend the aforesaid test and/or inspection, provided that the Employer shall bear all costs and expenses incurred in connection with such attendance including, but not limited to, all traveling and board and lodging expenses.

23.3 Whenever the Contractor is ready to carry out any such test and/or inspection, the Contractor shall give a reasonable advance notice of such test and/or inspection and of the place and time thereof to the Project Manager. The Contractor shall obtain from any relevant third Party or manufacturer any necessary permission or consent to enable the Employer and the Project Manager or their designated representatives to attend the test and/or inspection.

23.4 The Contractor shall provide the Project Manager with a certified report of the results of any such test and/or

inspection.

If the Employer or Project Manager or their designated representatives fails to attend the test and/or inspection, or if it is agreed between the Parties that such persons shall not do so, then the Contractor may proceed with the test and/or inspection in the absence of such persons, and may provide the Project Manager with a certified report of the results thereof.

- 23.5 The Project Manager may require the Contractor to carry out any test and/or inspection not required by the Contract, provided that the Contractor's reasonable costs and expenses incurred in the carrying out of such test and/or inspection shall be added to the Contract Price. Further, if such test and/or inspection impede the progress of work on the Facilities and/or the Contractor's performance of its other obligations under the Contract, due allowance will be made in respect of the Time for Completion and the other obligations so affected.
- 23.6 If any Plant or any part of the Facilities fails to pass any test and/or inspection, the Contractor shall either rectify or replace such Plant or part of the Facilities and shall repeat the test and/or inspection upon giving a notice under GC Sub-Clause 23.3.
- 23.7 If any dispute or difference of opinion shall arise between the Parties in connection with or arising out of the test and/or inspection of the Plant or part of the Facilities that cannot be settled between the Parties within a reasonable period of time, it may be referred to an Adjudicator for determination in accordance with GC Sub-Clause 46.1.
- 23.8 The Contractor shall afford the Employer and the Project Manager, at the Employer's expense, access at any reasonable time to any place where the Plant are being manufactured or the Facilities are being installed, in order to inspect the progress and the manner of manufacture or installation, provided that the Project Manager shall give the Contractor a reasonable prior notice.
- 23.9 The Contractor agrees that neither the execution of a test and/or inspection of Plant or any part of the Facilities, nor the attendance by the Employer or the Project Manager, nor the issue of any test certificate pursuant to GC Sub-Clause 23.4, shall release the Contractor from any other responsibilities

under the Contract.

23.10 No part of the Facilities or foundations shall be covered up on the Site without the Contractor carrying out any test and/or inspection required under the Contract. The Contractor shall give a reasonable notice to the Project Manager whenever any such parts of the Facilities or foundations are ready or about to be ready for test and/or inspection; such test and/or inspection and notice thereof shall be subject to the requirements of the Contract.

23.11 The Contractor shall uncover any part of the Facilities or foundations, or shall make openings in or through the same as the Project Manager may from time to time require at the Site, and shall reinstate and make good such part or parts.

If any parts of the Facilities or foundations have been covered up at the Site after compliance with the requirement of GC Sub-Clause 23.10 and are found to be executed in accordance with the Contract, the expenses of uncovering, making openings in or through, reinstating, and making good the same shall be borne by the Employer, and the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been delayed or impeded in the performance of any of its obligations under the Contract.

24. Completion of the Facilities

24.1 As soon as the Facilities or any part thereof has, in the opinion of the Contractor, been completed operationally and structurally and put in a tight and clean condition as specified in the Employer's Requirements, excluding minor items not materially affecting the operation or safety of the Facilities, the Contractor shall so notify the Employer in writing.

24.2 Within seven (7) days after receipt of the notice from the Contractor under GC Sub-Clause 24.1, the Employer shall supply the operating and maintenance personnel specified in the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer for Pre-commissioning of the Facilities or any part thereof.

Pursuant to the Appendix to the Contract Agreement titled Scope of Works and Supply by the Employer, the Employer shall also provide, within the said seven (7) day period, the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Pre-commissioning of the Facilities or any part thereof.

24.3 As soon as reasonably practicable after the operating and

maintenance personnel have been supplied by the Employer and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters have been provided by the Employer in accordance with GC Sub-Clause 24.2, the Contractor shall commence Pre-commissioning of the Facilities or the relevant part thereof in preparation for Commissioning, subject to GC Sub-Clause 25.5.

- 24.4 As soon as all works in respect of Pre-commissioning are completed and, in the opinion of the Contractor, the Facilities or any part thereof is ready for Commissioning, the Contractor shall so notify the Project Manager in writing.
- 24.5 The Project Manager shall, within fourteen (14) days after receipt of the Contractor's notice under GC Sub-Clause 24.4, either issue a Completion Certificate in the form specified in the Employer's Requirements (Forms and Procedures), stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's notice under GC Sub-Clause 24.4, or notify the Contractor in writing of any defects and/or deficiencies.

If the Project Manager notifies the Contractor of any defects and/or deficiencies, the Contractor shall then correct such defects and/or deficiencies, and shall repeat the procedure described in GC Sub-Clause 24.4.

If the Project Manager is satisfied that the Facilities or that part thereof have reached Completion, the Project Manager shall, within seven (7) days after receipt of the Contractor's repeated notice, issue a Completion Certificate stating that the Facilities or that part thereof have reached Completion as of the date of the Contractor's repeated notice.

If the Project Manager is not so satisfied, then it shall notify the Contractor in writing of any defects and/or deficiencies within seven (7) days after receipt of the Contractor's repeated notice, and the above procedure shall be repeated.

- 24.6 If the Project Manager fails to issue the Completion Certificate and fails to inform the Contractor of any defects and/or deficiencies within fourteen (14) days after receipt of the Contractor's notice under GC Sub-Clause 24.4 or within seven (7) days after receipt of the Contractor's repeated notice under GC Sub-Clause 24.5, or if the Employer makes use of the Facilities or part thereof, then the Facilities or that part thereof shall be deemed to have reached Completion as of the date of the Contractor's notice or repeated notice, or as of the

Employer's use of the Facilities, as the case may be.

- 24.7 As soon as possible after Completion, the Contractor shall complete all outstanding minor items so that the Facilities are fully in accordance with the requirements of the Contract, failing which the Employer will undertake such completion and deduct the costs thereof from any monies owing to the Contractor.
- 24.8 Upon Completion, the Employer shall be responsible for the care and custody of the Facilities or the relevant part thereof, together with the risk of loss or damage thereto, and shall thereafter take over the Facilities or the relevant part thereof.

**25. Commissioning
and Operational
Acceptance**

25.1 Commissioning

25.1.1 Commissioning of the Facilities or any part thereof shall be commenced by the Contractor immediately after issue of the Completion Certificate by the Project Manager, pursuant to GC Sub-Clause 24.5, or immediately after the date of the deemed Completion, under GC Sub-Clause 24.6.

25.1.2 The Employer shall supply the operating and maintenance personnel and all raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Commissioning.

25.1.3 In accordance with the requirements of the Contract, the Contractor's and Project Manager's advisory personnel shall attend the Commissioning, including the Guarantee Test, and shall advise and assist the Employer.

25.2 Guarantee Test

25.2.1 Subject to GC Sub-Clause 25.5, the Guarantee Test and repeats thereof shall be conducted by the Contractor during Commissioning of the Facilities or the relevant part thereof to ascertain whether the Facilities or the relevant part can attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees. The Employer shall promptly provide the Contractor with such information as the Contractor may reasonably require in relation to the conduct and results of the Guarantee Test and any repeats thereof.

25.2.2 If for reasons not attributable to the Contractor, the

Guarantee Test of the Facilities or the relevant part thereof cannot be successfully completed within the period from the date of Completion **specified in the PC** or any other period agreed upon by the Employer and the Contractor, the Contractor shall be deemed to have fulfilled its obligations with respect to the Functional Guarantees, and GC Sub-Clauses 28.2 and 28.3 shall not apply.

25.3 Operational Acceptance

25.3.1 Subject to GC Sub-Clause 25.4 below, Operational Acceptance shall occur in respect of the Facilities or any part thereof when

- (a) the Guarantee Test has been successfully completed and the Functional Guarantees are met; or
- (b) the Guarantee Test has not been successfully completed or has not been carried out for reasons not attributable to the Contractor within the period from the date of Completion specified in the PC pursuant to GC Sub-Clause 25.2.2 above or any other period agreed upon by the Employer and the Contractor; or
- (c) the Contractor has paid the liquidated damages specified in GC Sub-Clause 28.3 hereof; and
- (d) any minor items mentioned in GC Sub-Clause 24.7 hereof relevant to the Facilities or that part thereof have been completed.

25.3.2 At any time after any of the events set out in GC Sub-Clause 25.3.1 have occurred, the Contractor may give a notice to the Project Manager requesting the issue of an Operational Acceptance Certificate in the form provided in the Employer's Requirements (Forms and Procedures) in respect of the Facilities or the part thereof specified in such notice as of the date of such notice.

25.3.3 The Project Manager shall, after consultation with the Employer, and within seven (7) days after receipt of the Contractor's notice, issue an Operational Acceptance Certificate.

25.3.4 If within seven (7) days after receipt of the Contractor's notice, the Project Manager fails to issue the Operational Acceptance Certificate or fails to

inform the Contractor in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the Facilities or the relevant part thereof shall be deemed to have been accepted as of the date of the Contractor's said notice.

25.4 Partial Acceptance

25.4.1 If the Contract specifies that Completion and Commissioning shall be carried out in respect of parts of the Facilities, the provisions relating to Completion and Commissioning including the Guarantee Test shall apply to each such part of the Facilities individually, and the Operational Acceptance Certificate shall be issued accordingly for each such part of the Facilities.

25.4.2 If a part of the Facilities comprises facilities such as buildings, for which no Commissioning or Guarantee Test is required, then the Project Manager shall issue the Operational Acceptance Certificate for such facility when it attains Completion, provided that the Contractor shall thereafter complete any outstanding minor items that are listed in the Operational Acceptance Certificate.

25.5 Delayed Pre-commissioning and/or Guarantee Test

25.5.1 In the event that the Contractor is unable to proceed with the Pre-commissioning of the Facilities pursuant to Sub-Clause 24.3, or with the Guarantee Test pursuant to Sub-Clause 25.2, for reasons attributable to the Employer either on account of non availability of other facilities under the responsibilities of other contractor(s), or for reasons beyond the Contractor's control, the provisions leading to "deemed" completion of activities such as Completion, pursuant to GC Sub-Clause 24.6, and Operational Acceptance, pursuant to GC Sub-Clause 25.3.4, and Contractor's obligations regarding Defect Liability Period, pursuant to GC Sub-Clause 27.2, Functional Guarantee, pursuant to GC Clause 28, and Care of Facilities, pursuant to GC Clause 32, and GC Clause 41.1, Suspension, shall not apply. In this case, the following provisions shall apply.

25.5.2 When the Contractor is notified by the Project Manager that he will be unable to proceed with the activities and obligations pursuant to above Sub-

Clause 13.1, the Contractor shall be entitled to the following:

- (a) the Time of Completion shall be extended for the period of suspension without imposition of liquidated damages pursuant to GC Sub-Clause 26.2;
- (b) payments due to the Contractor in accordance with the provision specified in the Appendix to the Contract Agreement titled Terms and Procedures of Payment, which would not have been payable in normal circumstances due to non-completion of the subject activities, shall be released to the Contractor against submission of a security in the form of a bank guarantee of equivalent amount acceptable to the Employer, and which shall become null and void when the Contractor will have complied with its obligations regarding those payments, subject to the provision of Sub-Clause 25.5.3 below;
- (c) the expenses towards the above security and extension of other securities under the contract, of which validity needs to be extended, shall be reimbursed to the Contractor by the Employer;
- (d) the additional charges towards the care of the Facilities pursuant to GC Sub-Clause 32.1 shall be reimbursed to the Contractor by the Employer for the period between the notification mentioned above and the notification mentioned in Sub-Clause 25.5.4 below. The provision of GC Sub-Clause 33.2 shall apply to the Facilities during the same period.

25.5.3 In the event that the period of suspension under above Sub-Clause 25.5.1 actually exceeds one hundred eighty (180) days, the Employer and Contractor shall mutually agree to any additional compensation payable to the Contractor.

25.5.4 When the Contractor is notified by the Project Manager that the plant is ready for Pre-commissioning, the Contractor shall proceed without delay in performing Pre-commissioning in accordance with Clause 24.

Guarantees and Liabilities

26. Completion Time Guarantee

26.1 The Contractor guarantees that it shall attain Completion of the Facilities (or a part for which a separate time for completion is specified) within the Time for Completion specified in the PC pursuant to GC Sub-Clause 8.2, or within such extended time to which the Contractor shall be entitled under GC Clause 40 hereof.

26.2 If the Contractor fails to attain Completion of the Facilities or any part thereof within the Time for Completion or any extension thereof under GC Clause 40, the Contractor shall pay to the Employer liquidated damages in the amount **specified in the PC** as a percentage rate of the Contract Price or the relevant part thereof. The aggregate amount of such liquidated damages shall in no event exceed the amount **specified as “Maximum” in the PC** as a percentage rate of the Contract Price. Once the “Maximum” is reached, the Employer may consider termination of the Contract, pursuant to GC Sub-Clause 42.2.2.

Such payment shall completely satisfy the Contractor’s obligation to attain Completion of the Facilities or the relevant part thereof within the Time for Completion or any extension thereof under GC Clause 40. The Contractor shall have no further liability whatsoever to the Employer in respect thereof.

However, the payment of liquidated damages shall not in any way relieve the Contractor from any of its obligations to complete the Facilities or from any other obligations and liabilities of the Contractor under the Contract.

Save for liquidated damages payable under this GC Sub-Clause 26.2, the failure by the Contractor to attain any milestone or other act, matter or thing by any date specified in the Appendix to the Contract Agreement titled Time Schedule, and/or other program of work prepared pursuant to GC Sub-Clause 18.2 shall not render the Contractor liable for any loss or damage thereby suffered by the Employer.

26.3 If the Contractor attains Completion of the Facilities or any part thereof before the Time for Completion or any extension thereof under GC Clause 40, the Employer shall pay to the Contractor a bonus in the amount **specified in the PC**. The aggregate amount of such bonus shall in no event exceed the amount **specified as “Maximum” in the PC**.

27. Defect Liability

27.1 The Contractor warrants that the Facilities or any part thereof shall be free from defects in the design, engineering, materials

and workmanship of the Plant supplied and of the work executed.

- 27.2 The Defect Liability Period shall be five hundred and forty (540) days from the date of Completion of the Facilities (or any part thereof) or one year from the date of Operational Acceptance of the Facilities (or any part thereof), whichever first occurs, unless specified otherwise in the PC pursuant to GC Sub-Clause 27.10.

If during the Defect Liability Period any defect should be found in the design, engineering, materials and workmanship of the Plant supplied or of the work executed by the Contractor, the Contractor shall promptly, in consultation and agreement with the Employer regarding appropriate remedying of the defects, and at its cost, repair, replace or otherwise make good as the Contractor shall determine at its discretion, such defect as well as any damage to the Facilities caused by such defect. The Contractor shall not be responsible for the repair, replacement or making good of any defect or of any damage to the Facilities arising out of or resulting from any of the following causes:

- (a) improper operation or maintenance of the Facilities by the Employer;
- (b) operation of the Facilities outside specifications provided in the Contract; or
- (c) normal wear and tear.

- 27.3 The Contractor's obligations under this GC Clause 27 shall not apply to:

- (a) any materials that are supplied by the Employer under GC Sub-Clause 21.2, are normally consumed in operation, or have a normal life shorter than the Defect Liability Period stated herein;
- (b) any designs, specifications or other data designed, supplied or specified by or on behalf of the Employer or any matters for which the Contractor has disclaimed responsibility herein; or
- (c) any other materials supplied or any other work executed by or on behalf of the Employer, except for the work executed by the Employer under GC Sub-Clause 27.7.

- 27.4 The Employer shall give the Contractor a notice stating the nature of any such defect together with all available evidence thereof, promptly following the discovery thereof. The

Employer shall afford all reasonable opportunity for the Contractor to inspect any such defect.

- 27.5 The Employer shall afford the Contractor all necessary access to the Facilities and the Site to enable the Contractor to perform its obligations under this GC Clause 27.

The Contractor may, with the consent of the Employer, remove from the Site any Plant or any part of the Facilities that are defective if the nature of the defect, and/or any damage to the Facilities caused by the defect, is such that repairs cannot be expeditiously carried out at the Site.

- 27.6 If the repair, replacement or making good is of such a character that it may affect the efficiency of the Facilities or any part thereof, the Employer may give to the Contractor a notice requiring that tests of the defective part of the Facilities shall be made by the Contractor immediately upon completion of such remedial work, whereupon the Contractor shall carry out such tests.

If such part fails the tests, the Contractor shall carry out further repair, replacement or making good, as the case may be, until that part of the Facilities passes such tests. The tests shall be agreed upon by the Employer and the Contractor.

- 27.7 If the Contractor fails to commence the work necessary to remedy such defect or any damage to the Facilities caused by such defect within a reasonable time (which shall in no event be considered to be less than fifteen (15) days), the Employer may, following notice to the Contractor, proceed to do such work, and the reasonable costs incurred by the Employer in connection therewith shall be paid to the Employer by the Contractor or may be deducted by the Employer from any monies due the Contractor or claimed under the Performance Security.

- 27.8 If the Facilities or any part thereof cannot be used by reason of such defect and/or making good of such defect, the Defect Liability Period of the Facilities or such part, as the case may be, shall be extended by a period equal to the period during which the Facilities or such part cannot be used by the Employer because of any of the aforesaid reasons.

- 27.9 Except as provided in GC Clauses 27 and 33, the Contractor shall be under no liability whatsoever and howsoever arising, and whether under the Contract or at law, in respect of defects in the Facilities or any part thereof, the Plant, design or engineering or work executed that appear after Completion of the Facilities

or any part thereof, except where such defects are the result of the gross negligence, fraud, or criminal or willful action of the Contractor.

27.10 In addition, any such component of the Facilities, and during the period of time as may be **specified in the PC**, shall be subject to an extended defect liability period. Such obligation of the Contractor shall be in addition to the defect liability period specified under GC Sub-Clause 27.2.

28. Functional Guarantees

28.1 The Contractor guarantees that during the Guarantee Test, the Facilities and all parts thereof shall attain the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, subject to and upon the conditions therein specified.

28.2 If, for reasons attributable to the Contractor, the minimum level of the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not met either in whole or in part, the Contractor shall at its cost and expense make such changes, modifications and/or additions to the Plant or any part thereof as may be necessary to meet at least the minimum level of such Guarantees. The Contractor shall notify the Employer upon completion of the necessary changes, modifications and/or additions, and shall request the Employer to repeat the Guarantee Test until the minimum level of the Guarantees has been met. If the Contractor eventually fails to meet the minimum level of Functional Guarantees, the Employer may consider termination of the Contract, pursuant to GC Sub-Clause 42.2.2.

28.3 If, for reasons attributable to the Contractor, the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not attained either in whole or in part, but the minimum level of the Functional Guarantees specified in the said Appendix to the Contract Agreement is met, the Contractor shall, at the Contractor's option, either

- (a) make such changes, modifications and/or additions to the Facilities or any part thereof that are necessary to attain the Functional Guarantees at its cost and expense, and shall request the Employer to repeat the Guarantee Test or
- (b) pay liquidated damages to the Employer in respect of the failure to meet the Functional Guarantees in accordance with the provisions in the Appendix to the Contract Agreement titled Functional Guarantees.

28.4 The payment of liquidated damages under GC Sub-Clause 28.3, up to the limitation of liability specified in the Appendix to the Contract Agreement titled Functional Guarantees, shall completely satisfy the Contractor's guarantees under GC Sub-Clause 28.3, and the Contractor shall have no further liability whatsoever to the Employer in respect thereof. Upon the payment of such liquidated damages by the Contractor, the Project Manager shall issue the Operational Acceptance Certificate for the Facilities or any part thereof in respect of which the liquidated damages have been so paid.

29. Patent Indemnity

29.1 The Contractor shall, subject to the Employer's compliance with GC Sub-Clause 29.2, indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Employer may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract by reason of: (a) the installation of the Facilities by the Contractor or the use of the Facilities in the country where the Site is located; and (b) the sale of the products produced by the Facilities in any country.

Such indemnity shall not cover any use of the Facilities or any part thereof other than for the purpose indicated by or to be reasonably inferred from the Contract, any infringement resulting from the use of the Facilities or any part thereof, or any products produced thereby in association or combination with any other equipment, plant or materials not supplied by the Contractor, pursuant to the Contract Agreement.

29.2 If any proceedings are brought or any claim is made against the Employer arising out of the matters referred to in GC Sub-Clause 29.1, the Employer shall promptly give the Contractor a notice thereof, and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.

29.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, which the Contractor may suffer as a result of any infringement or alleged infringement of any patent, utility model, registered design, trademark, copyright or other intellectual property right registered or otherwise existing at the date of the Contract arising out of or in connection with any design, data, drawing, specification, or other documents or materials provided or designed by or on behalf of the Employer.

30. Limitation of Liability

30.1 Except in cases of criminal negligence or willful misconduct,

- (a) neither Party shall be liable to the other Party, whether in contract, tort, or otherwise, for any indirect or consequential loss or damage, loss of use, loss of production, or loss of profits or interest costs, which may be suffered by the other Party in connection with the Contract, other than specifically provided as any obligation of the Party in the Contract, and
- (b) the aggregate liability of the Contractor to the Employer, whether under the Contract, in tort or otherwise, shall not exceed the amount resulting from the application of the multiplier specified in the PC, to the Contract Price or, if a multiplier is not so specified, the total Contract Price, provided that this limitation shall not apply to the cost of repairing or replacing defective equipment, or to any obligation of the Contractor to indemnify the Employer with respect to patent infringement.

Risk Distribution

31. Transfer of Ownership

31.1 Ownership of the Plant (including spare parts) to be imported into the country where the Site is located shall be transferred to the Employer upon loading on to the mode of transport to be used to convey the Plant from the country of origin to that country.

31.2 Ownership of the Plant (including spare parts) procured in the country where the Site is located shall be transferred to the

Employer when the Plant are brought on to the Site.

- 31.3 Ownership of the Contractor's Equipment used by the Contractor and its Subcontractors in connection with the Contract shall remain with the Contractor or its Subcontractors.
- 31.4 Ownership of any Plant in excess of the requirements for the Facilities shall revert to the Contractor upon Completion of the Facilities or at such earlier time when the Employer and the Contractor agree that the Plant in question are no longer required for the Facilities.
- 31.5 Notwithstanding the transfer of ownership of the Plant, the responsibility for care and custody thereof together with the risk of loss or damage thereto shall remain with the Contractor pursuant to GC Clause 32 (Care of Facilities) hereof until Completion of the Facilities or the part thereof in which such Plant are incorporated.

32. Care of Facilities

- 32.1 The Contractor shall be responsible for the care and custody of the Facilities or any part thereof until the date of Completion of the Facilities pursuant to GC Clause 24 or, where the Contract provides for Completion of the Facilities in parts, until the date of Completion of the relevant part, and shall make good at its own cost any loss or damage that may occur to the Facilities or the relevant part thereof from any cause whatsoever during such period. The Contractor shall also be responsible for any loss or damage to the Facilities caused by the Contractor or its Subcontractors in the course of any work carried out, pursuant to GC Clause 27. Notwithstanding the foregoing, the Contractor shall not be liable for any loss or damage to the Facilities or that part thereof caused by reason of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GC Sub-Clauses 32.2 and 38.1.
- 32.2 If any loss or damage occurs to the Facilities or any part thereof or to the Contractor's temporary facilities by reason of
 - (a) insofar as they relate to the country where the Site is located, nuclear reaction, nuclear radiation, radioactive contamination, pressure wave caused by aircraft or other aerial objects, or any other occurrences that an experienced contractor could not reasonably foresee, or if reasonably foreseeable could not reasonably make provision for or insure against, insofar as such risks are not normally insurable on the insurance market and are mentioned in the general exclusions of the policy of insurance, including War Risks and Political Risks, taken out under GC Clause 34 hereof; or

- (b) any use or occupation by the Employer or any third Party other than a Subcontractor, authorized by the Employer of any part of the Facilities; or
- (c) any use of or reliance upon any design, data or specification provided or designated by or on behalf of the Employer, or any such matter for which the Contractor has disclaimed responsibility herein,

the Employer shall pay to the Contractor all sums payable in respect of the Facilities executed, notwithstanding that the same be lost, destroyed or damaged, and will pay to the Contractor the replacement value of all temporary facilities and all parts thereof lost, destroyed or damaged. If the Employer requests the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Contractor shall make good the same at the cost of the Employer in accordance with GC Clause 39. If the Employer does not request the Contractor in writing to make good any loss or damage to the Facilities thereby occasioned, the Employer shall either request a change in accordance with GC Clause 39, excluding the performance of that part of the Facilities thereby lost, destroyed or damaged, or, where the loss or damage affects a substantial part of the Facilities, the Employer shall terminate the Contract pursuant to GC Sub-Clause 42.1 hereof.

32.3 The Contractor shall be liable for any loss of or damage to any Contractor's Equipment, or any other property of the Contractor used or intended to be used for purposes of the Facilities, except (i) as mentioned in GC Sub-Clause 32.2 with respect to the Contractor's temporary facilities, and (ii) where such loss or damage arises by reason of any of the matters specified in GC Sub-Clauses 32.2 (b) and (c) and 38.1.

32.4 With respect to any loss or damage caused to the Facilities or any part thereof or to the Contractor's Equipment by reason of any of the matters specified in GC Sub-Clause 38.1, the provisions of GC Sub-Clause 38.3 shall apply.

**33. Loss of or
Damage to
Property;
Accident or
Injury to
Workers;
Indemnifica-
tion**

33.1 Subject to GC Sub-Clause 33.3, the Contractor shall indemnify and hold harmless the Employer and its employees and officers from and against any and all suits, actions or administrative proceedings, claims, demands, losses, damages, costs, and expenses of whatsoever nature, including attorney's fees and expenses, in respect of the death or injury of any person or loss of or damage to any property other than the Facilities whether accepted or not, arising in connection with the supply and installation of the Facilities and by reason of the negligence of

the Contractor or its Subcontractors, or their employees, officers or agents, except any injury, death or property damage caused by the negligence of the Employer, its contractors, employees, officers or agents.

- 33.2 If any proceedings are brought or any claim is made against the Employer that might subject the Contractor to liability under GC Sub-Clause 33.1, the Employer shall promptly give the Contractor a notice thereof and the Contractor may at its own expense and in the Employer's name conduct such proceedings or claim and any negotiations for the settlement of any such proceedings or claim.

If the Contractor fails to notify the Employer within twenty-eight (28) days after receipt of such notice that it intends to conduct any such proceedings or claim, then the Employer shall be free to conduct the same on its own behalf. Unless the Contractor has so failed to notify the Employer within the twenty-eight (28) day period, the Employer shall make no admission that may be prejudicial to the defense of any such proceedings or claim.

The Employer shall, at the Contractor's request, afford all available assistance to the Contractor in conducting such proceedings or claim, and shall be reimbursed by the Contractor for all reasonable expenses incurred in so doing.

- 33.3 The Employer shall indemnify and hold harmless the Contractor and its employees, officers and Subcontractors from any liability for loss of or damage to property of the Employer, other than the Facilities not yet taken over, that is caused by fire, explosion or any other perils, in excess of the amount recoverable from insurances procured under GC Clause 34, provided that such fire, explosion or other perils were not caused by any act or failure of the Contractor.
- 33.4 The Party entitled to the benefit of an indemnity under this GC Clause 33 shall take all reasonable measures to mitigate any loss or damage which has occurred. If the Party fails to take such measures, the other Party's liabilities shall be correspondingly reduced.

34. Insurance

- 34.1 To the extent specified in the Appendix to the Contract Agreement titled Insurance Requirements, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances set forth below in the sums and with the deductibles and other conditions specified in the said Appendix. The identity of the insurers and the form of the policies shall be

subject to the approval of the Employer, who should not unreasonably withhold such approval.

(a) Cargo Insurance During Transport

Covering loss or damage occurring while in transit from the Contractor's or Subcontractor's works or stores until arrival at the Site, to the Plant (including spare parts therefore) and to the Contractor's Equipment.

(b) Installation All Risks Insurance

Covering physical loss or damage to the Facilities at the Site, occurring prior to Completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the Defect Liability Period while the Contractor is on the Site for the purpose of performing its obligations during the Defect Liability Period.

(c) Third Party Liability Insurance

Covering bodily injury or death suffered by third Parties including the Employer's personnel, and loss of or damage to property occurring in connection with the supply and installation of the Facilities.

(d) Automobile Liability Insurance

Covering use of all vehicles used by the Contractor or its Subcontractors, whether or not owned by them, in connection with the execution of the Contract.

(e) Workers' Compensation

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

(f) Employer's Liability

In accordance with the statutory requirements applicable in any country where the Contract or any part thereof is executed.

(g) Other Insurances

Such other insurances as may be specifically agreed upon by the Parties hereto as listed in the Appendix to the Contract Agreement titled Insurance Requirements.

- 34.2 The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Third Party Liability, Workers' Compensation and Employer's Liability Insurances, and the Contractor's Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1 except for the Cargo Insurance During Transport, Workers' Compensation and Employer's Liability Insurances. All insurer's rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.
- 34.3 The Contractor shall, in accordance with the provisions of the Appendix to the Contract Agreement titled Insurance Requirements, deliver to the Employer certificates of insurance or copies of the insurance policies as evidence that the required policies are in full force and effect. The certificates shall provide that no less than twenty-one (21) days' notice shall be given to the Employer by insurers prior to cancellation or material modification of a policy.
- 34.4 The Contractor shall ensure that, where applicable, its Subcontractor(s) shall take out and maintain in effect adequate insurance policies for their personnel and vehicles and for work executed by them under the Contract, unless such Subcontractors are covered by the policies taken out by the Contractor.
- 34.5 The Employer shall at its expense take out and maintain in effect during the performance of the Contract those insurances specified in the Appendix to the Contract Agreement titled Insurance Requirements, in the sums and with the deductibles and other conditions specified in the said Appendix. The Contractor and the Contractor's Subcontractors shall be named as co-insureds under all such policies. All insurers' rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies. The Employer shall deliver to the Contractor satisfactory evidence that the required insurances are in full force and effect. The policies shall provide that not less than twenty-one (21) days' notice shall be given to the Contractor by all insurers prior to any cancellation or material modification of the policies. If so requested by the Contractor, the Employer shall provide copies of the policies taken out by the Employer under this GC Sub-Clause 34.5.
- 34.6 If the Contractor fails to take out and/or maintain in effect the insurances referred to in GC Sub-Clause 34.1, the Employer may take out and maintain in effect any such insurances and may

from time to time deduct from any amount due the Contractor under the Contract any premium that the Employer shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Contractor. If the Employer fails to take out and/or maintain in effect the insurances referred to in GC 34.5, the Contractor may take out and maintain in effect any such insurances and may from time to time deduct from any amount due the Employer under the Contract any premium that the Contractor shall have paid to the insurer, or may otherwise recover such amount as a debt due from the Employer. If the Contractor fails to or is unable to take out and maintain in effect any such insurances, the Contractor shall nevertheless have no liability or responsibility towards the Employer, and the Contractor shall have full recourse against the Employer for any and all liabilities of the Employer herein.

34.7 Unless otherwise provided in the Contract, the Contractor shall prepare and conduct all and any claims made under the policies effected by it pursuant to this GC Clause 34, and all monies payable by any insurers shall be paid to the Contractor. The Employer shall give to the Contractor all such reasonable assistance as may be required by the Contractor. With respect to insurance claims in which the Employer's interest is involved, the Contractor shall not give any release or make any compromise with the insurer without the prior written consent of the Employer. With respect to insurance claims in which the Contractor's interest is involved, the Employer shall not give any release or make any compromise with the insurer without the prior written consent of the Contractor.

**35. Unforeseen
Conditions**

35.1 If, during the execution of the Contract, the Contractor shall encounter on the Site any physical conditions other than climatic conditions, or artificial obstructions that could not have been reasonably foreseen prior to the date of the Contract Agreement by an experienced contractor on the basis of reasonable examination of the data relating to the Facilities including any data as to boring tests, provided by the Employer, and on the basis of information that it could have obtained from a visual inspection of the Site if access thereto was available, or other data readily available to it relating to the Facilities, and if the Contractor determines that it will in consequence of such conditions or obstructions incur additional cost and expense or require additional time to perform its obligations under the Contract that would not have been required if such physical conditions or artificial obstructions had not been encountered, the Contractor shall promptly, and before performing additional work or using additional Plant or Contractor's Equipment, notify

the Project Manager in writing of

- (a) the physical conditions or artificial obstructions on the Site that could not have been reasonably foreseen;
- (b) the additional work and/or Plant and/or Contractor's Equipment required, including the steps which the Contractor will or proposes to take to overcome such conditions or obstructions;
- (c) the extent of the anticipated delay; and
- (d) the additional cost and expense that the Contractor is likely to incur.

On receiving any notice from the Contractor under this GC Sub-Clause 35.1, the Project Manager shall promptly consult with the Employer and Contractor and decide upon the actions to be taken to overcome the physical conditions or artificial obstructions encountered. Following such consultations, the Project Manager shall instruct the Contractor, with a copy to the Employer, of the actions to be taken.

35.2 Any reasonable additional cost and expense incurred by the Contractor in following the instructions from the Project Manager to overcome such physical conditions or artificial obstructions referred to in GC Sub-Clause 35.1 shall be paid by the Employer to the Contractor as an addition to the Contract Price.

If the Contractor is delayed or impeded in the performance of the Contract because of any such physical conditions or artificial obstructions referred to in GC Sub-Clause 35.1, the Time for Completion shall be extended in accordance with GC Clause 40.

**36. Change in
Laws and
Regulations**

36.1 If, after the date twenty-eight (28) days prior to the date of Bid submission, in the country where the Site is located, any law, regulation, ordinance, order or by-law having the force of law is enacted, promulgated, abrogated or changed which shall be deemed to include any change in interpretation or application by the competent authorities, that subsequently affects the costs and expenses of the Contractor and/or the Time for Completion, the Contract Price shall be correspondingly increased or decreased, and/or the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been affected in the performance of any of its obligations under the Contract. Notwithstanding the foregoing, such additional or reduced costs shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with the PC pursuant to GC Sub-Clause 11.2.

**37. Force
Majeure**

37.1 “Force Majeure” shall mean any event beyond the reasonable control of the Employer or of the Contractor, as the case may be, and which is unavoidable notwithstanding the reasonable care of the Party affected, and shall include, without limitation, the following:

- (a) war, hostilities or warlike operations whether a state of war be declared or not, invasion, act of foreign enemy and civil war
- (b) rebellion, revolution, insurrection, mutiny, usurpation of civil or military government, conspiracy, riot, civil commotion and terrorist acts
- (c) confiscation, nationalization, mobilization, commandeering or requisition by or under the order of any government or de jure or de facto authority or ruler or any other act or failure to act of any local state or national government authority
- (d) strike, sabotage, lockout, embargo, import restriction, port congestion, lack of usual means of public transportation and communication, industrial dispute, shipwreck, shortage or restriction of power supply, epidemics, quarantine and plague
- (e) earthquake, landslide, volcanic activity, fire, flood or inundation, tidal wave, typhoon or cyclone, hurricane, storm, lightning, or other inclement weather condition, nuclear and pressure waves or other natural or physical disaster

- (f) shortage of labor, materials or utilities where caused by circumstances that are themselves Force Majeure.

37.2 If either Party is prevented, hindered or delayed from or in performing any of its obligations under the Contract by an event of Force Majeure, then it shall notify the other in writing of the occurrence of such event and the circumstances thereof within fourteen (14) days after the occurrence of such event.

37.3 The Party who has given such notice shall be excused from the performance or punctual performance of its obligations under the Contract for so long as the relevant event of Force Majeure continues and to the extent that such Party's performance is prevented, hindered or delayed. The Time for Completion shall be extended in accordance with GC Clause 40.

37.4 The Party or Parties affected by the event of Force Majeure shall use reasonable efforts to mitigate the effect thereof upon its or their performance of the Contract and to fulfill its or their obligations under the Contract, but without prejudice to either Party's right to terminate the Contract under GC Sub-Clauses 37.6 and 38.5.

37.5 No delay or nonperformance by either Party hereto caused by the occurrence of any event of Force Majeure shall

- (a) constitute a default or breach of the Contract, or
- (b) give rise to any claim for damages or additional cost or expense occasioned thereby, subject to GC Sub-Clauses 32.2, 38.3 and 38.4

if and to the extent that such delay or nonperformance is caused by the occurrence of an event of Force Majeure.

37.6 If the performance of the Contract is substantially prevented, hindered or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the currency of the Contract, the Parties will attempt to develop a mutually satisfactory solution, failing which either Party may terminate the Contract by giving a notice to the other, but without prejudice to either Party's right to terminate the Contract under GC Sub-Clause 38.5.

37.7 In the event of termination pursuant to GC Sub-Clause 37.6, the rights and obligations of the Employer and the Contractor shall be as specified in GC Sub-Clauses 42.1.2 and 42.1.3.

37.8 Notwithstanding GC Sub-Clause 37.5, Force Majeure shall not apply to any obligation of the Employer to make payments to the Contractor herein.

38. War Risks

38.1 “War Risks” shall mean any event specified in paragraphs (a) and (b) of GC Sub-Clause 37.1 and any explosion or impact of any mine, bomb, shell, grenade or other projectile, missile, munitions or explosive of war, occurring or existing in or near the country (or countries) where the Site is located.

38.2 Notwithstanding anything contained in the Contract, the Contractor shall have no liability whatsoever for or with respect to

- (a) destruction of or damage to Facilities, Plant, or any part thereof;
- (b) destruction of or damage to property of the Employer or any third Party; or
- (c) injury or loss of life

if such destruction, damage, injury or loss of life is caused by any War Risks, and the Employer shall indemnify and hold the Contractor harmless from and against any and all claims, liabilities, actions, lawsuits, damages, costs, charges or expenses arising in consequence of or in connection with the same.

38.3 If the Facilities or any Plant or Contractor’s Equipment or any other property of the Contractor used or intended to be used for the purposes of the Facilities shall sustain destruction or damage by reason of any War Risks, the Employer shall pay the Contractor for

- (a) any part of the Facilities or the Plant so destroyed or damaged to the extent not already paid for by the Employer

and so far as may be required by the Employer, and as may be necessary for completion of the Facilities

- (b) replacing or making good any Contractor’s Equipment or other property of the Contractor so destroyed or damaged
- (c) replacing or making good any such destruction or damage to the Facilities or the Plant or any part thereof.

If the Employer does not require the Contractor to replace or make good any such destruction or damage to the Facilities, the Employer shall either request a change in accordance with GC Clause 39, excluding the performance of that part of the

Facilities thereby destroyed or damaged or, where the loss, destruction or damage affects a substantial part of the Facilities, shall terminate the Contract, pursuant to GC Sub-Clause 42.1.

If the Employer requires the Contractor to replace or make good on any such destruction or damage to the Facilities, the Time for Completion shall be extended in accordance with GC 40.

- 38.4 Notwithstanding anything contained in the Contract, the Employer shall pay the Contractor for any increased costs or incidentals to the execution of the Contract that are in any way attributable to, consequent on, resulting from, or in any way connected with any War Risks, provided that the Contractor shall as soon as practicable notify the Employer in writing of any such increased cost.
- 38.5 If during the performance of the Contract any War Risks shall occur that financially or otherwise materially affect the execution of the Contract by the Contractor, the Contractor shall use its reasonable efforts to execute the Contract with due and proper consideration given to the safety of its and its Subcontractors' personnel engaged in the work on the Facilities, provided, however, that if the execution of the work on the Facilities becomes impossible or is substantially prevented for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of any War Risks, the Parties will attempt to develop a mutually satisfactory solution, failing which either Party may terminate the Contract by giving a notice to the other.
- 38.6 In the event of termination pursuant to GC Sub-Clauses 38.3 or 38.5, the rights and obligations of the Employer and the Contractor shall be specified in GC Sub-Clauses 42.1.2 and 42.1.3.

Change in Contract Elements

39. Change in the Facilities

39.1 Introducing a Change

- 39.1.1 Subject to GC Sub-Clauses 39.2.5 and 39.2.7, the Employer shall have the right to propose, and subsequently require, that the Project Manager order the Contractor from time to time during the performance of the Contract to make any change, modification, addition or deletion to, in or from the Facilities hereinafter called "Change", provided that such Change falls within the general scope of the Facilities and does not constitute unrelated work and that it is technically practicable, taking into account both

the state of advancement of the Facilities and the technical compatibility of the Change envisaged with the nature of the Facilities as specified in the Contract.

39.1.2 The Contractor may from time to time during its performance of the Contract propose to the Employer with a copy to the Project Manager, any Change that the Contractor considers necessary or desirable to improve the quality, efficiency or safety of the Facilities. The Employer may at its discretion approve or reject any Change proposed by the Contractor, provided that the Employer shall approve any Change proposed by the Contractor to ensure the safety of the Facilities.

39.1.3 Notwithstanding GC Sub-Clauses 39.1.1 and 39.1.2, no change made necessary because of any default of the Contractor in the performance of its obligations under the Contract shall be deemed to be a Change, and such change shall not result in any adjustment of the Contract Price or the Time for Completion.

39.1.4 The procedure on how to proceed with and execute Changes is specified in GC Sub-Clauses 39.2 and 39.3, and further details and forms are provided in the Employer's Requirements (Forms and Procedures).

39.2 Changes Originating from Employer

39.2.1 If the Employer proposes a Change pursuant to GC Sub-Clause 39.1.1, it shall send to the Contractor a "Request for Change Proposal," requiring the Contractor to prepare and furnish to the Project Manager as soon as reasonably practicable a "Change Proposal," which shall include the following:

- (a) brief description of the Change
- (b) effect on the Time for Completion
- (c) estimated cost of the Change
- (d) effect on Functional Guarantees (if any)
- (e) effect on the Facilities
- (f) impact of the change on ESHS risks(f)
- (g) effect on any other provisions of the Contract.

39.2.2 Prior to preparing and submitting the "Change Proposal,"

the Contractor shall submit to the Project Manager an “Estimate for Change Proposal,” which shall be an estimate of the cost of preparing and submitting the Change Proposal.

Upon receipt of the Contractor’s Estimate for Change Proposal, the Employer shall do one of the following:

- (a) accept the Contractor’s estimate with instructions to the Contractor to proceed with the preparation of the Change Proposal
- (b) advise the Contractor of any part of its Estimate for Change Proposal that is unacceptable and request the Contractor to review its estimate
- (c) advise the Contractor that the Employer does not intend to proceed with the Change.

39.2.3 Upon receipt of the Employer’s instruction to proceed under GC Sub-Clause 39.2.2 (a), the Contractor shall, with proper expedition, proceed with the preparation of the Change Proposal, in accordance with GC Sub-Clause 39.2.1.

39.2.4 The pricing of any Change shall, as far as practicable, be calculated in accordance with the rates and prices included in the Contract. If such rates and prices are inequitable, the Parties thereto shall agree on specific rates for the valuation of the Change.

39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate effect of compliance therewith and with all other Change Orders that have already become binding upon the Contractor under this GC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen percent (15%), the Contractor may give a written notice of objection thereto prior to furnishing the Change Proposal as aforesaid. If the Employer accepts the Contractor’s objection, the Employer shall withdraw the proposed Change and shall notify the Contractor in writing thereof.

The Contractor’s failure to so object shall neither affect its right to object to any subsequent requested Changes or Change Orders herein, nor affect its right to take into account, when making such subsequent objection, the

percentage increase or decrease in the Contract Price that any Change not objected to by the Contractor represents.

- 39.2.6 Upon receipt of the Change Proposal, the Employer and the Contractor shall mutually agree upon all matters therein contained. Within fourteen (14) days after such agreement, the Employer shall, if it intends to proceed with the Change, issue the Contractor with a Change Order.

If the Employer is unable to reach a decision within fourteen (14) days, it shall notify the Contractor with details of when the Contractor can expect a decision.

If the Employer decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days, notify the Contractor accordingly. Under such circumstances, the Contractor shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Contractor in its Estimate for Change Proposal submitted in accordance with GC Sub-Clause 39.2.2.

- 39.2.7 If the Employer and the Contractor cannot reach agreement on the price for the Change, an equitable adjustment to the Time for Completion, or any other matters identified in the Change Proposal, the Employer may nevertheless instruct the Contractor to proceed with the Change by issue of a "Pending Agreement Change Order."

Upon receipt of a Pending Agreement Change Order, the Contractor shall immediately proceed with effecting the Changes covered by such Order. The Parties shall thereafter attempt to reach agreement on the outstanding issues under the Change Proposal.

If the Parties cannot reach agreement within sixty (60) days from the date of issue of the Pending Agreement Change Order, then the matter may be referred to the Adjudicator in accordance with the provisions of GC Sub-Clause 46.1.

39.3 Changes Originating from Contractor

- 39.3.1 If the Contractor proposes a Change pursuant to GC Sub-Clause 39.1.2, the Contractor shall submit to the Project Manager a written "Application for Change Proposal,"

giving reasons for the proposed Change and including the information specified in GC Sub-Clause 39.2.1.

Upon receipt of the Application for Change Proposal, the Parties shall follow the procedures outlined in GC Sub-Clauses 39.2.6 and 39.2.7. However, should the Employer choose not to proceed, the Contractor shall not be entitled to recover the costs of preparing the Application for Change Proposal.

40. Extension of Time for Completion

40.1 The Time(s) for Completion specified in the PC pursuant to GC Sub-Clause 8.2 shall be extended if the Contractor is delayed or impeded in the performance of any of its obligations under the Contract by reason of any of the following:

- (a) any Change in the Facilities as provided in GC Clause 39
- (b) any occurrence of Force Majeure as provided in GC Clause 37, unforeseen conditions as provided in GC Clause 35, or other occurrence of any of the matters specified or referred to in paragraphs (a), (b) and (c) of GC Sub-Clause 32.2
- (c) any suspension order given by the Employer under GC Clause 41 hereof or reduction in the rate of progress pursuant to GC Sub-Clause 41.2 or
- (d) any changes in laws and regulations as provided in GC Clause 36 or
- (e) any default or breach of the Contract by the Employer, Appendix to the Contract Agreement titled ,or any activity, act or omission of the Employer, or the Project Manager, or any other contractors employed by the Employer, or
- (f) any delay on the part of a sub-contractor, provided such delay is due to a cause for which the Contractor himself would have been entitled to an extension of time under this sub-clause, or
- (g) delays attributable to the Employer or caused by customs, or
- (h) any other matter specifically mentioned in the Contract

by such period as shall be fair and reasonable in all the circumstances and as shall fairly reflect the delay or impediment sustained by the Contractor.

40.2 Except where otherwise specifically provided in the Contract,

the Contractor shall submit to the Project Manager a notice of a claim for an extension of the Time for Completion, together with particulars of the event or circumstance justifying such extension as soon as reasonably practicable after the commencement of such event or circumstance. As soon as reasonably practicable after receipt of such notice and supporting particulars of the claim, the Employer and the Contractor shall agree upon the period of such extension. In the event that the Contractor does not accept the Employer's estimate of a fair and reasonable time extension, the Contractor shall be entitled to refer the matter to an Adjudicator, pursuant to GC Sub-Clause 46.1.

The Contractor shall at all times use its reasonable efforts to minimize any delay in the performance of its obligations under the Contract.

In all cases where the Contractor has given a notice of a claim for an extension of time under GC 40.2, the Contractor shall consult with the Project Manager in order to determine the steps (if any) which can be taken to overcome or minimize the actual or anticipated delay. The Contractor shall there after comply with all reasonable instructions which the Project Manager shall give in order to minimize such delay. If compliance with such instructions shall cause the Contractor to incur extra costs and the Contractor is entitled to an extension of time under GC 40.1, the amount of such extra costs shall be added to the Contract Price.

41. Suspension

41.1 The Employer may request the Project Manager, by notice to the Contractor, to order the Contractor to suspend performance of any or all of its obligations under the Contract. Such notice shall specify the obligation of which performance is to be suspended, the effective date of the suspension and the reasons therefor. The Contractor shall thereupon suspend performance of such obligation, except those obligations necessary for the care or preservation of the Facilities, until ordered in writing to resume such performance by the Project Manager.

If, by virtue of a suspension order given by the Project Manager, other than by reason of the Contractor's default or breach of the Contract, the Contractor's performance of any of its obligations is suspended for an aggregate period of more than ninety (90) days, then at any time thereafter and provided that at that time such performance is still suspended, the Contractor may give a notice to the Project Manager requiring that the Employer shall, within twenty-eight (28) days of receipt of the notice, order the resumption of such performance or request and subsequently order a change in accordance with GC Clause 39, excluding the

performance of the suspended obligations from the Contract.

If the Employer fails to do so within such period, the Contractor may, by a further notice to the Project Manager, elect to treat the suspension, where it affects a part only of the Facilities, as a deletion of such part in accordance with GC Clause 39 or, where it affects the whole of the Facilities, as termination of the Contract under GC Sub-Clause 42.1.

41.2 If

- (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, or fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice or
- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas in accordance with GC Sub-Clause 10.2, or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may by fourteen (14) days' notice to the Employer suspend performance of all or any of its obligations under the Contract, or reduce the rate of progress.

- 41.3 If the Contractor's performance of its obligations is suspended or the rate of progress is reduced pursuant to this GC Clause 41, then the Time for Completion shall be extended in accordance with GC Sub-Clause 40.1, and any and all additional costs or expenses incurred by the Contractor as a result of such suspension or reduction shall be paid by the Employer to the

Contractor in addition to the Contract Price, except in the case of suspension order or reduction in the rate of progress by reason of the Contractor's default or breach of the Contract.

- 41.4 During the period of suspension, the Contractor shall not remove from the Site any Plant, any part of the Facilities or any Contractor's Equipment, without the prior written consent of the Employer.

42. Termination

42.1 Termination for Employer's Convenience

42.1.1 The Employer may at any time terminate the Contract for any reason by giving the Contractor a notice of termination that refers to this GC Sub-Clause 42.1.

42.1.2 Upon receipt of the notice of termination under GC Sub-Clause 42.1.1, the Contractor shall either immediately or upon the date specified in the notice of termination

- (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii) below
- (c) remove all Contractor's Equipment from the Site, repatriate the Contractor's and its Subcontractors' personnel from the Site, remove from the Site any wreckage, rubbish and debris of any kind, and leave the whole of the Site in a clean and safe condition, and
- (d) subject to the payment specified in GC Sub-Clause 42.1.3,
 - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
 - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts

concluded between the Contractor and its Subcontractors; and

- (iii) deliver to the Employer all non-proprietary drawings, specifications and other documents prepared by the Contractor or its Subcontractors as at the date of termination in connection with the Facilities.

42.1.3 In the event of termination of the Contract under GC Sub-Clause 42.1.1, the Employer shall pay to the Contractor the following amounts:

- (a) the Contract Price, properly attributable to the parts of the Facilities executed by the Contractor as of the date of termination
- (b) the costs reasonably incurred by the Contractor in the removal of the Contractor's Equipment from the Site and in the repatriation of the Contractor's and its Subcontractors' personnel
- (c) any amounts to be paid by the Contractor to its Subcontractors in connection with the termination of any subcontracts, including any cancellation charges
- (d) costs incurred by the Contractor in protecting the Facilities and leaving the Site in a clean and safe condition pursuant to paragraph (a) of GC Sub-Clause 42.1.2
- (e) the cost of satisfying all other obligations, commitments and claims that the Contractor may in good faith have undertaken with third Parties in connection with the Contract and that are not covered by paragraphs (a) through (d) above.

42.2 Termination for Contractor's Default

42.2.1 The Employer, without prejudice to any other rights or remedies it may possess, may terminate the Contract forthwith in the following circumstances by giving a notice of termination and its reasons therefore to the Contractor, referring to this GC Sub-Clause 42.2:

- (a) if the Contractor becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, if the Contractor is a corporation, a resolution is passed or order is made for its winding

up, other than a voluntary liquidation for the purposes of amalgamation or reconstruction, a receiver is appointed over any part of its undertaking or assets, or if the Contractor takes or suffers any other analogous action in consequence of debt

- (b) if the Contractor assigns or transfers the Contract or any right or interest therein in violation of the provision of GC Clause 43.
- (c) if the Contractor, in the judgment of the Employer has engaged in corrupt, collusive, coercive, or fraudulent practices, as defined in GC Clause 6, in competing for or in executing the Contract.

42.2.2 If the Contractor

- (a) has abandoned or repudiated the Contract
- (b) has without valid reason failed to commence work on the Facilities promptly or has suspended, other than pursuant to GC Sub-Clause 41.2, the progress of Contract performance for more than twenty-eight (28) days after receiving a written instruction from the Employer to proceed
- (c) persistently fails to execute the Contract in accordance with the Contract or persistently neglects to carry out its obligations under the Contract without just cause
- (d) refuses or is unable to provide sufficient materials, services or labor to execute and complete the Facilities in the manner specified in the program furnished under GC Sub-Clause 18.2 at rates of progress that give reasonable assurance to the Employer that the Contractor can attain Completion of the Facilities by the Time for Completion as extended,

then the Employer may, without prejudice to any other rights it may possess under the Contract, give a notice to the Contractor stating the nature of the default and requiring the Contractor to remedy the same. If the Contractor fails to remedy or to take steps to remedy the same within fourteen (14) days of its receipt of such notice, then the Employer may terminate the Contract forthwith by giving a notice of termination to the

Contractor that refers to this GC Sub-Clause 42.2.

42.2.3 Upon receipt of the notice of termination under GC Sub-Clauses 42.2.1 or 42.2.2, the Contractor shall, either immediately or upon such date as is specified in the notice of termination,

- (a) cease all further work, except for such work as the Employer may specify in the notice of termination for the sole purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) below
- (c) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
- (d) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors
- (e) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities.

42.2.4 The Employer may enter upon the Site, expel the Contractor, and complete the Facilities itself or by employing any third Party. The Employer may, to the exclusion of any right of the Contractor over the same, take over and use with the payment of a fair rental rate to the Contractor, with all the maintenance costs to the account of the Employer and with an indemnification by the Employer for all liability including damage or injury to persons arising out of the Employer's use of such equipment, any Contractor's Equipment owned by the Contractor and on the Site in connection with the Facilities for such reasonable period as the Employer considers expedient for the supply and installation of the Facilities.

Upon completion of the Facilities or at such earlier date as the Employer thinks appropriate, the Employer shall give

notice to the Contractor that such Contractor's Equipment will be returned to the Contractor at or near the Site and shall return such Contractor's Equipment to the Contractor in accordance with such notice. The Contractor shall thereafter without delay and at its cost remove or arrange removal of the same from the Site.

42.2.5 Subject to GC Sub-Clause 42.2.6, the Contractor shall be entitled to be paid the Contract Price attributable to the Facilities executed as of the date of termination, the value of any unused or partially used Plant on the Site, and the costs, if any, incurred in protecting the Facilities and in leaving the Site in a clean and safe condition pursuant to paragraph (a) of GC Sub-Clause 42.2.3. Any sums due the Employer from the Contractor accruing prior to the date of termination shall be deducted from the amount to be paid to the Contractor under this Contract.

42.2.6 If the Employer completes the Facilities, the cost of completing the Facilities by the Employer shall be determined.

If the sum that the Contractor is entitled to be paid, pursuant to GC Sub-Clause 42.2.5, plus the reasonable costs incurred by the Employer in completing the Facilities, exceeds the Contract Price, the Contractor shall be liable for such excess.

If such excess is greater than the sums due the Contractor under GC Sub-Clause 42.2.5, the Contractor shall pay the balance to the Employer, and if such excess is less than the sums due the Contractor under GC Sub-Clause 42.2.5, the Employer shall pay the balance to the Contractor.

The Employer and the Contractor shall agree, in writing, on the computation described above and the manner in which any sums shall be paid.

42.3 Termination by the Contractor

42.3.1 If

- (a) the Employer has failed to pay the Contractor any sum due under the Contract within the specified period, has failed to approve any invoice or supporting documents without just cause pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment, or commits a substantial breach of the Contract, the Contractor

may give a notice to the Employer that requires payment of such sum, with interest thereon as stipulated in GC Sub-Clause 12.3, requires approval of such invoice or supporting documents, or specifies the breach and requires the Employer to remedy the same, as the case may be. If the Employer fails to pay such sum together with such interest, fails to approve such invoice or supporting documents or give its reasons for withholding such approval, fails to remedy the breach or take steps to remedy the breach within fourteen (14) days after receipt of the Contractor's notice, or

- (b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities,

then the Contractor may give a notice to the Employer thereof, and if the Employer has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Contractor is still unable to carry out any of its obligations under the Contract for any reason attributable to the Employer within twenty-eight (28) days of the said notice, the Contractor may by a further notice to the Employer referring to this GC Sub-Clause 42.3.1, forthwith terminate the Contract.

42.3.2 The Contractor may terminate the Contract forthwith by giving a notice to the Employer to that effect, referring to this GC Sub-Clause 42.3.2, if the Employer becomes bankrupt or insolvent, has a receiving order issued against it, compounds with its creditors, or, being a corporation, if a resolution is passed or order is made for its winding up (other than a voluntary liquidation for the purposes of amalgamation or reconstruction), a receiver is appointed over any part of its undertaking or assets, or if the Employer takes or suffers any other analogous action in consequence of debt.

42.3.3 If the Contract is terminated under GC Sub-Clauses

42.3.1 or 42.3.2, then the Contractor shall immediately

- (a) cease all further work, except for such work as may be necessary for the purpose of protecting that part of the Facilities already executed, or any work required to leave the Site in a clean and safe condition
- (b) terminate all subcontracts, except those to be assigned to the Employer pursuant to paragraph (d) (ii)
- (c) remove all Contractor's Equipment from the Site and repatriate the Contractor's and its Subcontractors' personnel from the Site, and
- (d) subject to the payment specified in GC Sub-Clause 42.3.4,
 - (i) deliver to the Employer the parts of the Facilities executed by the Contractor up to the date of termination
 - (ii) to the extent legally possible, assign to the Employer all right, title and benefit of the Contractor to the Facilities and to the Plant as of the date of termination, and, as may be required by the Employer, in any subcontracts concluded between the Contractor and its Subcontractors, and
 - (iii) deliver to the Employer all drawings, specifications and other documents prepared by the Contractor or its Subcontractors as of the date of termination in connection with the Facilities.

42.3.4 If the Contract is terminated under GC Sub-Clauses 42.3.1 or 42.3.2, the Employer shall pay to the Contractor all payments specified in GC Sub-Clause 42.1.3, and reasonable compensation for all loss, except for loss of profit, or damage sustained by the Contractor arising out of, in connection with or in consequence of such termination.

42.3.5 Termination by the Contractor pursuant to this GC Sub-Clause 42.3 is without prejudice to any other rights or remedies of the Contractor that may be exercised in lieu of

or in addition to rights conferred by GC Sub-Clause 42.3.

42.4 In this GC Clause 42, the expression “Facilities executed” shall include all work executed, Installation Services provided, and all Plant acquired, or subject to a legally binding obligation to purchase, by the Contractor and used or intended to be used for the purpose of the Facilities, up to and including the date of termination.

42.5 In this GC Clause 42, in calculating any monies due from the Employer to the Contractor, account shall be taken of any sum previously paid by the Employer to the Contractor under the Contract, including any advance payment paid pursuant to the Appendix to the Contract Agreement titled Terms and Procedures of Payment.

43. Assignment

43.1 Neither the Employer nor the Contractor shall, without the express prior written consent of the other Party, which consent shall not be unreasonably withheld, assign to any third Party the Contract or any part thereof, or any right, benefit, obligation or interest therein or thereunder, except that the Contractor shall be entitled to assign either absolutely or by way of charge any monies due and payable to it or that may become due and payable to it under the Contract.

44. Export Restrictions

44.1 Notwithstanding any obligation under the Contract to complete all export formalities, any export restrictions attributable to the Employer, to the country of the Employer or to the use of the Plant and Installation Services to be supplied which arise from trade regulations from a country supplying those Plant and Installation Services, and which substantially impede the Contractor from meeting its obligations under the Contract, shall release the Contractor from the obligation to provide deliveries or services, always provided, however, that the Contractor can demonstrate to the satisfaction of the Employer and of the Bank that it has completed all formalities in a timely manner, including applying for permits, authorizations and licenses necessary for the export of the Plant and Installation Services under the terms of the Contract. Termination of the Contract on this basis shall be for the Employer’s convenience pursuant to Sub-Clause 42.1.

Claims, Disputes and Arbitration

45. Contractor’s Claims

45.1 If the Contractor considers himself to be entitled to any extension of the Time for Completion and/or any additional payment, under any Clause of these Conditions or otherwise in

connection with the Contract, the Contractor shall submit a notice to the Project Manager, describing the event or circumstance giving rise to the claim. The notice shall be given as soon as practicable, and not later than 28 days after the Contractor became aware, or should have become aware, of the event or circumstance.

If the Contractor fails to give notice of a claim within such period of 28 days, the Time for Completion shall not be extended, the Contractor shall not be entitled to additional payment, and the Employer shall be discharged from all liability in connection with the claim. Otherwise, the following provisions of this Sub-Clause shall apply.

The Contractor shall also submit any other notices which are required by the Contract, and supporting particulars for the claim, all as relevant to such event or circumstance.

The Contractor shall keep such contemporary records as may be necessary to substantiate any claim, either on the Site or at another location acceptable to the Project Manager. Without admitting the Employer's liability, the Project Manager may, after receiving any notice under this Sub-Clause, monitor the record-keeping and/or instruct the Contractor to keep further contemporary records. The Contractor shall permit the Project Manager to inspect all these records, and shall (if instructed) submit copies to the Project Manager.

Within 42 days after the Contractor became aware (or should have become aware) of the event or circumstance giving rise to the claim, or within such other period as may be proposed by the Contractor and approved by the Project Manager, the Contractor shall send to the Project Manager a fully detailed claim which includes full supporting particulars of the basis of the claim and of the extension of time and/or additional payment claimed. If the event or circumstance giving rise to the claim has a continuing effect:

- (a) this fully detailed claim shall be considered as interim;
- (b) the Contractor shall send further interim claims at monthly intervals, giving the accumulated delay and/or amount claimed, and such further particulars as the Project Manager may reasonably require; and
- (c) the Contractor shall send a final claim within 28 days after the end of the effects resulting from the event or circumstance, or within such other period as may be

proposed by the Contractor and approved by the Project Manager.

Within 42 days after receiving a claim or any further particulars supporting a previous claim, or within such other period as may be proposed by the Project Manager and approved by the Contractor, the Project Manager shall respond with approval, or with disapproval and detailed comments. He may also request any necessary further particulars, but shall nevertheless give his response on the principles of the claim within such time.

Each Payment Certificate shall include such amounts for any claim as have been reasonably substantiated as due under the relevant provision of the Contract. Unless and until the particulars supplied are sufficient to substantiate the whole of the claim, the Contractor shall only be entitled to payment for such part of the claim as he has been able to substantiate.

The Project Manager shall agree with the Contractor or estimate: (i) the extension (if any) of the Time for Completion (before or after its expiry) in accordance with GC Clause 40, and/or (ii) the additional payment (if any) to which the Contractor is entitled under the Contract.

The requirements of this Sub-Clause are in addition to those of any other Sub-Clause which may apply to a claim. If the Contractor fails to comply with this or another Sub-Clause in relation to any claim, any extension of time and/or additional payment shall take account of the extent (if any) to which the failure has prevented or prejudiced proper investigation of the claim, unless the claim is excluded under the second paragraph of this Sub-Clause.

In the event that the Contractor and the Employer cannot agree on any matter relating to a claim, either Party may refer the matter to the Adjudicator pursuant to GC 46 hereof.

46. Disputes and Arbitration

46.1 Appointment of the Adjudicator

The Adjudicator shall be appointed jointly by the Employer and the Contractor, at the time of the Employer's issuance of the Letter of Acceptance. If, in the Letter of Acceptance, the Employer does not agree on the appointment of the Adjudicator, the Employer will request the Appointing Authority designated in the PC, to appoint the Adjudicator within 14 days of receipt of such request.

The Adjudicator should be in position before "notice to proceed

with work” is issued to the Contractor and an agreement should be signed with the Adjudicator jointly by the Employer and the Contractor in the form attached – Appendix B.

- 46.2 Should the Adjudicator resign or die, or should the Employer and the Contractor agree that the Adjudicator is not functioning in accordance with the provisions of the Contract, a new Adjudicator shall be jointly appointed by the Employer and the Contractor. In case of disagreement between the Employer and the Contractor, within 30 days, the Adjudicator shall be designated by the Appointing Authority **designated in the PC** at the request of either party, within 14 days of receipt of such request.
- 46.3 If the Contractor believes that a decision taken by the Project Manager was either outside the authority given to the Project Manager by the Contract or that the decision was wrongly taken, the decision shall be referred to the Adjudicator within 14 days of the notification of the Project Manager’s decision.
- 46.4 The Adjudicator shall give a decision in writing within 28 days of receipt of a notification of a dispute.

The Adjudicator shall be paid daily at the rate **specified in the PC**, together with reimbursable expenses of the types **specified in the PC**, and the cost shall be divided equally between the Employer and the Contractor. Whatever decision is reached by the Adjudicator, either party may refer a decision of the Adjudicator to an Arbitrator within 28 days of the Adjudicator’s written decision. If neither party refers the dispute to arbitration within the above 28 days, the Adjudicator’s decision shall be final and binding.

46.5 Arbitration

The arbitration shall be conducted in accordance with the arbitration procedures published by the institution named and in the place **specified in the PC**.

The Arbitrator(s) shall give a decision in writing within 120 days of start of the proceedings unless otherwise agreed to by the Parties. The Arbitrators shall entertain only those issues which have been earlier referred to the Adjudicator and either party is dissatisfied with the decision given by the Adjudicator.

Notwithstanding any reference to the Adjudicator or arbitration herein,

- (a) the parties shall continue to perform their respective obligations under the Contract unless they otherwise agree
- (b) the Employer shall pay the Contractor any monies due the Contractor.

APPENDIX A to General Conditions

Environmental, Social, Health and Safety (ESHS)

Metrics for Progress Reports

[Note to Employer: the following metrics may be amended to reflect the Employer's environmental, social, health and safety policies and/or the ESHS requirements of the project. The metrics that are required should be determined by the ESHS risks of the Works and not necessarily by the scale of the Works]

Metrics for regular reporting:

- a. *environmental incidents or non-compliances with contract requirements, including contamination, pollution or damage to ground or water supplies;*
- b. *health and safety incidents, accidents, injuries and all fatalities that require treatment;*
- c. *interactions with regulators: identify agency, dates, subjects, outcomes (report the negative if none);*
- d. *status of all permits and agreements:*
 - i. *work permits: number required, number received, actions taken for those not received;*
 - ii. *status of permits and consents:*
 - *list areas/facilities with permits required (quarries, asphalt & batch plants), dates of application, dates issued (actions to follow up if not issued), dates submitted to resident engineer (or equivalent), status of area (waiting for permits, working, abandoned without reclamation, decommissioning plan being implemented, etc.);*
 - *list areas with landowner agreements required (borrow and spoil areas, camp sites), dates of agreements, dates submitted to resident engineer (or equivalent);*
 - *identify major activities undertaken in each area in the reporting period and highlights of environmental and social protection (land clearing, boundary marking, topsoil salvage, traffic management, decommissioning planning, decommissioning implementation);*
 - *for quarries: status of relocation and compensation (completed, or details of activities and current status in the reporting period).*
- e. *health and safety supervision:*

-
- i. safety officer: number days worked, number of full inspections & partial inspections, reports to construction/project management;
 - ii. number of workers, work hours, metric of PPE use (percentage of workers with full personal protection equipment (PPE), partial, etc.), worker violations observed (by type of violation, PPE or otherwise), warnings given, repeat warnings given, follow-up actions taken (if any);
- f. worker accommodations:*
- i. number of expats housed in accommodations, number of locals;
 - ii. date of last inspection, and highlights of inspection including status of accommodations' compliance with national and local law and good practice, including sanitation, space, etc.;
 - iii. actions taken to recommend/require improved conditions, or to improve conditions.
- g. HIV/AIDS: provider of health services, information and/or training, location of clinic, number of non-safety disease or illness treatments and diagnoses (no names to be provided);*
- h. gender (for expats and locals separately): number of female workers, percentage of workforce, gender issues raised and dealt with (cross-reference grievances or other sections as needed);*
- i. training:*
- i. number of new workers, number receiving induction training, dates of induction training;
 - ii. number and dates of toolbox talks, number of workers receiving Occupational Health and Safety (OHS), environmental and social training;
 - iii. number and dates of HIV/AIDS sensitization and/or training, no. workers receiving training (this reporting period and in the past); same questions for gender sensitization, flag person training.
 - iv. number and date of GBV /SEA sensitization and/or training, number of workers receiving training on code of conduct (in the reporting period and in the past), etc.
- j. environmental and social supervision:*
- i. environmentalist: days worked, areas inspected and numbers of inspections of each (road section, work camp, accommodations, quarries, borrow areas, spoil areas, swamps, forest crossings, etc.), highlights of activities/findings (including

- violations of environmental and/or social best practices, actions taken), reports to environmental and/or social specialist/construction/site management;
 - ii. sociologist: days worked, number of partial and full site inspections (by area: road section, work camp, accommodations, quarries, borrow areas, spoil areas, clinic, HIV/AIDS center, community centers, etc.), highlights of activities (including violations of environmental and/or social requirements observed, actions taken), reports to environmental and/or social specialist/construction/site management; and
 - iii. community liaison person(s): days worked (hours community center open), number of people met, highlights of activities (issues raised, etc.), reports to environmental and/or social specialist /construction/site management.
- k. *Grievances*: list new grievances (e.g. allegations of GBV / SEA) received in the reporting period and unresolved past grievances by date received, complainant, how received, to whom referred to for action, resolution and date (if completed), data resolution reported to complainant, any required follow-up(Cross-reference other sections as needed):
- i. Worker grievances;
 - ii. Community grievances
- l. *Traffic and vehicles/equipment*:
- i. traffic accidents involving project vehicles & equipment: provide date, location, damage, cause, follow-up;
 - ii. accidents involving non-project vehicles or property (also reported under immediate metrics): provide date, location, damage, cause, follow-up;
 - iii. overall condition of vehicles/equipment (subjective judgment by environmentalist); non-routine repairs and maintenance needed to improve safety and/or environmental performance (to control smoke, etc.).
- m. *Environmental mitigations and issues (what has been done)*:
- i. dust: number of working bowsers, number of waterings/day, number of complaints, warnings given by environmentalist, actions taken to resolve; highlights of quarry dust control (covers, sprays, operational status); % of rock/spoil lorries with covers, actions taken for uncovered vehicles;
 - ii. erosion control: controls implemented by location, status of water crossings, environmentalist inspections and results, actions taken to resolve issues, emergency repairs needed to control erosion/sedimentation;
 - iii. quarries, borrow areas, spoil areas, asphalt plants, batch plants: identify major activities undertaken in the reporting period at each, and highlights of environmental and social protection: land clearing, boundary marking, topsoil

salvage, traffic management, decommissioning planning, decommissioning implementation;

- iv. blasting: number of blasts (and locations), status of implementation of blasting plan (including notices, evacuations, etc.), incidents of off-site damage or complaints (cross-reference other sections as needed);
- v. spill cleanups, if any: material spilled, location, amount, actions taken, material disposal (report all spills that result in water or soil contamination);
- vi. waste management: types and quantities generated and managed, including amount taken offsite (and by whom) or reused/recycled/disposed on-site;
- vii. details of tree plantings and other mitigations required undertaken in the reporting period;
- viii. details of water and swamp protection mitigations required undertaken in the reporting period.

n. compliance:

- i. compliance status for conditions of all relevant consents/permits, for the Work, including quarries, etc.): statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance;
- ii. compliance status of C-ESMP/ESIP requirements: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- iii. compliance status of GBV/SEA prevention and response action plan: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- iv. compliance status of Health and Safety Management Plan re: statement of compliance or listing of issues and actions taken (or to be taken) to reach compliance
- v. other unresolved issues from previous reporting periods related to environmental and social: continued violations, continued failure of equipment, continued lack of vehicle covers, spills not dealt with, continued compensation or blasting issues, etc. Cross-reference other sections as needed.

APPENDIX B³¹

Appointment of Adjudicator

Suggested Draft³² of Letter of Appointment of Adjudicators

Sub: _____ (Name of the Contract)

To

Name and address of the Adjudicator

We hereby confirm your appointment as Adjudicator for the above contract to carry out the assignment specified in this Letter of Appointment.

For administrative purpose _____ (*name of the officer representing the Employer*) has been assigned to administer the assignment and to provide the Adjudicator with all relevant information needed to carry out the assignment on behalf of both the employer and the contractor. The services will be required during the period of contract for the work of (Name of the Contract). _____.

The Adjudicator shall visit the worksite once in 3 (three) months till the completion of the work indicated above or as specifically requested by Employer/ Contractor for the period upto the end of defects liability period with prior intimation to the Employer and the contractor. The duration of each visit shall ordinarily be for one day only. These durations are approximate and (*Name of the employer and Name of the Contractor*) may find it necessary to postpone or cancel the assignment and/or shorten or extend the duration.

The appointment will become effective upon confirmation of letter by you. The appointment of Adjudicator shall be liable for termination under a 30 (thirty) days written notice from the date of issue of the notice, if both Employer and the Contractor so desire. Also the appointment shall automatically stand terminated 14 days after the defect notice / correction period as stated in Clause 27 of the Conditions of Contract is over.

The Adjudicator will be paid a fee of Rs. _____ (Rupees _____ only) per each day of visit at the worksite. The actual expenses for boarding and traveling in connection with the assignment will be reimbursed to the Adjudicator. The Adjudicator will submit a pre-receipted bill in triplicate to the employer indicating the date of the visit, fees for the visit and a proof in support of the actual expenditure [only for items valued above Rs. 200 each] incurred by him against boarding, lodging and traveling expenses after performing the visit on each occasion. The Employer will make the admissible payment (both the Employer's and the Contractor's share) to the Adjudicator within 30 days of the receipt of the bill. The

³¹If ITB 46 makes provision of an Adjudicator from list provided by an institution, kindly modify Appendix A to state that the fee and reimbursable payable to the adjudicator shall be as per the rules of the Institution.

³² The draft letter may be modified as necessary.

Contractor's share on this account (half the paid amount) will be recovered by the Employer from the Contractor's bills against the work.

In accepting this assignment, the Adjudicator should understand and agree that he is responsible for any liabilities and costs arising out of risks associated with travel to and from the place of emergency repatriation, loss or damage to personal/professional effects and property. The Adjudicator is advised to effect personal insurance cover in respect of such risks if he does not already have such cover in place. In this regard, the Adjudicator shall maintain appropriate medical, travel, accident and third-party liability insurance. The obligation under this paragraph will survive till termination of this appointment.

Procedures for resolution of disputes by the Adjudicator is described in the contract of _____(name of the contract) between the employer and the contractor vide clause no.46 of the General Conditions of Contract. Your recommendation should be given in the format attached, within 28 days of receipt of a notification of dispute.

The Adjudicator will carry out the assignment in accordance with the highest standard of professional and ethical competence and integrity, having due regard to the nature and purpose of the assignment, and will conduct himself in a manner consistent herewith. After visiting the worksite, the Adjudicator will discuss the matter with the Employer and if necessary with the Contractor before arriving at any decision.

The Adjudicator will agree that all knowledge and information not within the public domain, which may be acquired while carrying out this service shall be all time and for all purpose, regarded as strictly confidential and held in confidence, and shall not be directly or indirectly disclosed to any party whatsoever, except with the permission of the employer and the contractor. The Adjudicator's decision should be communicated in the form of a speaking order specifying the reasons.

The Adjudicator will agree that any manufacturing or construction firm with which he might be associated with, will not be eligible to participate in bidding for any goods or works resulting from or associated with the project of which this consulting assignment forms a part.

Read and Agreed

Name of Adjudicator

Signature

Place:

Date:

Name of Employer

Signature of authorized representative of Employer

Name of the Contractor

Signature of authorized representative of Contractor

Attachment: Copy of contract document between the employer and contractor and format for recommendation.

SUMMARY OF ADJUDICATOR'S RESPONSIBILITIES

The Adjudicator has the following principal responsibilities:

1. Visit the site periodically.
2. Keep abreast of job activities and developments.
3. Encourage the resolution of disputes by the parties.
4. When a dispute is referred to it, conduct a hearing (no legal presentation), complete its deliberations, and prepare a recommendations in a professional and timely manner (as per sample format)

Sample Format of Adjudicator's Recommendation

[Project Name] Recommendation of Adjudicator

Dispute No. XX [NAME OF DISPUTE]

Hearing Date: _____

Dispute

Description of dispute. A one or two sentence summation of the dispute.

Contractor's Position

A short summation of the contractor's position as understood by the Adjudicator.

Employer's Position

A short summation of the Employer's position as understood by the Adjudicator.

Recommendation

The Adjudicator's specific recommendation for settlement of the dispute. (*The recommended course is consistent with the explanation*).

Explanation

(*This section could also be called Considerations, Rationale, Findings, Discussion, and so on.*)

The Adjudicator's description of how each recommendation was reached.

Respectfully submitted,

Date : _____

Date : _____

Date : _____

Appendix C
(Refer GC 22.2.3)

Salient Features of Labour & Environment Protection Laws³³

SALIENT FEATURES OF SOME MAJOR LABOUR LAWS APPLICABLE TO ESTABLISHMENTS ENGAGED IN BUILDING AND OTHER CONSTRUCTION WORK

- (a) Employees Compensation Act 1923: The Act provides for compensation in case of injury, disease or death arising out of and during the course of employment.
- (b) Payment of Gratuity Act 1972: gratuity is payable to an employee under the Act on satisfaction of certain conditions on separation if an employee has completed 5 years' service or more or on death at the rate of 15 days wages for every completed year of service. The Act is applicable to all establishments employing 10 or more employees.
- (c) Employees P.F. and Miscellaneous Provision Act 1952 (since amended): The Act provides for monthly contribution by the employer plus workers @ 10% or 8.33%. The benefits payable under the Act are:
 - (i) Pension or family pension on retirement or death, as the case may be.
 - (ii) Deposit linked insurance on the death in harness of the worker.
 - (iii) Payment of P.F. accumulation on retirement/death etc.
- (d) Maternity Benefit Act 1961: The Act provides for leave and some other benefits to women employees in case of confinement or miscarriage etc.
- (e) Sexual Harassment of Women at the Workplace (Prevention, Prohibition and Redressal) Act, 2013: This Act defines sexual harassment in the workplace, provides for an enquiry procedure in case of complaints and mandates the setting up of an Internal Complaints Committee or a Local Complaints Committee
- (f) Contract Labour (Regulation & Abolition) Act 1970: The Act provides for certain welfare measures to be provided by the Contractor to contract labour and in case the Contractor fails to provide, the same are required to be provided, by the Principal Employer by law. The Principal Employer is required to take Certificate of Registration and the Contractor is required to take license from the designated Officer. The Act is applicable to the establishments or Contractor of Principal Employer if they employ 20 or more contract labour.

³³This list is only illustrative and not exhaustive. Bidders and Contractors are responsible for checking the correctness and completeness of the list. The law as current on the date of bid opening will apply.

- (g) Minimum Wages Act 1948: The Employer is supposed to pay not less than the Minimum Wages fixed by appropriate Government as per provisions of the Act if the employment is a scheduled employment. Construction of Buildings, Roads, Runways are scheduled employments.
- (h) Payment of Wages Act 1936: It lays down the mode, manner and by what date the wages are to be paid, what deductions can be made from the wages of the workers.
- (i) Equal Remuneration Act 1976: The Act provides for payment of equal wages for work of equal nature to male and female workers and for not making discrimination against Female employees in the matters of transfers, training and promotions etc.
- (j) Payment of Bonus Act 1965: The Act is applicable to all establishments employing 20 or more employees. Some of the State Governments have reduced this requirement from 20 to 10. The Act provides for payments of annual bonus subject to a minimum of 8.33% of the wages drawn in the relevant year. It applies to **skilled or unskilled manual, supervisory, managerial, administrative, technical or clerical work for hire or reward to employees** who draw a salary of Rs. 10,000/- per month or less. To be eligible for bonus, the employee should have worked in the establishment for not less than 30 working days in the relevant year. The Act does not apply to certain establishments.
- (k) Industrial Disputes Act 1947: the Act lays down the machinery and procedure for resolution of Industrial disputes, in what situations, a strike or lock-out becomes illegal and what are the requirements for laying off or retrenching the employees or closing down the establishment.
- (l) Trade Unions Act 1926: The Act lays down the procedure for registration of trade unions of workmen and employers. The Trade Unions registered under the Act have been given certain immunities from civil and criminal liabilities.
- (m) Child Labour (Prohibition & Regulation) Act 1986: The Act prohibits employment of children below 14 years of age in certain occupations and processes and provides for regulation of employment of children in all other occupations and processes. Employment of Child Labour is prohibited in the Building and Construction Industry.
- (n) Inter-State Migrant workmen's (Regulation of Employment & Conditions of Service) Act 1979: The Act is applicable to an establishment which employs 5 or more inter-state migrant workmen through an intermediary (who has recruited workmen in one state for employment in the establishment situated in another state). The Inter-State migrant workmen, in an establishment to which this Act becomes applicable, are required to be provided certain facilities such as housing, medical aid, traveling expenses from home upto the establishment and back, etc.

- (o) The Building and Other Construction Workers (Regulation of Employment and Conditions of Service) Act 1996 and the Building and Other Construction Workers Welfare Cess Act, 1996 (BOCWW Cess Act): All the establishments who carry on any building or other construction work and employ 10 or more workers are covered under these Acts. All such establishments are required to pay cess at the rate not exceeding 2% of the cost of construction as may be notified by the Government. The Employer of the establishment is required to provide safety measures at the building or construction work and other welfare measures, such as Canteens, First – Aid facilities, Ambulance, Housing accommodations for workers near the work place etc. The Employer to whom the Act applies has to obtain a registration certificate from the Registering Officer appointed by the Government.
- (p) Factories Act 1948: the Act lays down the procedure for approval of plans before setting up a factory engaged in manufacturing processes, health and safety provisions, welfare provisions, working hours, annual earned leave and rendering information regarding accidents or dangerous occurrences to designated authorities. It is applicable to premises employing 10 persons or more with aid of power or 20 or more persons without the aid of power.
- (q) Weekly Holidays Act -1942
- (r) Bonded Labour System (Abolition) Act, 1976: The Act provides for the abolition of bonded labour system with a view to preventing the economic and physical exploitation of weaker sections of society. Bonded labour covers all forms of forced labour, including that arising out of a loan, debt or advance.
- (s) Employer's Liability Act, 1938: This Act protects workmen who bring suits for damages against employers in case of injuries endured in the course of employment. Such injuries could be on account of negligence on the part of the employer or persons employed by them in maintenance of all machinery, equipment etc. in healthy and sound condition.
- (t) Employees State Insurance Act 1948: The Act provides for certain benefits to insured employees and their families in case of sickness, maternity and disablement arising out of an employment injury. The Act applies to all employees in factories (as defined) or establishments which may be so notified by the appropriate Government. The Act provides for the setting up of an Employees' State Insurance Fund, which is to be administered by the Employees State Insurance Corporation. Contributions to the Fund are paid by the employer and the employee at rates as prescribed by the Central Government. The Act also provides for benefits to dependents of insured persons in case of death as a result of an employment injury.

- (u) The Personal Injuries (Compensation Insurance) Act, 1963: This Act provides for the employer's liability and responsibility to pay compensation to employees where workmen sustain personal injuries in the course of employment.
- (v) Industrial Employment (Standing Order) Act 1946: It is applicable to all establishments employing 100 or more workmen (employment size reduced by some of the States and Central Government to 50). The Act provides for laying down rules governing the conditions of employment by the Employer on matters provided in the Act and get the same certified by the designated Authority.

SALIENT FEATURES OF SOME OF THE MAJOR LAWS THAT ARE APPLICABLE FOR PROTECTION OF ENVIRONMENT.

1. The Environment (Protection) Act, 1986 and as amended: This provides for the protection and improvement of environment and for matters connected therewith, and the prevention of hazards to human beings, other living creatures, plants and property. 'Environment' includes water, air and land and the inter-relationship which exists among and between water, air and land, and human beings, other living creatures, plants, micro-organism and property.
2. The Forest Conservation Act, 1980, as amended, and Forest (Conservation) Rules, 1981 as amended: These provides for protection of forests by restricting conversion of forested areas into non- forested areas and prevention of deforestation, and stipulates the procedures for cutting any trees that might be required by the applicable rules. Permissions under the Act also stipulates the norms and compliance requirements of the employer and any contractor on behalf of the employer.
3. State Tree Preservation Acts as may be in force: These provide for protection of trees of important species. Contractors will be required to obtain prior permission for full or partial cutting, uprooting, or pruning of any such trees.
4. The Wildlife (Protection) Act, 1972, and as amended: This provides for protection of wildlife through notifying National Parks and Sanctuaries and buffer areas around these zones; and to protect individuals of nationally important species listed in the Annex of the Act.
5. The Biological Diversity Act, 2002: This provides for conservation of biological diversity, sustainable use of components of biological diversity, and fair and equitable sharing of the benefits arising out of the use of biological resources, knowledge and for matters connected therewith or incidental thereto.
6. The Public Liability Insurance Act, 1991 as amended and The Public Liability Insurance Rules, 1991 as amended: These provide for public liability insurance for the purpose of providing immediate relief to the persons affected by accident occurring while handling hazardous substances and for matters connected herewith or incidental thereto. Hazardous substance means any substance or preparation which is defined as hazardous substance under the Environment (Protection) Act 1986, and exceeding such quantity as may be specified by

notification by the Central Government.

7. The Ancient Monuments and Archaeological Sites and Remains Act, 1958 and the Ancient Monuments and Archaeological Sites and Remains (Amendment and Validation) Act, 2010, the Ancient Monuments and Archaeological Sites and Remains Rules, 1959 amended 2011, the National Monuments Authority Rules, 2011 and the similar State Acts: These provide for conservation of cultural and historical remains found in India. Accordingly, area within the radii of 100m and 300m from the “protected property” are designated as “protected area” and “controlled area” respectively. No development activity (including building, mining, excavating, blasting) is permitted in the “protected area” and development activities likely to damage the protected property is not permitted in the “controlled area” without prior permission of the Archaeological Survey of India (ASI) or the State Departments of Art and Culture or Archaeology as applicable.
8. The Environmental Impact Assessment Notification, 2006 and as amended: This provides for prior environmental clearance for new, modernization and expansion projects listed in Schedule 1 of the Notification. Contractors will be required to ensure that no work starts until applicable clearances under the Notification is not available. Contractors will be responsible for implementation of any environmental management plan stipulated as per the permission under this Notification; and will be required to prepare and submit to the employer and compliance report stipulated in the permission under the Notification.
9. The Water (Prevention and Control of Pollution) Act, 1974 as amended, and the Water (Prevention and Control of Pollution) Rules, 1975 as amended: These provide for the prevention and control of water pollution and the maintaining and restoring of wholesomeness of water. ‘Pollution’ means such contamination of water or such alteration of the physical, chemical or biological properties of water or such discharge of any sewage or trade effluent or of any other liquid, gaseous or solid substance into water (whether directly or indirectly) as may, or is likely to, create a nuisance or render such water harmful or injurious to public health or safety, or to domestic, commercial, industrial, agricultural or other legitimate uses, or to the life and health of animals or plants or of aquatic organisms. Contractors will need to obtain consent for establishment and consent for operation of any item of work or installation of equipment that generates waste water, and observe the required standards of establishment and operation of these items of work or installations; as well as install and operate all required waste water treatment facilities.
10. The Water (Prevention and Control of Pollution) Cess Act, 1977 and The Water (Prevention and Control of Pollution) Cess Rules, 1978: These provide for the levy and collection of a cess on water consumed by persons carrying on certain industries and by local authorities, with a view to augment the resources of the Central Board and the State Boards for the prevention and control of water pollution under the Water (Prevention and Control of Pollution) Act, 1974.
11. The Air (Prevention and Control of Pollution) Act, 1981 as amended, and the Air (Prevention and Control of Pollution) Rules, 1982: These provides for prevention, control and abatement of air pollution. ‘Air Pollution’ means the presence in the atmosphere of any ‘air pollutant’,

which means any solid, liquid or gaseous substance (including noise) present in the atmosphere in such concentration as may be or tend to be injurious to human beings or other living creatures or plants or property or environment. Contractors will need to obtain consent for establishment and consent for operation of any item of work or installation of equipment that generates air pollution such as batching plants, hot mix plants, power generators, backup power generation, material handling processes, and observe the required standards of establishment and operation of these items of work or installations.

12. Noise Pollution (Control and Regulation) Rules, 2000, and as amended: This provides for standards for noise for day and night for various land uses and specifies special standards in and around sensitive receptors of noise such as schools and hospitals. Contractors will need to ensure compliance to the applicable standards, and install and operate all required noise control devices as may be required for all plants and work processes.
13. Chemical Accidents (Emergency Planning, Preparedness and Response) Rules, 1996: This provides for Requirement of preparation of on-site and off-site Disaster Management Plans for accident-prone areas.
14. The Explosives Act 1884 and the Explosives Rules, 2008: These provide for safe manufacture, possession, sale, use, transportation and import of explosive materials such as diesel, Oil and lubricants etc.; and also for regulating the use of any explosives used in blasting and/or demolition. All applicable provisions will need compliance by the contractors.
15. The Petroleum Rules, 2002: This provides for safe use and storage of petroleum products, and will need to be complied by the contractors.
16. The Gas Cylinder Rules 2004 and amendments: This provides for regulations related to storage of gas, and possession of gas cylinder more than the exempted quantity. Contractors should comply with all the requirements of this Rule.
17. Manufacture, Storage and Import of Hazardous Chemical Rules of 1989 and as amended: These provide for use and storage of hazardous material such as highly inflammable liquids like HSD/LPG. Contractors will need to ensure compliance to the Rules; and in the event where the storage quantity exceeds the regulated threshold limit, the contractors will be responsible for regular safety audits and other reporting requirements as prescribed in the Rules.
18. Hazardous & Other Wastes (Management and Transboundary Movement) Rules, 2016: These provide for protection of general public from improper handling storage and disposal of hazardous waste. The rules prescribe the management requirement of hazardous wastes from its generation to final disposal. Contractors will need to obtain permission from the State Pollution Control Boards and other designated authorities for storage and handling of any hazardous material; and will to ensure full compliance to these rules and any conditions imposed in the permit.
19. The Bio Medical Waste Management Rules, 2016: This provides for control, storage,

transportation and disposal of bio-medical wastes. As and where the contractor has any first aid facility and dispensaries, established in either temporary or permanent manner, compliance to these Rules are mandatory.

20. Construction and Demolition Waste Management Rules, 2016: This provides for management of construction and demolition waste (such as building materials possible to be reused, rubble and debris or the like); and applies to all those waste resulting from construction, re-modelling, repair or demolition of any civil structure. Contractor will need to prepare a waste disposal plan and obtain required approval from local authorities, if waste generation is more than 20 tons in any day or 300 tons in any month during the contract period; and ensure full compliance to these rules and any conditions imposed in the regulatory approval.
21. The E-Waste (Management) Rules, 2016: This provides for management of E-wastes (but not covering lead acid batteries and radio-active wastes) aiming to enable the recovery and/or reuse of useful material from e-waste, thereby reducing the hazardous wastes destined for disposal and to ensure the environmentally sound management of all types of waste of electrical and electronic equipment. This Rule applies to every manufacturer, producer, consumer, bulk consumer, collection centers, dealers, e-retailer, refurbisher, dismantler and recycler involved in manufacture, sale, transfer, purchase, collection, storage and processing of e-waste or electrical and electronic equipment listed in Schedule I, including their components, consumables, parts and spares which make the product operational.
22. Plastic waste Management Rules, 2016: This provides for control and management of the plastic waste generated from any activity. Contractors will ensure compliance to this Rule.
23. The Batteries (Management and Handling) Rules 2001: This provides for ensuring safe disposal and recycling of discarded lead acid batteries likely to be used in any equipment during construction and operation stage. Rules require proper control and record keeping on the sale or import of lead acid batteries and recollection of the used batteries by registered recyclers to ensure environmentally sound recycling of used batteries. Contractors will ensure compliance to this Rule.
24. The Ozone Depleting Substances (Regulation and Control) Rules, 2000 and as amended: This provides for regulation of production and consumption of ozone depleting substances in the country, and specifically prohibits export to or import from countries not specified in the Rules, and prohibits unless specifically permitted, any use of ozone depleting substance.
25. The Coastal Regulation Zone Notifications, 1991 and as amended: This provides for regulation of development activities within the 500m of high tide line in coastal zone and 100m of stretches of rivers and estuaries influenced by tides. Contractors will be required to ensure that no work starts until applicable clearances under the Notification is not available. Contractors will be responsible for implementation of any plan stipulated as per the permission under this Notification; and will be required to prepare and submit to the employer and compliance report stipulated in the permission under the Notification.
26. The Motor Vehicle Act 1988 as amended (and State Motor Vehicle Acts as may be in force)

and the Motor Vehicle Rules, 1989, and as amended (and State Motor Vehicle Rules as may be in force): To minimize the road accidents, penalizing the guilty, provision of compensation to victim and family and check vehicular air and noise pollution. Contractors will be required to ensure full compliance to these rules.

27. Easement Act, 1882: This provides for the rights of landowners on groundwater. Contractors will need to ensure that other landowners' rights under the Act is not affected by any groundwater abstraction by the contractors.
28. State Groundwater Acts and Rules as may be in force and the Guidelines for Groundwater Abstraction for drinking and domestic purposes in Notified Areas and Industry/Infrastructure project proposals in Non-Notified areas, 2012: These provide for regulating extraction of ground water for construction/industrial and drinking and domestic purposes. Contractors will need to obtain permission from Central/State Groundwater Boards prior to groundwater abstraction through digging any bore well or through any other means; and will to ensure full compliance to these rules and any conditions imposed in the permit.
29. The Mines Act, 1952 as amended; the Minor Mineral and concession Rules as amended; and the State Mineral (Rights and Taxation) Acts as may be in force: These provide for for safe and sound mining activity. The contractors will procure aggregates and other building materials from quarries and borrow areas approved under such Acts. In the event the contractors open any new quarry and/or borrow areas, appropriate prior permission from the State Departments of Minerals and Geology will need to be obtained. Contractors will also need to ensure full compliance to these rules and any conditions imposed in the permit.
30. The Insecticides Act, 1968 and Insecticides Rules, 1971 and as amended: These provide for regulates the manufacture, sale, transport, distribution, export, import and use of pesticides to prevent risk to human beings or animals, and for matters connected therewith. No one should import or manufacture; sell, stock or exhibit foe sale; distribute, transport, use: (i) any misbranded insecticides, (ii) any insecticide the sale, distribution or use of which is for the time being prohibited under the Act; and (iii) any insecticide except in accordance with the condition on which it was registered under the Act.
31. National Building Codes of India, 2005 and as amended: This provides guidelines for regulating the building construction activities in India. The code mainly contains administrative regulations, development control rules and general building requirements; stipulations regarding materials, structural design and construction; and building and plumbing services. Contractors will be required to comply with all Bureau of Indian Standards Codes dealing with: (i) use and disposal of asbestos containing materials in construction; (ii) paints containing lead; (iii) permanent and temporary ventilations in workplace; (iv) safety, and hygiene at the workplace; (v) prevention of fire; (vi) prevention of accidents from faulty electrical gadgets, equipment and accessories; and all other such codes incidental to the Contract.

Section VIII. Particular Conditions

The following Particular Conditions shall supplement the General Conditions in Section VII. Whenever there is a conflict, the provisions herein shall prevail over those in the General Conditions.

Particular Conditions

The following Particular Conditions (PC) shall supplement the General Conditions (GC). Whenever there is a conflict, the provisions herein shall prevail over those in the GC. The clause number of the PC is the corresponding clause number of the GC.

PC 1. Definitions	<p>The Employer is: State Project Implementation Unit (SPIU), National Cyclone Risk Mitigation Project, Relief and Rehabilitation Department: Government of Maharashtra,</p> <p>The Project Manager is: Director, State Project Implementation Unit (SPIU)</p> <p>The Bank is: The World Bank</p> <p>Country of Origin: all countries and territories as indicated in Section V of the bidding document, Eligible Countries.</p>
PC 2. Contract Documents	<p>The following documents also form part of the Contract:</p> <p>(i) the ESHS Management Strategies and Implementation Plans; and</p> <p>(ii) Code of Conduct (ESHS) as per Appendix-6</p> <p>(iii) Check list for compliance of ESHS</p>
PC 5. Law and Language	<p>PC 5.1 The Contract shall be interpreted in accordance with the laws of: Union of India.</p> <p>PC 5.2 The ruling language is: English</p> <p>PC 5.3 The language for communications is: English</p>
PC 7.1 Scope of Facilities	<p>PC 7.1 Unless otherwise expressly limited in the Employer's Requirements, the Contractor's obligations cover the provision of all Plant and the performance of all Installation Services required for the design, and the manufacture (including procurement, quality assurance, construction, installation, associated civil works, Pre-commissioning and delivery) of the Plant, and the installation, completion, commissioning and performance testing of the Facilities in accordance with the plans, procedures, specifications, drawings, codes and any other documents as specified in the Section, Employer's Requirements. Such specifications include, but are not limited to, the provision of supervision and engineering services; the supply of labor, materials, equipment, spare parts and accessories; Contractor's Equipment; construction utilities and supplies; temporary materials, structures and facilities; transportation (including, without limitation, unloading and hauling to, from and at the Site); and storage, except for those supplies, works and services that will be provided or performed by the Employer, as set forth in the Appendix to the Contract</p>

	Agreement titled Scope of Works and Supply by the Employer.
PC 7. Scope of Facilities [Spare Parts] (GC Clause 7)	<p>PC 7.3 The Contractor agrees to supply spare parts (Schedule 2 and Section VII) for a period of years: 3 (three)</p> <p>Employer expects that wear and tear on Early Warning Dissemination System (EWDS) System components will necessitate routine replacement of such components.</p> <p>The contractor agrees to supply EWDS spare parts required for the operation and maintenance of the System for two years from the date of successful Operational Acceptance.</p> <p>The contractor shall stock sufficient nos. of critical spares to achieve the desired SLA. The Bidder shall replace Spare equipment for the EWDS System that has been damaged, found in operable or substituted for defective equipment with new equipment configured, tested and labeled within one week of being identified or substituted.</p> <p>In addition, in the event of termination of the production of spare parts, advance notification will be made to the Employer of the pending termination, with sufficient time to permit the Employer to procure the needed requirement. Following such termination, the Contractor will furnish to the extent possible and at no cost to the Employer the blueprints, drawings and specifications of the spare parts, if requested.</p>
PC 8. Time for Commencement and Completion	<p>PC 8.1 The Contractor shall commence work on the Facilities from the Effective Date for determining Time for Completion as specified in the Contract Agreement.</p> <p>PC 8.2 The Time for Completion of the whole of the Facilities shall be 36 months from the Effective Date (12 months for installation, testing & commissioning and 24 months for warranty and O&M) as described in the Contract Agreement.</p>
PC 12. Terms of Payment	PC 12.3 In the event that the Employer fails to make any payment which have become due by its respective due date or within the period set forth in the Contract, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate(s) shown in the Appendix I to the Contract Agreement titled Terms and Procedures of Payment, for the period of delay until payment has been made in full.
PC 12. Terms of Payment	PC 12.4 The currency in which payments are made to the Contractor under this Contract shall be Indian Rupees (INR)
PC 13. Securities	PC 13.2.1 The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide a security in an amount equal to the advance payment calculated in accordance with the Appendix to the Contract Agreement titled Terms and Procedures of Payment, and in the same currency or currencies with a validity of ninety (90) days beyond

	<p>the day of completion of facilities in accordance with GC Clause 24..</p> <p>13.2.2 The security shall be in the form provided in the bidding documents. The amount of the security shall be reduced as per the procedures specified in PC. The security shall be discharged after Completion of the Facilities or relevant part thereof.</p> <p>The Advance Payment Security shall be allowed to be reduced every three (3) months after First Running Account Bill/Stage payment under the Contract. The cumulative amount of reduction at any point of time shall not exceed seventy five percent (75%) of the advance corresponding to cumulative value of the Facilities completed as per a certificate to be issued by the project manager. It should be clearly understood that reduction in the value of security for advance should not in any way dilute the Contractor's responsibility and liabilities under the Contract including in respect of the Facilities for which reduction in the value of security is allowed.</p> <p>PC 13.3.1 (a) The amount of Performance Security, as a percentage of the Contract Price for the Facility shall be: 8%.</p> <p>PC 13.3.1 (b) The amount of Environmental, Social, Safety and Health (ESHS) Performance Security, as a percentage of the Contract Price for the Facility or for the part of the Facility for which a separate Time for Completion is provided, shall be: 2%</p> <p>The Contractor shall, within twenty-eight (28) days of the notification of contract award, provide security for the due performance of the Contract in the amount specified in the PC with a validity upto sixty (60) days beyond the Defect Liability period. If the performance security is a bank guarantee, it shall be issued either by a Nationalized or Scheduled Bank in India or a by foreign bank through a correspondent Nationalized or Scheduled Bank located in India.</p> <p>PC 13.3.2 The Performance Security and if applicable, the Environmental, Social, Health and Safety (ESHS) Performance Security, shall be in the forms of the bank guarantee attached hereto in Section IX, Contract Forms.</p> <p>PC 13.3.3 The Security shall become null and void, or shall be reduced pro rata to the Contract Price of a part of the Facilities for which a separate Time for Completion is provided, five hundred and forty (540) days after Completion of the Facilities or three hundred and sixty five (365) days after Operational Acceptance of the Facilities, whichever occurs first; provided,</p>
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	<p>however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GC Sub-Clause 27.10, is liable for an extended defect liability obligation, the performance security shall be extended for the period specified in the PC pursuant to GC Sub-Clause 27.10 and up to the amount specified in the PC.</p> <p>In cases where partial acceptance is provided, the performance security shall be reduced pro rata to the contract price of a part or the facilities for which a separate time for completion is provided for, five hundred and forty (540) days after Completion of the Facilities or three hundred and sixty five (365) days after Operational Acceptance of the Facilities or the relevant part thereof, whichever occurs first; provided, however, that if the Defects Liability Period has been extended on any part of the Facilities pursuant to GC Sub-Clause 27.8 hereof, the Contractor shall issue an additional security in an amount proportionate to the Contract Price of that part. The security shall be returned to the Contractor immediately after its expiration, provided, however, that if the Contractor, pursuant to GC Sub-Clause 27.10, is liable for an extended defect liability obligation, the performance security shall be extended for the period specified in the PC pursuant to GC Sub-Clause 27.10 and up to the amount specified in the PC.</p> <p>PC 13.3.4 Deleted.</p>
<p>PC 14 Taxes and Duties</p>	<p>PC 14.2 The Employer shall bear and pay/reimburse to the Contractor domestic taxes such as Sales tax and similar other taxes, in respect of direct transaction between the Employer and the Contractor imposed upon, on the Plant, Equipment, Services, Works and mandatory spare parts specified in Price Schedule No.2 to be incorporated into the Facilities, by the Laws of Union of India</p> <p>PC 14.3 – Deleted</p> <p>PC 14.4 For the purpose of the Contract, it is agreed that the Contract Price specified in Article 2 (Contract Price and Terms of Payment) of the Contract Agreement is based on the taxes, duties, levies and charges prevailing at the date twenty-eight (28) days prior to the date of bid submission in the country where the Site is located (hereinafter called “Tax” in this GC Sub-Clause 14.4). If any rates of Tax are increased or decreased, a new Tax is introduced, an existing Tax is abolished, or any change in interpretation or application of any Tax occurs in the course of the performance of Contract, which was or will be assessed on the Contractor, Subcontractors or their employees in connection with performance of the Contract, an equitable adjustment of the Contract Price</p>

	shall be made to fully take into account any such change by addition to the Contract Price or deduction therefrom, as the case may be, in accordance with GC Clause 36 hereof. However, these adjustments, after ascertaining the facts, would be restricted to direct transactions between the Employer and the Contractor and not on procurement of raw materials, intermediary components etc. by the Contractor. Further, no adjustment of the Contract Price shall be made on account of variation in deemed export benefits.
PC 15.1 License/Use of Technical Information	For the operation and maintenance of the Plant, the Contractor hereby grants a non-exclusive and non-transferable license (without the right to sub-license) to the Employer under the patents, utility models or other industrial property rights owned by the Contractor or by a third Party from whom the Contractor has received the right to grant licenses thereunder, and shall also grant to the Employer a non-exclusive and non-transferable right (without the right to sub-license) to use the know-how and other technical information disclosed to the Employer under the Contract. Nothing contained herein shall be construed as transferring ownership of any patent, utility model, trademark, design, copyright, know-how or other intellectual property right from the Contractor or any third Party to the Employer. The Employer shall however be free to reproduce all drawings, documents and other material furnished to the Employer for the purpose of the Contract including, if required, for operation and maintenance.
PC 17 Representatives	<p><u>PC 17.1 Project Manager</u></p> <p>If the Project Manager is not named in the Contract, then within fourteen (14) days of the Effective Date, the Employer shall appoint and notify the Contractor in writing of the name of the Project Manager. The Employer may from time to time appoint some other person as the Project Manager in place of the person previously so appointed, and shall give a notice of the name of such other person to the Contractor without delay. The Employer shall take all reasonable care to see that no such appointment is made at such a time or in such a manner as to impede the progress of work on the Facilities. The Project Manager shall represent and act for the Employer at all times during the performance of the Contract. All notices, instructions, orders, certificates, approvals and all other communications under the Contract shall be given by the Project Manager, except as herein otherwise provided.</p> <p>All notices, instructions, information and other communications given by the Contractor to the Employer under the Contract shall be given to the Project Manager, except as herein otherwise provided.</p> <p>PC 17.2.4 From the commencement of installation of the Facilities at the</p>

	<p>Site until Operational Acceptance, the Contractor's Representative shall appoint a suitable person as the Construction Manager. The Construction Manager shall supervise all work done at the Site by the Contractor and shall be present at the Site throughout normal working hours except when on leave, sick or absent for reasons connected with the proper performance of the Contract. Whenever the Construction Manager is absent from the Site, a suitable person shall be appointed to act as the Construction Manager's deputy.</p>
PC 18 Work Program	<p><u>PC 18.2 Program of Performance</u></p> <p>Within twenty-eight (28) days after the Notification of Award, the Contractor shall submit to the Project Manager a detailed program of performance of the Contract, made in a form acceptable to the Project Manager and showing the sequence in which it proposes to design, manufacture, transport, assemble, install and pre-commission the Facilities, as well as the date by which the Contractor reasonably requires that the Employer shall have fulfilled its obligations under the Contract so as to enable the Contractor to execute the Contract in accordance with the program and to achieve Completion, Commissioning and Acceptance of the Facilities in accordance with the Contract. The program so submitted by the Contractor shall accord with the Time Schedule included in the Appendix to the Contract Agreement titled Time Schedule, and any other dates and periods specified in the Contract. The Contractor shall update and revise the program as and when appropriate or when required by the Project Manager, but without modification in the Times for Completion specified in the PC pursuant to Sub-Clause 8.2 and any extension granted in accordance with GC Clause 40, and shall submit all such revisions to the Project Manager.</p>
PC 20 Design and Engineering	<p>PC 20.3.2 Within fourteen (14) days after receipt by the Project Manager of any document requiring the Project Manager's approval in accordance with GC Sub-Clause 20.3.1, the Project Manager shall either return one copy thereof to the Contractor with its approval endorsed thereon or shall notify the Contractor in writing of its disapproval thereof and the reasons therefore and the modifications that the Project Manager proposes.</p> <p>PC 20.3.4 If the Project Manager disapproves the document, the Contractor shall modify the document and resubmit it for the Project Manager's approval in accordance with GC Sub-Clause 20.3.2. If the Project Manager approves the document subject to modification(s), the Contractor shall make the required modification(s), and upon resubmission with the required</p>

	<p>modifications the document shall be deemed to have been approved.</p> <p>The procedure for submission of the documents by the Contractor and their approval by the Project manager shall be discussed and finalized with the Contractor</p>
PC 21 Procurement	<p>PC 21.4: Customs Clearance</p> <p>The Contractor shall, at its own expense, handle all imported materials and Contractor's Equipment at the point(s) of import and shall handle any formalities for customs clearance."</p>
PC 22 Installation	<p>PC 22.2.3. Labor Laws</p> <p>The Contractor shall comply with all the relevant labor Laws applicable to the Contractor's Personnel, including Laws relating to their employment, health, safety, welfare, immigration and emigration, and shall allow them all their legal rights.</p> <p>The Contractor shall at all times during the progress of the Contract use its best endeavors to prevent any unlawful, riotous or disorderly conduct or behavior by or amongst its employees and the labor of its Subcontractors.</p> <p>The Contractor shall, in all dealings with its labor and the labor of its Subcontractors currently employed on or connected with the Contract, pay due regard to all recognized festivals, official holidays, religious or other customs and all local laws and regulations pertaining to the employment of labor.</p> <p>Salient features of major labour and other laws that are applicable to construction industry in India are given as Appendix C to these General Conditions of Contract."</p> <p>PC22.2.5 Working Hours</p> <p>Normal working hours are: 09:00 hrs to 18:00 hrs</p>
PC 24 Completion of the Facilities	<p>PC 24.3 As soon as reasonably practicable after the operating and maintenance personnel have been supplied by the Employer and the raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters, if so specified in Appendix 6 (Scope of Works and Supply by the Employer), have been provided by the Employer in accordance with GC Sub-Clause 24.2, the Contractor shall commence Pre-commissioning of the</p>

	<p>Facilities or the relevant part thereof in preparation for Commissioning, subject to GC Sub-Clause 25.5</p> <p>PC 24.4 As soon as all works in respect of Pre-commissioning are completed and, in the opinion of the Contractor, the Facilities or any part thereof is ready for Commissioning, the Contractor shall commence Commissioning as per procedures stipulated in Technical Specification, and as soon as Commissioning is satisfactorily completed, the Contractor shall so notify the Project Manager in writing.</p>
PC 24.3 and 25.2	<p>Completion – Guarantee Test – Acceptance</p> <p>[1] In the event that the Contractor is unable to proceed with the Pre-commissioning of the Facilities pursuant to Sub-Clause 24.3, or with the Guarantee Test pursuant to Sub-Clause 25.2, for reasons attributable to the Employer either on account of non-availability of other facilities under the responsibilities of other contractor(s), or for the reasons beyond the Employer's control, the provisions leading to "deemed" completion of activities such as Completion, pursuant GC Sub-Clause 24.6, and Operational Acceptance, pursuant to GC Sub-Clause 25.3.4, and Contractor's obligations regarding Defect Liability Period, pursuant to GC Sub-Clause 27.2, Functional Guarantee, pursuant to GC Clause 28, and care of Facilities, pursuant to GC Clause 32, and GC Clause 41.1, Suspension, shall not apply. In this case, the following provisions shall apply.</p> <p>[2] When the Contractor is notified by the Project Manager that he will be unable to proceed with the activities and obligations pursuant to the above Sub-Clause 13.1, the Contractor shall be entitled to the following:</p> <ul style="list-style-type: none"> (a) The Time of Completion shall be extended for the period of suspension without imposition of liquidated damages pursuant to GC Sub-Clause 26.2. (b) Payments due to the Contractor in accordance with the provision specified in Appendix 1 (Terms and procedures of Payment) to the Contract Agreement, which would not have been payable in normal circumstances due to non-completion of the subject activities, shall be released to the Contractor against submission of a security in the form of a bank guarantee of equivalent amount acceptable to the Employer, and which shall become null and void when the Contractor will have complied with its obligations regarding these payments, subject to the provision of para 3 below; (c) The expenses towards the security and extension of other securities under the contract, of which validity need to be extended, shall be

	<p>reimbursed to the Contractor by the Employer; and</p> <p>(d) The additional charges towards the care of the Facilities pursuant to GC Sub-Clause 32.1 shall be reimbursed to the Contractor by the Employer for the period between the notification mentioned above and the notification mentioned in para 4 below. The provision of GC Sub-Clause 33.2 shall apply to the Facilities during the same period.</p> <p>[3] In the event that the period of suspension under the above Sub-Clause 13.1 actually exceeds one hundred eighty (180) days, the Employer and Contractor shall mutually agree to any additional compensation payable to the Contractor.</p> <p>[4] When the Contractor is notified by the project Manager that the plant is ready for Pre-commissioning, the Contractor shall proceed without delay in performing all the specified activities and obligations under the contract.</p>
<p>PC 25. Commissioning and Operational Acceptance</p>	<p>PC 25.1.1 Commissioning of the Facilities or any part thereof shall be completed by the Contractor as per procedures detailed in Technical Specifications.</p> <p>PC 25.1.2 The Employer shall, unless otherwise specified in Technical Specifications, supply the operating and maintenance personnel and all raw materials, utilities, lubricants, chemicals, catalysts, facilities, services and other matters required for Commissioning.</p> <p>PC 25.2.2 The Guarantee Test of the Facilities shall be successfully complete within 15 from the date of Completion.</p> <p>PC 25.3.1(b) the Guarantee Test has not been successfully completed or has not been carried out for reasons not attributable to the Contractor, but successful completion of the Facilities has been achieved, within the period from the date of Completion specified in the PC pursuant to GC Sub-Clause 25.2.2 above or any other period agreed upon by the Employer and the Contractor; or</p> <p>PC 25.3.1 (e) Three sets of as built drawings and operating and maintenance manuals and CD's (as required) are furnished.</p> <p>PC 25.3.3 The Project Manager shall, after consultation with the Employer, and within Twenty One (21) days after receipt of the Contractor's notice, issue an Operational Acceptance Certificate.</p> <p>PC 25.3.4 If within Twenty One (21) days after receipt of the Contractor's notice, the Project Manager fails to issue the Operational Acceptance Certificate or fails to inform the</p>

	<p>Contractor in writing of the justifiable reasons why the Project Manager has not issued the Operational Acceptance Certificate, the Facilities or the relevant part thereof shall be deemed to have been accepted as of the date of the Contractor's said notice.</p>
<p>PC 26. Completion Time Guarantee</p>	<p>PC 26.2</p> <p>Applicable rate for liquidated damages: one-half percent (0.5%) of contract price per week</p> <p>The above rate applies to the price of the part of the Facilities, as quoted in the Price Schedule, for that part for which the Contractor fails to achieve Completion within the particular Time for Completion.</p> <p>Maximum deduction for liquidated damages: 10% of contract price</p> <p>PC 26.3 No bonus will be given for earlier Completion of the Facilities or part thereof.</p>
<p>PC 27. Defect Liability</p>	<p>PC 27.2 The Defect Liability Period shall be Two years from the date of Operational Acceptance of the Facilities (After the issue of the Operational Acceptance Certificate by the Employer pursuant to PC 25)</p> <p>If during the Defect Liability Period any defect should be found in the design, engineering, materials and workmanship of the Plant supplied or of the work executed by the Contractor, the Contractor shall promptly, in consultation and agreement with the Employer regarding appropriate remedying of the defects, and at its cost, repair, replace or otherwise make good as the Contractor shall determine at its discretion, such defect as well as any damage to the Facilities caused by such defect. The Contractor shall not be responsible for the repair, replacement or making good of any defect or of any damage to the Facilities arising out of or resulting from any of the following causes:</p> <ul style="list-style-type: none"> (a) improper operation or maintenance of the Facilities by the Employer; (b) operation of the Facilities outside specifications provided in the Contract; or (c) normal wear and tear. <p>PC 27.6 If the repair, replacement or making good is of such a character that it may affect the efficiency of the Facilities or any part thereof, the Employer may give to the Contractor a notice requiring that tests of the defective part of the Facilities shall be made by the Contractor immediately upon completion of such remedial work, whereupon the</p>

	<p>Contractor shall carry out such tests.</p> <p>If such part fails the tests, the Contractor shall carry out further repair, replacement or making good, as the case may be, until that part of the Facilities passes such tests. T The tests in character shall in any case be not less than what has already been agreed by the Employer and the Contractor for the original equipment/part of the Facilities</p> <p>PC 27.8 If the Facilities or any part thereof cannot be used by reason of such defect and/or making good of such defect, the Defect Liability Period of the Facilities or such part, as the case may be, shall be extended by a period equal to the period during which the Facilities or such part cannot be used by the Employer because of any of the aforesaid reasons. Upon correction of the defects in the Facilities or any part thereof by repair/replacement, such repair/replacement shall have the Defect Liability Period extended by a period of twelve (12) months from the time such replacement/repair of the facilities or any part thereof</p> <p>PC 27.8.1 At the end of the Defect Liability Period, the Contractor's liability ceases except for latent defects. The Contractor's liability for latent defects warranty shall be limited to period of three (3) years from the end of Defect Liability Period. For the purpose of this clause, the latent defects shall be the defects inherently lying within the material or arising out of design deficiency which do not manifest themselves during the Defect Liability Period defined in this GC Clause 27, but later."</p> <p>PC 27.9 Except as provided in GC Clauses 27 and 33, the Contractor shall be under no liability whatsoever and howsoever arising, and whether under the Contract or at law, in respect of defects in the Facilities or any part thereof, the Plant, design or engineering or work executed that appear after operational acceptance or any part thereof, except where such defects are the result of the gross negligence, fraud, or criminal or willful action of the Contractor.</p>
<p>PC 28 Functional Guarantees</p>	<p>PC 28.2 If, for reasons attributable to the Contractor, the minimum level of the Functional Guarantees specified in the Appendix to the Contract Agreement titled Functional Guarantees, are not met either in whole or in part, the Contractor shall at its cost and expense make such changes, modifications and/or additions to the Plant or any part thereof as may be necessary to meet at least the minimum level of such Guarantees. The Contractor shall notify the Employer upon completion of the necessary changes, modifications and/or additions, and shall request the Employer to repeat the Guarantee Test until the minimum level of the Guarantees has been met. If the Contractor eventually fails to meet the minimum level of Functional Guarantees, the Employer may consider termination of the</p>

	<p>Contract, pursuant to GC Sub-Clause 42.2.2 and recover the payments already made to the Contractor.</p> <p>PC 28.3(a) make such changes, modifications and/or additions to the Facilities or any part thereof that are necessary to attain the Functional Guarantees at its cost and expense within a mutually agreed time, and shall request the Employer to repeat the Guarantee Test or</p> <p>PC 28.4 In case the employer exercises its option to accept the equipment after levy of liquidated damages, the payment of liquidated damages under GC Sub-Clause 28.3, up to the limitation of liability specified in the Appendix to the Contract Agreement titled Functional Guarantees, shall completely satisfy the Contractor's guarantees under GC Sub-Clause 28.3, and the Contractor shall have no further liability whatsoever to the Employer in respect thereof. Upon the payment of such liquidated damages by the Contractor, the Project Manager shall issue the Operational Acceptance Certificate for the Facilities or any part thereof in respect of which the liquidated damages have been so paid.</p>
PC 31 Transfer of Ownership	<p>PC 31.1 – Deleted</p> <p>PC 31.2 Ownership of the Plant (including spare parts) procured in the country where the Site is located shall be transferred to the Employer when the Plant are brought on to the Site</p> <p>PC 31.4 Ownership of any Plant in excess of the requirements for the Facilities shall revert to the Contractor upon Completion of the Facilities or at such earlier time when the Employer and the Contractor agree that the Plant in question are no longer required for the Facilities provided quantity of any Plant and Equipment specifically stipulated in the Contract shall be the property of the Employer whether or not incorporated in the Facilities</p>
PC 34 Insurance	<p>PC 34.7 Unless otherwise provided in the Contract, the Contractor shall prepare and conduct all and any claims made under the policies effected by it pursuant to this GC Clause 34, and all monies payable by any insurers shall be paid to the Contractor as per the procedure outlined in PC. The Employer shall give to the Contractor all such reasonable assistance as may be required by the Contractor. With respect to insurance claims in which the Employer's interest is involved, the Contractor shall not give any release or make any compromise with the insurer without the prior written consent of the Employer. With respect to insurance claims in which the Contractor's interest is involved, the Employer shall not give any</p>

	<p>release or make any compromise with the insurer without the prior written consent of the Contractor.</p> <p><u>PC 34.8 Appropriation of Insurance Proceeds</u></p> <p>Should any loss or damage occur, the Supplier shall:</p> <ul style="list-style-type: none"> a) initiate and pursue claim till settlement; and b) promptly make arrangements for repair and/or replacement of the damaged or lost item/s and ensure supply/commissioning in terms of the contract, irrespective of settlement of claim by the insurance company. <p>Keeping in view the above the Employer shall give, from time to time, written authorization to the insurance company to directly pay monies payable by the insurer to the supplier after excluding any payment including advances already paid by the employer in respect of those items. Such excluded payments will be payable to the Employer only and insurer will accordingly make the payment as advised by the employer from time to time. All subsequent payments, if any, due under the Contract, shall be regulated by the relevant terms of payment.</p>
PC 36 Change in Laws and Regulations	<p>PC 36.1 If, after the date twenty-eight (28) days prior to the date of Bid submission, in the country where the Site is located, any law, regulation, ordinance, order or by-law having the force of law is enacted, promulgated, abrogated or changed which shall be deemed to include any change in interpretation or application by the competent authorities, that subsequently affects the costs and expenses of the Contractor and/or the Time for Completion, the Contract Price shall be correspondingly increased or decreased, and/or the Time for Completion shall be reasonably adjusted to the extent that the Contractor has thereby been affected in the performance of any of its obligations under the Contract. Notwithstanding the foregoing, such additional or reduced costs shall not be separately paid or credited if the same has already been accounted for in the price adjustment provisions where applicable, in accordance with the PC pursuant to GC Sub-Clause 11.2. However, these adjustments, after ascertaining the facts, would be restricted to direct transactions between the Employer and the Contractor and not on procurement of raw materials, intermediary components etc. by the Contractor. Further, no adjustment of the Contract price shall be made on account of variation in deemed export benefits.</p>
PC 37 Force Majeure	<p>PC 37.6 If the performance of the Contract is substantially prevented, hindered or delayed for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of one or more events of Force Majeure during the currency of the Contract, the Parties will attempt to develop a mutually satisfactory solution, failing which the dispute will be resolved in accordance with GC Clause 46.</p>

	<p>PC 37.7 – Deleted</p> <p>PC 37.8 – Renumbered as 37.7</p>
PC 38 War Risks	<p>PC 38.5 If during the performance of the Contract any War Risks shall occur that financially or otherwise materially affect the execution of the Contract by the Contractor, the Contractor shall use its reasonable efforts to execute the Contract with due and proper consideration given to the safety of its and its Subcontractors' personnel engaged in the work on the Facilities, provided, however, that if the execution of the work on the Facilities becomes impossible or is substantially prevented for a single period of more than sixty (60) days or an aggregate period of more than one hundred and twenty (120) days on account of any War Risks, the Parties will attempt to develop a mutually satisfactory solution, failing which the dispute will be resolved in accordance with GC Clause 46.</p> <p>PC 38.6 In the event of termination pursuant to GC Sub-Clauses 38.3, the rights and obligations of the Employer and the Contractor shall be specified in GC Sub-Clauses 42.1.2 and 42.1.3.</p>
PC 39. Change in the Facilities	<p>PC 39.2.5 If before or during the preparation of the Change Proposal it becomes apparent that the aggregate effect of compliance therewith and with all other Change Orders that have already become binding upon the Contractor under this GC Clause 39 would be to increase or decrease the Contract Price as originally set forth in Article 2 (Contract Price) of the Contract Agreement by more than fifteen percent (15%), the Contractor may give a written notice of objection thereto prior to furnishing the Change Proposal as aforesaid. If the Employer accepts the Contractor's objection, the Employer and the Contractor shall agree on specific rates for valuation of the Change.</p> <p>The Contractor's failure to so object shall neither affect its right to object to any subsequent requested Changes or Change Orders herein, nor affect its right to take into account, when making such subsequent objection, the percentage increase or decrease in the Contract Price that any Change not objected to by the Contractor represents.</p> <p>PC 39.2.6 If rates and prices of any change are not available in the Contract, the parties thereto shall agree on specific rates for the valuation of the change. Upon receipt of the Change Proposal, the Employer and the Contractor shall mutually agree upon all matters therein contained. Within fourteen (14) days after such agreement, the Employer shall, if it intends to proceed with the Change, issue the Contractor with a Change Order.</p> <p>If the Employer is unable to reach a decision within fourteen (14) days, it shall notify the Contractor with details of when the Contractor can expect a decision.</p> <p>If the Employer decides not to proceed with the Change for whatever reason, it shall, within the said period of fourteen (14) days,</p>

	<p>notify the Contractor accordingly. Under such circumstances, the Contractor shall be entitled to reimbursement of all costs reasonably incurred by it in the preparation of the Change Proposal, provided that these do not exceed the amount given by the Contractor in its Estimate for Change Proposal submitted in accordance with GC Sub-Clause 39.2.2.</p>
PC 42 Termination	<p>PC 42.3.1(b) the Contractor is unable to carry out any of its obligations under the Contract for any reason attributable to the Employer, including but not limited to the Employer's failure to provide possession of or access to the Site or other areas or failure to obtain any governmental permit necessary for the execution and/or completion of the Facilities which the Employer is required to obtain as per provision of the Contract as per relevant applicable laws of the country,</p> <p>then the Contractor may give a notice to the Employer thereof, and if the Employer has failed to pay the outstanding sum, to approve the invoice or supporting documents, to give its reasons for withholding such approval, or to remedy the breach within twenty-eight (28) days of such notice, or if the Contractor is still unable to carry out any of its obligations under the Contract for any reason attributable to the Employer within twenty-eight (28) days of the said notice, the Contractor may by a further notice to the Employer referring to this GC Sub-Clause 42.3.1, forthwith terminate the Contract.</p>
PC 44.1 Export Restrictions	GC 44.1 – deleted.
PC 46. Disputes and Arbitration	<p>PC 46.1 and PC 46.2</p> <p>Name of the agreed Adjudicator Dr. JAGDISH PATIL.</p> <p>Appointing Authority for the Adjudicator: Secretary R&R, Government of Maharashtra</p>
	<p>PC 46.4</p> <p>Daily rate and types of reimbursable expenses to be paid to the Adjudicator: Rs. 10,000 per day for boarding/ lodging/ travel etc.</p>
	<p>PC 46.5</p> <p>The procedure for adhoc arbitration will be as follows:</p> <p>(a) In case of Dispute or difference arising between the Purchaser and a Contractor relating to any matter arising out of or connected with this contract, such disputes or difference shall be settled in accordance with the Arbitration and Conciliation Act, 1996. The</p>

	<p>arbitral tribunal shall consist of 3 Arbitrators one each to be appointed by the Purchaser and the Contractor. The third Arbitrator shall be chosen by the two Arbitrators so appointed by the Parties and shall act as Presiding Arbitrator. In case of failure of the two Arbitrators appointed by the parties to reach upon a consensus within a period of 30 days from the appointment of the Arbitrator appointed subsequently, the Presiding Arbitrator shall be appointed by the President of the Institution of Engineers (India).</p> <p>(b) If one of the parties fails to appoint its Arbitrator in pursuance of sub-clause (a) above within 30 days after receipt of the notice of the appointment of its Arbitrator by the other party, then the * International Chamber of Commerce India (ICC India)/ Indian Council of Arbitration/ President of the Institution of Engineers (India), both in cases of Foreign Contractor as well as Indian Contractor, shall appoint the Arbitrator. A certified copy of the order of the President of the Institution of Engineers (India), making such an appointment shall be furnished to each of the parties.</p> <p>(c) Arbitration may be commenced prior to or after completion of the Works, provided that the obligations of the Employer, the Project Manager, the Contractor and the Adjudicator shall not be altered by reason of the arbitration being conducted during the progress of the Works.</p> <p>(d) Arbitration proceedings shall be held at Mumbai, India, and the language of the arbitration proceedings and that of all documents and communications between the parties shall be English.</p> <p>(e) The decision of the majority of Arbitrators shall be final and binding upon both parties. The cost and expenses of Arbitration proceedings will be paid as determined by the arbitral tribunal. However, the expenses incurred by each party in connection with the preparation, presentation, etc. of its proceedings as also the fees and expenses paid to the Arbitrator appointed by such party or on its behalf shall be borne by each party itself.</p> <p>(f) Where the value of the contract is Rs.50 million and below, the disputes or differences arising shall be referred to the Sole Arbitrator. The Sole Arbitrator should be appointed by agreement between the parties; failing such agreement, by the appointing authority, namely the President of the Institution of Engineers (India).</p> <p>(g) The Arbitrator should give final award within 120 days of starting of the proceedings, unless otherwise agreed to by the Parties.</p>
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	(h) Performance under the contract shall continue during the arbitration proceedings and payments due to the Contractor by the Purchaser shall not be withheld, unless they are the subject matter of the arbitration proceedings.
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Section IX. - Contract Forms

Notification of Award - Letter of Acceptance

[on letterhead paper of the Employer]

[The Letter of Acceptance shall be the basis for formation of the Contract as described in ITB Clause 43. This Standard Form of Letter of Acceptance shall be filled in and sent to the successful Bidder only after evaluation of bids has been completed, subject to any review by the World Bank required under the Loan Agreement.]

To: _____

This is to notify you that your Bid dated _____ for execution of the _____ for the Contract Price in the aggregate of _____, as corrected and modified³⁴ in accordance with the Instructions to Bidders is hereby accepted by our Agency.

You are requested to furnish the Performance Security and an Environmental, Social, Health and Safety Performance Security ***[Delete ESHS Performance Security if it is not required under the contract]*** within 28 days in accordance with the Conditions of Contract, using for that purpose the Performance Security Form and the ESHS Performance Security Form ***[Delete ESHS Performance Security if it is not required under the contract]*** included in Section IX - Contract Forms, of the Bidding Document

[Choose one of the following statements:]

We accept that _____ ***[insert the name of Adjudicator proposed by the Bidder]*** be appointed as the Adjudicator³⁵.

[or]

We do not accept that _____ ***[insert the name of the Adjudicator proposed by the Bidder]*** be appointed as the Adjudicator, and by sending a copy of this Letter of Acceptance to _____ ***[insert name of the Appointing Authority]***, the Appointing Authority, we are hereby requesting such Authority to appoint the Adjudicator in accordance with ITB 46.1 and GC 46.1³⁶.

³⁴Delete "corrected and" or "and modified" if not applicable.

³⁵To be used only if the Contractor disagrees in the Bid with the Adjudicator proposed by the Employer in the Instructions to Bidders, and has accordingly offered another candidate.

³⁶To be used only if the Contractor disagrees in the Bid with the Adjudicator proposed by the Employer in the ITB, has accordingly offered another candidate, and the Employer does not accept the counterproposal.

We have reviewed the Method Statement and Construction Schedule submitted by you along with the bid (Technical Proposal) and our comments are given in the attachment. You are requested to submit a revised detailed Program of Performance of the Contract as per Clause 18.2 of General Conditions of Contract within 28 days of this Notification of Award.

Authorized Signature: _____

Name and Title of Signatory: _____

Name of Agency: _____

Attachment: Contract Agreement

Contract Agreement

THIS AGREEMENT is made the _____ day of _____, _____,

BETWEEN

(1) _____, a corporation incorporated under the laws of _____ and having its principal place of business at _____ (hereinafter called “the Employer”), and (2) _____, a corporation incorporated under the laws of _____ and having its principal place of business at _____ (hereinafter called “the Contractor”).

WHEREAS the Employer desires to engage the Contractor to design, manufacture, test, deliver, install, complete and commission certain Facilities, viz. _____ (“the Facilities”), and the Contractor has agreed to such engagement upon and subject to the terms and conditions hereinafter appearing.

NOW IT IS HEREBY AGREED as follows:

Article 1.
Contract
Documents

1.1 Contract Documents (Reference GC Clause 2)

The following documents shall constitute the Contract between the Employer and the Contractor, and each shall be read and construed as an integral part of the Contract:

- (a) This Contract Agreement and the Appendices hereto
- (b) Letter of Bid and Price Schedules submitted by the Contractor
- (c) Particular Conditions
- (d) General Conditions
- (e) Specification
- (f) Drawings
- (g) Other completed bidding forms submitted with the Bid
- (h) Any other documents forming part of the Employer’s Requirements
- (i) Program of Performance, Methodology, Quality Assurance Program and ESHS Environmental Management Strategies and Implementation Plan
- (j) Any other documents shall be added here

1.2 Order of Precedence (Reference GC Clause 2)

In the event of any ambiguity or conflict between the Contract Documents listed above, the order of precedence shall be the order in which the Contract Documents are listed in Article 1.1 (Contract Documents) above.

1.3 Definitions (Reference GC Clause 1)

Capitalized words and phrases used herein shall have the same meanings as are ascribed to them in the General Conditions.

Article 2.
Contract Price
and Terms of
Payment

2.1 Contract Price (Reference GC Clause 11)

The Employer hereby agrees to pay to the Contractor the Contract Price in consideration of the performance by the Contractor of its obligations hereunder. The Contract Price shall be the aggregate of: _____, _____ as specified in Price Schedule No. 5 (Grand Summary), and _____, _____, or such other sums as may be determined in accordance with the terms and conditions of the Contract.

2.2 Terms of Payment (Reference GC Clause 12)

The terms and procedures of payment according to which the Employer will reimburse the Contractor are given in the Appendix (Terms and Procedures of Payment) hereto.

The Employer may instruct its bank to issue an irrevocable confirmed documentary credit made available to the Contractor in a bank in the country of the Contractor. The credit shall be for an amount of _____; and shall be subject to the Uniform Customs and Practice for Documentary Credits 1993 Revision, ICC Publication No. 600.

In the event that the amount payable under Schedule No. 1 is adjusted in accordance with GC 11.2 or with any of the other terms of the Contract, the Employer shall arrange for the documentary credit to be amended accordingly.

Article 3.
Effective Date

3.1 Effective Date (Reference GC Clause 1)

The Effective Date from which the Time for Completion of the Facilities shall be counted is the date when all of the following conditions have been fulfilled:

- (a) This Contract Agreement has been duly executed for and on behalf of the Employer and the Contractor;
- (b) The Contractor has submitted to the Employer the performance security and the advance payment guarantee;
- (c) The Employer has paid the Contractor the advance payment

- (d) The Contractor has been advised that the documentary credit referred to in Article 2.2 above has been issued in its favor.

Each party shall use its best efforts to fulfill the above conditions for which it is responsible as soon as practicable.

- 3.2 If the conditions listed under 3.1 are not fulfilled within two (2) months from the date of this Contract notification because of reasons not attributable to the Contractor, the Parties shall discuss and agree on an equitable adjustment to the Contract Price and the Time for Completion and/or other relevant conditions of the Contract.

**Article 4.
Communications**

- 4.1 The address of the Employer for notice purposes, pursuant to GC 4.1 is: _____.
- 4.2 The address of the Contractor for notice purposes, pursuant to GC 4.1 is: _____.

**Article 5.
Appendices**

- 5.1 The Appendices listed in the attached List of Appendices shall be deemed to form an integral part of this Contract Agreement.
- 5.2 Reference in the Contract to any Appendix shall mean the Appendices attached hereto, and the Contract shall be read and construed accordingly.

IN WITNESS WHEREOF the Employer and the Contractor have caused this Agreement to be duly executed by their duly authorized representatives the day and year first above written.

Signed by, for and on behalf of the Employer

[Signature]

[Title]

in the presence of _____

Signed by, for and on behalf of the Contractor

[Signature]

[Title]

in the presence of _____

APPENDICES

- Appendix 1 Terms and Procedures of Payment
- Appendix 2 Price Adjustment
- Appendix 3 Insurance Requirements
- Appendix 4 Time Schedule
- Appendix 5 List of Major Items of Plant and Installation Services and List of Approved Subcontractors
- Appendix 6 Scope of Works and Supply by the Employer
- Appendix 7 List of Documents for Approval or Review
- Appendix 8 Functional Guarantees

[Note: Sample Forms of Appendices are provided herein. However, since the provisions of Appendices would be contract specific, these may be finalized by the Employer on a case-to-case basis.]

Appendix 1. Terms and Procedures of Payment

In accordance with the provisions of GC Clause 12 (Terms of Payment), the Employer shall pay the Contractor in the following manner and at the following times, on the basis of the Price Breakdown given in the section on Price Schedules. Payments will be made in Indian Rupees (INR)

TERMS OF PAYMENT

The payments shall be made with 45 days of receipt of correct invoice with required documents in following stages:

i. Advance Payment:

Mobilization Advance of 10% of the Contract price will be given against submission of a bank guarantee of 110% of the mobilization advance amount valid as per PC 13. This payment shall be adjusted in 3 equal installments against the subsequent invoices submitted by the contractor.

ii. Payment for contract price for schedule-1 (Plant and Mandatory Spare Parts Supplied from Abroad):

70% of the sum of Price of Schedule No. 1, phase --- will be paid against delivery of receipt of ordered materials in Good condition at Destination and approval of the delivery report by SPIU.

- 20% of Contract Price of Schedule No. 1 will be paid against invoice after installation and commissioning of all the equipments and approval of the installation report by SPIU.
- 10% of Contract Price of Schedule No. 1 will be paid against Operational Testing and acceptance report by SPIU.

iii. Payment for contract price for schedule-2 Plant and Mandatory Spare Parts Supplied from within the employer's country:

- 70% of Contract Price of Schedule No. 2 will be paid against delivery of receipt of ordered materials in Good condition at Destination and approval of the delivery report by SPIU as per Schedule of Requirements.
- 20% of Contract Price of Schedule No. 2 will be paid against invoice after installation and commissioning of all the equipments and approval of the installation report by SPIU.

- 10% of Contract Price of Schedule No. 2 will be paid against Operational testing and operational Acceptance report by SPIU.

iv. Payment for contract price for schedule-3 (Design Services):

- 70% of Contract Price of Schedule No. 3 will be paid against invoice after acceptance of survey & design reports by SPIU.
- 30% of Contract Price of Schedule No. 3 will be paid against invoice after successful completion of Acceptance Testing.

v. Payment for contract price for schedule-4 (Installation, commissioning, acceptance testing, training and other services):

- 80% of Contract Price of Schedule No. 4 will be paid against invoice after completion of installation, commissioning, acceptance testing & training. Solution wise part payment on pro rata basis may be considered by the employer subject to minimum 50% completion of work.
- 10% of Contract Price of Schedule No. 4 will be paid against invoice after completion of 1st year of warranty.
- 10% of Contract Price of Schedule No. 4 will be paid against invoice after completion of 2nd year of warranty.

vi. Payment for contract price for schedule-5 (O&M for 2 years including mandatory spare parts):

- The Contract Price of Schedule No. 5 will be paid on monthly basis after completion of each month and after submission of monthly invoice with successful O&M certificate for the month issued by SPIU.

vii. Payment for contract price for schedule-6 (Grand Summary):

- Not applicable.

viii. Payment for GST:

- Payment of GST as applicable will be against valid Invoice as per GST Act & Rules and submission of GST Registration Certificate along with declaration that GST Registration is valid. GST amount will be reimbursed after 45 days of submission of valid Invoice and all required documents and declaration by vendor

The bidder shall indicate in the Price Schedules specifying all items prices shown therein including the unit prices and total prices of the goods and related services along with GST or any other duties and taxes applicable against the schedule of requirements. GST if livable shall be paid as applicable. Any statutory variation shall be paid on submission of documentary evidence. Bidders shall be required to quote applicable tax rates.

“GST” means all four Acts CGST, SGST, UTGST, IGST and any other regulations by Government in relation to GST and rules thereto.

Applicable GST and similar other taxes and duties will be reimbursed on submission of bill with documentary evidence of payment within forty five [45] days of receipt of documents.

In the event that the Employer fails to make any payment on its respective due date, the Employer shall pay to the Contractor interest on the amount of such delayed payment at the rate of 8% per year or SBI PLR whichever is lower for period of delay until payment has been made in full.

PAYMENT PROCEDURES

The procedures to be followed in applying for certification and making payments shall be as follows:

Payment will be released against the defined deliverables as specified above, it will be released on the delivery and inspection, and inspection will be done by SPIUs consultant for EWDS.

Appendix 2. Price Adjustment

Not Applicable

Appendix 3. Insurance Requirements

Details to be completed by the Employer prior to issuing the bidding documents. In the event that the Employer provides any insurances under the Contract, appropriate details must be given.

Insurances to be Taken Out by the Contractor

In accordance with the provisions of GC Clause 34, the Contractor shall at its expense take out and maintain in effect, or cause to be taken out and maintained in effect, during the performance of the Contract, the insurances³⁷ set forth below in the sums and with the deductibles and other conditions specified. The identity of the insurers and the form of the policies shall be subject to the approval of the Employer, such approval not to be unreasonably withheld.

The contractor all risk policy (CAR) or Transit cum erection (TCE) or Erection All Risk Insurance (EAR) policy in respect of contract work awarded to client as a principle to the contract and Workmen's compensation insurance in respect to the workmen engaged.

Amount	Deductible limits	Parties insured	From	to
100% of Contract price	1% of the Contract price	Insured Contractor Co-insured Employer	Start date of the agreement	End date of the agreement

(a) Cargo Insurance (Should be covered in above CAR/TCE/EAR policy)

The Contractor or its Subcontractors have to provide "Cargo Insurance" covering loss or damage occurring, while in transit from the supplier's or manufacturer's works or stores until arrival at the Site, to the Facilities (including spare parts there for) and to the construction equipment

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
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(b) Installation All Risks Insurance (Should be covered in above CAR/TCE/EAR policy)

The Contractor or its Subcontractors have to provide "installation all risk insurance" covering physical loss or damage to the Facilities at the Site, occurring prior to completion of the Facilities, with an extended maintenance coverage for the Contractor's liability in respect of any loss or damage occurring during the defect liability period while the Contractor is on the Site for the purpose of performing its obligations during the defect liability period.

³⁷Contractors' may also take out and maintain Contractor's All Risks (CAR) Insurance policies, provided the policy covers all risks listed herein.

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
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- (c) Third Party Liability Insurance (Should be covered in above CAR/TCE/EAR policy)
The Contractor or its Subcontractors have to provide “third party liability insurance” covering bodily injury or death suffered by third parties (including the Employer’s personnel) and loss of or damage to property (including the Employer’s property and any parts of the Facilities that have been accepted by the Employer) occurring in connection with the supply and installation of the Facilities.

<u>Amount</u>	<u>Deductible limits</u>	<u>Parties insured</u>	<u>From</u>	<u>To</u>
---------------	--------------------------	------------------------	-------------	-----------

- (d) Automobile Liability Insurance (Should be covered in above CAR/TCE/EAR policy)
The Contractor or its Subcontractors have to provide “automobile liability insurance” covering use of all vehicles by the contractor or its subcontractors (whether or not owned by them) in connection with the supply and installation of the Facilities. Comprehensive insurance in accordance with statutory requirements.
- (e) Workers’ Compensation (Should be covered in above CAR/TCE/EAR policy)
The Contractor or its Subcontractors will have to provide “Worker’s Compensation” in accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.
- (f) Employer’s Liability (Should be covered in above CAR/TCE/EAR policy)
The “employer’s liability” shall be in accordance with the statutory requirements applicable in any country where the Facilities or any part thereof is executed.
- (g) Other Insurances (Should be covered in above CAR/TCE/EAR policy)
The Contractor is also required to take out and maintain at its own cost the following insurances:
Not applicable

The Employer shall be named as co-insured under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Third Party Liability, Workers’ Compensation and Employer’s Liability Insurances, and the Contractor’s Subcontractors shall be named as co-insureds under all insurance policies taken out by the Contractor pursuant to GC Sub-Clause 34.1, except for the Cargo, Workers’ Compensation and Employer’s Liability Insurances. All insurer’s rights of subrogation against such co-insureds for losses or claims arising out of the performance of the Contract shall be waived under such policies.

Insurances To Be Taken Out By The Employer**Not Applicable**

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Appendix 4. Time Schedule

- Twelve (12) Months for project implementation
- Two (2) years O&M and warranty after project implementation

The bidder is required to submit his own proposed implementation schedule. However, the total implementation time must not exceed 12 months from start date.

Appendix 5. List of Major Items of Plant and Installation Services and List of Approved Subcontractors

Prior to award of Contract, the following details shall be completed, indicating those Subcontractors proposed by the Bidder in the corresponding Attachment to its bid that are approved by the Employer for engagement by the Contractor during the performance of the Contract.

A list of major items of Plant and Installation Services is provided below.

The following Subcontractors and/or manufacturers are approved for carrying out the items of the Facilities indicated below. Where more than one Subcontractor is listed, the Contractor is free to choose between them, but it must notify the Employer of its choice in good time prior to appointing any selected Subcontractor. In accordance with GC Sub-Clause 19.1, the Contractor is free to submit proposals for Subcontractors for additional items from time to time. No Subcontracts shall be placed with any such Subcontractors for additional items until the Subcontractors have been approved in writing by the Employer and their names have been added to this list of Approved Subcontractors.

Major Items of EWDS and Installation Services	Approved Subcontractors/Manufacturers	Nationality
DMR Systems		
Siren Systems		
Networking Systems		
Power Systems		
Supply and installation of Poles & Towers		
Office automation systems		
Civil & AC works for SEOC, DEOCs & TEOCs		

Appendix 6. Scope of Works and Supply by the Employer

Prior to issuing the bidding documents, the Employer shall indicate in this Appendix details of all personnel and Facilities it will provide for use by the Contractor and indicate, where applicable, the charges that it will make in respect of their use.

The Employer shall also identify any part(s) of the facilities it intends to carry out itself (or by other contractors), and any plant, equipment, or materials that it proposes to purchase itself and supply to the Contractor for incorporation in the facilities, indicating, where applicable, the charges that it will make in respect thereof.

The following personnel, facilities, works and supplies will be provided/ supplied by the Employer, and the provisions of GC Clauses 10, 21 and 24 shall apply as appropriate.

All personnel, facilities, works and supplies will be provided by the Employer in good time so as not to delay the performance of the Contractor, in accordance with the approved Time Schedule and Program of Performance pursuant to GC Sub-Clause 18.2.

Unless otherwise indicated, all personnel, facilities, works and supplies will be provided free of charge to the Contractor.

Personnel

Charge to Contractor (if any)

Facilities

Charge to Contractor (if any)

Works

Charge to Contractor (if any)

Supplies

Charge to Contractor (if any)

Appendix 7. List of Documents for Approval or Review

Pursuant to GC Sub-Clause 20.3.1, the Contractor shall prepare, or cause its Subcontractor to prepare, and present to the Project Manager in accordance with the requirements of GC Sub-Clause 18.2 (Program of Performance), the following documents for

1. Detailed Survey Reports
2. Final Design Report for all solutions
3. Implementation documents for each solution
 - a. DMR solution
 - b. Satellite Solution
 - c. Siren Solution
 - d. Networking solution
 - e. Poles & Towers
 - f. SEOC, DEOC, TEOC Setup
 - g. Office automation systems
4. Acceptance testing formats
5. As-built drawings / diagrams

Appendix 8. Functional Guarantees

1. General

This Appendix sets out

- (a) the functional guarantees referred to in GC Clause 28 (Functional Guarantees)
- (b) the preconditions to the validity of the functional guarantees, either in production and/or consumption, set forth below
- (c) the minimum level of the functional guarantees
- (d) the formula for calculation of liquidated damages for failure to attain the functional guarantees.

2. Preconditions

The Contractor gives the functional guarantees (specified herein) for the facilities, subject to the following preconditions being fully satisfied:

____NIL____

3. Functional Guarantees

Subject to compliance with the foregoing preconditions, the Contractor guarantees as follows:

3.1 ProductionCapacity

____NIL____

and/or

3.2 Raw Materials and Utilities Consumption

____NIL____

4. Failure in Guarantees and Liquidated Damages

4.1 Failure to Attain Guaranteed Production Capacity

If the production capacity of the facilities attained in the guarantee test, pursuant to GC Sub-Clause 25.2, is less than the guaranteed figure specified in para. 3.1 above, but the actual production capacity attained in the guarantee test is not less than the minimum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications and/or additions to the Facilities, pursuant to GC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of 0.5% per week or part thereof for every complete one percent (1%) of the deficiency in the production

capacity of the Facilities, or at a proportionately reduced rate for any deficiency, or part thereof, of less than a complete one percent (1%).

4.2 Raw Materials and Utilities Consumption in Excess of Guaranteed Level

If the actual measured figure of specified raw materials and utilities consumed per unit (or their average total cost of consumption) exceeds the guaranteed figure specified in para. 3.2 above (or their specified average total cost of consumption), but the actual consumption attained in the guarantee test, pursuant to GC Sub-Clause 25.2, is not more than the maximum level specified in para. 4.3 below, and the Contractor elects to pay liquidated damages to the Employer in lieu of making changes, modifications and/or additions to the Facilities pursuant to GC Sub-Clause 28.3, then the Contractor shall pay liquidated damages at the rate of *[amount in the contract currency]* for every complete one percent (1%) of the excess consumption of the Facilities, or part thereof, of less than a complete one percent (1%).

4.3 Minimum Levels

Notwithstanding the provisions of this paragraph, if as a result of the guarantee test(s), the following minimum levels of performance guarantees (and consumption guarantees) are not attained by the Contractor, the Contractor shall at its own cost make good any deficiencies until the Facilities reach any of such minimum performance levels, pursuant to GC Sub-Clause 28.2:

- (a) production capacity of the Facilities attained in the guarantee test: ninety-five percent (95%) of the guaranteed production capacity (the values offered by the Contractor in its bid for functional guarantees represents 100%).

and/or

- (b) average total cost of consumption of all the raw materials and utilities of the Facilities: one hundred and five percent (105%) of the guaranteed figures (the figures offered by the Contractor in its bid for functional guarantees represents 100%).

4.4 Limitation of Liability

Subject to para. 4.3 above, the Contractor's aggregate liability to pay liquidated damages for failure to attain the functional guarantees shall not exceed 10% percent of the Contract price.

Performance Security - Bank Guarantee
[including Additional Performance Security for unbalanced bids]
[Guarantor letterhead or SWIFT identifier code]

Performance Guarantee No..... *[insert guarantee reference number]*

Date..... *[insert date of issue of the guarantee]*

To: _____ *[name of Employer]*

_____ *[address of Employer]*

WHEREAS _____ *[name and address of Contractor³⁸]* (hereinafter called "the Applicant") has undertaken, in pursuance of Contract No. _____ dated _____ to execute _____ *[name of Contract and brief description of Facilities]* (hereinafter called "the Contract");

AND WHEREAS it has been stipulated by you in the said Contract that the Applicant shall furnish you with a Bank Guarantee by a recognized bank³⁹ for the sum specified therein as security for compliance with his obligations in accordance with the Contract;

AND WHEREAS we have agreed to give the Applicant such a Bank Guarantee;

NOW THEREFORE we hereby affirm that we are the Guarantor and responsible to you, on behalf of the Applicant, up to a total of _____ *[amount of guarantee⁴⁰]* _____ *[in words]*, and we undertake to pay you, upon your first written demand and without cavil or argument, any sum or sums within the limits of _____ *[amount of guarantee]* as aforesaid without your needing to prove or to show grounds or reasons for your demand for the sum specified therein.

We hereby waive the necessity of your demanding the said debt from the Applicant before presenting us with the demand.

³⁸In the case of a JV, insert the name of the Joint Venture

³⁹The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.

⁴⁰An amount shall be inserted by the Guarantor, representing the percentage of the Contract Price specified in the Contract less provisional sums, if any, and denominated in Indian Rupees.

We further agree that no change or addition to or other modification of the terms of the Contract or of the Works to be performed thereunder or of any of the Contract documents which may be made between you and the Applicant shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall (a) be reduced by half upon our receipt of a copy of the Operational Acceptance Certificate; and (b) expire no later than the earlier of:⁴¹ (i) twelve months after our receipt of either (a) above; or (ii) eighteen months after our receipt of a copy of the Completion Certificate; or (iii) the ____ day of ____, 2____.⁴²

Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

Signature and seal of the guarantor _____

Name of Bank _____

Address _____

Date _____

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]

⁴¹ This text shall be revised as and where necessary to take into account (i) partial acceptance of the Facilities in accordance with Sub-Clause 25.4 of the GC; and (ii) extension of the performance security when the Contractor is liable for an extended warranty obligation pursuant to Sub-Clause 27.10 of the GC (although in this latter case the Employer might want to consider an extended warranty security in lieu of the extension of the performance security).

⁴² Insert the date twenty-eight days after the expected expiration date of the Defect Liability Period. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

Environmental, Social, Health and Safety (ESHS) Performance Security - Bank Guarantee

[Guarantor letterhead or SWIFT identifier code]

ESHS Performance Guarantee No.....*[insert guarantee reference number]*

Date.....*[insert date of issue of the guarantee]*

To: _____ *[name of Employer]*
 _____ *[address of Employer]*

WHEREAS _____ *[name and address of Contractor⁴³]*
 (hereinafter called "the Contractor") has undertaken, in pursuance of Contract No. _____
 dated _____ to execute _____ *[name of Contract and*
brief description of Facilities] (hereinafter called "the Contract");

AND WHEREAS it has been stipulated by you in the said Contract that the Contractor shall furnish you with a Bank Guarantee by a recognized bank⁴⁴ for the sum specified therein as security for compliance with Environmental, Social, Health and/or Safety (ESHS) obligations in accordance with the Contract;

AND WHEREAS we have agreed to give the Contractor such a Bank Guarantee;

NOW THEREFORE we hereby affirm that we are the Guarantor and responsible to you, on behalf of the Contractor, up to a total of _____ *[amount of guarantee⁴⁵]* _____ *[in words]*, such sum being payable in the types and proportions of currencies in which the Contract Price is payable, and we undertake to pay you, upon your first written demand and without cavil or argument, any sum or sums within the limits of _____ *[amount of guarantee]* as aforesaid without your needing to prove or to show grounds or reasons for your demand for the sum specified therein.

We hereby waive the necessity of your demanding the said debt from the Contractor before presenting us with the demand.

⁴³In the case of a JV, insert the name of the Joint Venture

⁴⁴ The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.

⁴⁵ An amount shall be inserted by the Guarantor, representing the percentage of the Contract Price specified in the Contract less provisional sum if any, and denominated in Indian Rupees.

We further agree that no change or addition to or other modification of the terms of the Contract or of the Works to be performed thereunder or of any of the Contract documents which may be made between you and the Contractor shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

This guarantee shall be valid until⁴⁶, and consequently any demand for payment under it must be received by us at this office on or before that date.

Signature and seal of the guarantor _____

Name of Bank _____

Address _____

Date _____

Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.

⁴⁶Insert the date twenty-eight days after the expected expiration date of the Defect Liability Period. The Employer should note that in the event of an extension of the time for completion of the Contract, the Employer would need to request an extension of this guarantee from the Guarantor. Such request must be in writing and must be made prior to the expiration date established in the guarantee. In preparing this guarantee, the Employer might consider adding the following text to the form, at the end of the penultimate paragraph: "The Guarantor agrees to a one-time extension of this guarantee for a period not to exceed [six months][one year], in response to the Employer's written request for such extension, such request to be presented to the Guarantor before the expiry of the guarantee."

Advance Payment Security
Demand Guarantee
[Guarantor letterhead or SWIFT identifier code]

Advance Payment Guarantee No..... *[insert guarantee reference number]*
 Date..... *[insert date of issue of the guarantee]*

To: _____ *[name of Employer]*
 _____ *[address of Employer]*
 _____ *[name of Contract]*

Gentlemen:

In accordance with the provisions of the Conditions of Contract, Sub-clause 13.2 ("Advance Payment Security") of the above-mentioned Contract, _____ *[name and address of Contractor⁴⁷]* (hereinafter called "the Applicant") shall deposit with _____ *[name of Employer]* a bank guarantee⁴⁸ to guarantee his proper and faithful performance under the said Clause of the Contract in an amount of _____ *[amount of guarantee⁴⁹]* _____ *[in words]*.

We, the _____ *[bank]*, as instructed by the Applicant, agree unconditionally and irrevocably to guarantee as primary obligator and not as Surety merely, the payment to _____ *[name of Employer]* on his first demand without whatsoever right of objection on our part and without his first claim to the Applicant, in the amount not exceeding _____ *[amount of guarantee]* _____ *[in words]*.

We further agree that no change or addition to or other modification of the terms of the Contract or of work to be performed thereunder or of any of the Contract documents which may be made between _____ *[name of Employer]* and the Applicant, shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition or modification.

⁴⁷In the case of a JV, insert the name of the Joint Venture.

⁴⁸The Bank Guarantee from a Scheduled Bank in India is acceptable to the Employer.

⁴⁹An amount shall be inserted by the bank representing the amount of the Advance Payment, and denominated in Indian Rupees.

The maximum amount of this guarantee shall be progressively reduced by the amount of the advance payment repaid by the Applicant as indicated in copies of interim statements or payment certificates which shall be presented to us. This guarantee shall remain valid and in full effect from the date of the advance payment under the Contract until _____ *[name of Employer]* receives full repayment of the same amount from the Applicant. Consequently, any demand for payment under this guarantee must be received by us at this office on or before that date.

Yours truly,

Signature and seal: _____

Name of Bank: _____

Address: _____

Date: _____

[Note: All italicized text (including footnotes) is for use in preparing this form and shall be deleted from the final product.]
